



RIC 2001

Process Improvement Activities

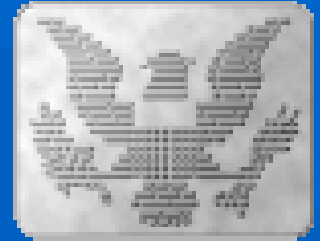
Session TH5



Jacqueline E. Silber, Chair
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(PMAS), NRR

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TH5 Panel Members



- **Chair, Jacqueline E. Silber,**
Director Program Management, Policy Development and Analysis Staff (PMAS), NRR/NRC
- **Panelists:**
 - **John W. Craig, Assistant for Operations, Executive Director for Operations, NRC**
 - **David B. Matthews, Director, Division of Regulatory Improvement Programs (DRIP), NRR/NRC**
 - **Arnold E. (Moe) Levin, Director, Application Development Division, Office of the Chief Information Officer, NRC**

Process Improvements



- Agency Perspective
- NRR Regulatory Process Improvement
- NRR Infrastructure Process Improvement
- NRC Electronic Information Exchange

Reasons for Change



- **Internal**

- Strategic goals and performance goals
- Availability of resources
- Information technology
- Management challenges

- **External**

- Changes in industry
- Changes in expectations of stakeholders

Agency Level Process Improvements



- **International council**
- **Communications activities**
- **ADAMS**
- **ROP**
- **Changes – New paradigms – New challenges**

Process Improvement Progress



Performance Goal: Make NRC activities and decisions more effective, efficient, and realistic

- Challenges:**
- (1) Risk-Informing the NRC's regulatory programs
 - (2) Preparing to address evolving technologies and a changing regulatory environment including the deregulation of the electric utility industry
 - (3) Improving the predictability and consistency of agency decisions

Process Improvement Progress



- **Rulemakings**
- **License Transfers**
- **License Renewals**
- **Improved STS Conversions**

NRR Infrastructure Process Improvements



- **Organizational Effectiveness**
- **Centralized Work Planning**

Organizational Effectiveness



- **Planning**
- **Performance Measures**
- **Performance Management**

Centralized Work Planning



- **Maintain appropriate quality of products**
- **Provide clear expectations and accountability**
- **Optimize the efficiency of NRR work processes**
- **Need to better predict workload and resources**
- **Need for better response to emergent work**
- **Need for better identification of impacts from emergent work**

Electronic Information Exchange (EIE)



Regulatory Issue Summary 2001-05 issued January 2001

- **Allows for the submittal of Part 50 documents electronically**
- **Reduces copy requirements for electronic or paper submittals**
- **Provides for CD-ROM submittals for large documents**

EIE Rule



- **Will allow for all submittals to the agency to be an electronic form**
- **Will be published in 2001**
- **Will provide guidance for all submittals except adjudicatory**

Conclusion



- Q&A's
- EIE Demonstrations