IMC 0612 Exhibit 2 – Inspection Report Documentation Matrix

|  | Mentioned in Cover Letter | Summary of Findings | Inspection Finding Detail | Entered into PIM Database**[[1]](#footnote-1)** | Published on Public Web site1 |
| --- | --- | --- | --- | --- | --- |
| Unresolved Items (URIs) | No | No | Yes | No | No |
| Minor performance deficiencies or observations | No | No | No**[[2]](#footnote-2)** | No | No |
| Violations receiving enforcement discretion | Yes | No | Yes | Yes**[[3]](#footnote-3)** | Depends**[[4]](#footnote-4)** |
| Issues where additional inspection may be required (Backfit) | No | No | Yes, Listed in Section 4OA5 | No | No |
| Licensee-identified Non-Cited Violations (NCVs) including those which are Severity Level IV | Referred to only | Refer to Section 4OA7 | Yes, Listed in Section 4OA7 | No | No |
| NRC-identified and self-revealed Green findings and Severity Level IV NCVs (FIN or NCV) | Referred by count only | Yes | Yes | Yes | Yes |
| Findings with pending or preliminary significance or traditional enforcement Apparent Violations (FIN-TBD or AV) | Yes | Yes | Yes | Yes | Yes, after Final SDP |
| Final White, Yellow, or Red findings or Severity Level I/II/III violations (FIN or VIO) | Yes | Yes, as appropriate | Yes, as appropriate | Yes | Yes |
| Cited Violations (NOVs) | Yes | Yes | Yes | Yes | Yes |

Attachment 1 – Revision History for IMC 0612 Exhibit 2

| Commitment Tracking Number | Accession Number  Issue Date  Change Notice | Description of Change | Description of Training Required and Completion Date | Comment and Feedback Resolution Accession Number |
| --- | --- | --- | --- | --- |
| N/A | 06/20/03  [CN 03-021](http://www.nrc.gov/reading-rm/doc-collections/insp-manual/changenotices/2003/03-021.html) | Initial Issue | No |  |
| N/A | [ML052700283](http://adamswebsearch2.nrc.gov/idmws/ViewDocByAccession.asp?AccessionNumber=ML052700283)  09/30/05  [CN 05-028](http://adamswebsearch2.nrc.gov/idmws/ViewDocByAccession.asp?AccessionNumber=ML052730492) | Revised to correct titles of some inspection procedures and the types of inspections performed in the security area were added | No |  |
| N/A | [ML083250511](http://adamswebsearch2.nrc.gov/idmws/ViewDocByAccession.asp?AccessionNumber=ML083250511)  12/04/08  [CN 08-034](http://adamswebsearch2.nrc.gov/idmws/ViewDocByAccession.asp?AccessionNumber=ML083380407) | Revision to remove redundant guidance for type codes that is discussed in IMC 0612 and IMC 0306. | No |  |
| N/A | [ML11356A259](http://adamswebsearch2.nrc.gov/idmws/ViewDocByAccession.asp?AccessionNumber=ML11356A259)  04/12/12  CN 12-005 | Revised to align with IMC 0612. Complete reissue. | No | [ML12073A139](https://nrodrp.nrc.gov/idmws/ViewDocByAccession.asp?AccessionNumber=ML12073A139) |
| N/A | [ML12111A173](https://adamsxt.nrc.gov/WorkplaceXT/getContent?id=release&vsId=%7B6115807F-F0C4-45A9-B9DF-BF1612384659%7D&objectStoreName=Main.__.Library&objectType=document)  07/10/12  [CN 12-014](https://adamsxt.nrc.gov/WorkplaceXT/getContent?id=release&vsId=%7B63EA431C-F058-41E1-9613-22147E525431%7D&objectStoreName=Main.__.Library&objectType=document) | Revised include updated terminology for pending and preliminary significance. | No |  |
| N/A | [ML13168A384](http://pbadupws.nrc.gov/docs/ML1316/ML13168A384.pdf)  07/15/13  [CN 13-015](http://pbadupws.nrc.gov/docs/ML1319/ML13192A378.pdf) | Corrected error – Generally, violations receiving enforcement discretion are entered into the PIM. | No | FF 0612Ex2-1896 |
| N/A | ML14211A251  09/04/14  CN 14-020 | Revised Note 2 – minor performance deficiencies and observation related to allegation follow-up are not normally discussed in reports. | No |  |

1. See IMC 0306, “Information Technology Support for the Reactor Oversight Process,” for guidance. Security-related information is included in the Plant Issues Matrix (PIM) but is not made publically available. [↑](#footnote-ref-1)
2. Exceptions include closure of URIs, Licensee Event Reports (LERs), Temporary Instructions (TIs), and Inspection Procedures (IPs) which specifically authorize observations (e.g., IP 71152 Semi-Annual Trend Review Sample) [↑](#footnote-ref-2)
3. Exceptions include, violations associated with Notices of Enforcement Discretion (NOEDs) or as specifically directed under an Enforcement Guidance Memorandum [↑](#footnote-ref-3)
4. The Office of Enforcement may track and publish on the public web site in accordance with their internal procedures [↑](#footnote-ref-4)