

**Appendix B**  
**General Proficiency**  
**Training and Qualification Journal**

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## Introduction

You may complete the General Proficiency requirements together with the Technical Proficiency requirements for your specific inspector classification.

### Required General Proficiency Training Courses:

**NOTE: You DO NOT have to complete Appendix A before completing the following courses.**

1. Effective Communication For NRC Inspectors
2. Gathering Information for Inspectors through Interviews
3. Media Training Workshop

**NOTE: You must complete Appendix A and receive Basic Inspector Certification before beginning the courses listed below.**

*Before signing up for any course, be sure that you have checked and have met any prerequisites.*

These courses should be completed in the order listed.

- G-105, Conducting Inspections
- G-205, Root Cause/ Incident Investigation Workshop
- G-103, Field Techniques and the Regulatory Processes

## **General Proficiency Individual Study Activity**

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## General Proficiency Individual Study Activity

**TOPIC:** (ISA-General-1) Corrective Action Program

**PURPOSE:** This activity will provide you with a working knowledge of the contents of 10 CFR Part 50, Appendix B, industry standards, and the associated licensee programs and documents which collectively establish the basis for and support the implementation of an effective problem identification and corrective action program.

**COMPETENCY AREA:** INSPECTION

**LEVEL OF EFFORT:** 40 Hours

**REFERENCES:**

1. 10 CFR, Part 50, Appendix B
2. ANSINQA-1-1979, "Quality Assurance Program Requirements for Nuclear Power Plants"
3. Regulatory Guide 1.33, "Quality Assurance Program Requirements"
4. Licensee Quality Assurance Program
5. Inspection Procedure 71152, "Identification and Resolution of Problems"
6. Inspection Procedure 40500, "Effectiveness of Licensee Process to Identify, Resolve, and Prevent Problems"

**EVALUATION CRITERIA:** At the completion of this activity, you should be able to:

1. Discuss the general content of Part 50, Appendix B, and the eighteen criteria contained in the Appendix.
2. Discuss the "hierarchy" of quality assurance requirements.
3. Outline the key elements to an effective QA Program.
4. Discuss the principle steps in your reference site's Corrective Action Program with respect to identification of a condition adverse to quality through final resolution.

**TASKS:**

1. Review and discuss the eighteen criteria of Appendix B with your supervisor, or qualified inspector, and communicate an

understanding of their content and general application to field inspections, with emphasis on Criterion XVI, Corrective Action.

2. Review the basic regulations that require Quality Assurance. Review industry standards related to QA. Find where QA is addressed in an FSAR. Review a licensee Quality Assurance Program and its implementing procedures.
3. At a site, gain a general understanding of the licensee's Corrective Action Program (CAP) through a combination of discussions with a qualified resident inspector and attendance at routine CAP meetings.
4. At a site, using IP 71152 for guidance, review a sample of about six issues entered into the licensee's CAP within the past month and compare the licensee's actions versus regulatory requirements. Discuss the resolution of the issues with the resident inspector. This review should include the resolution of potential operability issues.
5. Meet with your supervisor or a qualified Operations resident inspector to discuss any questions that you may have as a result of this activity and demonstrate that you can meet the evaluation criteria listed above.

**DOCUMENTATION:**

General Proficiency Qualification Signature Card  
Item ISA-General-1



## **General Proficiency On-the-Job Activity**

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## General Proficiency On-the-Job Activity

**TOPIC:** (OJT-General-1) Emergency Drill/Exercise Observation

**PURPOSE:** The conduct of an emergency drill/exercise allows the licensee to assess emergency response performance and the effective correction of previously identified weaknesses. It permits the evaluation of the level of quality of emergency response training, emergency plan implementing procedures, facility and equipment readiness, personnel performance, organizational and management changes and communications equipment readiness. This activity will permit you, the observer, to realize the scope of involvement of your particular discipline during a declared emergency at a nuclear power facility.

**COMPETENCY AREAS:** REGULATORY FRAMEWORK  
INSPECTION

**LEVEL OF EFFORT:** 24 hours

**REFERENCES:**

1. IP 71114.01, "Exercise Evaluation
2. IP 71114.06, "Drill Evaluation"
3. 10 CFR 50, Appendix E, Section IV.F
4. 10 CFR 50.47(b)

**EVALUATION CRITERIA:** At the completion of this activity, you should be able to:

1. Discuss the federal guidance for drill/exercise observations as described in IP 71114.01, "Exercise Evaluation" and IP 71114.06, "Drill Evaluation".
2. Identify activities that will occur with regard to your discipline during the performance of an emergency drill/exercise.
3. Discuss how weaknesses and deficiencies identified during drill/exercise are documented and handled by the NRC and the licensee.
4. Discuss how the licensee is evaluated during an emergency drill/exercise performance to determine if the planning standards of 10 CFR 50.47(b) have been met.

5. Discuss how licensee performance is evaluated during a drill/exercise to determine if they have demonstrated the capability of providing reasonable assurance that adequate protective measures in the event of a declared emergency.

**NOTE:** Whenever possible, observe a drill or exercise at a site and focus your observation on activities related to you technical

**TASKS:**

1. Review IP 71114.01, "Exercise Evaluation" and IP 71115.06, "Drill Evaluation" to identify the inspection attributes provided for drill/exercise performance evaluations. Discuss any questions with a senior emergency preparedness inspector.
2. Review the regulatory requirements with regard to emergency preparedness contained within 10 CFR 50.47(b) and 10 CFR 50, Appendix E, Section IV.F.
3. Obtain an emergency drill/exercise schedule for applicable region. Coordinate your observation of an upcoming emergency drill/exercise with your supervisor, applicable regional senior emergency preparedness inspector and site senior resident inspector. If possible, observe the drill at the site.
4. Become familiar with the applicable licensee Emergency Plan and Implementing Procedures. In particular, review those instructions for your discipline's activities and involvement during a declared emergency and develop an understanding of their successful implementation.
5. Obtain and review a copy of the applicable licensee's emergency drill/exercise scenario. Identify activities that will occur with regard to your discipline during the performance of the emergency drill/exercise and note the licensee expectations for success versus failure.
6. Perform an independent observation of an emergency drill/exercise. Observe activities at several of the licensee emergency response facility locations, if possible (eg., Control Room, Operations Support Center, Technical Support Center, Emergency Operations Facility, Joint Information Center, field activities, etc.). Take care not to interfere with the conduct of the licensee performance or evaluation of drill/exercise. Do not "prompt" licensee participants or evaluators of your observations or conclusions of weaknesses or deficiencies

during drill/exercise performance. Findings must be held confidential until after formal licensee critique.

7. During the performance of the drill/exercise, note any possible weaknesses and/or deficiencies you observe. To aid in future discussions, obtain documentation of licensee activities during these questionable performances.
8. As a result of your observations, form an opinion as to whether the planning standards of 10 CFR 50.47(b) are still met.
9. As a result of your observations, form an opinion as to whether the licensee has demonstrated the capability of providing reasonable assurance that adequate protective measures can be conducted in the event of a declared emergency.
10. Discuss your emergency drill/exercise observations and opinions with the lead NRC inspector and provide recommendation on whether licensee demonstrated the capability of providing reasonable assurance that adequate protective measures can be taken in the event of a declared emergency and if the planning standards of 10 CFR 50.47(b) are met.
11. Meet with your supervisor and/or a qualified senior emergency preparedness inspector to discuss any questions that you may have as a result of this activity and demonstrate that you can meet the evaluation criteria listed above.

**DOCUMENTATION:** General Proficiency Qualification Signature Card  
Item OJT-General-1

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## General Proficiency Level Signature Card and Certification

<i>Inspector Name:</i> _____	Employee Initials/Date	Supervisor's Signature/Date
<b>A. Training Courses</b>		
G-105, Conducting Inspections		
G-205, Root Cause/ Incident Investigation Workshop		
G-103, Field Techniques and Regulatory Processes		
Effective Communication For NRC Inspectors		
Gathering Information for Inspectors Through Interviews		
Media Training Workshop		
<b>B. Individual Study Activity</b>		
(ISA-GENERAL-1) Corrective Action Program		
<b>C. On-the-Job Activity</b>		
(OJT-GENERAL-1) Emergency Drill Observation		

Supervisor's Signature indicates successful completion of all required courses and activities listed in this journal.

Supervisor's Signature \_\_\_\_\_ Date: \_\_\_\_\_

This signature card must be accompanied by a Form 1: General Proficiency-Level Equivalency Justification, if applicable.

Copies to:   Inspector  
                   HR office  
                   Supervisor

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<b>Form 1: General Proficiency-Level Equivalency Justification</b>	
<i>Inspector Name:</i> _____	<i>Identify equivalent training and experience for which the inspector is to be given credit</i>
<b>A. Training Courses</b>	
Conducting Inspections	
Root Cause /Incident Investigation Workshop	
Field Techniques and Regulatory Processes	
Media Training Workshop	
Effective Communication for NRC Inspectors	
Gathering Information for Inspectors through Interviews	
<b>B. Individual Study Activity</b>	
(ISA-GENERAL-1) Corrective Action Program	
<b>C. On-the-Job Activity</b>	
(OJT-GENERAL-1) Emergency Drill Observation	

Supervisor's Recommendation      Signature / Date \_\_\_\_\_

Division Director's Approval      Signature / Date \_\_\_\_\_

Copies to:    Inspector  
                   HR Office  
                   Supervisor

This form must accompany the Signature Card and Certification, if applicable.