UNITED STATES OF AMERICA U.S. NUCLEAR REGULATORY COMMISSION

BRIEFING ON EQUAL EMPLOYMENT OPPORTUNITY AND SMALL BUSINESS PROGRAMS

November 2, 2010

9:30 A.M.

TRANSCRIPT OF PROCEEDINGS

Public Meeting

Before the U.S. Nuclear Regulatory Commission:

Gregory B. Jaczko, Chairman

Kristine L. Svinicki, Commissioner

George Apostolakis, Commissioner

William D. Magwood, IV, Commissioner

William C. Ostendorff, Commissioner

APPEARANCES

NRC Staff:

Bill Borchardt Executive Director for Operations

Corenthis B. Kelley Director, Office of Small Business and Civil Rights

Barbara D. Williams Senior Level Assistant for Policy and Programs

Diana Strong Small Business Program Manager

Nader L. Mamish Assistant for Operations

Cynthia D. Pederson Deputy Regional Administrator, Region III

Alicia Mullins Chair, Advisory Committee for the Employees with Disabilities

James F. McDermott Director, Office of Human Resources

Dale Yeilding, Chapter President, National Treasury Employees Union

2	CHAIRMAN JACZKO: Well, good morning, everyone. The
3	Commission meets today to receive a briefing on the agency's Equal
4	Employment Opportunity and Small Business Programs. These are both very
5	important initiatives for the agency, and this is a very important meeting to
6	highlight the work that's been done.
7	Through the agency's Equal Employment Opportunity Program, the
8	NRC works hard to maintain a diverse and talented work force and to provide all
9	of our employees the opportunity to engage in challenging and meaningful work.
10	Through our Small Business Program, the agency demonstrates its commitment
11	to affording maximum opportunities for small businesses, including those owned
12	by the disadvantaged women and veterans.
13	Today's a very special meeting in one important way. It seems
14	lately we have opportunities to celebrate some of our truly outstanding
15	employees, and today I just want to say a few words about Jim McDermott. This
16	will be his oh, I'm sorry, that's somebody else.
17	[laughter]
18	So this is Jim McDermott's last appearance, I believe, before the
19	Commission prior to his launching of whatever his next endeavors will be. He
20	has served this agency with distinction for 34 years, including the last five as
21	director of the Human Resources Office. And I thought I would just share a
22	personal anecdote as all of you, I'm sure, are aware that the agency this year
23	again received a distinction as being the best place to work in the federal
24	government. I had the opportunity to go to the award ceremony to receive that

on behalf of the agency, and I have to tell you that Jim McDermott was probably

1	the most popular person there and also probably the most respected. And
2	people looked to him as a leader, and I would dare say that there was a certain
3	rock star status to his appearance at that particular event. So I think it's certainly
4	a fitting recognition for your contributions to this agency, and on behalf of
5	certainly of the Commission, I want to congratulate you on your very successful
6	career and wish you the best of luck in your future endeavors and make sure you
7	stick to the time during the meeting.
8	[laughter]
9	Any of my colleagues would like to make any remarks?
10	COMMISSIONER SVINICKI: Well, I have to add some tribute to
11	Jim McDermott. When you're coming here as a Commissioner and after you get
12	confirmed by the Senate, Jim is one of the people that you interact with very
13	early on. So I want to express to you again my appreciation for all your support
14	when I was coming here as a new face at NRC and for all the contributions
15	you've made over the years. Thank you very much.
16	COMMISSIONER APOSTOLAKIS: I second Commissioner
17	Svinicki's comments.
18	COMMISSIONER MAGWOOD: What she said.
19	[laughter]
20	COMMISSIONER OSTENDORFF: You're not going to get off that
21	easy, Jim. Jim and I met just yesterday in a periodic meeting, and he was telling
22	me about some of his roots back in the Naval programs back just a couple years
23	ago. I had to ask him, is that where he learned that he could have a certain
24	laissez-faire attitude with respect to not wearing a tie to work, and I'm pleased to
25	see that you're wearing a tie here today, Jim.

1	[laughter]
	[

It's because the Chairman's here that you are. But also, I echo my other colleagues' comments because certainly the richness with which you've approached your responsibility and your mentorship of many people around this room and around this agency is just outstanding. Thank you.

CHAIRMAN JACZKO: With that, Bill, we'll start the meeting.

MR. BORCHARDT: Good morning. Let me add on behalf of the entire NRC staff and all of those who've gone before us our thanks for Jim and his service. We look forward to his roast in a couple weeks. We'll save the real material for that.

I'm very proud of the NRC workforce and all that we've accomplished in the EEO and the Small Business Program areas. I'm also mindful that just like in the area of nuclear safety, this is an area that we can't rest on our accomplishments and become complacent. Continuous effort and focus is required by the agency leadership team every single day in order for us to continue to make progress. In some respects, the current challenge is even becoming more difficult due to the flattening of our overall budget and the fact that we're not going to be hiring nearly as many people on a yearly basis as we've hired in the past. The last several years, we've hired upwards of 500 people. Now, we're on a replacing attrition rate and so it will be closer to 200 people that we'll be brining on board.

There's an awful lot of programs that fall under today's title and associated goals in the EEO and the small business area, but from my perspective, I'd just like to highlight five areas that as a management team we'll be focusing on. The first is to increase diversity representation in the agency's

1	management feeder groups that's the grade 13 through 15 as well as in the
2	actual leadership positions. Second, to implement a balanced agency wide set
3	of EEO and small business programs that contribute to accomplishing the
4	agency mission. Third, focused hiring and recruitment activities that enable us to
5	hire the best qualified and most diverse talent possible. Fourth, develop the staff
6	skills through mentoring, training, and knowledge management programs. And
7	fifth, to emphasize the principle of an open collaborative work environment that
8	allows us to get the most out of each employee and make them feel valued and
9	respected. Finally, I'd like to recognize the very valuable contributions that the
10	advisory committees make on a daily basis to the success of the agency.
11	Go to slide two please. The agenda for today's briefing, Ren will
12	provide an overview of the federal diversity and the NRC specific update, and
13	Barbara Williams will discuss the Affirmative Employment and Diversity
14	Management Program. Diana Strong will discuss the Small Business Program.
15	Slide three, we focused on two offices in today's briefing. Nader Mamish will
16	provide an overview of the office of the EDO's activities, and Cynthia Pederson
17	will provide the regional perspective focusing on Region III. I should point out as
18	part of our Executive Development Program, Cindy's been acting as the Deputy
19	Office Director in the Office of NRR for a couple months. She'll be ending that
20	rotation in the next several weeks. And finally, Alicia Mullins, a member of the
21	Advisory Committee for the Employees with Disabilities, will provide a statement
22	on behalf of the advisory committees. I'll now turn the meeting over to Ren.
23	MS. KELLEY: Thank you so much, Bill, Chairman and
24	Commissioners. I want to start by a quick introduction of someone who happens
25	to be seated in the audience, and this individual is the new selectee of the

1	Affirmative I	Zmplov	/ment [Diversitv	/ Manad	gement	Program	Manager.	He co	omes to

- 2 us from the Navy, and the Navy has a very strong diversity program. And I just
- 3 wanted to take this chance: if you'll wave; Anthony Barnes is his name. We're
- 4 happy to have him. Because this position is vacant, of course, Bill just
- 5 mentioned Barbara -- will be making the statement presenting on behalf of the
- 6 Affirmative Employment Diversity Management Program area. And with that in
- 7 mind, I want to thank Barbara for serving in that role and many other capacities in
- 8 which she's serves in SPCR.

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Next slide please. The government wide has had a focus on diversity. There have been a number of initiatives in that regard. One, of course, the recently issued Hiring Reform Initiative requires that there be a diversity plan within that initiative that will cover the diversity of the entire federal workforce. That plan is being developed by the Office of Personnel Management. And even before this initiative was issued, OPM already had a diversity initiative underway. That initiative was to develop exactly that, a diversity plan. They engaged a number of federal agencies in that effort, and we're told that their work is essentially over, and that that plan should be coming out sometime relatively soon. In addition, we participate along with about 28 federal agencies in what we

Next slide please. Of course, at NRC, we continue our focus on EEO and diversity, and we just developed a new comprehensive diversity management plan framework. And Barbara will talk about that framework more as she makes her presentation, but for my purposes, I really want to thank those

call a federal interagency partnership. And through this partnership, we

cause of diversity in the federal sector.

exchange best practices, hold seminars, and do other things to advance the

who were on the task group and the executive level group that participated in putting this together. And I want to note that many office directors across the agency and even up to the regional administrative levels participated in this effort, and I really want to express my appreciation for that hard work. I also note that there's been an increase in complaint activity in 2010 as compared to 2009. It's not staggering, but in our informal complaint activity, we increased from 16 to 25, and in the formal complaint activity from 8 to 15. And I will say that we're just in one month of 2011, and the numbers are definitely much higher than what we've seen in a one month. So we'll pay attention to what 2011 brings. Despite that increase, we've continued to maintain no back log and to timely process these complaints. In addition, the agency completed the required No Fear Act training. We had a very, very high participation rate in taking that training. And the NRC staff voted it as one of the best online trainings that was available to them. We had successes there.

Next slide please. We issued a funding announcement for minority serving institutions, and we got really kind of record numbers of proposals from MSIs in that 44 applications were submitted. We were able to fund 25 of those -- not large dollars, but certainly an advancement of our partnership with minority serving institutions and an opportunity to advance their curriculum and staff and faculty development for our future workforce. In connection with the agency's granting of funds, grants, and federal financial assistance to institutions of this sort, we see an increase in the number of compliance reviews that we conducted. However, this increase -- we have been able to timely process the compliance reviews that we've had to do because of the granting of those funds. And NRC was rated B overall in its small business contracting, and Diana Strong

will talk more about that in a minute. But I just want to mention that a B is a good
 rating still. And I know that we still have a viable small business program, and

3 we'll talk more about what strategies we might employ to improve it even more.

We've made progress in a number of areas, but much more remains to be done. We recognize that we cannot declare victory in many of the areas. So we continue our efforts to have model equal employment, diversity management, and small business programs. And thank you very much because it does take the concerted efforts of the Chairman, the Commissioners down to ever NRC staff in order to accomplish this. Thank you very much. Barbara.

MS. WILLIAMS: Thank you, Ren. Good morning Chairman Jazcko and Commissioners. I appreciate the support that the Commission has given in the areas of affirmative employment and diversity management, and I also appreciate the opportunity today to share with you some of the progress we've made in that area.

Could I have the next slide please? A comprehensive diversity management plan establishes an agency wide framework that focuses on recruiting resources that promote differences of ideas, innovation, and growth, non-discrimination and results that encourage not only the individual, but the operational success of the agency. I also want to take this opportunity to thank the Office of ADM that really made a special effort to make sure that we revised the brochure and the DVD, which will be distributed to all offices probably this week. It was an in-house product and they did a very fantastic job on that. So again, Office of ADM, thank you very, very much for that.

The CDMP integrates diversity management into agency-wide operations and the goals are included in the operating plans, which we believe

1 will help to guide behaviors, decisions, and diversity management initiatives. In

2 FY 2011, the CDMP will focus on the following areas: leadership, the GG-13

3 through 15 feeder group, entry level which includes the NSPDP, employees,

veterans, and persons with disabilities. We believe this is a good road map to

success, and achievement of agency diversity management goals will result in

changes in overall demographics that I will now provide some highlights for.

Over the past few years, there has been a steady decrease in hires, but the overall representation has remained roughly about the same. Representation for white men, for example, has remained about 55 -- I'm sorry, for women and minorities has remained about 55 percent, and representation for white males has remained at about 45 percent. Representation for leaders throughout the agency is 18 percent, and of that 18 percent, 40 percent are women and minorities. The feeder group representation is about 50-50 basically meaning white males represent 50 percent of the feeder group, and women and minorities are 50 percent of the feeder group. We will continue to use the leadership development program such as the SES candidate development program and the leadership potential program to develop future NRC leaders. We think this is a good tool and it has helped us very, very much to ensuring that individuals who have positioned themselves for advancement have an opportunity to do so.

Non-retirement attrition is also about the same or lower when compared to the overall representation in the workforce. During FY 2010, however, Hispanic attrition increased from four percent to eight percent.

However, review of the data has revealed no consistent trends to explain this increase. However, we will continue to monitor this area very, very closely.

- 1 Overall, employees cited several categories for leaving the agency. These
- 2 categories are: compensation, quality of work life, management organization,
- 3 and personal and family reasons.
- 4 Next slide please. There are two areas we're giving more attention
- 5 to as it relates to hiring and that includes veterans and persons with disabilities.
- 6 NRC like other agencies in the federal workforce is challenged in this area to
- 7 meet the federal goal. To date, the NRC representation for veterans is 21
- 8 percent versus the federal target of 25 percent. And person's with disability in
- 9 NRC, the representation is 0.9 percent versus the federal target of two percent.
- However, the agency has taken several steps to implement and make increase in
- 11 this area. Recently, the Chairman issued a yellow announcement to support
- 12 federal hiring of veterans, for example, and also HR took the lead in establishing
- 13 goals for veterans in the agency as well as developing a veteran's employment
- 14 operational plan.

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Making progress often requires help, and I want to take this opportunity now -- and I know Bill has also done this a few minutes earlier -- to thank the EEO advisory committees for the support in all the previous areas mentioned. Their individual and collective support are invaluable to our success. Recently, we held our first EEO advisory committee training conference, which was in Baltimore. And we held this conference to ensure that the committee members are equipped with the tools and information needed to promote EEO,

Next slide. Mentoring has also helped to promote progress by serving as a valuable tool to help develop and retain the staff. Mentoring also helps to transfer knowledge, enabling employees to better position themselves

affirmative employment and diversity management.

- 1 for advancement. Mentoring training is provided to leaders through the
- 2 leadership academy courses which help to sharpen mentor skills. Mentoring
- 3 really works thanks to managers and staff who help make a difference and make
- 4 it happen.

We should remember that no one office and no one individual can create the agency wide EEO affirmative employment and diversity management success story. But here are a few examples of best practices offices have accomplished in this area. Diversity management awareness and team building sessions have been conducted in both headquarters and regional offices, and managers continue to play a real strong role in serving as recruitment managers and maintaining liaison with minority serving institutions and other institutions of higher learning to make sure that we help create an information flow that will help attract individuals to the NRC. We also have offices developing tracking systems to enhance office focus on survey and diversity management action plans. Other office strategies address skill imbalances, training needs, transfer of knowledge, valuing differences, and communications. Offices' collective support will ensure agency success.

Overall the NRC has done a good job at EEO affirmative employment and diversity. We are always seeking ways to improve, however.

And as Bill had mentioned earlier and Ren following have stated, we still have more work to do. We want to continually make progress that will positively impact the agency's overall operating performance in this area. The path forward includes an agency wide implementation of the CDMP's new framework, strategies to increase diversity in leadership, and hiring veterans and persons with disabilities. This concludes my presentation and thank you.

MS. STRONG: Good morning, Chairman, Commissioners. Thank you for the opportunity to present on small business contracting. Thank you for the opportunity to serve the agency's small business program and the federal small business community. The federal government is the largest buyer in the world, spending more than \$528 billion in 2009. We're committed to ensuring small businesses, including those owned by the disadvantaged, women, veterans, service disabled veterans, and those located in what's considered historically underutilized business owns across the country should be afforded a fair share of federal contracting opportunities. Small businesses are critical to the nation's economic recovery, the engine that fuels our communities, and the economy by creating one new job at a time.

The NRC stands strong and committed to our nation's small business. Small businesses provide vital support to our agency, its mission, operations. They bring competition, innovation to our supplier base and deliver outstanding talent, products, and services. Currently, the agency's small business program -- we've responded to SBA's call. We set aggressive goals and objectives in our fiscal year 2010 small business procurement score card strategic plan. Among other and varied strategies and commitments set forth in that strategic plan, NRC shared the fiscal year 2010 yellow announcement through which the Chairman declared NRC's commitment to and support for small business. In fiscal year 2010, once data was confirmed and final, SBA issued fiscal year 2009 score card performance ratings to departments and agencies. NRC did receive a B rating. The methodology considered both prime and sub-contract small business contracting against goals and considered our progress performance report for the year which was rated fully responsive, the

1 highest rating we could possibly receive.

three percent to service disabled veterans.

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2 How did we do in comparison? Some agencies received an A. Some agencies failed to perform. Overall, the federal government made progress in increasing awards and opportunities for small business but failed to meet most of the federal targeted goals, 23 percent to small business, five to women, five percent to small disadvantaged, three percent to HUBZone, and

As an agency in our program, we're collaborating among the small business program, the Division of Contracts' contracting officers, and program officials to review our requirements for the purpose of recommending reservations set aside to the small business programs. We're reviewing our large prime contractors, their sub-contracting plans, to advocate for small businesses and ensure that they afford opportunities. We provide counsel, training, assistance to thousands of businesses through varied forms to exchange information about our agency, its contracting opportunities, and to discover the market place. We have enjoyed success with our quarterly business seminars. We launched and continue to host a quarterly business seminar. We include the Small Business Program, the Division of Contracts, the Office of the General Counsel, and directors from all of our program officers and other senior officials come out on the first Thursday of every quarter to share information about our agency, its programs, and the contract opportunities with support from many of our offices including the Public Affairs Office of Administration, the printing, the graphics group, the logistics group. We have been able to reach thousands and thousands of businesses in person and through web streaming services across the country.

Some success we've enjoyed -- three of our top 10 outstanding performing contractors are small business. They are providing products and services to include IT products, services, solutions and security, staffing resource support, training and technical assistance, and facilities management. Again, they're performing in an outstanding manner. Another success to share, an important one: NRC more than tripled the contract opportunities and awards made between fiscal year 2008 and fiscal year 2009 to service disabled veterans through concerted strategic acquisition planning, set aside contracts, and other outreach that we engaged in. Some of the strategies currently in place to increase opportunities, increasing our market research, to discover the market place, to facilitate small business direct contracting opportunities in teaming. We're designing and building -- continuing to design and build the small business infrastructure, web enabling program operations and services in our forecast of contract opportunities. We're supporting agency sponsored industry days. And we're increasing the internal training to program and contract officials on small business programs.

What can we look forward to? What can we expect as we move forward? Well, the President signed the Small Business Jobs and Credit Act of 2010 on September 27. We can expect new acquisition and small business related policies, strategies, and programs. They should be implemented through regulations in coming months. Certain provisions of the law enable federal agencies to immediately recognize parity for socioeconomic contracting in small business programs. We can increase training and development of our acquisition workforce and engage in new strategies to meet federal small business contracting goals and objectives. The President's newly established

- 1 interagency task force on federal contracting opportunities also recommends
- 2 three top federal priority objectives: stronger rules, better equipped acquisition in
- 3 workforce, more accountability, and better use of technology and data to ensure
- 4 small business success.
- 5 What more can we, NRC, do: design and deploy our CIPIC
- 6 approved business gateway, a web based tool envisioned as a one stop agency
- 7 market place portal and exchange; engage in efforts to increase contract
- 8 opportunities and set asides for the portfolio of federal small business programs.
- 9 We can incentivize our large contractors to meet and exceed their sub-
- 10 contracting goals. And we look forward to engaging in collaborative efforts with
- 11 NRC's strategic acquisition transformation team and the FAIMIS acquisition
- module team to support and include small business programs and processes and
- increase small business opportunities in the future. Thank you for the
- 14 opportunity to present.
- 15 MR. MAMISH: Good morning, Mr. Chairman, Commissioners. I
- appreciate the opportunity to share with you how our office enables the staff
- across the agency to succeed by leveraging our own diversity. Next slide please.
- 18 In certain aspects OEDO represents the entire agency and is also a microcosm
- of the agency. In OEDO, we strive to set an example by embracing diversity,
- 20 living the NRC values, and promoting an open, collaborative work environment.
- 21 We see diversity and inclusion as part of an essential, long-term business
- 22 strategy to attract and retain the best and brightest employees in this office and
- 23 in this agency.
- 24 First, our staff comes from many different headquarters and
- 25 regional offices, with different skills, backgrounds, and perspectives. OEDO's

worked in the office, I was only one of three racial/ethnic background employees
which represented 10 percent of the office. Currently, the OEDO's staff consists

diversity has improved significantly over the years. In fact, 10 years ago when I

of 10 racial/ethnic minority employees, selected for their outstanding knowledge,

skills, and abilities. They represent 23 percent of the office, and I believe the

mission of the agency has been well served by this progress.

Next slide, please. We try to bring a wide diversity of people into the office, but we also seek to broaden the skills and perspective of people who are already with us. We encourage rotational assignments, both into and out of OEDO, and in any given year we support eight to 12 rotations. We encourage formal training, one-on-one coaching, with a leadership team, and on-the-job education. We have found that informal training often taps hidden talents, develops individual strengths of our employees, and enhances organizational performance. Many of our staff pass through the office in rotational assignments, especially the executive technical assistants, who can add OEDO experience to their portfolio and further diversify their career development. Our office does, however, retain a core experienced staff to help others transition to OEDO and provide meaningful knowledge transfer activity. We also encourage the staff to practice and serve as examples of servant leadership, situational leadership, and interdependence.

Next slide, please. To set an example of open, collaborative work environment and help train and develop our staff, we leverage our diversity to promote an inclusive culture of learning. In such a work environment, everyone's input is valued. We learn from one another, regardless of grade or position, and we maintain an open line of communication. Bill, the DEDOs, and I all have an

1 open door policy. We also see the OEDO as a non-hierarchical organization

2 where everyone can offer an idea or provide feedback or diverse views to any of

the senior leaders without having to go through the normal chain of command.

4 We have informal Munch 'n Learns, where the staff can showcase their diverse

subject matter knowledge through short presentations on different topics. We

have regular staff meetings, and at least once a year we go off to a retreat where

everyone can in the office get together for a day and a half to reflect, discuss

their perspectives, and engage in team building exercises. We just had a very

successful retreat a few weeks ago in Annapolis, where we focused on the

theme of continuous improvement.

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Next slide, please. I mentioned earlier that we reflect the diversity of the agency. By the way, let me mention that each slide features one man and one woman from the office, because our staff is, in fact, evenly split between men and women, so we're doing well in that regard. But the point I wanted to make is that we try to use our diverse abilities and talents to champion activities that are innovative and that can help the staff realize their full potential. We have special teams that draw on the diverse talents and backgrounds to help not only make the agency more efficient and cost effective, but also enhance the working lives of the staff. For example, the Office of Research engaged our process improvement team to improve the timeliness of researchers' contracting actions. This effort not only resulted in reducing the average time to process contracting actions from 50 days to 18 days, but it far surpassed the projects timeliness goal. And I think if you were to ask or talk with any of the staff who are involved in these types of activities, generally they would tell you it's not just the savings and the efficiencies that's realized by the agency, but it's also the difference it makes

in their professional lives, that the projects that they're working on significantly improved their working lives as well.

A diverse overhead review team headed by Cindy Carpenter is already at work, seeking how we can consolidate corporate activities and improve efficiency, which we believe will reduce unnecessary burden on the staff and enhance morale. And the Strategic Acquisition Team, headed by Phyllis Bower, is using the diverse talents of former NRC contracting officers to develop and implement an approach that integrates acquisition planning and execution with budget formulation and financial execution. The goal of the team is to transform the NRC's spending from a stovepipe, tactical, reactionary process to one that's proactive, disciplined, structured, and strategically focused.

Finally, the DEDOs work with HR and SBCR to examine the diversity measures on a periodic basis, and to locate and hire a wide diversity of talent. We're also in regular communication with the office directors and the regional administrators to promote the agency's diversity goals and to provide the staff every opportunity to grow and advance. In summary, OEDO is a small office that leverages the agency's diversity to enhance the staff's professional portfolio, to develop leadership in others, and to continue to enhance organizational performance. We are proud to include a wide array of people with many talents and backgrounds, which we believe reflects the strength of the agency as a whole. Thank you. Cindy.

MS. PEDERSON: Good morning, Mr. Chairman, Commissioners.

It's my pleasure to be here today to represent Region III leadership and staff, and share with you our Region III vision and the progress we've made in diversity.

We have worked hard to recruit and retain a highly skilled and more diverse work

- 1 force. I am pleased to share that progress with you today.
- Next slide, please. This has been our vision for a number of years.
- 3 It's the means by which we accomplish our safety mission. We achieve
- 4 excellence through our unrelenting focus on public safety. The respect and value
- 5 we place on our diverse views, experiences, and contributions, and the continual
- 6 improvement in our processes and procedures.
- 7 Next slide, please. In Region III we encourage trust, respect, and
- 8 open communication to foster and promote our open, collaborative work
- 9 environment. Our vision speaks to the mindset and attitude of the people in
- 10 Region III and maximizes the potential of all individuals to achieve our safety
- 11 mission.

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Next slide, please. The first part of our vision and focus is on our passion for public safety. We accomplish our work by having a diverse and skilled workforce, diverse in demographics, background, thoughts, and experiences. The workforce demographics in Region III are changing. Region III has made progress in promoting women and minorities into leadership and managerial positions. From 2003 to present, we have had an increase of 50 percent in our women and minorities in leadership positions. Additionally, 40 percent of our senior managers are women and/or minorities. Also, Region III has been actively involved in agency recruiting. In FY 2010 alone, we participated in 22 recruiting events, including five at minority serving institutions and three at minority organizations. We are proud to have six university champions, including two at minority serving institutions. And our recruitment of entry level staff in Nuclear Safety Professional Development Program

participants has been extremely effective and has resulted in attracting highly

- 1 qualified and culturally diverse candidates to meet our hiring needs. Seventy-
- 2 three percent of our entry level hires over the last seven years have been women
- and or minorities. Our focus on entry level hiring has had a significant influence
- 4 on our overall diversity profile.

Knowledge management has also been a focus for Region III, over the last several years. Some ongoing initiatives that we are proud of include our voice over presentations, or podcasts, exit interviews of retiring managers and staff, training sessions that focus on our inspector qualifications, and an image database that includes photographs of safety systems and also facilities. These and other KM initiatives have resulted in our ability to transfer knowledge to our new hires. Training and development are not only focused, however, on our new employees, but all employees. In addition, training offered in Region III has included 73 courses that we have bought into the region in both technical and administrative fields, in the last year alone.

An importance of having an open and collaborative work environment permeates our regional organization. Our regional administrator, Mark Satorius, who you know, is a champion of hearing everyone's views. We believe we make better decisions when our diverse views are expressed. An example of our knowledge management and our open collaborative work environment is our morning events briefing. We invite everyone, both technical and administrative, since we cover both topics. Daily, information is exchanged so we can collaborate on moving forward. It's an opportunity for us to focus on what's important or needs our immediate attention. In addition, we use it to share knowledge among those that are present.

Next slide, please. Second aspect of our Region III vision is

cohesiveness, which positively impacts our organizational effectiveness. The 3 Region III Diversity Management Advisory Committee, or DMAC, assists the 4 region in creating and supporting a positive work environment that enables 5 employees to use and appreciate their diverse talents. Next slide, please. The 6 Regional Administrator meets with the DMAC quarterly to receive advice and 7 keep abreast of the many activities of the Special Emphasis Committees. The 8 committee members oversee a large number of events. A sampling of just a few 9 of them are, and some of which you'll see in the photograph on the slide, the 10 Region III Diversity Day Celebration, Black History Month activities, Women's 11 History Month activities, Asian Pacific American luncheons, Administrative 12 Professionals' luncheons, Toys for Tots, and this year, the Black Hawk Stanley 13 Cup celebration, which we hope to continue in future years. Stay tuned on that 14 one. But we use these as opportunities to celebrate our differences and our 15 contributions. Additionally, the Region III Partnership Committee has continued 16 to actively address issues regarding workplace environment, creation of staffing 17 plans, and facilitating office moves and expansions. 18 Next slide, please. We value diverse views not only from our own 19 staff, but from other stakeholders as well. Our outreach strategy is to actively 20 communicate with internal and external stakeholders. We focus on 21 strengthening relationships, trust, and the NRC's credibility among licensees, the 22 public, state and local governments, Native American Tribes, and others, which 23 provides us the benefit of all of those parties' diverse views. Also, our Employee 24 Welfare and Recreation Association, or EWRA, is considered an important part 25 of the Region III moral and general well being. Region III's EWRA coordinates

inclusion. Inclusion encourages teamwork, relationships, communications, and

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1 our annual holiday party, arranges golf outings, after hour get-togethers, donates

2 funds to charities, such as the Autism Walk, et cetera, contributing to the diverse

interest of our staff. Additionally, Region III is getting the word out about

4 underrepresentation of women and minorities in the stem fields, science,

5 technology, engineering, and math. We began our youth outreach initiative

about five years ago and since that time, have reached several thousand

7 students and influential adults, informing them of the benefits of pursuing STEM,

science, technology, engineering, and math. Additionally, a highlight of each of

our years is our annual Take Your Children to Work Day, of which we are proud

to have held 13. Through our initiatives, we are building a more diverse pipeline

for ours and the nation's future.

Next slide, please. The third part of our vision is infrastructure. Our leadership team is very collaborative, and we have broken down the silos of the past. We meet to share our diverse views and work on regional and agency issues. We began our enlightened leadership journey in 2005 with training for our senior leadership. Since then we've expanded enlightened leadership to our branch chiefs and the staff. This course has taught new concepts to facilitate the teambuilding process and assessment tools to evaluate the relative strengths in areas of development. Utilizing enlightened leadership has allowed us a greater forward focus. An example of this is our operating plan review meetings. Here, the leadership team and our project managers review our monthly performance in all areas of regional performance, including the comprehensive diversity management measures. And lastly to highlight is our work-life approach. We are a diverse workforce and having the ability to be flexible with work schedules and locations is a fantastic tool for recruiting as well as for retention. The

- 1 leadership team clearly models the NewFlex and has been receptive to part time
- 2 and work at home requests.
- In conclusion, it's been my pleasure to share with you our progress.
- 4 I look forward to your questions. Thank you. Alicia.
- 5 MS. MULLINS: Good morning, Chairman Jaczko, Commissioner
- 6 Svinicki, Apostolakis, Magwood, Ostendorff, Mr. Borchardt, and NRC staff. I'm
- 7 grateful for this opportunity to present a summary of the Equal Opportunity
- 8 Advisory Committee's Joint Statement.

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For several years, the EEO Advisory Committees have worked with the Office of Small Business and Civil Rights and the Office of Human Resources along with the National Treasury Employees Union to ensure that the agency has a staff in promoting diversity in the workplace. Presently there are seven EEO committees. The Advisory Committee for African American, or ACAA, the Asian Pacific American Advisory Committee, or APAAC, the Hispanic Employee Program Advisory Committee, or HEPAC, the Native American Advisory Committee, or FEPAC, the Diversity Advisory Committee on Ageism, or DACA, the Advisory Committee for Employees with Disabilities, also known as ACED. At this time, I would acknowledge a few of the agency's major accomplishments. We have

Next, I will address women and minority groups in supervisory and Senior Executive Service positions. Over the past year, the number of women and minorities in SES positions have been held at a steady state. The

seen continued progress in the area of minority recruitment for the entry-level

position at the GG 5, through GG 12 level. Our continued partnership with HR

and SBCR should continue to improve these areas in future years.

1 representation of women and minorities in team leader positions has decreased.

2 Most notable is a decrease in African American team leaders from 15 percent to

12 percent in 2010, as well as a Hispanic and Asian Pacific American decrease

by one percent. Agency encouragement for women and minorities to apply for

team leader positions is needed. Although we are still under the Presidential

target of two percent meeting our workforce requirement in regards to hiring

individuals with targeted disabilities, tremendous progress has been shown. Six

individuals with targeted disabilities have been hired this past year. Members of

the Advisory Committee for Employees with Disabilities have made efforts to

include all employees in contributing to the work environment by providing deaf

etiquette and American sign language classes. The support of staff has been

amazing at headquarters and at the regions. ACED has also led efforts to

promote the understanding of how to acquire reasonable accommodations within

the agency.

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I will now address the agency's recruitment activities. Recruitment is a very important element in the effort to increase representation of women, minorities, and individuals with targeted disabilities at the NRC. EEO's advisory committees continue to support HR's virtual career fairs, NSPDP invitationals, school science fairs, recruitment and universities, and professional career fairs. The Diversity Management Advisory Committee, DMAC, has worked with SBCR and HR to communicate their strategy for future recruitment efforts. Participation in recruitment events provides EEO advisory committees with the opportunity to play an active role in reaching out to a greater number of qualified women and minorities and individuals with targeted disabilities in the applicant pool.

Communication with younger students can assist them in thinking early about

1	future careers with the NRC, and the opportunity to speak with experienced
2	professionals can also serve as a valuable influence in the agency's recruitment
3	efforts. I would also like to mention that SBCR hosted the first ever EEO
4	Advisory Committees' training conference this September in Baltimore, Maryland
5	It was a successful conference with 42 attendees from headquarters and the
6	regions. Effective presenters touched a variety of affirmative employment and
7	diversity topics that faced the EEO Advisory Committees. The committees
8	obtained information, tools, and resources from the conference. Thirty five of the
9	42 attendees submitted conference feedback sheets. Of those, two rated
10	conference overall as good and 33 rated the conference overall as excellent.
11	In closing, the NRC has been voted the best place to work in the
12	federal government for the third consecutive year. This recognition highlights the
13	values that NRC has for its employees and respect for diversity in the workplace.
14	As the EEO Advisory Committees continue to work assisting agency leaders in
15	meeting the objectives of the CDMP, with your support, we can continue to make
16	NRC a place where valuing diversity is engrained in the agency culture. Thank
17	you again for this opportunity to speak today.
18	MR. BORCHARDT: That completes the staff's presentation.
19	CHAIRMAN JACZKO: Very interesting and informative
20	presentation. We will start the Commission portion with Commissioner
21	Magwood.
22	COMMISSIONER MAGWOOD: Thank you, Chairman, and happy
23	election day, everyone. I hope you all either have or expect to soon exercise
24	your franchise in this great democracy. Also, congratulations to San Francisco

Giants fans out there, if there are any.

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Let me make a couple of comments. First, let me sort of recognize Ren, Barbara, and Diana for their efforts. I think that, you know, you've really kind of act as a certain point for a lot of what we're talking about today, and I have had a chance to interact with your group on a couple of occasions and, you know, certainly with Ren and Barbara on multiple occasions. And I think you're doing a very professional job and bringing a lot of passion to it, so I appreciate that. Congratulations for doing that.

Well, let me also take just a moment to reiterate my thanks to the legendary Jim McDermott, who is departing soon. Without repeating what others have already said, I just want to say thank you personally. From the short time I've been here, it's been a little over six months, your presence has been very large in my tenure so far, and I appreciate what you've done and your advice and your tendency to speak your mind, even maybe when you shouldn't.

[laughter]

There was in a presentation a comment about management, but I've often actually -- as you recall, I came to your offices to talk about the Knowledge Management program and learned a lot about that and thought, you know, that it is a very good program, but I wonder is that actively tied into the diversity efforts? Is this something that you have discussions with Ren and her group about how to build the diversity message into those activities?

MR. MCDERMOTT: Well, we do it, but quite honestly I don't -- in a limited fashion. The biggest focus that we have for knowledge transfer and knowledge management comes by way of the grants program, where we try and make sure that we get a robust number of minority serving institutions to apply

- 1 for the grants, then we're on the road. Ren's folks go; my folks go; we go out
- 2 there. Once we get our foot in the campus, we recruit. We talk to the professors.
- We buy the professor with the grant, and then the professor recruits the students
- 4 for us, to come to work for us, and it is a very, very positive experience. We're,
- 5 you know, in a fairly flat hiring mode, but we'll still hire a couple of hundred
- 6 people this year, and we'll try, and Bill will make me, to hire about 20 to 25
- 7 percent of them at the entry level. That's where we'll get them out of these
- 8 schools. And out of some of these schools, they'll come with a head start.
- 9 They'll have taken some nuclear engineering or some HP programs while they're
- 10 still undergraduates. It's a winning combo.
- 11 COMMISSIONER MAGWOOD: I appreciate that and more of
- those activities. Let me just sort of give you advice that I think the Knowledge
- 13 Management Program provides some opportunities that build that leadership
- 14 feeder line that we were talking about earlier today. I think that that's an area
- where some of the existing staff could benefit, if they were to participate more in
- those knowledge management activities. I think there's some opportunities
- 17 there. Let me ask --
- 18 MS. WILLIAMS: Excuse me, Commissioner Magwood.
- 19 COMMISSIONER MAGWOOD: Oh, I'm sorry.
- 20 MS. WILLIAMS: I'm sorry to interrupt. Could I add to that as well?
- 21 I want to add some additional comments on that. The Office of FSME took the
- lead also in coordinating an initiative whereby they wanted to ensure that POCs
- in all of the offices in the agency got a collective piece of information about how
- to deal with the Tribal community, transferring that knowledge to all of the offices
- in the agency to make sure that we could reach out to the Tribal community in a

1	much more effective way.	Our office participated in that, and I know T	uwanda

2 Smith is here, and I wanted to give her some credit for that as well. We've done

a lot of work with the Tribal community, and there were others. I can't remember

everybody who was on the program, but that was a great initiative to make sure

that uniformly we got information to the offices, so they can better serve Tribal

6 communities, here again, transferring the knowledge outward.

COMMISSIONER MAGWOOD: Great, thank you very much. Let me first -- I haven't seen Cynthia since she's arrived here, welcome. I guess you -- how long have you been here now?

MS. PEDERSON: Close to three months.

COMMISSIONER MAGWOOD: Yeah, see I'm slow on the uptake on these things. I'll have to make a point to catch up with you. Just a general question for you, is -- now you've been at headquarters, working in a senior capacity for a while, do you have any observations for the Commission regarding the interaction on diversity in small business issues between headquarters and the regions? Is everything working as well as it could or do you have any thoughts about things that can be improved as far as that relationship?

MS. PEDERSON: I think there are many items that could be shared further, and we've had conversations about some of those kinds of things. I think a lot of the offices do things that are very helpful and very innovative, and I think if we took an opportunity maybe to share a little bit more, we'd all benefit from that as well, but I think a lot of good things are being done in the agency.

COMMISSIONER MAGWOOD: I appreciate that and encourage you that as you do your tenure here keep bringing that message, and if there are some things that you, after your time here that you think we should think about,

perhaps there could be a, you know, more interaction with regions and the
 headquarters, and sort of give some thought to that.

3 MS. PEDERSON: Certainly.

COMMISSIONER MAGWOOD: I appreciate that. Let me ask a question about the hiring of veterans and disabled individuals. One of the comments, I think, was in Barbara's presentation, that we haven't quite met our targets with those populations. I'm curious, what are the challenges of recruiting employees in those categories, and Alicia, if you have some comments on that, too, I would like to hear that as well, but Barbara.

MS. WILLIAMS: I think one of the biggest challenges is getting the word out, and I think that what HR has done to make sure that we have a plan, a hiring plan for veterans is one first step, and also make sure that we have an operational plan as a second step. I know the Office of OIS, for example, and they're in the audience, quote me if I'm wrong on this, but OIS, for example, utilizes something called Hires USA, something like that, where they announce their vacancies through this particular service activity to attract. So I think we just have to get the message out to the veterans that we're looking for them as well and I think the steps we are taking to implement these particular programs, developing a plan, you know the announcement that the Chairman signed out, is going to make the NRC aware, and the NRC family can get that message out as well as the outreach effort that we have as well, but I think that's been a barrier, just getting the information out, and I think that's going to help.

COMMISSIONER MAGWOOD: Thank you.

MR. MCDERMOTT: I want to add that the -- and as the Chairman knows well, he serves on the Veteran's Hiring Counsel, and we do serendipitous

1	things. DOD suggested, "You know, we could go and get enlisted personnel
2	that don't have degrees and start working with them. And we're going to talk to

3 OPM about it, and we're going to partner with Homeland Security and all that."

4 And I said, "Well" -- I was bad as usual. I told John Berry, I said, "Since I get to

5 be my own OPM for this, we're not talking about it; we're doing it." We're hiring a

couple of high school grad veterans into para-professional positions, and then

we're telling them, "Okay, now use your GI Bill, and we'll work your schedule so

you go and you get your degree. And then you get your degree, and we'll

9 transition you into you know, a professional position." Are we doing this on a

huge scale: two so far. One actually hired and one about to come to work, but

that's how we'll advance that cause.

COMMISSIONER MAGWOOD: Thank you. Alicia.

MS. MULLINS: Yeah, in the area of disabilities, we try to focus more on visiting specific universities that have individuals with disabilities that are obvious, that we can visit and making them known as to who we are. We need to, you know, advertise ourselves more. In keeping that connection, even if we're not hiring, to keep them in the loop of what's going on in the federal government, so that when they're ready to look for a full time employment, they know where to come, basically.

COMMISSIONER MAGWOOD: When you visit universities like that, I guess Gallaudet would be an example, do you find those universities have strong technical programs?

MS. MULLINS: Some do. The University of the National Technical Institute for the Deaf in Rochester, New York, which I'm university champion of. they have very good technology, engineering background. The situation is NRC

- 1 gives grant money to RIT and TID, and we're just now over the last year or two,
- 2 becoming more familiar -- making them more familiar with who we are. Once we
- 3 get the students more familiar with who we are and get them into the agency,
- 4 word of mouth will start, you know, showing everybody what a good agency we
- 5 are to work for and getting people to come. It's just a matter of time.
- 6 MR. BORCHARDT: Yeah, that's the benefit of this university
 7 champion concept that we've had a place for a couple of years now. It takes a
- 8 while to build the momentum and to build that relationship and trust, and it's -- I
- 9 think it's going to really work to our benefit over the next several years as we're
- 10 not hiring as many, and we're focusing our recruitment at specific minority
- 11 serving institutions or places where we can hire some of the other targeted
- areas, and it's having that one-on-one relationship which is incredibly important.
- 13 COMMISSIONER MAGWOOD: I agree with that. Thank you for
- those comments and, Mr. Chairman, I'd appreciate having this Commission
- 15 briefing. It's interesting, I think this maybe the biggest audience I've seen for a
 - Commission meeting, so far since I've been here, so there's obviously a lot of
- 17 interest here on the subject. Thank you.

- 18 CHAIRMAN JACZKO: Commissioner Ostendorff.
- 19 COMMISSIONER OSTENDORFF: Thank you, Mr. Chairman. I
- 20 want to echo Commissioner Magwood's comments about the attendance and
- 21 interest in this topic or these topics. I completely agree with you, Bill. Others
- 22 have said about the diverse workforce here at the NRC being a major driver for
- why it's such a great place to work, and a couple of people have also highlighted
- a second point that I want just to add my support of. I think it also makes us a
- 25 much better agency as far as the diversity of opinions, perspectives, experiences

- 1 that are brought to bear on the decision-making in the environment in which
- 2 they're able to do that. I think that's just another really important thing for us not
- 3 to lose sight of, so I thank all of you at the table and those behind you for your
- 4 efforts to make that happen.

Ren, I wanted to start out with you if I could please, and I appreciate your comments on highlighting to the Commissioners the increased complaints. Now, I would just comment from my experience. I wouldn't necessarily view that as a bad thing, it certainly bears watching, but I've been over to other organizations and a lot of different jobs my adult life where sometimes complaints went down but it was because there was an atmosphere in which those complaints were not freely voiced, and so I -- just to add, you know, don't worry too much about the number. You got to look at trends and root causes, but I've seen places, as I know others have, where people do not feel free to voice a complaint, therefore they didn't have any complaints, and that was not necessarily a good organization. Thank you for highlighting that.

MS. KELLEY: I agree.

COMMISSIONER OSTENDORFF: Barbara, I wanted to maybe make a couple of comments to you if I could, and then I may have a question here, but I wanted to focus, this won't surprise some of you who know I have a son in the military, I really appreciate what you're doing to help our vets, and I wanted to maybe provide a perspective on that. I'm not trying to overpersonalize it, but I've had some direct experience. A lot of soldiers in Germany and Fort Stewart, and at Fort Sill, over the last four and a half years since my son received a commission in the Army, and I would just tell you -- and my son just got back two weeks ago from his second tour in Iraq, and he'd been hurt in a

1 previous tour. He's going to be fine. I'm not worried. He's got a supportive

2 family, and he's going to find a job. He's going to transition to civilian career this

spring, but I do worry about those folks that don't have two parents at home, that

don't have -- they may be in a single parent situation, and I was going to give you

a couple of anecdotes here that I think will highlight some of this to you.

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My wife of 33 years grew up in an Army family. She went to 13 different schools before she graduated from high school. My son has moved seven times since he graduated from college in 2006, and so I'd say that many of these soldiers, in particular, and I'm focusing mostly on the Army, I was in the Navy for many years, but I'm going to focus on the Army, because they've borne the brunt of the fighting in Iraq and Afghanistan. I'd say most of those folks have a transitory lifestyle. They may not have any nexus with the part of the country where NRC is there. If you look at the demographics and Secretary Gates has talked about this very recently in a couple of major speeches, the demographics of today's enlisted Army force are primarily people from the south, Midwest, and mountain states. And if you look at the greater D.C., Baltimore area where we currently are, quite frankly you don't have a combat unit that's deploying out of here. You don't have any combat arms troops in the Army. You don't have a large number percentage wise of people in this relatively high educated area serving in the military, so where do you go? You go to Pine Bluff, Arkansas. You go to – where I grew up in Jonesboro, Louisiana, in that area and you see people that are kind of in a different part of the country that may not have the exposure to the NRC.

When I took my son's car back to him here on October 17 down at Fort Stewart, Georgia -- he's in Hinesville, Georgia, and that's about an hour from

1 Savannah. There's no NRC facility there, and they had 20,000 troops, 5,0	1	Savannah.	There's no	NRC facility	y there,	and they	/ had 20	,000 troop	s, 5,0	00
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- which had just gotten back from the previous week from Iraq. And so I bring that
- 3 up because, and this goes to Commissioner Magwood's comment about asking
- 4 for challenges, I think one of the challenges is how do you conduct effective
- 5 outreach to people that don't know what the NRC is and are not co-located with
- 6 the facility? I spent some time helping some people with -- was it the Feds Hire
- 7 Vets? I think that website. And while those tools are helpful, there is no
- 8 substitute for a person, for a point of contact, and so I'd strongly encourage you,
- 9 and you probably already had this, because we've had this discussion before, but
- 10 for those that are in the position, I think having a personal contact, somebody
- they can call or email, and perhaps get some advice is really helpful.

Barbara, please respond to anything I've said there, because I'm very interested in what you're doing. I think we all want to help.

MS. WILLIAMS: This is one initiative that we are currently working on, we being the Office of Small Businesses and Civil Rights, but we're working with minority serving institutions to provide educational programs for veterans and enlisted personnel, and these are individuals who are close to departure from military service. And we want to provide them with actual credentials -- not we, but the minority serving institutions want to provide them with actual credentials and maintain knowledge and skills that in the technical areas, which is areas that we would be interested in, the critical areas that we would be interested in. So there's a partnership going on to -- with minority serving institutions to target in particular enlisted and military personnel that's ready for a departure from the military.

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maybe piggyback that comment, Barb, with Jim's comment about hiring enlisted individuals who do not have a college degree. When I was CO of a submarine from 1992 to 1995, I had 140 men on that submarine. Thirty-five percent of that crew was either African American or Hispanic, 25 percent African American with 10 percent Hispanic. And I can't tell you the number of people I saw on the six submarines that I served on who did not have the opportunity to get a college education, but went on and did just terrific things, because somebody gave him a chance. Somebody gave that person a chance, somebody had a little faith in them, opened the door, and so I applaud you and your team for what you're doing, Barb and others, to provide this opportunity.

Well, it goes without saying, Barbara, anything that we can do to help you, I appreciate the Chairman's leadership in this in the inter-agency task force, Chairman Jaczko, what you're doing to support the veterans and the disability hiring. I think this is something that really merits our attention, and I know that we all want to help.

Diane, I want to make a couple of comments if I could on the Small Business Contracting and I was looking at the goals. The goals here are pretty ambitious and I think -- I go along with Ren I don't think a grade of B is a bad grade at all. When I look at -- you know it's easy to achieve -- to get an A when you are not challenging yourself. It is much harder when you have a challenging goal. So I want to applaud the agency and the team for having challenging goals. I know and I think Commissioner Magwood and I dealt with these issues during our different time periods at the Department of Energy. One of the things that I saw looking at small business performance in the contracting nature was the frequent complaint from small businesses, how do I break into the system?

- 1 How do I learn how to do this? Where do you start? So I was very pleased and I
- 2 am focusing in on your seminars. I think those seminars are vitally important to
- 3 provide that opportunity for somebody to learn. So I just encourage you to
- 4 continue that because I think that is really important.
- 5 Nader, I wanted to comment on your presentation on the rotations.
- 6 I just had somebody finish the rotation in our office. She did a 90 day rotation.
- 7 She left about two weeks ago. Every person in my office learned a lot from this
- 8 individual. I think she learned a little bit from our office. But I wanted to comment
- 9 on how much -- how important I think the rotation policy is in the OEDO. I will
- 10 just take my experience in the Department of Defense and Department of Energy
- 11 that I think NRC is way ahead of at least those two other agencies but perhaps
- most other federal agencies in encouraging not just for diversity purposes, which
- 13 I fully support, but for a holistic set of reasons the rotation. I think Bill what you
- are doing to really encourage that across the entire organization as well as out in
- the field is really just positive. I think we all learned a lot more by having the
- 16 chance to build a relationship, to meet somebody, hear a different perspective
- that otherwise we would not hear from. So I just wanted to add my support of
- that. Last I want to ask Cynthia a question. I appreciated your briefing and so
- 19 forth and was very impressed with your Region III programs and where you all
- 20 made progress. Is there anything you need further help from headquarters on?
- 21 MS. PEDERSON: I think we're well supported by headquarters
- 22 and I think it is a collaborative effort. We do utilize their services as well as we
- 23 give back to those as well. So there is nothing specific I have to ask for. I think
- 24 it's working well.

Thank you, Mr. Chairman.

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- 2 CHAIRMAN JACZKO: Commissioner Svinicki.
- 3 COMMISSIONER SVINICKI: We always cover such a diversity of 4 topics at this meeting, so I have a few comments and maybe some questions as 5 well. First of all, I want to say thank you to Cindy and Nader for representing a 6 smaller NRC program and a larger program which is how we tend to structure 7 this meeting. But thank you for your perspectives, Ren, SBCR. I second what 8 Commissioner Magwood said. You all are doing yeomen's work, and I will direct 9 maybe a question to you which is we talk about a lot of these initiatives as 10 something that is improving us as an organization which is a true statement. But 11 at heart a lot of these are requirements and compliance based initiatives that we 12 have to do. There is, in my view, a heavy administrative burden that SBCR is 13 trying to make sure that every time I see a new government initiative or 14 something like that I read it and say, "Oh, this is very interesting, and I am glad 15 someone is taking on this important issue and kind of organizing the 16 government's efforts." But I think in your office when you see it you probably say, 17 "Oh, I need to assign this to a person," and it is yet another thing that we are 18 going to have to be moving forward on. I would ask you as you look at again an 19 area that is so worthy of a lot of initiatives and executive orders and things like 20 that, but at the end of the day it's a workload and a resourcing thing for you. As 21 you see that, how are we managing our way through that in terms of all of the 22 compliance that we face in this area? Do we have people who are having to put 23 in overtime or are we getting the work done?

MS. KELLEY: I guess the short answer to that is yes. We do put in

some overtime and sometimes it is necessary just to meet that requirement or to

be on top of some of the things that are going on. Given that we do have limited resources as every other office does, we try to be strategic in terms of what initiatives we take on. What we do first, we know that the requirements and the oversight by the agencies and Congress and others who actually challenge the agencies to meet these requirements. They've kind of stepped up their efforts and are being a lot more insistent that we comply with the regulations and what have you. So we have been audited.

We've had two instances where we were audited and they were not announced previously. We were able to provide, I think, information that shows that the agency is not at ground zero. We know that there's probably more that we need to do in a number of areas, but we strategically placed the resources to cover a vast majority of the things that the agency is on the hook to do. While we may not have done it all, we are able to speak to an effort and give a plan in terms of forward progression in those areas. It is a challenge, and we just kind of work our way through it. I want to thank all the offices and everyone who helps us to do that because it is a collaborative effort and very much appreciative of the efforts of others to help in doing that.

COMMISSIONER SVINICKI: I appreciate that response. I think what I am just trying to shine a little spot light on how much of this is what I'll call "compliance based," and there is an administrative burden that comes with that. So we tend to talk a lot about other initiatives but there is a lot of kind of bread and butter stuff that you guys got to turn out quarterly and yearly. So, again, I wanted to shine a little bit of a spotlight on that. I'll also add my perspective to what Commissioner Ostendorff said about small business contracting and a B grade and the aggressiveness of our targets. I have worked around the military

1 services and the Defense Department, and it's very challenging for some of the

2 large departments and agencies to meet their goals. I think many people would

3 be covetous of our B grade. So I just want to share that perspective as well. I

4 didn't want you to have to be the only one to say that a B grade is a good grade.

5 It is a really good grade. A lot of departments and agencies are really struggling,

and I think our goals are probably a little more aggressive than theirs, so I think it

is a real compliment to us.

I would like to thank you, Alicia, for your presentation, and I think the advisory committees, as the Chairman noted in his opening remarks, are a real multiplier of all of our efforts. So the employees who participate on those committees are all volunteers, and so I am very grateful and in addition to holding wonderful events that are a pleasure to go to, the work that they do weekly and monthly really supports the agency in all of these programs that we're talking about. I will also mention that rotational assignments -- I have now had rotational assignees in my office, and so I would comment at this meeting about the interesting learning in that. For me, what I thought, "Well, this individual is likely to be interested in the following things or will take away things, additions to their resume in the followings ways." But in meeting with an individual after they have had a rotational in your office -- in my case I was surprised at the things that she said. That it caused her to learn things about the NRC just viewing it from a different perspective than her usual job.

So here I thought, "Oh, this is how I can sell people on doing a rotational in my office," and it was a learning for me that they are going to decide what benefit they derive and what use they make of it. But I think it was a winwin because she made a wonderful contribution. But she said it was great to

want to say that there has been mention of open collaborative work environment
 today, and I am glad that we are really kind of inter weaving these initiatives
 because at the end of the day an open collaborative work environment, by its

view the NRC from a different perspective. So I add my voice to that. Then I

nature, values diversity and different perspectives. So I think the danger is to

have so many initiatives that they are all looked at singularly but I have heard, I

think, from everybody who presented today, weaving that in as an important way

that we value diversity is by that work environment.

The last thing I wanted to talk about was recruitment. And really my question is maybe outside the bounds of just today's meeting, but I think that Bill Borchardt started off by talking about the fact that the agency over the last few years is now leveling out and not in the aggressive hiring mode that we had been in. So I would like to hear from anyone who has a perspective about what are the important things for an organization as they shift from a period where there were a lot of new faces coming in both entry level and mid-career and managers, but now at every level we will be -- our need for new people will be less. But how do you take these important diversity efforts and also wanting to bring in the right skills mix. How do you scale that back without doing damage? I imagine the first answer is "very carefully," but what are the things that we need to remember as an organization as we do that?

MR. BORCHARDT: Well I think we need to be and we have started being more surgical if you will on where we do the recruiting. There are some places where we have been very successful. We have a good track record. The students know the NRC and I think we take advantage of that opportunity. That university champion thing we mentioned earlier is another way

1	to keep a good relationship even during the lean times. Once they get on board I
2	mean it's all about open collaborative work environment, it's all about knowledge
3	management, making them feel like they are a contributing member of our
4	success. That just builds enthusiasm and continued success. It is a very long
5	every day effort by the supervisors.
6	The only thing that I would add is that I assume now that if you'd
7	look at the past practice, FERS really didn't have much of an impact on our
8	attrition. That was the prediction when FERS was created but that people are
9	still staying for their career to a large extent. So we need to hire the skill set of
10	people that can be trained for the need 15 years from now, 20 years from now.
11	That might be a new technology, some adaptability so I think more and more
12	we're looking at a quality of person and not necessarily a specific skill.
13	COMMISSIONER SVINICKI: Jim did you want to add?
14	MR. MCDERMOTT: I have to agree, even so I do.
15	COMMISSIONER SVINICKI: I heard you short timers you become
16	real truth tellers.
17	[laughter]
18	MR. MCDERMOTT: Absolutely.
19	MR. BORCHARDT: He's been a short timer for a long time.
20	[laughter]
21	MR. MCDERMOTT: It's all about training with the influx that we've
22	had. So we really have to work real hard at training and Commissioner
23	Ostendorff and I were talking and the training they need most is on the job
24	training. So we need to develop a culture of passing that on if you have been
25	around here for a couple of years or 20 or 30 and working very closely because

- 1 it's very effective. There is another thing we are going to do, it is proportional.
- 2 We will continue to hire but we won't hire numerically as many entry levels, but
- 3 we'll still hire -- 25 percent or so of what we hire will be entry levels. What we're
- 4 going to focus just a little bit more on just from a recruitment point of view is --
- 5 we're calling it internal recruitment. It goes hand in hand with training. We'll
- 6 have sort of what we call an internal invitation where we're going to have a
- 7 session for employees and say look, here's where the vacancies are, here is
- 8 what the skill sets are, have you thought of making a move? We've got to do this
- 9 very carefully. We can't raid every office in favor of another one.

But I think it will sustain a spirit of optimism within the staff if we do that. And that results in rotations -- a delegation from the Japanese Nuclear group came over to talk to us after the RIC, they came back a couple of weeks later, a team of four, and it was obvious that there was a very senior person with them whom everybody was -- and he just sat there and listened and listened until the interpreter talked about rotations and he came alive. He says, "What's this?" I say "That's how we prepare people to move around in the agency and move up in the agency. That's how we get collegiality. That's how we do an awful lot of things for an open collaborative work environment." He was sold. I don't know what they are going to do but he went back and it was clear that's the message he took back, rotate your people around. Well I think that will really help us here.

- 21 COMMISSIONER SVINICKI: Thank you. Thank you Mr.
- 22 Chairman.

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- 23 CHAIRMAN JACZKO: Commissioner Apostolakis?
- 24 COMMISSIONER APOSTOLAKIS: Thank you, Mr. Chairman. I
- would also like to thank the speakers for very interesting and informative

1	presentations. As I was listening some questions came up to my mind. In other
2	areas learning from experience is a key tool for improving an organization.
3	Learning from experience means to do good root cause analysis and then
4	identify courses of action to remedy whatever problems you have identified. So
5	there are three slides that which this observation applies. Ms. Kelley on slide six
6	you said that there was an increase in complaint activity. I am wondering
7	whether you have done a root cause analysis what, I mean the numbers of
8	course are important but also what was the nature of the complaints and whether
9	you have decided to do something about it? Now maybe I can identify the others
10	and then you can comment.
11	Ms. Williams, on slide 11 you said that there is an increase attrition
12	on the part of Hispanics. You identify a few reasons; quality of work life,
13	management, and organization but it seems to me you could go a little deeper to
14	tell us what exactly about the quality of life is it that bothered these people or
15	management and so on. And again, what lessons you drew from those and what
16	you plan to do. And Ms. Strong on slide 17 NRC met three of five contracting
17	goals. I know its election day today but I mean we don't just go by the numbers.
18	What were the two goals that were not met and why? And why is Mr. Nader
19	smiling?
20	[laughter]
21	You don't have to address that Mr. Nader.
22	MR. MAMISH: I saw Commissioner Svinicki smiling so I guess
23	COMMISSIONER APOSTOLAKIS: So it was a reflection? So my
24	theme here is I would like to understand better what the nature of these things
25	was and what lessons we learned and are we doing anything about it? So who

wants to be first?

MS. KELLEY: We do look at trends in our complaint activity. I will begin by saying that NRC has relatively low numbers and historically that has been the case by comparison to other agencies. One of the reasons for that is we have something else that we call contacts. The contacts don't go into either the formal or informal complaint activity. But we address things early and have been very successful when a manger or staff member brings something to the office, a matter of, we address those. So they run about a hundred or so per year over that number. So -- but still from 2008 to 2010 if I just address that period -- in 2008 NRC was hiring quite a bit and increases in HR activity such as hiring normally has a direct relationship with increases in complaint activity because you just have more opportunities and more chances for someone to not like the outcome of something. So that was not surprising to us.

In 2009 we saw a drop. In 2010 our numbers went a little bit above where they were in 2008 and that really is not surprising to us either because when opportunities are really -- when there is a decrease in opportunities we -- there seems to be an interesting inverted reaction by complaint activity in that it tends to go up as well. One of the reasons we believe for that is that because opportunities are fewer, employees become very jealous of that one chance and maybe they believe that they didn't get it for the wrong reasons and they are probably more likely to come forward and to say, "Hold it, did we consider this or why --" to get more information in terms of why they were not successful. The numbers are not staggering because as overall the numbers are still very low and very manageable for the agency. So we are in a mode right now, though, where we will pay close attention to the nature of things that are coming forward.

We've had some things that appear a little bit interesting. We have had an increase in the number of complaints brought on the basis of national origin. Normally we may have about one or so of those per year and those numbers of kind of gone up. They are still not staggering but maybe we've had five in 2010. So while the numbers are so small you can't really look at the percentage because it may be distorted but one compared to five is an increase. In terms of some of the bases, race continues to be one of the main bases for a complaint being brought forward. Age is another. In terms of the issues, non-sexual harassment is something that has gotten one of the higher -- well actually they are close in numbers; non-sexual harassment, promotions or non-selections, appraisal issues and assignment of duties.

COMMISSIONER APOSTOLAKIS: That's very interesting to me but did you find that any of these were justified?

MS. KELLEY: The agency tends to have a very low number of findings of discrimination. We settle a number of complaints, so in cases of that sort where we settle the complaint there is no determination as to whether there was or was not a finding of discrimination. We do look at the merits of the case and work very closely with executives who sit on, who are used as deciding officials and if we come across something that is of concern in terms of an action that was taken or words that were used inappropriately. Even though it may not prove discrimination, we work very closely with the deciding officials to address that matter. And counsel, if need be, and take pretty decisive action in those cases. So in terms of overall findings of discrimination the agency has only had one. However, because we do settle complaints -- I mean obviously I can't sit here and say that there were other instances of that -- but we do see instances

1 where there is a need to address a matter and we do that.

COMMISSIONER APOSTOLAKIS: Thank you. Ms. Williams if you would like to say something. I find it interesting that people quit because of the quality of life when we are the number one agency.

MS. WILLIAMS: Some have. There were 194 people who left the agency. Most of those were retirees but for Hispanics in particular, as I mentioned there were 15 who left the agency this year, and we have 10 of those who actually responded in terms of an exit interview and the data that we captured. And the agency does have a way of capturing data and we can tabulate it. However, we can't always find a specific trend. Hispanics who left, the 15 who left, they did indicate a response as to why in all four of the areas; compensation, quality of work life, management and organization, and personal family.

But to speak to your particular concern about quality of work life; the two comments made in quality of work life dealt with, "the commute was too long." I am not really sure where they were commuting from. The second one was dissatisfaction with telework opportunities. But here again, we are going to monitor that because I don't really know exactly what that means, dissatisfaction with telework opportunities so we just have to explore that a little bit more. I can cite a few others if you like so you can better understand categorically what they mean.

COMMISSIONER APOSTOLAKIS: Give me one more.

MS. WILLIAMS: One more? Wow, that's a toughy. I guess the one I would choose is pay. As Bill and Jim have mentioned you know when we are cutting back a little bit on recruiting that also means opportunities to get

1 promoted have also been cut back a little bit. So some individuals may want

- 2 additional pay and there's not really an opportunity for them.
- 3 COMMISSIONER APOSTOLAKIS: Thank you.
- 4 MR. MCDERMOTT: Can I add a word in on that?
- 5 COMMISSIONER APOSTOLAKIS: Yes you can.

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Interview Survey. We invite people to check as many boxes as they think they
can so it is a lure to some extent. But the most important part is the little
comments box at the end which we don't tabulate. But I read some; I read them
this morning for 2008 and 2009. The reasons for leaving are all over the place
but in fact one of them happens to be a Hispanic, left to pursue a higher
education. I am happy to say he's back. He's back in Region 2. He went, got a
graduate degree and then rejoined the agency. That is a good story. There are

MR. MCDERMOTT: This comes from this lovely form. It's the Exit

But there is a wealth of data and we look at that. I just read the comments

because it's one by one by one but then you can sniff out what's really going on.

other stories that aren't that good but some of them are -- child care, you know.

MS. STRONG: Thank you for the opportunity to address how we performed. We actually just slightly missed the small business contracting goal. We fell slightly short of the five percent woman owned small business contracting goal and fell slightly short of the federal wide three percent service disabled veteran owned small business goal. We also in the subcontracting area we only met one, the woman owned small business subcontracting goal and fell in the other categories or areas. What do I think? I think in part we increased from 2008 to 2009 our total contract dollars awarded by more than 26 percent, but the

actual number of actions only increased by 11 percent. So we have been

awarding larger contracts and not as many set aside opportunities have been available to ensure award to small business.

It is difficult to say where we are with fiscal year 2010 because the data is still being reported and it's still being confirmed and it won't be certified to the federal government until December of this year. But the picture may look a little bit much like that but we again -- we made such great stride in the service disable area. We went from less than one to 2.6 percent so I think we saw some real promise in that area. We are back up in the woman owned business award area and we are looking at -- under the Strategic Acquisition Transformation Initiative we will be serving as a liaison on the portfolio councils to work on strategizing upfront to ensure a place for small business at the prime and subcontract level. Thank you. Is that good? Thank you.

COMMISSIONER APOSTOLAKIS: May I say something about the B grade? When I was at MIT I learned that most of the students who get Bs go out and become leaders in industry and government and the A students tend to become more researchers. I mean these are not absolutes but -- now, of course, the leaders control funds which means they can become donors to the department. So the policy was to really take care of the B students. Be very nice to them. Thank you Mr. Chairman.

CHAIRMAN JACZKO: Thank you. It is perhaps a hallmark at the NRC that we are, as Bill said, a learning organization and also self critical. We have in the past done better than B and I think that's perhaps part of why I think the staff has been highlighting the B grade. I certainly would echo the comments of my colleagues that B is still a very good grade, in particular with Commissioner Apostolakis' reflection on that as well. I don't really have much in the questions.

1 I would just like to echo some of the comments I think that I heard from my

2 colleagues. I certainly would second Commissioner Magwood's comments about

continuing to share best practices with the regions and headquarters. I think it's

something Cindy and Bill I know you do very well but certainly any way we can

5 continue to do that I think is very helpful.

Many commented on the, of course on the B grade and I would echo that as well it is still very good. Obviously we want to do better where we can and we will work to that, I know with the work that you are doing. In particular the veteran's efforts and I would have to say again, this is something that Jim has taken a very personal interest in and I certainly appreciate your work in that area. It is something that the first veteran's employment council meeting I went to -- unfortunately it has been the only one I have been able to attend -- I was I admit somewhat surprised that we weren't better than I thought we were. Just when you look at the base numbers that I think anecdotally the perception is that we do have a lot of veterans. I think we do. We are certainly better than most and do well in this area but I was surprised that we weren't already meeting the goals in that area. I think the work that is ongoing will get us there and I certainly would echo the comments of Commissioner Ostendorff and others that where we can to look at hiring enlisted individuals.

I think that was a question that came up too at the all hands meeting or a comment was made in that regard. And it sounds like that is an issue that is ongoing. Again, I think this is always a good opportunity to hear about the work that goes on to keep our staff engaged and active. Bill you talked about, I think, five initiatives in the beginning. They seem like the right kinds of things to be focused on. We are in the end the people that work here and so

1 how we are as an agency is ultimately how we treat our employees and how we

2 maintain the right kind of environment. You certainly, I think, heard to a person

3 on the Commission the importance of the open collaborative work environment

4 and these kinds of things and creating the right kind of environment to encourage

and develop those employees to fulfill their potentials. So I certainly would

6 welcome any comments my colleagues would have if they want to make a

closing or any remarks. We will at this point provide Dale Yeilding an opportunity

or perhaps a designee to make some remarks on behalf of the union.

MR. YEILDING: Thank you very much Chairman and
Commissioners. Just a couple short remarks and then I would like to introduce a
new co-Chair of one of the committees. This meeting I am not at the table this
time because the EEO committees didn't have any recommendations that
affected bargaining unit employees but they did have recommendations
associated with hiring and promotion into management which is other concepts
the union supports but we just don't represent non-employees that are being
hired and we don't represent managers of course. So I look forward to working
with the committees at the next meeting and to be able to support any
recommendations they make for bargaining unit employees. I usually take this
time to promote the aspect of retention and talk about maybe the two or three hot
topics on the union table that we're in agreement or disagreement with, but I
think I am going to reserve that for the partnership table.

As everyone knows President Obama issued an executive order back in November to reinstate partnership which has been operating here at the agency since 1993. But I look forward to meeting with the DEDOs and I guess Mr. McDermott, November 10 is going to be our first meeting which we'll be

tackling issues on a monthly basis and hopefully we will get some solutions to 2 make this a better place to work. What I'd like to introduce here is the collective 3 bargaining agreement identifies a committee that hasn't been real active in the 4 past years is the Joint Labor Management EEO Committee. I've got one of our 5 elected union officials, Cardelia Maupin and she is also a Steward, to volunteer

committee and she is going to make a short statement here and then promote

to I guess co-manage or co-chair because management would be half of that

folks here, possibly in this room, to join the committee and staff it up. Cardelia?

Cardelia Maupin.

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MS. MAUPIN: Thank you so much Dale. Basically going into this position I come with my kind of principals for working and that's good, better, best, never let it rest until the good is better and the better is best. I want to bring my best so we together with the EEO advisory committees can continue to do our best here at the agency and be the best place to work. I also believe in TEAM, Together Each Achieves More. So I openly right now solicit, give my public service announcement and ask for volunteers for the committee and I look forward to working and advancing the cause of the NRC which I've been committed to now for over 26 years, 18 years of which I was the president of Blacks in Government here in the agency. So I look forward to continuing working with you. Thank you.

CHAIRMAN JACZKO: Thank you, Dale and Cardelia, and, again, thank you Bill and all the work that you do to keep the staff engaged and active and thank everyone here for coming to this meeting. I think as Commissioners commented it is a very important meeting and your attendance lets us know that.

Thank you very much. We're adjourned.

2 [Whereupon, the proceedings were concluded]