

RIC 2001

Significance Determination and Enforcement Issues, Session W8



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<http://www.nrc.gov/OE/>

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The Enforcement Program

- Reactor Safety Arena
 - SDP Issues (NCV, NOV)
 - Non-SDP Issues (NCV, NOV with Severity Level)
 - Actual Consequences
 - Willfulness
 - Impeding the Regulatory Process
 - No-Color Findings
- Materials Safety Arena
- Individual Actions

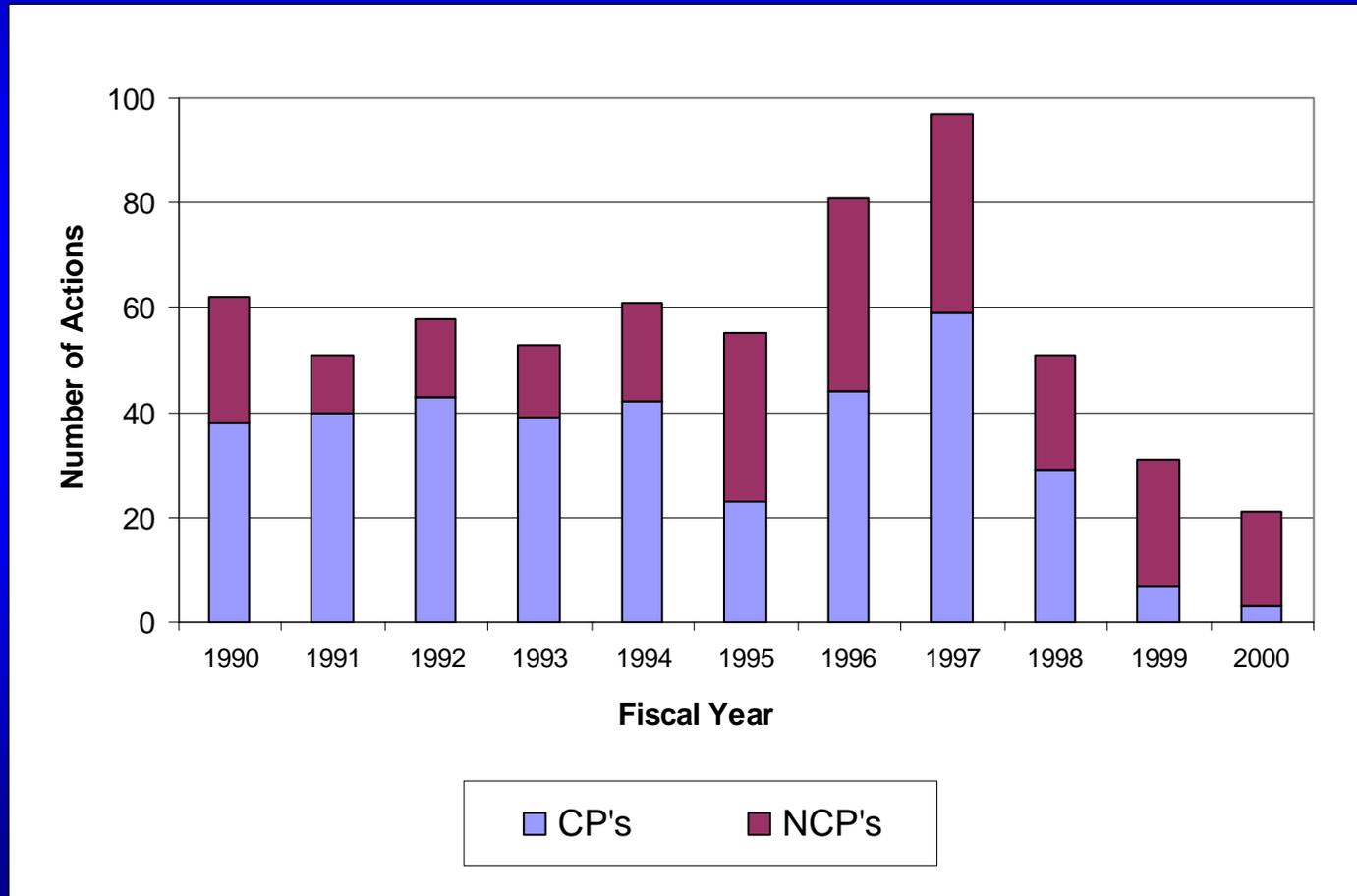
The Role of Enforcement in The ROP

- Ensure that licensees comply with regulations
- Distinguish findings that are also violations
- Individual enforcement actions consistent with SDP
- Additional enforcement integrated into action matrix
- Enforcement is an appropriate regulatory burden

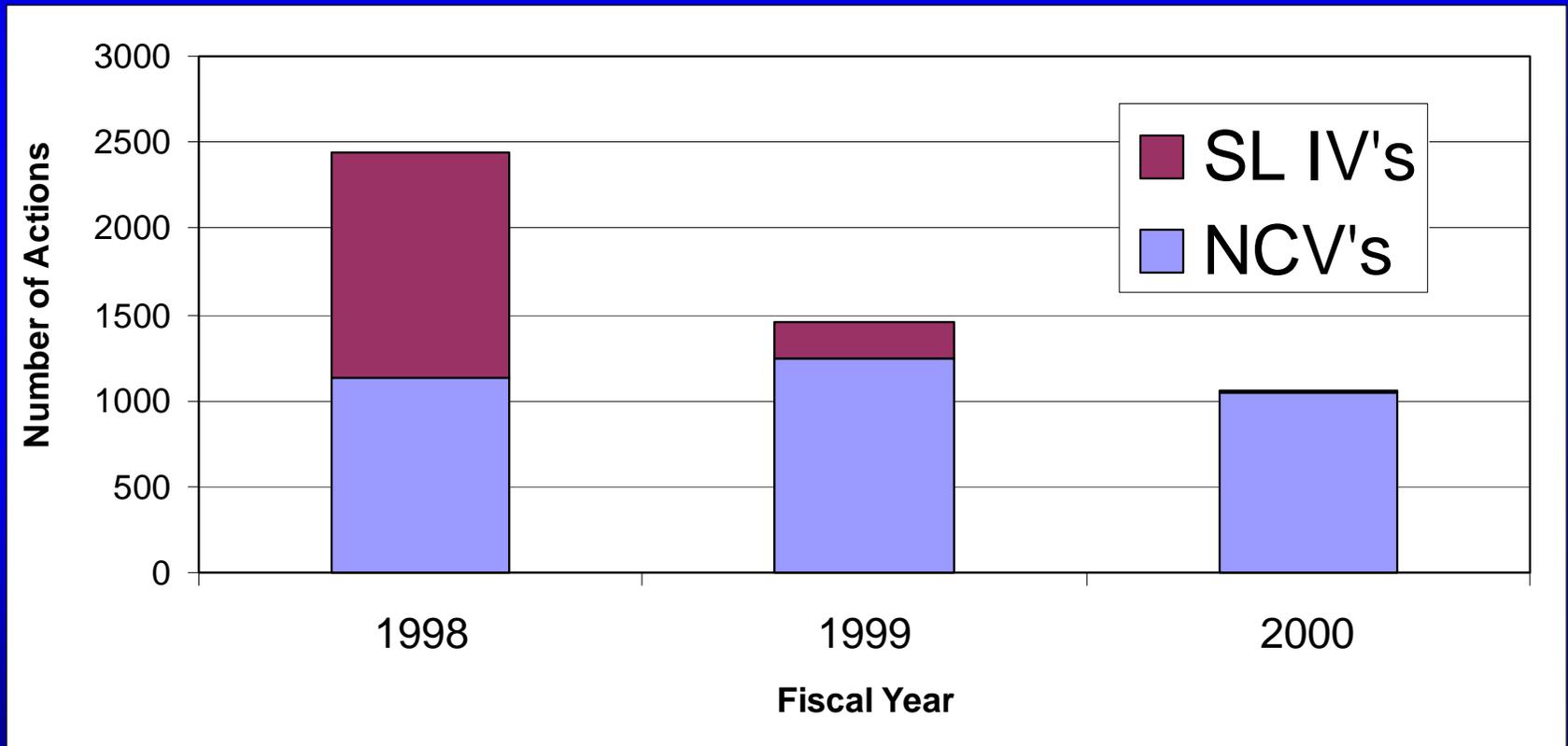
Enforcement/ROF Implementation Issues

- Process Timeliness
- Regulatory Conference vs. Enforcement Conference
- Disputed NCV's / NOV's
- Discretion for Inaccurate PI Data
- No-Color Findings/Violations
- Tradition Enforcement (SDP used, as appropriate)

Escalated Enforcement Trends (Power Reactors)



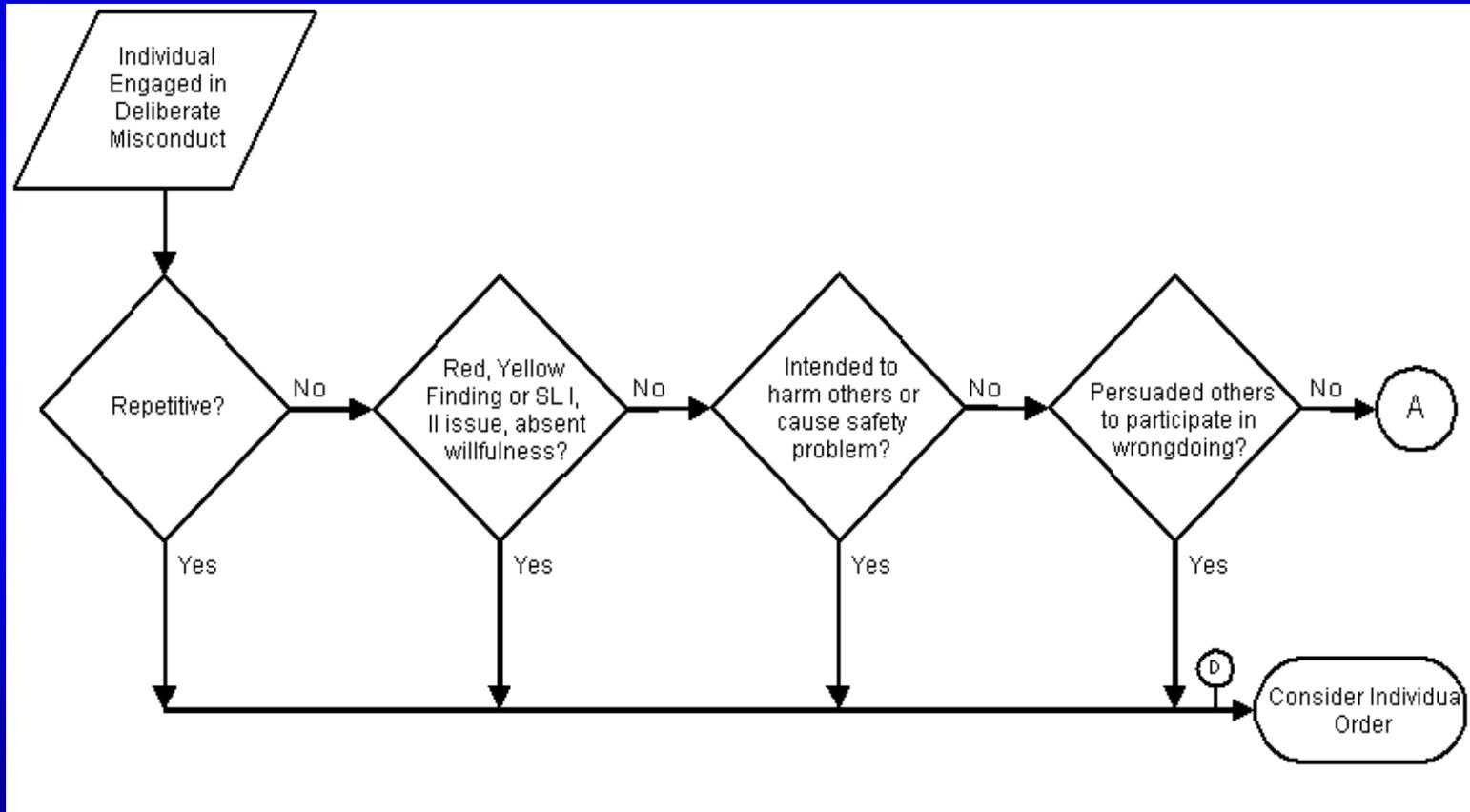
Non-escalated Enforcement Trends (Power Reactors)



Office Initiatives

- Individual Action Guidance
- Discrimination Task Force
- ADR
- Maintenance Rule Guidance

Individual Action Flowchart “Draft”



Individual Action Flowchart “Draft”

