NUCLEAR REGULATORY COMMISSION

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6	PUBLIC MEETING
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8	Nuclear Regulatory Commission
9	One White Flint North
10	Rockville, Maryland
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12	Wednesday
13	August 15, 2001
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15	The Commission met in open session, pursuant to
16	notice, at 9:30 a.m., the Honorable RICHARD A.
17	MESERVE, Chairman of the Commission, presiding.
18	COMMISSIONERS PRESENT:
19	RICHARD A. MESERVE, Chairman of the Commission
20	GRETA J. DICUS, Member of the Commission
21	JEFFREY S. MERRIFIELD, Member of the Commission
22	EDWARD McGAFFIGAN, JR., Member of the Commission
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1	STAFF	AND PRESENTERS SEATED AT THE COMMISSION TABLE:
2		ANNETTE L. VIETTI-COOK, Secretary
3		KAREN D. CYR, General Counsel
4		WILLIAM TRAVERS, Executive Director of
5		Operations
6		PATRICIA NORRY, Deputy Executive Director for
7		Managmeent Services
8		IRENE LITTLE, Director, Office of Small
9		Business and Civil Rights
10		PAUL BIRD, Director, Office of Human Resources
11		LUIS REYES, Regional Administrator, Region II
12		BRET LESLIE, Co-Chair, Hispanic Employment
13		Program Advisory Committee
14		SEE-MENG WONG, Asian Pacific American Advisory
15		Committee
16		MICHAEL MARSHALL, Co-Chair, African American
17		Advisory Committee
18		JANE GUNN, Chair, Federal Women's Program
19		Advisory Committee
20		BUCK IBRAHIM, Chair, Committee on Age
21		Discrimination
22		BOBY ABU-EID, Co-Chair, Joint Labor Management
23		EEO Committee
24		DALE YEILDING, National Treasury Employees
25		Union

P-R-O-C-E-E-D-I-N-G-S

2 (9:30 a.m.)

CHAIRMAN MESERVE: Good morning. The Commission meets this morning to hear the current status of the Agency's Equal Employment Opportunity Program. The goals of this program are to foster equal opportunity for all employees, to encourage diversity, and to prohibit discrimination of any kind. These are very important goals. Not only is this the right thing to do, it also serves the Agency's interest in assuring that we get the benefit of all the insights from all of our staff. We look forward to hearing your report on the progress in this area.

Let me turn now to Dr. Travers.

DR. TRAVERS: Thank you, Chairman Meserve. We're glad to be here and we're glad so many of the staff could join us. We are here, of course, to provide you with status on the progress of the Agency's Equal Employment Opportunity Program, as you have just mentioned.

Before we proceed, let me briefly introduce the people at the table and those seated behind me. On my right is Pat Norry, Deputy Executive Director for Management Services; Irene Little, who is the Director of the Office of Small Business and Civil

Rights; Paul Bird, to Irene's right, is the Director of the Office of Human Resources; on my left if Luis Reyes, joining us from Region II, Regional Administrator; and Bret Leslie, who is the Co-Chair of the Hispanic Employment Program Advisory Committee, and he is going to provide a perspective on employee issues.

Also participating in the briefing and seated in the first row just behind me, from left to right, is See-Meng Wong, who is a representative of the Asian Pacific American Advisory Committee; Mike Marshall, Co-Chair of the African American Advisory Committee; Jane Gunn, who is the Chair of the Federal Women's Program Advisory Committee; and Buck Ibrahim, who is the Chair of the Committee on Age Discrimination, and Boby Abu-Eid, who is the Co-Chair of the Joint Labor Management EEO Committee. Also joining us is Dale Yeilding, of the National Treasury Employees Union.

I'd like to begin with some brief remarks today by highlighting the Agency's strategic plan and the significance we place on EEO and diversity in maintaining the Agency's strategic direction.

One of our four corporate management strategies included in the plan is to sustain a high-

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performing, diverse workforce. Our efforts to support include ongoing monitoring this strategy of recruitment, hiring and development activities and equity in these processes. ensure fairness Related activities include increasing our focus on internal communications and on the development and accountability of our supervisors and managers.

The demographics of our workforce are changing somewhat. In Fiscal Year 1997, women and minorities represented about 46 percent of the total workforce, and 18 percent of the SES management. Today, women and minorities represent slightly more -- 49 percent of the total workforce, and 22 percent of SES managers. Our focus on enhancing the diversity in our workforce is multi-faceted, but it is directed towards new hires and the development and retention of women and minorities who are already a part of the NRC family.

As you know, given our aging workforce, we face a significant overall challenge in assuring that NRC maintains its competency in the years to come. Part of our efforts in meeting this challenge actually, we believe, include an opportunity to increase our diversity. There are certainly many

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obstacles to meeting this outcome, but it is the outcome we seek.

I mentioned internal communications as an important element in achieving our diversity in EEO goals. There are many aspects of this, but let me mention one example. One area of communications which can impact our diversity goals and which needs improvement, I believe, is the need for candid and timely feedback to employees. Providing feedback during the appraisal process, providing feedback to unsuccessful candidates for promotions, and discussing employee development needs are necessary for mutual understanding of expectations and successful job performance.

As managers, I believe we must be accountable for integrating this kind of ongoing dialogue into our routine management practices. Similarly, senior Agency managers must model open communication in order to expect and encourage the same between subordinate management and staff, and really throughout the Agency.

Along these lines in preparation for this briefing, I met with each of the EEO Advisory Committee Chairs and with members of the National Treasury Employees Union, to solicit their input

regarding problems, progress and the status of EEO within the Agency. I believe this was a productive effort, and Pat and Irene are going to discuss in more detail in a few moments.

Last year, we reported that a separate EEO subelement for evaluating the EEO performance of SES managers had been established, and SES managers were evaluated against this new criterion during the past rating period.

Since our last briefing, we have established an EEO subelement for evaluating the EEO performance of all supervisors, and we are taking steps to include this new criterion into non-SES supervisor performance plans for the upcoming Fiscal Year 2002 rating period.

In conclusion, I'd like to just mention that we intend to enhance and maintain an open dialogue with the staff, and institutionalize our efforts to foster respect, trust, fairness, and equity in all of our management decisions. Consistent with your direction, we intend to attract and maintain a highly qualified and diverse workforce. It is going to be and continues to be a top priority. Overall, we believe our Agency success in carrying out our safety mission depends upon it.

1 Now I would like to turn to Pat Norry, who 2 is going to discuss some of the accomplishments and 3 initiatives since our last briefing. MS. NORRY: Thank you, Bill. 4 Good 5 As Bill said, we have made some progress in morning. 6 enhancing representation of women and minorities 7 throughout the workforce, and we have put in place some strategies that we believe will continue to yield 8 9 results in this area. 10 One of our greatest challenges is the low number of minorities in the Grade 13 through 15 11 pipeline, or SES supervisory and SLS positions. 12 I'd 13 like to talk about some of the significant efforts. 14 The first initiative is our strategy for 15 filling SES and supervisory positions. Recently, 23 16 employees completed the 18 months training requirement 17 that qualifies them to be SES managers. Fourteen of these graduates have already been placed, and we have 18 19 now established that this candidate program has been the single source for filling SES positions during the 20 21 This has resulted in increased diversity past year. 22 in the SES management ranks. 23 We will be establishing soon a new program 24 to make sure that our pipeline for SESers is always

This new one might be a little more targeted

in specific areas where we know we are going to have openings.

The second initiative is the new Leadership Potential Program, for which 42 selections were made in June of this year. The graduates of this program will provide a diverse pool qualified candidates for supervisory positions at the Grade 14 and 15 levels. And I'd just like to note that there is great interest in these candidates in leadership evidenced the program, by the as enthusiastic response that HR received from all offices, from the Commission on down, for rotational opportunities for these candidates. So, I think this program holds great hope.

Safety Intern Program. This is designed to place highly qualified recent college graduates in reactor materials or administrative training and development track. Forty-six entry level employees have been hired, from which those who meet the Intern Program eligibility requirements will be selected. The Intern Program provides an opportunity for selecting from a pool of diverse candidates. Upon completion of the program, these employees will be placed into an NRC

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career ladder position, with noncompetitive promotion potential to Grade 13.

The fourth strategy relates to recruitment and advancement of minorities within the Agency. Travers mentioned that we had met with the Advisory Committees in preparation for this meeting. Previously, the Chairpersons of the African American Advisory Committee had discussed with me several issues of concern in the areas of hiring, development and mentoring, so I gathered a group of African American technical and administrative together to talk in more detail about what the issues are that they believe may have adverse impact on equal opportunity for African Americans in NRC. I presented these concerns and their recommendations to office Directors and Regional Administrators during the recent Agency Action Review meeting in June.

As a result of all this, we are developing an action plan to address many of these issues, most of which apply to all minorities. The plan will include such actions as recruitment strategies, particularly at historical black colleges and universities, to attract highly qualified African American applicants, and other focused recruitment activities which will be focused on Asian Pacific,

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Hispanic, and other minorities, which have proven to be highly successful. These include the preview of resumes prior to attending a recruitment event, making on-the-spot job offers, enhanced liaison efforts with targeted universities that provide the greatest potential for identifying highly qualified minority candidates.

In my meeting with the African Americans, it was clear that there was a great concern on the part of that group, as well as others, that the pipeline SES positions is not there, and we need to use some of the strategies I mentioned earlier to move people into position to take those jobs when the aging workforce finally does leave.

Additionally, the group recommended enhanced awareness of the need for sponsorship and mentoring for African Americans and other employees who seek to obtain career information and guidance. And I would mention, as Bill did, one of the highlights of the discussion was the need for candid early feedback because, if this does not happen, then people have no perceived avenue for how to make their opportunities better, so we need to work on that.

For several years now, the NRC has had a facilitated mentoring program which matches new or

junior employees with more experienced senior level employees. The primary goal of this program is to share and transfer corporate knowledge to facilitate career development.

Based on input from the Advisory Committees, mentors need to place greater emphasis on proactive efforts to enhance visibility and awareness of available employee talents. In other words, more active involvement is probably what is called for here.

One other initiative I want to mention is the continuing oversight efforts of the Executive Resources Board EEO Review Group, a group that's been in existence for quite some time. It is chaired now by Margaret Federline. This group is responsible for ensuring that applicants, including women and minorities, receive fair consideration for supervisory and other management positions at the Grade 15 level and above.

With information that they've gathered over the course of these reviews, they have successfully promoted the use of consistent rating factors in vacancy announcements, encouraged increased diversity on rating panels, and identified and shared best practices among the offices and Regions.

1 ERBEEO Review Group provides 2 effective interface with the ERB Staffing and 3 Development Committee, which is the committee I chair that has Office Directors and Regional Administrators 4 5 on it, by telling us of issues that could adversely 6 affect or impact the hiring and retention of a highly 7 qualified diverse workforce. I agree with Dr. Travers 8 that we are making good progress, but obviously much 9 remains to be done. 10 I will now ask Irene Little to provide the highlights of the progress of the Agency's diversity 11 12 activities. 13 Thank you, Pat. I will MS. LITTLE: 14 highlight some of the progress and accomplishments that we've made during Fiscal Year 2001, and I will 15 16 address briefly the program emphasis for 2002. 17 before I do that, I will ask Bret Leslie if he would make a statement on behalf of the EEO Advisory 18 19 Committees. We worked very closely with them in 20 facilitating progress and coming up with initiatives. 21 Bret? 22 MR. LESLIE: Thank you, Irene. Good 23 Chairman and Commissioners. We morning, Mr. 24 appreciate the opportunity to address you today and to

share with you some positive actions the Agency has

taken. I will also address the remaining overriding concern of the Equal Employment Opportunity Committees. There appears to be no overall coherent and transparent Agency plan to resolve issues affecting diversity.

The five points we raised in the Joint Statement within the Commission Paper reflect a consensus of the committees. These recommendations, which may be expanded upon later by the committee chairs, are not new.

We recommend continuation of enhanced recruitment efforts. Second, the Agency should strive to increase representation of minority employees in senior staff, supervisory and management positions. There must be accountability of managers and supervisors in the performance appraisals for equal employment opportunity success. We must continue to provide a "family friendly" workplace. Finally, we must effectively deal with potential loss of corporate knowledge because of retirement of senior staff and managers.

As I noted before, these concerns have remained substantially the same over several years. Why these concerns haven't been solved is an obvious question. The staff have taken steps to address the

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concerns -- in fact, they have taken many steps to address these concerns. For instance, some of the offices -- and as you heard earlier, the Executive Director of Operations -- are addressing concerns by directly interacting with Equal Employment Opportunity Committees. These efforts and other creative actions by the Office of Small Business and Civil Rights and the Office of Human Resources are positive and must continue.

Nevertheless, there appears to be no overall integrated Agency plan to systematically resolve the issues affecting diversity. To explain this point, let me discuss a recent Agency action in the equal employment opportunity area.

The Agency undertook a focused recruiting effort in Puerto Rico to address the large underrepresentation of Hispanics at NRC relative to their representation in the Federal workforce and in the national workforce. Staff spent substantial time and effort and extensively coordinated the reactions. Twenty entry level Hispanics have joined or will soon be joining the Agency. This was a success. this approach may have also adversely impacted the Agency's capacity to hire a diverse entry level workforce. This is because the Agency has the goal

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that 25 percent of all hiring should be at the entry level. The large numbers of entry level Hispanics lessened the Agency's flexibility of hiring a diverse entry level workforce.

Moreover, if the Agency continues to focus solely on entry level Hispanics to address underrepresentation, this will lead to a large portion of the Hispanics at NRC being entry level. This will only worsen our concerns of achieving a diverse workforce at all levels.

Can that creativity that led to success also be applied to the other 75 percent of the hiring that the NRC conducts? We believe the answer Accountability must be one part of solution in resolving the diversity problems. The Agency has made changes to both the SES and non-SES supervisors and managers performance elements We appreciate these necessary address EEO issues. However, unless there are clear metrics to judge the overall success of the Equal Employment Opportunity program, both the ability of managers and supervisors to be evaluated fairly against the EEO criterion and their opportunity to succeed will be limited.

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Thus, the Agency seems focused on treating the symptoms and not necessarily systematically eliminating the ailment. Any plan procuring the diversity issues must include both near-term and long-term approaches and address recruitment, hiring, retention, and career advancement. Unless there is an overall coherent plan with clear metrics to solve the diversity issues, you will likely only be seeing different faces from the different EEO committees at the table expressing the same concerns.

We believe that with your guidance and with the assistance of the EEO committees, the staff can respond to this fundamental challenge. Our hope is that by the time of the next Diversity Day we truly will have a cause to celebrate our Agency's diversity. Thank you.

MS. LITTLE: Thank you, Bret. We, of course, continue to work with the committees on issues. They bring those to us, and we discuss them, and we will continue to do that.

As Dr. Travers mentioned earlier, EEO and diversity play a significant role in maintaining the Agency's strategic direction. It is our collective responsibility, both managers and employees, to ensure that our actions are guided by the Agency's four EEO

guiding principles that are outlined in the Affirmative Employment Plan.

Several themes have been touched on this morning, including greater emphasis on management accountability that was touched on by Ms. Norry, Dr. Travers, and Bret Leslie; improved communications throughout the Agency, specifically in feedback to employees in many arenas; and employment and advancement of women and minorities. My remarks will focus on accomplishments supporting the four guiding principles to successfully manage a diverse workforce.

Successfully applying these four principles will promote good communication in our interactions with each other, will encourage maximum support for employing and developing a diverse workforce, will help to address EEO issues that arise as quickly as possible, and will foster а environment of mutual trust, respect, and high productivity.

Dr. Travers highlighted the enhanced management accountability through the new subelement for evaluating EEO performance of supervisors and managers. Additionally, about five years ago, a Managing Diversity Process was initiated within the Agency. This process was designed to help managers and

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employees understand ways to value and leverage workplace diversity, to enhance individual and organizational performance.

This year, we successfully concluded diversity training for employees. We have subsequently worked with several offices to facilitate implementation of follow-up activities that address office-specific issues related to managing a diverse workforce.

Specifically, NMSS took an early lead in focusing on identifying and addressing factors that impact staff performance and program operations in a diverse workforce. With contractor support, we are also providing assistance to other offices, including the CIO, ACRS and Region III to date.

The Agency has been aggressive in its recruitment efforts to attract and hire highly qualified women and minorities, especially Hispanics. The recruitment schedule was increased from 35 events in Fiscal Year 2000 to 65 events in Fiscal Year 2001, and 49 of those events were targeted for minorities and women.

Somewhat as a consequence of those activities, at midyear 63 employees had been hired and an additional 47 are scheduled to enter on duty later

this fiscal year. This has resulted in an overall increase in the number of Hispanic employees, and Hispanic employees now represent 3 percent of the workforce. A year ago, they represented 2 percent of the workforce. Representation of all other groups in the workforce has not been adversely impacted, has remained relatively the same.

The use of new recruitment strategies, such as implementation of recruitment bonuses for new hires, development of a new Student Loan Repayment Program, and overall changes in the Agency's recruitment process should enable the Agency to remain competitive in today's job market.

I want to briefly share information on the Agency's handling of EEO complaints. We have used, for the past 18 months, an Alternative Dispute Resolution process, or ADR, and that approach is gaining acceptance and is increasingly being used as a preferred option to discuss and resolve EEO issues.

The process provides a neutral environment to facilitate discussion and resolution of issues between employees and managers. To date in this fiscal year, 31 employees requested EEO counseling. Of those, 13 requested the use of the Alternative Dispute Resolution process, and five cases were settled.

These settlements were between the employee and the management official. Four employees withdrew their complaints, and in three of the cases, although no settlements were reached, they did not pursue formal complaints.

We believe the Alternative Dispute Resolution process is an effective method for resolving allegations of discrimination, and will help to build effective working relationships between managers and employees. To date this fiscal year, 13 formal EEO complaints have been filed, compared to 16 formal complaints filed in FY 2000.

We continue to attribute NRC's relative low level of complaint activity to the effective role that the EEO counselors play in facilitating informal resolution of EEO issues, and the role of the managers in working with the counselors and the ADR process to resolve these issues.

The EEO Advisory Committees, as I stated earlier, also play a role in maintaining a discrimination-free work environment. They provide advice and recommendations regarding issues of fairness and equity in the workplace.

As Dr. Travers mentioned earlier, the committees recently met with him, Ms. Norry, and

myself, and Paul Bird, and provided input regarding problems, progress, and status of the program. It is our goal to continue to obtain staff input regarding EEO program accomplishments, and to develop action plans to improve overall EEO program objectives.

Mr. Leslie has mentioned some of those activities that the EEO Advisory Committees are supporting involved in, and they or are continuing the high level of management participation activities, enhanced in recruitment management accountability for successful EEO performance, capturing corporate knowledge of seasoned workers, and continuing support for a family-friendly workplace.

The committees were also supportive in encouraging employee focus on special emphasis events and, as a result, management has now approved an annual Diversity Day celebration, the first of which was held on July 12, 2001. This event was coordinated by my office, the Office of Small Business and Civil Rights, but it was supported by numerous managers and employees throughout the Agency. This event was designed to celebrate the nation's broad cultural, ethnic, racial and gender diversity and, based on the overwhelming positive feedback from employees, we believe this event was a smacking success. Our goal

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for future events is to maintain the level of program excellence and to enhance management support and staff attendance, especially at the kick-off event. That was somewhat disappointing.

Collectively, many strategies for EEO and diversity success have been discussed this morning. We will continue to focus on management accountability in improving internal communication and providing early and effective feedback, and seek an early resolution of EEO issues and allegations through the Alternative Dispute Resolution process and through the informal EEO counseling process. We will continue to strive to enhance the representation of minorities through development of programs and activities and, as change the demographics result, for the SES supervisory and SLS positions. These are all strategies that are necessary to successfully maintain and manage a diverse workforce. Thank you.

MS. NORRY: Thank you, Irene. And now Luis Reyes will discuss Region II's Equal Employment Opportunity Program.

MR. REYES: Thanks, Pat. Good morning.

I am pleased to have the opportunity to provide the

Commission an overview of Region II's Equal Employment

Opportunity Program.

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Region II fully supports the Agency's EEO and Diversity Policy, and we are proud of our accomplishments in this important area. After a brief summary of Region II's demographic profile, I will discuss the recruitment, staff development, accomplishments and challenges associated with our EEO program.

Regarding our demographics, Region II currently has 315 full- and part-time employees. Thirty-one percent of the staff are minorities and 31 percent are women. Specifically, we have a composition as follows: 24 percent of the staff is African American; 5 percent of the staff is Hispanic; 2.5 percent is Asian Pacific American; we have one employee who is an American Indian; 13 percent are white female, and 56 percent are white male.

Since July 2000, Region II has filled 15 positions, a third of those which have been at the entry level. The hires include two African American men, one African American woman, one Hispanic man, one Hispanic woman.

Recruiting emphasis for Region II has been twofold: attracting and maintaining the skills mix to carry out the Agency's mission, and enhancing the Region's workforce. To this end, we systematically

determine our current and future skill needs and use this information to develop our recruitment approach.

schools organizations Targeting and located in our general geographic area improves the job acceptance rates. We have targeted Georgia Tech, Alabama A&M, the University of Puerto Rico, and North Carolina State University. We have developed an effective working relationship with the Society of Hispanic Professional Engineers and the National Society of Black Engineers at the Georgia Tech campus. A direct benefit of these networking efforts has been greater access to a more diverse candidate pool for scientific and engineering positions. Additionally, the summer hire program serves as a bridge to future entry level hiring. Summer hires for this year includes two African American men and one Hispanic man. offers were extended to two of individuals who are in their senior year in college.

Region II employees skills matrices to determine employee skills needs. Using these skills matrices, training needs are identified and training is conducted. During the past year, course offerings have included Managing Change -- and this is offered to all employees; a Cable Failures course and a Fire Protection course for technical staff; Customer

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Service Excellence for Administrative Staff; and Risk Communications and Communicating with the Public for managers and supervisors.

To develop and diversify our potential management pool, we have established several GG-14 Team Leader positions in the Division of Reactor Safety. These Team Leaders will participate in Level 1 supervisory training and will gain experience in providing oversight to the staff. These positions are posted and filled competitively for a period of two years, and will help to create a larger, well qualified pool of candidates from which selections may be made for future supervisory vacancies.

Rotational assignments are used creatively as a significant tool for developing staff. For example, a branch chief from the Region was assigned to assist in a Headquarters project. A woman Team Leader from Region II was rotated to act as the branch chief during his absence. A Hispanic man senior specialist was promoted to the Team Leader position and an African American man was temporarily promoted to the senior specialist position. So, with one opportunity, we developed four different individuals in the Region.

Rotational assignments are used to backfill during planned absences of senior resident inspectors at the power plants. In addition, secretaries were rotated to the Human Resources Staff for six months, and two administrative support staff backfilled the secretarial positions. To assist in ensuring that rotational assignments are benefitting the individual as well as the Agency, at the close of rotational assignment either the Regional Administrator, myself, or my Deputy obtains feedback from the participant. Region II also encourages rotations into the Region. During Fiscal Year 2001, we sponsored rotational assignments for an African American woman from the Office of Nuclear Materials Safety and Safequards and an African American man from the Office of Nuclear Reactor Regulation. Director of the Division of Resource Management and Administration was shadowed by a Department of Labor Hispanic woman who was a participant in a development program.

In Region II, we strive to reward those employees who exemplify the Agency values of performance, integrity, excellence, service, respect, cooperation, commitment and openness. During the February 2001 Awards Ceremony, 46 employees received

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awards: five African Americans, two Asian men, one Hispanic man, eight white women, and 20 white men.

In Fiscal Year 2001, ten employees have been selected as Employee of the Month, including seven African American women, one white woman, one African American man, and one white man. same period, four African American women, three white women, one Hispanic man, one Native American man, and 13 white men received Instant Cash Awards. To further support our appreciation of employee efforts, present an NRC logo shirt to Employees of the Month and to employees nominated for the Atlanta Federal Executive Board Award. To reinforce noteworthy performance which may not rise to the level of a cash award, I personally present to employees ink pens bearing the logos of "Attitude is Everything" and "Teamwork". This practice has increased camaraderie among the staff, and healthy competition to achieve high performance.

Even though we have all these accomplishments, challenges do remain. I am committed to the Equal Employment Opportunity Program and to employing a highly motivated, skilled workforce. The Region II management team has been given the tools to accomplish this, however, we must remain committed to

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our goals. As we come close to reaching our hiring goals, we must concentrate on retention development to ensure that the Region has the proper skills mix while improving workforce diversity. Wе must continue to devote time and resources to a successful recruitment, development, and retention Most importantly, we must emphasize that program. diversity is not a separate, distinct goal, but an integral part of our overall management mandate. Our challenge lies in providing the work environment necessary to help all employees feel valued contributing, worthwhile members of our organization. By meeting regularly and encouraging open dialogue, we in Region II attempt to identify any workforce concerns at an early stage and address impediments to our success. Thank you. DR. TRAVERS: Mr. Chairman, that concludes staff's presentation this morning. CHAIRMAN MESERVE: I'd like to thank you all for a very helpful presentation. Although we clearly have important challenges ahead of us, it is also clear that we have made some great progress. colleagues for Let me turn to mу

First, Commissioner McGaffigan.

questions.

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COMMISSIONER McGAFFIGAN: Mr. Chairman, does the other group speak now, or do they speak at the end?

CHAIRMAN MESERVE: At the end.

COMMISSIONER McGAFFIGAN: Why don't I pursue one issue with the staff that Mr. Leslie raised. We have the success in the recruiting, it's documented in the back of the paper in some of the statistics, but he points out we have this 25 percent goal for entry level hires, and if we fill the goal largely with Hispanics, 25 percent of the people that we hire will be entry level, then that could have adverse effects on other folks. How do we deal with that? We don't want smashing success in one area to sort of stunt success elsewhere, so --

DR. TRAVERS: I agree, and it may be a bit of a miscommunication or a misunderstanding that's developed. We have not been achieving great success in meeting what I will call the minimum goal of 25 percent entry level hiring, but we have redoubled our efforts to achieve better in that realm. I wouldn't look on it as a cap, however, that you could look on as potentially affecting our efforts at minority hiring in other areas.

I think I heard Luis mention that fully a third of his hires in Region II, for example, recently have been entry level. So the way to look at the goal that I had something to do with establishing is in the face of an aging workforce, in the face of not having substantial success recently in attracting new entry level people, we have redoubled efforts, and it is not meant to offset progress in other areas.

important clarification. The goal is at least 25 percent entry level because before we were not getting very many at all, and the offices tended to want to hire people who were fairly senior, who could hit the ground running and replace somebody instantaneously, and now we are investing in younger people who hopefully we are going to be able to retain and grow with the Agency. So, the goal is at least 25 percent.

Right. DR. TRAVERS: Wе do still recognize the need, though, in instances to hire experienced people, and it's actually emphasized in some respect by -- the aging workforce puts you in a number of dilemmas, one of which is experienced people leave, you are going to have to, from time to time to -- you won't have the luxury at

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the time, in some instances at least, to grow your own in that respect.

years, it may be, depending on how budgets go -- you know, our budget has been approved for the coming year with a \$10 million increase, and we're going to have an opportunity to hire -- for the first time in some years, to grow the workforce. At the same time, we have a large number of people eligible to retire, as GAO points out -- we already knew -- and more in the future years.

So, it strikes me -- well, let me ask a question. How do you see the total number of hires being in Fiscal Year 2002? Do we have -- if the budget comes out as it's been approved by both Houses at the moment, with minor glitches between them, and if we have whatever projected retirement rate you assume, and the attrition rate in the workforce, how many new people might we be hiring next year?

MR. BIRD: My estimate on that is somewhere in the range of 150 to 175. That would include people that we are projecting to retire basically the remainder of this year as well as the beginning of next year.

1 MS. NORRY: Is that the number 2 retirements or hires? 3 That would be the number of MR. BIRD: hires. 4 5 COMMISSIONER McGAFFIGAN: That's my 6 question. So that's more than 5 percent of 7 workforce. It could be 6 percent of the workforce. 8 MR. BIRD: That would be about right in 9 our estimate that would leave the Agency both through retirement and --10 COMMISSIONER McGAFFIGAN: 11 And that's a 12 fairly extraordinary change from when I arrived here 13 in '96 and '97 when we were constantly downsizing and 14 whatever. Do you recall off the top of your head the 15 number of new hires, say, in '96 or '97, or wherever 16 the low point was? 17 MR. BIRD: Just happen to have that right in front of me. We didn't practice this. 18 19 (Laughter.) In '97, we hired 109 new 20 MR. BIRD: employees; in '98, 116; in '99, 102; in 2000, that 21 22 went to 161; and so far this year, as of July 31st, 23 we've hired 120. So we are on a pace this year to be 24 similar to last year. And I would think that we would 25 probably be in a position to hire because, one, the ceiling is fairly stable, and we know we are going to get some growth in retirements, more in the next year than we would this year, and that's why my estimate is around 175, on a steady pace of replacement. And, as Bill said, while we're targeting 25 percent at entry level, that's not all meant to be restrictive. We're trying to draw the balance with the offices, and the offices are working very successfully with us to try to not have so many entry people that we can't invest the time that it takes to develop entry people. And so there's a balance to be drawn there.

COMMISSIONER McGAFFIGAN: With regard to the one program that was mentioned, I think, by Irene in passing, the Education Payback Program, is that in effect at this point, or about to be in effect?

MR. BIRD: No, we just recently got -OPM, for accepted service agencies, just recently
promulgated their regulations. We have a manual,
directive, in the process of review that should be in
place by the beginning of the next fiscal year. We
have money in the budget that would support that, if
the Commission supports the budget as it stands today,
and so we would be in the business next fiscal year of
actually administering a program of student loan
repayment.

1 COMMISSIONER McGAFFIGAN: As of October 2 1st? 3 MR. BIRD: We should have the mechanisms in place by then to be able to start the program, we 4 believe. 5 6 COMMISSIONER McGAFFIGAN: That could be 7 especially for minority or poorer students -- I was 8 once one -- an attractive recruitment tool. 9 MR. BIRD: Absolutely. 10 COMMISSIONER McGAFFIGAN: Mr. Leslie, you mentioned the notion of having a more detailed plan 11 12 with clear metrics. Can you elaborate on -- these 13 folks feel that they have at least some plans, maybe 14 not totally understood plans -- you know, this 25 15 percent thing we started with. What do you have in 16 mind that goes beyond what is currently the document 17 that we get from folks, and what sort of metrics do 18 you have in mind? 19 MR. LESLIE: I phrased it "apparent", and 20 this may be a problem of communications, that these 21 metrics already exist and, as Ms. Norry indicated, 22 they are in the process of developing a more focused 23 plan and, you know, I guess we're somewhat unaware of 24 that. Some of the groups obviously know about it, but

I guess if we knew a better picture of where all the

pieces fit together, and I think there's a lot of efforts ongoing, but where the pieces fit together, I think we could see that the path is going forward.

COMMISSIONER McGAFFIGAN: Some parts of this, like the improved mentoring that I think both Ms. Norry and Ms. Little talked about, I'm not -- you know, you can come up with metrics like number of times mentor meets with mentoree, or something, but that may not be -- you know, the quality of the thing. So, I'm just searching. There's clearly a commitment to try to do better in the development area, but if there were metrics that made sense -- I think in recruitment we're going to get there. It's very visible recruitment, and we have opportunities as we just discussed -- 175 people -- and I think that's going to be the trend in the following years. I mean, if advanced reactors actually occur, it could be larger in 2003. But in the development area, it isn't as clear what the metrics -- I mean, what the metrics should be for how to help development.

MS. NORRY: With respect to specifics that were listed under the EEO subelement in the SES managers contract, we did not put in things like numbers of people hired, or numbers of training opportunity, simply because that would really vary

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depending on the circumstance. However, it's real clear in there the areas that we expect to be addressed by each SES manager as they are given the which includes hiring where that's opportunity, training, managing well diverse appropriate, а workforce, being available to participate recruitment activities where that is required, working on retention of minorities. So, we listed all of these with the expectation that it would be -- all of these areas need to be addressed in the evaluation, be doing the same thing for non-SES and we'll supervisors and managers.

DR. TRAVERS: One of the things that resonated in some of the discussions we had, or at least that I participated in with the Advisory Committees, was that all employees, including those that are represented by the Advisory Committees, are interested in having opportunities demonstrating their wares, if you will, and those opportunities aren't limited, but include opportunities for rotational assignments and training and that sort of thing. I think that there is some opportunity to look across NRC and see whether or not we, in fact, are providing reasonable opportunities some set of and opportunities, of course, cut both ways. You either

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demonstrate your wares, or you demonstrate that you don't have those wares. And the positive there, though, to me, is you have the opportunity for feedback in areas where you need to improve or develop further, and then to get that sort of developmental assistance. So, I think it's a win-win in the context of opportunity. Opportunity seems to me have been the thing that resonates across all the committees -- in fact, all employees of the Agency.

COMMISSIONER McGAFFIGAN: This will be my last comment. Just based on my own career, you sort of have to seize the opportunities. I would have been horrible for the personnel system here. When I was in the State Department, aside from my first assignment which I won through a competition out of the initial Foreign Service class, I never got another assignment through the personnel system. I got all of my further assignments through direct contact with potential bosses, and worked outside the system. And then I left the State Department before they could get even with me.

CHAIRMAN MESERVE: Sounds like you're proud of it.

(Laughter.)

1	COMMISSIONER McGAFFIGAN: And so, you
2	know, I think you have to seize opportunities and make
3	them yourself, to some degree. That may not be what
4	your mentors tell you, but that's what I would tell
5	you. Thank you, Mr. Chairman.
6	CHAIRMAN MESERVE: Thank you.
7	Commissioner Merrifield.
8	COMMISSIONER MERRIFIELD: Thank you, Mr.
9	Chairman. A couple of initial comments I want to make
10	to Irene. I unfortunately had a we had some
11	differences in the scheduling of Diversity Day
12	celebration, and I had a prescheduled conflict and
13	agreed to let it go forward despite the fact I
14	wouldn't be there, but I just wanted to make it clear
15	for the record that it was unfortunate I wasn't able
16	to participate. Had I been in the greater D.C. area,
17	I would have.
18	MS. LITTLE: You're excused this time,
19	sir.
20	(Laughter.)
21	CHAIRMAN MESERVE: Commissioner
22	Merrifield, I should indicate as well, in my opening
23	statement I acknowledged that you had wanted to be
24	there, and you had spoken to me about this, you had

1	wanted to be there but a prior commitment prevented
2	you from being there.
3	COMMISSIONER MERRIFIELD: I appreciate
4	that.
5	COMMISSIONER McGAFFIGAN: You missed the
6	Chairman and Commissioner Dicus dancing.
7	COMMISSIONER DICUS: We weren't going to
8	talk about that.
9	COMMISSIONER McGAFFIGAN: I stayed firmly
10	in my seat because I had a broken bone in my foot at
11	the time, and I managed to avoid the dancing and
12	embarrassing myself.
13	COMMISSIONER DICUS: He also missed Carl
14	Paparilla dancing, who I think needs dancing lessons.
15	(Laughter.)
16	COMMISSIONER MERRIFIELD: Well, I suppose
17	diversity should include bad dancing. Anyway, that's
18	my first comment.
19	COMMISSIONER DICUS: You've lost your
20	train of thought, haven't you?
21	COMMISSIONER MERRIFIELD: Yes, sort of.
22	(Laughter.)
23	COMMISSIONER MERRIFIELD: The second
24	comment I would make would be directed towards Luis
25	Reyes. We are going to lend you to our former sister

1 agency, Department of Energy. We are going to lend 2 you to them for three months, and I, at least for my 3 part, fully expect that you'll be reporting back will not lend to increasing the diversity at DOE. 4 5 MR. REYES: You and my wife both. 6 (Laughter.) 7 COMMISSIONER MERRIFIELD: Well, she obviously is much more important than I am in that 8 9 regard, but I'm fully supportive of her efforts in 10 I wish you well and hope you can that respect. provide a lot of good instruction to them as, in many 11 12 cases, they need it but, nonetheless, we want you to 13 come back. 14 I want to go back to Irene Little. I want 15 to compliment on activities associated with ADR. 16 I may have mentioned previously, I'm a big fan of ADR. 17 If we can get lawyers out of the system sometimes, that generally seems to improve things. 18 19 There were some instances in which those 20 who went through that may or may not have filed formal 21 complaints afterwards. There were some in which people went through it and they decided not to file 22 23 complaints. Given those options, are we getting the

full benefit out of ADR that we think, and are there

1 some further lessons we can take, or are we in the 2 right place, do you think? 3 MS. LITTLE: I think that we are where we want to be based on 18 months of operation. We do ask 4 5 the participants to give us an evaluation of their 6 experience and, by and large, those evaluations have 7 been positive. A majority of those who have gone There have been a couple 8 through ADR have settled. 9 that -- one person withdrew before -- it was planned, withdrew before the date and did not go through with 10 it for various reasons. 11 There was one complainant who had asked 12 13 for ADR, and prior to the date of ADR the manager 14 called our office and said, "Do you mind if I sit down 15 and talk to the person without the medicator?" And we 16 said, "By all means". So they resolved the complaint 17 without going through the formal process and paying 18 the money. 19 We've had only a couple out of the 13 or 20 so ADR where the employees filed a formal complaint 21 after going through ADR. So, we think that's a good 22 track record. 23 COMMISSIONER MERRIFIELD: We have -- I had 24 an occasion -- and I mentioned this to Irene so it 25

shouldn't be a surprise to her -- I had an occasion

recently to visit with Congressman Benny Thompson of Mississippi, who during our time together inquired about our activities relative to historically black colleges and universities, and what we could be doing in that respect. Now, obviously, he'd like to have us come down and go to the colleges and universities in his district, but obviously we want to make sure we're having a wide range in that respect.

Can you go into a little bit more detail about some of the activities we have in that area, and how we are planning on going forward with that in the future?

MS. LITTLE: We have two initiatives with historical black colleges. One that we did not report on in the paper is we have a program where we fund the participation by students and faculty at historical black colleges to participate in research that is ongoing at DOE labs on NRC-type projects.

We have, I believe, about \$250,000 set aside this fiscal year, and maybe it's going up a little bit next fiscal year, where we fund these students to participate in this research so that they can develop the capability and hopefully major in science and engineering and eventually come and work for us. That is one of the things we have.

And the other one that we're developing as a result of Ms. Norry's meeting with the African American group, where we're trying to now establish a closer liaison with some of the historical black colleges for recruitment purposes.

As we talked earlier, one or two of those colleges are in the Congressman's district, and we are working with HR to determine if that's a college where we can get some payoff and recruitment benefits.

answering the question, it struck me, in terms of the grant program, we work through the Department of Energy in concert with them, and we have our own lab, albeit a private one -- the Center for Nuclear Waste Regulatory Analysis -- and I wonder if there's ever been consideration whether we might be able to somehow direct some of our money through that entity for similar purposes because we have, obviously, a very close direct tie-in with the Center. You don't need to answer that, I just -- it may be something worthwhile to look at.

MS. LITTLE: I'm sorry, I didn't get the name of the lab.

COMMISSIONER MERRIFIELD: It's the Center for Nuclear Waste Regulatory Analysis, it's part of the Southwest Center in San Antonio, Texas.

Mr. Bird, you reported in terms of the fact we have gone from 35 to 65 universities and colleges that we are going to be recruiting at, from last year to this year we've gone from 35 to 65. And 49 of that 65 were targeted toward minorities and women. What are our plans going forward in that respect? Is that the right number? Do we need to -- where are we going to go?

MR. BIRD: Quite frankly, we believe that was perhaps too ambitious, too many whistle stops and not enough investment in some of those stops, so for this coming year we are planning 43 events, which is somewhere in the middle of those two, and that is a mix of minority schools, HBCUs and so forth, and the traditional places where we do visit, such as Penn State, N.C. State, and Georgia Tech, and so forth.

COMMISSIONER MERRIFIELD: Part of that effort -- given the success of the efforts in Puerto Rico, will part of that effort include efforts to replicate along the lines of what we did in Puerto Rico, and try to in other areas where we have underrepresentation?

MR. BIRD: Yes. We can't do that in every case because of the investment that it takes to do it, but right now we are targeting, in fact, two HCBU schools for that purpose. We are planning a visit back to the University of Puerto Rico, and I believe Luis may be true to his commitment to leave DOE just momentarily to help us with that event. And we've been talking about the potential for some targeted event, perhaps on the West Coast, associated with Asian Pacific. We have not tied that down yet because of the nature of the proximity of our offices to the West Coast, but certainly those are very focused involve a lot of senior events that management activity, а lot of front-end work, the development of resumes, the potential to go down with on-the-spot offers, and so forth. So, we're not doing that 43 times.

Some of the events are job fairs, and we try to go to the schools that have been good recruitment sources. We do meet with the minority groups at those schools, in conjunction with those events. And we'll continue to do that, but we're certainly not as ambitious as we were in the previous year in trying to make 60-some visits.

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1 COMMISSIONER MERRIFIELD: Dr. Travers, one 2 of the issues that we have been focusing on as a 3 Commission over the course of the last year is the possibility of new reactor orders or restarts, and 4 5 have been enhancing our abilities to deal with that if 6 it does raise itself. 7 To that extent, since we will be again reentering areas in which our skills are not as vibrant 8 9 as they once were, have we done as much as we can to tap into our more seasoned workforce who may have 10 worked on new reactor orders and restart-like issues 11 in the past, who we perhaps haven't fully utilized in 12 13 our workforce today? 14 I want to make sure I DR. TRAVERS: 15 understand, are you talking about people who have left 16 or are still with the Agency? 17 COMMISSIONER MERRIFIELD: Who are still 18 with this Agency. 19 DR. TRAVERS: I think we can always do 20 In fact, one of the concerns that has been 21 raised from time to time by the Advisory Committee on 22 Age Discrimination is the value of this very resource 23 that you've pointed out, Commissioner, and one of the 24 things that we are doing to see if we can't bridge the

gap that potentially is -- well, maybe more than

potential, we will be facing -- is to see if we can't hire people in enough advance to give us an opportunity to use these resources that we have of older NRC employees to help bring these younger people up-to-speed.

And so we're looking at an initiative that would enhance that, and it would include over-hiring in some instances where we know, for example, that a particular employee is intending to leave the Agency. So, that's one element of the question, but to answer your question more broadly, I'd say we can do more, and probably should do more, to tap into that resource.

MS. NORRY: The other related initiative that will help in that is the one that HR conducting over the next several years, and that is the identification of core competencies across the Agency. We will have -- when that is concluded, and we've already started it with certain targeted branches throughout all the Program Offices, we will really good knowledge of all of the have competencies in the various technical disciplines that we need in the future.

MR. REYES: Commissioner, if I could add, at least from an office perspective, because there's

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a potential that there's one of the units that could
restart in the future, and some of the early site
permit applications seems to be included in the
geographical area of Region II, we have taken what Pat
mentioned, identified individuals who had some
construction pre-op and start-up experience, and
assumed that those individuals will go to those kind
of activities, and then taking a look at what skills
we need to fill behind them to make sure we can do
that transition, and we are doing that with the
recruitment. In other words, an individual has a lot
of pre-op and start-up experience, but he currently,
or she currently, are doing mechanical engineer kind
of inspections, and we are hiring a mechanical
engineer on the assumption that that individual would
go to the new licenses and new facilities, and we are
using this interval time to try to educate them on the
more routine, and that will bridge that gap. So there
is some effort going on in the offices that perhaps we
haven't all put together in a plan document. There is
a lot of forward thinking going on, and the same thing
with the summer hires.

When we go for summer hires, we look at their skills -- mechanical engineers, electrical engineers and power systems, chemical engineers -- it

is the same anticipation that they are going to come and work for us, we're going to give them job offers, and they are going to fill behind a skill that is going to be a move to a new reactor or maybe retirement in the near future.

So, I know at the office level, the line management is doing a lot of that forward-looking thinking into the incoming skills and the potential diversion of skill for new work.

COMMISSIONER MERRIFIELD: I appreciate Part of what I was trying to get at those answers. with that question -- and I leave this with you, I don't want you to answer it because I've got another question I want to ask Mr. Leslie -- and that is, this may present us an opportunity for individuals in that cohort who have been here and who are seasoned and who have worked on those issues, have further So, I would sort of possibilities for advancement. implore the staff, as we are looking at staffing up those offices, that that possibility at least be reviewed as well.

DR. TRAVERS: Just a quick answer. Some SL positions are opening in certain technical expertise that do provide -- as opposed to management positions -- senior technical level. I'll stop there.

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COMMISSIONER MERRIFIELD: Thank you. Mr.
Leslie, one of the issues that I wanted to go into a
little bit more and get your sense, in the briefing
that we received from the staff, one of the notes was
that there were 23 employees that competed and were
selected for positions that offer upper mobility in
the form of promotions or lateral reassignments to
para-professional and professional positions. That
has, again, been an area in which there has been
concerns raised by the Advisory Committees, making
sure that we are enhancing opportunities for people
who are already here to move up.
What is your reaction to that statistic,
and are we making progress in that area?
MR. LESLIE: I'm not sure I can answer
that for all the people, so I will give you my
personal answer, which is I think it is a good thing.
I think there has been progress in certain areas, and
that's one of the areas in which we are seeing
positive movement, but I really can't answer for all
of the committees on whether they view it the same
way.
COMMISSIONER MERRIFIELD: Thank you, Mr.
Chairman.

CHAIRMAN MESERVE: Thank you. At our last EEO briefing, we had a lot of discussion about the importance of establishing connections throughout the Agency, and we had a lot of emphasis on the problems of having rotational opportunities. And we haven't had as much discussion -- Commissioner McGaffigan did touch on them.

Coming out of the SRM from that briefing, we had a discussion about having a contract that would be in place in order to facilitate rotational assignments by having some backfill opportunities and so forth.

How is that working? Is the fact we haven't discussed that as much here today a reflection that this is diminished as an issue? What is your sense?

MR. BIRD: I think I can speak to that. That contract is now in a bid process. It will basically set up a foundation of a private sector temp contractor who could come in and do work for the Agency to relieve people who might not otherwise be able to do rotational assignments. That will be funded by offices, that is not a centrally funded contract. However, offices that would want to do

rotations or support rotations could tap into that.

So, that's working on its own.

I think -- and this is my personal opinion

with regard to rotational assignments with both the SES candidate development program and particularly through the Leadership Development Program -- the Agency managers and supervisors have truly embraced the concept of rotational assignments as a means of helping people grow into better employees, better managers, and to get experience which really shows the potential that they may have for advanced assignments, supervisory assignments, and so forth.

I believe there has been a better effort to try to do that. I don't have statistics on that specifically, but my own perception is that is working fairly well, and we haven't had a lot of -- that have come to my attention -- discussions related to people who aren't being allowed to do rotational assignments in a timely way. And, again, I'm speaking from my own experience, so I'm not sure I have --

CHAIRMAN MESERVE: Mr. Leslie, do you have a perception on this issue?

MR. LESLIE: Again, this would be the perception of the Hispanic Committee and not necessarily the Joint Committee, but we do see that as

a positive step forward, that there are these higher 1 2 visibility positions, rotational assignments becoming 3 available and being filled by minorities. MS. LITTLE: If I could make one comment, 4 5 I think what we are seeing improvement in is that 6 minorities and women are given a fair opportunity, 7 there is no balance in that. I agree with Paul that 8 there is some improvement there. It's still a 9 challenge for managers to permit key people to rotate. 10 That is a challenge, and we still hear that from some employees that are attempting to do that, but that is 11 12 something that has to be worked out in individual 13 situations because if a person is in a key job, it has 14 to be worked through, and there is a timing mechanism 15 there. But I think there is improvement in that 16 you're not seeing the very low numbers of minorities 17 on rotation and high numbers in other areas. There is some balance there. 18 19 CHAIRMAN MESERVE: But Ι want to 20 understand, it could be getting better with a contract 21 in place, which is now out for bid, that should facilitate this. 22 23 MR. BIRD: That will relate mostly to

clerical employees.

MS. NORRY: With respect to rotations in general, I think it's important to note that we are in -- and this starts with making progress that Federline committee Margaret chairs identifying areas where people who apply for positions and are consistently not able, or not being chosen because of a lack of a certain opportunity for experience, those are now being identified, and that feedback goes back to offices in terms of needing to provide that kind of rotational opportunity. we're making progress that way, too.

CHAIRMAN MESERVE: I noticed in the SECY paper that you make reference to DOD's Computer Electronic Accommodations Program, which is obviously a program to assist people with disabilities. Have we had occasion to really use that yet, and are employees aware of those opportunities? Is this something that's just so fresh that we don't have a feel for it yet?

MR. BIRD: We've had -- this relationship has been in place now for I think about four months, and we have had some interactions with DOD wherein they have funding and they do provide equipment and support, and certainly are on the cutting edge of technology innovations for that purpose.

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I know that we have had sort of a marketing campaign here, in a general sense, to try to make known that that's available to employees and that that type of equipment can either be acquired through DOD or through our own sources. In many cases, we've done this in the past on our own, out of our own sources and our own funding. And we do periodically go out and remind people that this is available to them, and we have had people who are disabled, who have taken advantage of it. I don't know exactly how many times we've done that through DOD, but that is a good working relationship, and they are certainly in a position to do some innovative things with that program through DOD that we can take advantage of.

CHAIRMAN MESERVE: Let me say that reading the SECY paper was the first time I became aware of this. I'm not sure whether I'm characteristic of NRC employees on this, but I just wonder whether we might do a better job and start making sure that people are

MS. NORRY: We could probably publicize it better. This is one case where it's an advantage to be a small agency. The only reason we have access to that program at DOD is because we are officially

defined as a "small agency", so we get to participate, and we could probably publicize it better.

CHAIRMAN MESERVE: Mr. Leslie, I'd like to pursue a point you made and make sure I understand it, that you indicated that we had a very successful effort on recruitment of Hispanics, which I think we all see and applaud. And you raised two concerns One was that adding a large number of about it. Hispanics might mean reduced opportunities for others, and I think that Commissioner McGaffigan's comments were intended to address that, at least on the entry level, that hiring 25 percent isn't a cap, and I think that the lesson all of us have taken from this is what we had done in targeting this aggressive effort on Hispanics, we ought to expand to other groups and do a better job elsewhere, it's not that this is a disadvantage.

The other point you made was that this had the effect that meant that if you were successful in recruiting a large number of people at the entry level, that that that there meant was а disproportionate percentage of that group who are at the entry level. It seems to me almost inevitable that that has to be the consequence, and I don't know what conclusion we're supposed to draw from that.

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mean, I would not want to impede our doing aggressive efforts at entry levels because we are having trouble attracting people at advanced levels. And I recognize that there is the statistical effect, that that means that if you are successful at entry level recruiting, that you will have a larger percentage of people who are at the entry level, but I don't see how we can deal with that other than do what we can at all levels.

My concern is that we fully MR. LESLIE: explore and use the creativity that went into that focused recruiting for the entry level positions, to go back and look at are there things that we haven't done for more advanced level employees that are minorities? So, can we think even further outside of the box? Are there activities that we can do where we can focus recruiting on advanced skilled Hispanics and/or Asians and/or African Americans, that we haven't done before because if, in fact -- I agree with your statistics -- that if underrepresentation is only done by Hispanics, almost 45 percent of them will be entry level. And our problem with Hispanics is retention. They don't see fulfillment up the ladder.

lot of people in, are you going to retain them?

So, I think even though you might get a

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1 CHAIRMAN MESERVE: Well, we very much want 2 to do that, obviously, and I think the suggestions 3 that you and your colleagues on the groups have how we can improve the access at all levels and also make 4 5 sure that we retain the people we hire would be 6 something that we all want to do. 7 Let me turn now to Commissioner Dicus. 8 COMMISSIONER DICUS: Thank you. Let me 9 begin by congratulating Ms. Little on Diversity Day. 10 You and your staff did a really great job, and the others that helped. Hopefully next year I won't have 11 12 to dance. 13 CHAIRMAN MESERVE: I think that's going to 14 be a regular --15 COMMISSIONER McGAFFIGAN: I think the 16 Commission wants to be in the second row. 17 COMMISSIONER DICUS: No, I'm going to be But congratulations, I very firmly 18 in the back. 19 support it, and I do want to say to Luis, it is a 20 rotational assignment. You will be back. 21 Ms. Norry, a question for you. I may or 22 may not have heard your statement right, so you can 23 correct me, but I thought you said that like at the 24 SES/SLS levels, your targeting them to where we know 25 we will have openings. Did I hear you right?

MS. NORRY. What I meant to say was that
in the next candidate program, which is going to be
coming probably starting out within the next few
months, we will not perhaps have as broad a
representation of disciplines. Let me just give an
example. For instance, in the current candidate
program, we have a number of administrative people,
candidates for administrative positions. They will be
placed eventually, but their placement is not as quick
because the positions are fewer, they don't open up as
often. So, we would probably not target as many of
the candidates in the next program for those, but
rather in the technical areas, the program areas where
we know we are going to have the greater need.
COMMISSIONER DICUS: Will we be able to
deal with diversity under those sets of circumstances?
MS. NORRY: I think we will, we will deal
with it. We will deal with it. That's obviously one
of the goals
COMMISSIONER DICUS: That's where I'm
going with the question.
MS. NORRY: for the program, and we
I can tell you that the senior managers are very much
aware of that as a goal, and so, yes, we will.

questions I have are really update status questions, and one of them has to deal with the last briefing we had. I had asked the question about -- because it was an issue that had been raised, that our clerical and support staff get the sponsored training that they need, and we were dealing with contracting for that. And you've talked about contracting for rotational, is that the same thing, or where are we with -- or am I lost here -- but how are we dealing with being able to get our clerical and support staff trained?

MR. BIRD: Well, we have several training programs that are aimed right at that. Certainly, our inhouse training --

COMMISSIONER DICUS: Because they didn't think they were getting the training.

MR. BIRD: We do have a specific program for that that is career-enhancing, if you will, and we have a lot of training available, and this is not in a program sense, but we have an administrative skills program that would potentially enhance, for example, a secretary to more advanced administrative skills, and we will continue to provide that. And then we have, of course, the individual training opportunities that can be dealt with with an individual. If they

have a target position, for example, they can come in and do a series of training events both inhouse, potentially, and out-of-house, that would give them better potential to be competitive for those jobs.

MS. NORRY: The other thing that I think relates to the contract that Paul discussed earlier is that to the extent that there is a problem with providing training to clerical/secretarial employees, because they are needed on the job, then this contract thing could also help in that situation.

COMMISSIONER DICUS: Okay. The other question I would ask -- and it brings up something that Mr. Leslie mentioned on corporate memory. What is our status of attempts to have a formal program inhouse to maintain or capture the corporate memory when someone leaves, because we know that's a problem. It's a problem with our fitness-for-duty rule, for example. So what are we doing there?

MS. NORRY: One thing that will help is the over-hiring authority that we asked for in the budget that says that we're not going to let someone leave without -- someone who has critical skills that we're going to need, to bring someone in to work sideby-side with that person. That's why we asked for

that, for that extra amount in the budget, to facilitate that. Now, that's one piece of the effort.

DR. TRAVERS: We've also gotten some limited authority to bring people back and compensate them -- you know, we could always bring them back.

COMMISSIONER DICUS: I'm wanting this on the record, where I'm going with this.

DR. TRAVERS: They could be compensated in a way that might make it seem attractive. So, in limited fashion, in instances where perhaps someone has left and we haven't been able to in advance take advantage of some of those strategies that you heard tell about today, we have some ability to bring them back for a time and pay them.

MR. REYES: Commissioner, from an office point of view, we have worked with HR, and in many cases now we have posted and filled some of the jobs in anticipation of a loss, and we actually have double-incumbency for a while, and that was a practice that was hardly ever used in the past, and working with Paul we have moved into that. We have done that both at the sites with the resident inspectors may be leaving because of continuity is important on what issues, et cetera, et cetera. So, I think you're going to see more and more double-incumbency and early

1 advertisement of jobs to try to have some 2 corporate memory transfer. 3 CHAIRMAN MESERVE: Thank you. Thank you, Mr. Chairman. 4 5 CHAIRMAN MESERVE: Very good. We do have 6 a large number of various representatives of the EEO 7 Advisory Committees who are here, and I'd like to provide them with an opportunity to provide some 8 9 additional statements, if they should choose to do so. Mr. Wong is here as the representative of 10 the Asian Pacific American Advisory Committee. 11 MR. WONG: Good morning, Chairman Meserve 12 13 and Commissioners. On behalf of the Asian Pacific 14 Committee, American Advisory we appreciate 15 opportunity to address the Commission today. 16 In the past year, we put forward a set of 17 recommendations to enhance EEO objectives and 18 diversity goals. To some extent, our recommendations 19 helped facilitate a successful outreach program that 20 resulted in a large increase in Hispanic American 21 representation in the Agency today. However, we note 22 that while the Agency is improving its targeted EEO 23 objectives, we feel that the recruiting efforts aimed 24 at targeted minority groups such as Asian Americans

and African Americans were not as effective.

put, we would also like to emulate the success of the outcome of the Hispanic American recruitment efforts.

acknowledge the Agency's efforts in the areas of recruitment and retention, communications. succession planning, and open However, we note that the number of Asian Pacific the feeder groups Americans in for management advancements and in the SES ranks continued to be historically low compared with the available pool of highly technically qualified Asian Pacific Americans in the Agency today. We would like greater management focus on the issue of advancement of Asian Pacific Americans to management positions, and also to higher grade level positions.

We recommend that management focus in the following areas: improve Asian Pacific American representation in senior management assignments; encourage active participation in formal as well as informal sponsorship between SES managers and Asian Pacific American employees to enhance help develop career advancement potential; broaden Asian Pacific American experience and participation in leadership and management assignments as part of the retention effort; finally, we recommend active participation of all Program Offices to increase efforts in targeted

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recruitment and in career development of Asian Pacific Americans.

Absence of Asian Pacific Americans in senior level management positions and in the pipeline to higher grade level positions critically undermine the Agency's diversity goals. Therefore, we urge that these recommendations be effectively addressed in the near future. Thank you.

CHAIRMAN MESERVE: Thank you, Mr. Wong.
Mr. Marshall. He is here for the Advisory Committee
for African Americans.

MR. MARSHALL: Good morning, Mr. Chairman and Commissioners, and thank you for this opportunity to discuss areas of concern to the Advisory Committee for African Americans.

We have two areas that we think warrant management attention. The first area has two parts, SES and non-SES supervisory representation of African Americans. The second part of that would be the number of African Americans in the pipeline for SES and non-supervisory SES positions. As was mentioned earlier, there is a very small pipeline for African Americans to those positions. The second area is hiring of African Americans at the entry level, at the

experienced levels, and also with regard to hiring is also retaining the people that are hired.

There is an increased clear focus on entry level, the number of recruitment to HBCUs, for instance, but we think it is also important to start putting more effort into hiring experienced African Americans into the Agency.

We met with Dr. Travers and Ms. Norry to discuss these, and they were very receptive. Actually, we felt it was very positive to get the action plan going that we felt was a result and a very positive outcome of discussing these concerns.

Specifically in the action plan, areas that we're very interested in, again, as mentioned before, was sponsorship and mentoring, specifically providing feedback to African American employees on what are the development assignments that would lead to promotion and advancement in the Agency. What are the opportunities? Sometimes not everybody is fully aware of the opportunities that are out there, and hopefully with the enhancement this will become clear.

There has been progress. If you notice, we only have two issues this time. In the past, it's been four. One issues that's been addressed was the EEO element in the non-SES management position, and

the other was upward mobility. Talking with SBCR, it
is clear there has been advancement in that, and we're
satisfied, but we believe these two areas are actually
very important at this time in NRC because of the
changes occurring in NRC, the number of hirings, the
turnover in management, and actually this is a time
for NRC where we might be shaping the NRC, how it
looks for the next number of years. And so right now
is the time to address advancement of African
Americans into the management positions and hiring of
African Americans into the Agency. Thank you again.
CHAIRMAN MESERVE: Thank you. Ms. Gunn,
from the Federal Women's Program Advisory Committee.
MS. GUNN: Good morning, Mr. Chairman,
Commissioners. FWPAC feels that overall women are
much better in the Agency today than they ever have in
the past. We believe that the Agency-wide approach to
EEO will continue to enhance opportunities for women
in all grades to advance in the NRC and increase the
number of women in the applicant pool for future SES
and SLS positions.
We believe this plan should model itself
on some of our current successes, such as the upward
mobility positions in Region IV, and the recruiting

initiatives that we've discussed quite a bit today in 1 2 Headquarters and Region II. 3 During the next year, FWPAC intends to focus on identifying and helping women to get into 4 5 developmental opportunities, such as rotations between 6 the regions and Headquarters, and once again these 7 opportunities for women in all grades to move up. We would like to thank management for 8 9 their support, and we look forward to continuing to work with you on these issues in the future. 10 11 CHAIRMAN MESERVE: Thank you. Mr. 12 Ibrahim, is from the who Committee on Age 13 Discrimination. 14 MR. IBRAHIM: Good morning, Mr. Chairman 15 and Commissioners. On behalf of the Committee on Age 16 Discrimination, Ι would like to express mу 17 appreciation to you for giving us the opportunity to address the Commission on aging issues. The Committee 18 19 would also like to thank the EDO, Dr. Bill Travers, 20 for meeting with us last month to discuss our concerns 21 and goals. 22 In addition, we thank Ms. Irene Little and 23 her staff for their cooperation in providing us with 24 recent performance appraisal data for our continuing

analysis for age discrimination issues. Based on this

analysis, we would like to take the opportunity to bring some of our concerns to your attention.

One of the continuing goals is to ensure fair performance appraisals for older workers at NRC. In a previous briefing, the committee has indicated that this is an issue of concern, and based on the analysis of year 2000 data it remains a concern.

CAD recommends that some action be taken to inform and sensitize management to ensure fair performance appraisals and promotion. We will continue to work diligently with SBCR and analyze additional data and report our findings to you. We hope that in future briefings we can report to you that progress has been made towards more equitable and fair performance appraisal and promotion for older staff.

My next issue has been touched greatly on, and that is 40 percent of NRC workforce eligible for retirement, to transfer experience and knowledge to younger staff needs to be a high priority. Also, in addition to hiring recent college graduates, NRC management should increase their hiring of persons with significant industrial experience. The committee also recommends exploring options to retain retirement

1 eligible employees to complete their knowledge 2 transfer. 3 Finally, I would like to say that the committee was pleased to learn that NRC is exploring 4 5 ways to rehire some of the retirees who possess critical job skills and experience they have gained 6 7 through their successful career with NRC. Thank you. CHAIRMAN MESERVE: Thank you. Mr. Abu-Eil 8 9 is here, and he is with the Joint Labor Management EEO 10 Committee. MR. ABU-EIL: Good morning. On behalf of 11 12 the Joint Labor Management EEO Committee, I would like 13 to thank the Commission, the EDO and the SBCR for the 14 opportunity to present the committee's views and recommendations regarding EEO issues and affirmative 15 16 actions. 17 The committee recognizes the extensive effort of diversity and acknowledge the EEO progress 18 19 made since the last briefing. The Joint Labor 20 Management EEOC believes that the Agency needs to continue to build momentum in addressing EEO and 21 diversity issues implementing affirmative actions, and 22

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In my briefing, I would like to recognize progress. I do not just like to ask for what needs to be done, although I will touch, of course, on these issues.

I would like to recognize that tangible progress has been made by the Office of Small Business and Civil Rights, and NRC offices. Since the last briefing, the office Directors and managers of NMSS and NRR have met with the Joint Labor Management EEOC and discussed specific committee issues. To give you examples, NMSS and NRR also plan to continue to meet with these committees and the progress made follows: Both offices assigned senior managers to work with our committee and with the rest of the committees to help addressing particular EEO diversity issues. NMSS management, for example, coordinated with SBCR and conducted a diversity survey and met with the staff to discuss survey results immediately. NMSS established a diversity panel which we would like to see also in similar cases with the other offices to tackle EEO and diversity issues and provide recommendations for resolutions. good approach.

Several NMSS divisions conducted staff meetings to discuss diversity and solicited staff

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suggestions. The Joint Labor Management EEOC acknowledges NRC's continuing efforts to recruit women and minorities. We see progress in this area. And also we see progress in improving communications.

More recently, as you know, the EDO allotted time to meet with each individual committee on separate basis, not as chairs of these committees, each individual committee, to discuss and address EEO issues. We believe this is a progress. This is improvement in communications and feedback.

The Joint Labor Management EEOC believes that the Agency needs to continue to build momentum. In this regard, the Joint Labor Management EEOC recommends that NRC focus on the following EEO and diversity issue. As the other groups mentioned, we would like the NRC remain diligent in its activities and focus its efforts to increase opportunities for advancement of women, minorities, and disadvantaged groups to professional, supervisory, management, executive, and senior level positions.

We would like the Agency to continue to implement the mentoring program and strongly encourage participation of women, minorities and disadvantaged groups in the program.

The Agency should continue to improve internal communications and awareness of EEO, diversity and affirmative action goals and objectives.

Another important issue which was raised Commissioner Dicus, should bу NRC encourage workplace where the knowledge NRC's aging population is rewarded with respect. We would like to give these people rewards and respect in order to give us their knowledge. One way to achieve that would be to develop a program to encourage the transfer knowledge through an on-the-job training process that pairs new employees with those older staff with corporate knowledge. I call this a pairing program. This way, it will give incentive to the new employees, give them corporate knowledge, at the same time you learn from the new employees.

The last issue I would like to address is since Federal agencies have moved in the direction of recognizing the value of a family-friendly workplace, NRC must ensure that managers do not discriminate against those staff with family obligations, but should work to encourage creative solutions to retain valuable employees.

The Commission must emphasize that a family-friendly workplace is essential to retain

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experienced staff and to establish a more productive working environment.

In addition, as an example, part-time employees should be given similar opportunities for rewards and promotion as full-time employees.

In conclusion, the Joint Labor Management EEOC is encouraged by the new initiatives of SBCR, HR<
NMSS, and NRR in addressing EEO and diversity issues and we are optimistic that further progress will be made. The committee appreciates the Commission attention, as usual, and their continuing efforts to bring about significant changes to improve EEO and diversity at the NRC. Thank you.

CHAIRMAN MESERVE: Thank you. Mr. Leslie has earlier participated here with us as basically a representative of all of the Advisory Committees. I would like to provide you with an opportunity, if you would like to take it, to make a statement with regard to the Hispanic Employment Program Advisory Committee, of which you are the Chair.

MR. LESLIE: Thank you once again for the opportunity to address you today. I will acknowledge some successes, expand on some of my earlier comments, and highlight a few other equal employment opportunities that impact Hispanics specifically.

The focused recruiting effort in Puerto Rico is the Agency's most visible success. Other positive actions include the representation of Hispanics in the Leadership Development Program, the Executive Leadership Program, rotational assignment high visibility positions, and the coordination of efforts between the offices and HEPAC Committee. We urge that these positive steps be continued.

We believe continued innovation and adequate preparation are necessary for future success, especially in the recruitment area. For instance, creative efforts to address persistent underrepresentation of Hispanics at all levels of the Agency must be pursued.

As I touched on earlier, retention of Hispanics in the Agency needs to be more fully addressed. The annual departure rate of Hispanics is almost twice that of the Agency as a whole. This loss of Hispanics decreases the effectiveness of focused recruiting efforts. The use of other agencies' Webbased management tools to address Hispanic EEO issues may assist in combatting the NRC's Hispanic retention problem.

Finally, we look forward to hearing the insights that Ms. Norry will bring back from her

participation in the InterAgency Council which 1 2 addressing underrepresentation of Hispanics in the 3 Federal workplace. This Council is a result of the recent 4 5 reaffirmation by President Bush of а previous 6 Executive Order. That Executive Order outlines steps 7 that agencies should take address to Hispanics 8 underrepresentation of in the Federal 9 workplace. We look forward to seeing the Agency's response to the request outlined in the Executive 10 11 Order. Thank you. 12 CHAIRMAN MESERVE: Thank you. I would 13 like also to provide an opportunity for Mr. Yeilding 14 to make a presentation to us on behalf of the National Treasury Employees Union. Mr. Yeilding. 15 16 MR. YEILDING: Thank you, Mr. Chairman. 17 The National Treasury Employees Union would like to thank the Commission for the opportunity to comment on 18 19 the Agency's EEO progress and highlight areas the 20 Union has assisted the Agency in improving. 21 As you know, the Union supports the 22 statement from the Joint Labor Management Committee, 23 which is the Union's representative in the

process.

The Agency and NTEU has successfully completed eight months of negotiations that resulted in over 75 changes to the Collective Bargaining Agreement. I'd like to briefly describe a few of those changes that relate to EEO issues.

Both the grievance process and the EEO process include the opportunity for employees to resolve a problem using mediation, short of litigating through arbitration, and the new contract will only permit that mediation process to be used once to prevent unnecessary duplication.

Even though it has always been the case, the contract now clarifies that employees, during an initial 30 days of EEO counseling, may be accompanied by a Union Steward to assist them in any of their discussions or resolution meetings.

Since employees with an EEO concern have undergone a 30-day period of counseling, the informal grievance process will no longer be utilized. Employees electing to have NTEU assistance will process their case directly to a Step A grievance. That will also streamline the process a little bit.

And discrimination based on sexual orientation may now be addressed through our internal

procedures, even though employees, as yet, do not have rights under Title 7 of the Civil Rights Act.

Touching base, my last topic on employee retention, the retention of employees is key to ensure the EEO progress is not erased by the Agency's attrition. Recruitment in many areas will have no long-lasting effect if employees simply use the NRC as a training ground and move on to other agencies or the private sector.

flexible As all know, workplace conditions are being expanded throughout the Federal Government as a result of proven retention statistics in the private sector. Flexiplace or work-at-home is NTEU and the Agency have reached a prime example. recent agreement to expand our work-at-home program and have documented this agreement in the Collective Bargaining Agreement which will become effective the first week in October. The first step of implementing this is for office Directors and Regional Administrators to identify those positions for which employees will be permitted to participate. It is the Union's hope that the Agency will embrace concept and open up opportunities this new employees to work at home a portion of their work This might be a future metric when we

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identifying metrics to identify the expansion of the flexiplace program.

I'd like to close by saying the National Treasury Employees Union looks forward to working with the Agency to establish a better work environment and improved diversification in the workplace. Thank you, Mr. Chairman.

CHAIRMAN MESERVE: Thank you, Mr. Yeilding. Actually, I'd like to thank all of the members of the Advisory Committees and the Union representative as well. I think that it's clear that we have made progress in this very important area. think it's also equally clear from all of your statements that there are issues that remain to be addressed. We are aware, I think, of items that you have raised with us, and I think they do demonstrate that this is some continuing obligations for all of us to be able to look for continuing progress and winnowing away of the issues that we have in front of us. You all play a very important role in that process in sort of providing us with insights and providing others at the table with insights as to how the process should work.

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Before I bring this to a close, let me 1 2 turn to my colleagues and see if any of them have any 3 comments or questions that they'd like to raise. (No response.) 4 5 If not, let me just say I speak for all 6 the Commission when I say that equal employment 7 opportunity is essential to the success of this Agency. It is in everyone's interest to ensure that 8 9 all employees have the opportunity to participate in 10 the accomplishments of the Agency, to be able to advance their career goals, and to work in 11 12 environment that is absolutely free of discrimination. 13 I'd like to thank all of you for your 14 candid views of our efforts in this area, look forward to briefings in the future. 15 16 I'd also like to wish Luis great success 17 in his hopefully brief departure to Department of Energy, and want you to know that we all --18 19 CHAIRMAN MESERVE: That hopefully -- you 20 need to scratch that "hopefully". 21 CHAIRMAN MESERVE: Scratch "hopefully". MR. REYES: Emphasizing the "brief" part. 22 23 CHAIRMAN MESERVE: Emphasize the "brief". We look forward to your return. With that, we are 24 25 adjourned.

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1	(Whereupon, at 11:25 a.m., the Commission	
2	was adjourned.)	
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