
INSPECTION MANUAL CHAPTER 1202

RESIDENT INSPECTOR SITE TURNOVER

Effective Date: March 18, 2025

1202-01 PURPOSE

To establish the turnover process to be utilized by Senior Resident Inspectors (SRI) and Resident Inspectors (RI) upon assignment to a resident site.

1202-02 OBJECTIVES

- 02.01 Provide a process for the transfer of duties and responsibilities between the incoming and outgoing SRI and RI.
- 02.02 Ensure the incoming SRI and RI have the necessary knowledge and site familiarity to successfully implement the reactor oversight process (including allegations and enforcement) and emergency response duties.

1202-03 APPLICABILITY

This inspection manual chapter (IMC) applies to the outgoing and incoming SRIs and RIs who will be transferring permanent duties and responsibilities. This IMC may also be referenced to assure adequate turnover for temporary assignments.

1202-04 RESPONSIBILITIES AND AUTHORITIES

04.01 Projects Branch Chief

- a. Coordinate turnover process and ensure completion of Exhibits 1 and 2.
- b. Ensure press release is issued announcing the assignment of the new SRI or RI.

04.02 Outgoing SRI

- a. Coordinate and schedule implementation of the applicable attachment with the incoming SRI or RI.
- b. Assist incoming SRI or RI in completion of attachments.

04.03 Incoming SRI

- a. Complete Exhibit 1, "SRI Site Turnover Checklist."
- b. Provide completed documentation of turnover to the Projects Branch Chief.

04.04 **Outgoing RI.** Assist incoming RI in completion of **Exhibit 2**, “RI Site Turnover Checklist.”

04.05 **Incoming RI.**

- a. Complete **Exhibit 2**, “RI Site Turnover Checklist.”
- b. Provide completed documentation of turnover to the Projects Branch Chief.

04.06 **Public Affairs Officer.** Issue press release announcing new SRI or RI assignment.

1202-05 GENERAL **GUIDANCE**

05.01 **Site Turnover.**

This IMC should be initiated approximately 30 days before the reporting date for the incoming inspector. The new inspector may assume duties and responsibilities at the site prior to completion of the applicable attachment, however, the attachment should be completed no later than 60 days after arriving onsite. The outgoing inspector should arrange to be at the site for a minimum of 1 week with the incoming inspector to assist in completion of this IMC. The IMC should also be referenced to assure adequate turnover for temporary assignments.

The incoming RI should provide a short biography to the regional Public Affairs Officer prior to reporting to the site. A press release announcing the assignment of the new RI should be issued no later than 2 weeks after arriving onsite.

END

Exhibits:

- 1:** Senior Resident Inspector Site Turnover Checklist
- 2:** Resident Inspector Site Turnover Checklist

Attachment:

- 1.** Revision History for IMC 1202

Exhibit 1: Senior Resident Inspector Site Turnover Checklist
[SRI Checklist: [ML24193A245](#)]

SENIOR RESIDENT INSPECTOR SITE TURNOVER CHECKLIST

I. Site Activities

A. Inspection and Assessment

1. Review inspection plan and procedure completion status.
 - a. IR Report 8, "ROP Baseline Procedure Completion."
 - b. IR Report 8a, "Baseline Inspection Completion by Site."
2. Review current inspection schedule, IP Report 22, "Inspection Activity Plan Report."
3. Review status of completed back shift hours.
4. Review IR Report 3 "PIM."
5. Review NRC performance indicator data.
6. Review past 2 years of submitted licensee event reports (LERs).
7. Review past 2 years of inspection reports.
8. Review last **2 years'** worth of assessment letters.
9. Review open items (unresolved items (URIs), violations, LERs, temporary instructions (TIs), etc.), IR Report 1, "Item List."
10. Discuss status of potential findings with outgoing SRI.
11. Review leakage trends per IMC 2515, Appendix D, "Plant Status."
12. Review site specific operating experience (smart samples, generic communications, etc.) and pending pilot programs.
13. Review most recent Institute of Nuclear Power Operations (INPO) evaluation report.
- 14. Meet with Division of Reactor Licensing Project Manager to discuss current and pending submittals.**
- 15. Ensure the integrated report EPID point of contact has been changed in RPS by regional RPS contact.**

B. Allegations and Enforcement

1. Discuss **open allegations** with Branch Chief.
2. Review allegations received over the past 2 years with Branch Chief.
3. Review open enforcement and other regulatory actions (confirmatory action letters (CAL), orders, etc.).
4. Meet with licensee's Employee Concerns Program Manager.

C. Site Access, Organization, Facilities, and Meetings

1. Review site organization chart.
2. Meet with licensee management (Site Vice-President, Plant Manager, Licensing Manager, etc.).
3. Tour site facilities, including control room, maintenance shops, outage control center, simulator, FLEX storage areas, etc.
4. Discuss previously conducted tours of plant areas not normally accessible and licensee's schedule for accessing such areas.
5. Discuss plant specific risk insights with the regional senior reactor analyst.
6. Obtain schedule and attend licensee's routine meetings.
7. Review licensee work hours and shift schedules.
8. Meet with site union leadership.

9. Discuss training requirements (i.e., respirator, confined space entry, radiation protection, fall protection, etc.).
10. Establish access to applicable site computer programs and systems.
11. Discuss labor issues and union contracts with Branch Chief.

II. Emergency Response

- A. Tour emergency operations facility (EOF), technical support center (TSC), operations support center (OSC), and backup facilities, **check NRC equipment.**
- B. Meet with licensee's Emergency Preparedness Manager.
- C. Review licensee's incident response procedures and evacuation routes.
- D. Review licensee's emergency action level matrix.
- E. Discuss notification agreement with licensee for calls to the SRI and RI. **Establish emergency contact process with licensee. Discuss emergency contact expectations with BC.**
- F. Review site specific response for events involving a hurricane, earthquake, security, and fire.
- G. Identify location of emergency equipment within resident office (satellite phone, KI pills, etc.).
- H. Discuss location of hospitals for medical emergencies involving contaminated personnel.
- I. Become familiar with response time of RI to the site.
- J. **Obtain a** Government Emergency Telecommunication (GETS) card **if necessary.**

III. Site Security

- A. Review licensee's security plan.
- B. Meet with licensee's Security Manager.
- C. Tour security facilities (CAS, SAS, BREs, ready rooms, etc.).

IV. External Stakeholders

- A. Discuss with regional Public Affairs Officer recent media interest.
- B. Discuss with regional State Liaison Officer local and state items of interest (**MOUs, etc.**).
- C. Determine if need exists to meet with local or state officials (consult Branch Chief and State Liaison Officer).
- D. Discuss **licensee outreach activities** or visit licensee's visitor center, if applicable.
- E. **Determine if Tribal Sensitivity Training should be considered (tribal lands within 50 miles and/or office routinely or occasionally interact with Native American Tribal Governments).**

V. Resident Office Resource Management

- A. Turnover office keys and note location of other keys, **determine if any combination locks need to be reset.**
- B. Review office filing system and location of supplies with Office Assistant (OA).
- C. Review method of sending and receiving local mail and overnight express mail with OA.
- D. Review safeguards material storage including location of secure communication equipment, safeguards **laptop and printer.**
- E. **Review the Security Plan for the resident office and complete required training courses for Classified Records Management if needed.**
- F. Ensure office safe combination has been changed in accordance with regional guidance.
- G. Conduct test of safeguards communication equipment (**STE, fax, SLES**).

- H. Complete property transfer in accordance with applicable regional guidance for all property assigned to the outgoing SRI.
- I. Verify staff emergency response contact information and provide updates to the Regional Office and licensee, as appropriate.
- J. Order neutron dosimetry from regional radiation safety officer if not already complete.

VI. Back-up Site Responsibilities

- A. Review back-up site responsibilities and access requirements.
- B. Plan back-up site familiarization visit.

VII. Personnel Matters

- A. Review current and past performance of RI and OA with outgoing SRI.
- B. Discuss any special circumstances or limitations that exist for RI and OA with outgoing SRI.
- C. Review Individual Development Plans for RI and OA.
- D. Review status of pending and approved training courses.
- E. Review status of staff qualifications.
- F. Review upcoming annual leave and other planned activities (objectivity visit, training, team inspections, etc.) to assess impact on site coverage requirements.
- G. Request High L clearance if necessary.

Notes (optional):

Incoming SRI Signature

Date

Branch Chief Signature

Date

END

Exhibit 2: Resident Inspector Site Turnover Checklist
[RI Checklist: [ML24193A246](#)]

RESIDENT INSPECTOR SITE TURNOVER CHECKLIST

I. Site Activities

A. Inspection and Assessment

1. Review inspection plan and procedure completion status.
2. Review current inspection schedule.
 - a. IP Report 22, "Inspection Activity Plan Report."
 - b. IP Report 24, "Security Activity Plan Report."
3. Review IR Report 3-4, "PIM."
4. Review NRC performance indicator data.
5. Review past 2 years of submitted licensee event reports (LERs).
6. Review past 2 years of inspection reports.
7. Review annual assessment letters.
8. Review open items (unresolved items (URIs), violations, LERs, temporary instructions (TIs), etc.).
 - a. IR Report 1, "Item List."
9. Review leakage trends per IMC 2515, Appendix D, "Plant Status."
10. Review site specific operating experience (smart samples, generic communications, etc.).
11. Review most recent Institute of Nuclear Power Operations (INPO) evaluation report.
12. Meet with Division of Reactor Licensing Project Manager to discuss current and pending submittals.

B. Allegations and Enforcement

1. Discuss with SRI all open allegations.
2. Review allegations received over the past two (2) years with SRI.
3. Review all open enforcement and other regulatory actions (confirmatory action letters (CAL), orders, etc.).
4. Meet with licensee's Employee Concerns Program Manager.

C. Site Access, Organization, Facilities, and Meetings

1. Review site organization chart.
2. Meet with "key" licensee management (Site Vice-President, Plant Manager, Licensing Manager, etc.).
3. Tour site facilities, including control room, maintenance shops, outage control center, simulator, FLEX storage areas, etc.
4. Discuss previously conducted tours of plant areas not normally accessible and licensee's schedule for accessing such areas.
5. Discuss plant specific risk insights with the regional senior reactor analyst.
6. Obtain schedule and attend licensee's routine meetings.
7. Review licensee work hours and shift schedules.
8. Discuss "special" training requirements (i.e., respirator, confined space entry, radiation protection, fall protection, etc.).
9. Establish access to applicable site computer programs and systems.

II. Emergency Response

- A. Tour emergency operations facility (EOF), technical support center (TSC), operations support center (OSC), and backup facilities.
- B. Meet with licensee's Emergency Preparedness Manager.
- C. Review licensee's incident response procedures and evacuation routes.
- D. Review licensee's emergency action level matrix.
- E. Review site specific response for events involving a hurricane, earthquake, security, and fire.
- F. Identify location of emergency equipment within resident office (satellite phone, KI pills, etc.).
- G. Discuss location of hospitals for medical emergencies involving contaminated personnel.
- H. Turnover or order a new Government Emergency Telecommunication (GETS) card.
- I. **Establish emergency response contact with the licensee.**

III. Site Security

- A. Review licensee's security plan.
- B. Meet with licensee's Security Manager.
- C. Tour security facilities (CAS, SAS, BREs, ready rooms, etc.).

IV. External Stakeholders

- A. Discuss with regional Public Affairs Officer recent media interest.
- B. Discuss with regional State Liaison Officer local and state items of interest.
- C. Determine if need exists to meet with local or state officials (consult Branch Chief and State Liaison Officer).
- D. Discuss or visit licensee's visitor center, if applicable.
- E. **Determine if Tribal Sensitivity Training should be considered (tribal lands within 50 miles and/or office routinely or occasionally interact with Native American Tribal Governments).**

V. Resident Office Resource Management

- A. Turnover office keys and note location of other keys.
- B. Review office filing system and location of supplies with Office Assistant (OA).
- C. Review method of sending and receiving local mail and overnight express mail with OA.
- D. Review safeguards material storage including location of secure communication equipment, removable hard drive, and safeguards printer.
- E. Ensure office safe combination has been changed in accordance with regional guidance.
- F. Conduct test of safeguards communication equipment.
- G. Complete property transfer in accordance with applicable regional guidance for all property assigned to the outgoing RI.
- H. **Order neutron dosimetry if necessary.**

VI. Back-up Site Responsibilities

- A. Review back-up site responsibilities and access requirements.
- B. **Plan back-up site familiarization visit.**

VII. Personnel Matters

- A. Provide SRI with copy of most recent performance appraisal.
- B. Provide SRI with most recent Individual Development Plan.
- C. Discuss with SRI any special circumstances or limitations.
- D. Inform SRI of upcoming annual leave and other planned activities (objectivity visit, training, team inspections, etc.) that could impact site coverage requirements.
- E. Request "L(H)" clearance if necessary.

Notes (optional):

Incoming RI Signature

Date

SRI Signature

Date

Branch Chief Signature

Date

END

Attachment 1: Revision History for IMC 1202

Commitment Tracking Number	Accession Number Issue Date Change Notice	Description of Change	Description of Training Required and Completion Date	Comment Resolution and Closed Feedback Form Accession Number (Pre-Decisional, Non-Public Information)
N/A	08/22/05	Revision history reviewed	None	N/A
N/A	10/31/08 CN 08-031	Complete re-write of document. Revised to update and clarify the turnover process for SRIs and RIs. Incorporate Feedback Form 1205-1251.	None	ML082810541
N/A	ML18163A232 06/28/18 CN 18-017	Revised to (1) reflect changes to report numbers and nomenclatures associated with new RRPS, (2) incorporate requirements to tour FLEX storage areas (associated with recommendations from the working group established to update the ROP for regulatory actions taken following the Fukushima Dai-ichi accident (ML17164A285)), and (3) incorporate requirements associated with plant areas not normally accessible (associated with feedback form 1202-2310).	None	N/A 1202-2310 ML18179A400
N/A	ML24190A050 Date 03/18/25 CN 25-004	Incorporated FBFs (1202-2214, 1202-2345, 1202-2437, 1202-2448, 1202-2476, 1202-2490, 1202-2504), updated for modern technology, processes. Revised to comply with IMC 0040 formatting changes.		ML24194A100 ML22361A082 (2214) ML22361A134 (2345) ML22364A306 (2437) ML21350A411 (2448) ML23027A133 (2476) ML23198A241 (2490) ML23345A168 (2504)