



**DEFENSE NUCLEAR FACILITIES
SAFETY BOARD**

WASHINGTON, D.C. 20004-2901

OFFICE OF THE
INSPECTOR GENERAL

December 10, 2019

MEMORANDUM TO: Glen Sklar
General Manager

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF DNFSB'S
COMPLIANCE WITH THE DIGITAL ACCOUNTABILITY AND
TRANSPARENCY ACT OF 2014 (DATA ACT)
(DNFSB-20-A-02)

REFERENCE: GENERAL MANAGER, CORRESPONDENCE DATED
NOVEMBER 12, 2019

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in DNFSB's response dated November 12, 2019. Based on this response, recommendations 1 and 2 remain in open and resolved status.

Please provide an updated status of each of the open recommendations by April 1, 2020.

If you have any questions or concerns, please call me at (301) 415-5915 or Eric Rivera, Team Leader, at (301) 415-7032.

Attachment: As stated

cc: G. Sklar, OGM
C. Roscetti, OGM
R. Howard, OGM

Audit Report

AUDIT OF DNFSB'S COMPLIANCE WITH THE DIGITAL ACCOUNTABILITY AND TRANSPARENCY ACT OF 2014

DNFSB-20-A-02

Status of Recommendations

Recommendation 1: We recommend that DNFSB work with its FSSP to correct the PIIDs for new obligations in its accounting system and to correct the mapping of certain data elements to ensure that data elements are in accordance with the data standards established by OMB and Treasury.

Agency Response Dated
November 7, 2019:

Agree.

Beginning September 2019 and thereafter DNFSB will create PIIDs that don't include the procurement instrument modification number.

We will also continue to remind the FSSP to correct instances of interest payments appearing as obligations in the C File until that problem is corrected.

Evidence of success will be to eliminate warnings caused by items in the C File but not the D1 file. Our goal is to achieve this by Q1 2020.

OIG Analysis:

The proposed action meets the intent of the recommendation. The recommendation will be closed when OIG verifies DNFSB has a process to correct PIIDs for new obligations in its accounting system, and the mapping of certain data elements to ensure that data elements meet the data standards set forth by OMB and Treasury.

Status:

Open: Resolved.

Audit Report

AUDIT OF DNFSB'S COMPLIANCE WITH THE DIGITAL ACCOUNTABILITY AND TRANSPARENCY ACT OF 2014

DNFSB-20-A-02

Status of Recommendations

Recommendation 2: We recommend that DNFSB perform an effective quality control review of the data linkages in the files received from the FSSP aimed at identifying root causes of warning and errors, if any, prior to the SAO certification.

Agency Response Dated
November 12, 2019:

Agree.

DNFSB will identify the root causes of inconsistencies between obligations in its Simplicity financial tracking system, obligations in the FSSP's Accounting History report, and obligation in the FPDS system. This will be done upon receipt of FSSP's Accounting History report, and before the FSSP generates the C File, so that the causes can be identified in the SAO's certification statement.

Our goal is to complete this action by the end of Q1 FY 2020.

OIG Analysis: The proposed action meets the intent of the recommendation. The recommendation will be closed when OIG verifies DNFSB has an effective quality control review procedure that identifies the root cause of warnings and errors prior to the SAO certification.

Status: Open: Resolved.