**NRC INSPECTION MANUAL** NSIR/DSO

INSPECTION MANUAL CHAPTER 2201 APPENDIX C

GENERIC, SPECIAL, AND INFREQUENT INSPECTIONS

Effective Date: 01/01/2021

2201-01 OBJECTIVES AND PHILOSOPHY OF APPENDIX C INSPECTIONS

Appendix C inspections for the security inspection program are designed to support the U.S. Nuclear Regulatory Commission’s (NRC’s) goals of maintaining safety, enhancing public confidence, improving the effectiveness, efficiency and realism of the regulatory process, reducing unnecessary regulatory burden, and promoting the common defense and security.

2201-02 APPLICABILITY

The generic, special, and infrequently performed inspections in Table 1 to this appendix apply to security under the safeguards strategic performance area of the Reactor Oversight Process for operating power reactors and are to be conducted by region-based or headquarter-based inspectors.

Note: This appendix lists the security inspection program, inspection procedures that are implemented infrequently for special situations. The inspections listed in Table 1 are to be performed only when authorized by the regional administrator after a review and assessment of security events or conditions, or to fulfill the NRC’s obligations under domestic interagency memoranda of understanding, or because of national or international security considerations. These inspections are not part of the baseline or supplemental inspection program elements.

2201-03 RESOURCES

The resource estimate for the inspections listed in Table 1 may vary considerably due to current threat conditions, complexity of facility issues, and the thoroughness of the licensees’ own evaluations and proposed corrective actions. The Office of Nuclear Security and Incident Response will fund all effort associated with security-related generic, special, or infrequent inspections.

2201-04 DOCUMENTATION

1. The inspection report written for generic, special, or infrequent inspections should contain the NRC’s assessment for each inspection requirement that is included within the scope of the inspection. Inspection documentation is pursuant to Inspection Manual Chapter (IMC) 0611, “Power Reactor Inspection Reports.”

b. Security information designation guidance will be adhered to for all inspection reports, temporary instructions, orders, etc. that contain or have the potential to contain safeguards or other sensitive security-related information. These documents shall be marked and controlled in accordance with the most recent version of Volume 12 “Security,” of the NRC's management directives, or the agency's guidance for sensitive unclassified non-safeguards information, as necessary.

2201-05 SIGNIFICANCE DETERMINATION

In addition to other considerations, the determination of significance for findings at commercial power reactors will use the general guidance in IMC 0609, Appendix E, “Security Significance Determination Process,” to assure consistency and predictability.

Table 1: Inspection Procedures for Generic, Special, and Infrequently Performed Activities

| Number | Inspection Procedure Title |
| --- | --- |
| 36100 | Inspection of 10 CFR Part 21 and Programs for Reporting Defects and Noncompliance |
| 40001 | Resolution of Employee Concerns |
|  71150 | Discrepant or Unreported Performance Indicator Data |
| 85420 | Inspection of IAEA Safeguards for Inspectors at Power Reactors |
| 92709 | Contingency Plans for Licensee Strikes or Lockouts |
| 92711 | Implementation of Licensee Contingency Plans During a Strike/Lockout |
| 92712 | Resumption of Normal Operations After a Strike |
| 93800 | Augmented Inspection Team |
| 93812 | Special Inspection |

END

Attachment 1: Revision History for IMC 2201, Appendix C

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| Commitment Tracking Number | AccessionNumberIssue DateChange Notice | Description of Change | Description of Training Required and Completion Date | Comment Resolution and Closed Feedback Form Accession Number (Pre-Decisional, Non-Public Information |
| N/A | ML04068054302/19/04CN 04-004 | Initial issuance. | N/A |  |
| N/A | ML08144036809/08/09CN 09-021 | This document has been revised to remove references to programs other than operating power reactor, and update terminology and format.  | N/A | ML091380274 |
| N/A | ML13234A52309/22/15CN 15-017 | This IMC was revised to reflect minor administrative changes. | N/A | ML15209A613 |
| N/A | ML18031B01408/20/18CN 18-026 | The revision of this IMC was administrative in nature, a reference to IP 71150, “Discrepant or Unreported Performance indicator Data,” was added to this document as this reference was previously misplaced within IMC 2201, Appendix A. During this administrative revision, a complete SUNSI review was conducted in which the staff concluded that this document should be de-controlled. Consistent with the staff’s SUNSI determination, this document has been de-controlled and the SUNSI markings have been removed. | N/A | ML18032A038 |
| N/A | ML20205L47208/07/20CN 20-037 | This revision of this IMC adds IP 92707.  | N/A | N/A |
| N/A | ML21050A38504/22/21CN 21-019 | This revision removes IP 92707 from this IMC due to moving it to IMC 2201, Appendix A. | N/A | N/A |