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                       UNITED STATES OF AMERICA
 2
                     NUCLEAR REGULATORY COMMISSION
                        OFFICE OF THE SECRETARY
                              MEETING ON
               NRC STAFF INTERACTIONS WITH STAKEHOLDERS
 6
               ON NUCLEAR MATERIALS AND WASTE ACTIVITIES
                            PUBLIC MEETING
                                  Nuclear Regulatory Commission
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11
                                  One White Flint North
12
                                  Building 2, Auditorium
                                  11545 Rockville Pike
13
                                  Rockville, Maryland
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15
                                  Tuesday, November 9, 1999
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               The Commission met in open session, pursuant to
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     notice, at 9:30 a.m., the Honorable RICHARD A. MESERVE,
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     Chairman of the Commission, presiding.
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     COMMISSIONERS PRESENT:
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              RICHARD A. MESERVE, Chairman of the Commission
21
              NILS J. DIAZ. Member of the Commission
22
               EDWARD McGAFFIGAN, JR., Member of the Commission
23
               GRETA JOY DICUS, Member of the Commission
24
               JEFFREY S. MERRIFIELD, Member of the Commission
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     STAFF AND PRESENTERS SEATED AT THE COMMISSION TABLE:
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               KAREN D. CYR, General Counsel
               ANNETTE L. VIETTI-COOK, Assistant Secretary
               WILLIAM TRAVERS, Executive Director for Operations
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               CARL PAPERIELLO, DEDO
               EDWARD SCHERER, Manager, Nuclear Regulatory
                Affairs, Southern California Edison Company
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               JACK B. ALLEN, Manager, Columbia Plant,
9
                 Westinghouse Commercial Nuclear Fuel Division
1.0
              ROLAND FLETCHER, Organization of Agreement States,
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                Radiation Health Program, Air and Radiation
12
                Management Administration, Maryland Department
                of the Environment
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               PETER HERNANDEZ, Employee Relations, American Iron
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                 and Steel Institute
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16
               KEVIN KAMPS, NIRS
               KATIE SWEENEY, National Mining Association
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               ROBERT HOLDEN, Director, Nuclear Waste Project,
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19
                National Congress of American Indians
               JOE RING, Harvard University, Environmental Health
20
                 and Safety
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22
               ELSA NIMMO, Radiation Safety Officer,
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                 Honeywell-Measurex Corporation
               ROY BROWN, Director, Regulatory Compliance,
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                Mallinckrodt, Inc.
                         PROCEEDINGS
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                                                     [9:30 a.m.]
               CHAIRMAN MESERVE: Good morning. I'd like to
     welcome you all to a meeting concerning NRC Interactions
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     with Stakeholders on Nuclear Materials-Related Issues.
              As I think most of you know, my name is Dick
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     Meserve, and I am the new guy on the block here. I was
      sworn in seven days ago -- no, nine days ago. Time flies.
              And I'm sort of trying to get myself up to speed
     on a bunch of different issues. I'm joined this morning by,
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and scattered here through the group ahead of you, are three of my four colleagues. 12 13 To my immediate right is Commissioner Dicus, who 14 is really the inspiration for this meeting; Commissioner McGaffigan is there and has raised his hand; and on my left 15 is Commissioner Merrifield, and Commissioner Diaz has 16 17 arrived. I noted that he was stuck in traffic and that we should proceed without him, and I had noticed he'd arrived. 18 19 So, I'd like to welcome all of my fellow Commissioner here. I think that it's symbolic that we have put the 20 21 Commissioners in amongst all of us, because it's our intention today to have a conversation among the group. 22 23 Let me give a little bit of background concerning 2.4 this morning's meeting: The NRC has had a variety of 25 meetings with reactor licensees on issues of particular 1 interest to that sector. 2 And they have been sort of open-forum kinds of meetings like this one, and when I say reactor licensees 3 concerning reactor issues, they have involved not only the licensees but a variety of different individuals who are interested in or affected by rulemakings and Commission 6 7 decisions in that area. These meetings are helpful because they provide an important source of ideas. They're helpful because they 9 promote understanding of what the NRC is up to and what the 10 11 various issues that we confront are. And, quite frankly, they're important because this 12 13 is an Agency that strives to be open in its processes, and this facilitates the understanding this facilitates the 14 15 understanding of what we're up to; that there is a concern 16 that's an obvious concern that things that are not done in 17 the open are done behind screens for reasons that they would 18 warrant the exposure to the public, and that's not the case 19 in our decisionmaking. But we want to have openness, not only for the 20 21 benefit of the illumination of our decisions, but also so that people can have confidence in the reasons for those 22 decisions. 23 2.4 This is the first of the meetings with stakeholders on materials and waste issues. As all of you know, the focus of this meeting is on how to improve NRC interactions and communications with stakeholders in this 3 area. 4 We are joined here with people with an interest in differing substantive areas, and our focus today, however, is not on the substance; it's rather much more fundamental 6 and is to try to look at how the NRC communicates with and 8 interacts with various groups that are affected by our 9 decisions in this area. 10 We expect that the people who are around the table 11 have had a diverse set of experiences with the NRC, and we're seeking guidance on what works, what doesn't work, 12 what we should do and what we shouldn't do. 13 14 I think that this session and this issue is 15 somewhat more difficult in the materials and waste areas than it is in the reactor areas. In the case of reactors, 16 17 it's a reasonably small group of licensees, there's one major industry association, and a limited number of rather 18 19 focused stakeholder groups. The materials licensees, by contrast, 20 21 engage -- there are many more of them, and they're engaged in a far wider spectrum of activities. They are more diverse

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sets of associations and groups who represent those
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     licensees, and there are differing groups that affected by
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     them and are interested in and want to be participants in
1
     our processes.
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              I think that we're going to find, and I suspect
      that we're going to find that there isn't any kind of a
      one-model that would apply in every case.
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              And I hope that we'll explore some of that this
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     morning so we can get some sense of the processes we should
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     use for interaction in varying areas, with the expectation
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      that one area might differ from another.
              Our process this morning is relatively
      straightforward, I think. We're going to open with a Staff
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      briefing that Carl Paperiello is going to start.
               Carl, of course, is with the EDO, Executive
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     Director of Operations with the Deputy who is responsible
     for Materials. And he is going to describe what NMSS, the
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     Nuclear Materials Safety and Safeguards Group, he's going to
     describe what they have done to engage licensees in various
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     of their rulemaking activities and policy development
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     activities, and engage the public, and do that, walk through
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19
     various of the areas.
              He's going to discuss from the NRC's point of
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21
     view, whether these procedures have been helpful, and try to
2.2
     extract from us, some of the lessons that we think we should
23
      learn from those experiences.
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              I'm then going to walk around to some of the other
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      participants here. As all of you know from the invitation
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     letter, we've asked each of you to give a very brief
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     statement, five minutes or so as a maximum limit.
               Provide us with some notion of who you are, what
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     the nature of your interactions with the NRC has been, and
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5
      what your suggestions might be as to how we could improve
             Once we've given everyone a chance to sort of lay
      their cards on the table, then it's our hope for the
     remainder of the morning to engage in a discussion among the
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     full group.
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              If there are no comments from my fellow
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     Commissioners--
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               COMMISSIONER MERRIFIELD: Well, actually, there
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              CHAIRMAN MESERVE: There is? Please.
              COMMISSIONER MERRIFIELD: This is actually the
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     third one of these meetings that I've had a chance to
     participate in since joining the Commission, and like you, I
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19
     had my first one of these meetings very shortly after I
      joined the Commission. That was about a year ago at this
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21
      point.
22
               I found them to be instructive. I'm certain that
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     our new Chairman will enjoy them as I have. And certainly I
     want to initially thank the participants for coming by and
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25
      participating in this.
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              For me, it's very important, it's very
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     instructive, and very helpful in making the decisions that
     we need to make as a Commission, and I did want to express
     that this morning.
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               CHAIRMAN MESERVE: If there are no other opening
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comments, why don't we proceed? Carl?

DR. PAPERIELLO: Thank you. Good morning,

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Commissioners, invited stakeholders, and members of the
 8
      audience.
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               Could I have Slide 2, please?
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               The purpose of today's meeting is for a cross
      section of NRC's stakeholders to present to the Commission
12
      and the Staff, their views on their interaction with the
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      NMSS staff on a variety of issues within the nuclear
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      materials and waste management strategic arenas.
               The purpose of the meeting is not to dwell on the
17
      specific technical or regulatory issues, but on how the NRC
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      solicited stakeholder input, how the NRC Staff responded to
      stakeholder input, and how improvements can be made in the
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20
      stakeholder participation processes used by the NMSS Staff.
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               Slide 3, please.
22
               Specifically, we'll discuss the process for
23
      rulemaking and other regulatory activities in the areas
2.4
      shown on the slides. We could have added many more
      additional topics, but the number of stakeholders would have
 1
      been too large for meaningful discussion.
               We believe, however, that the information obtained
      today will be applicable for improving communications with
 3
      all of our stakeholders.
               Slide 4.
               The first case example is a case in which the
 6
      traditional process of an advanced notice of proposed
 8
      rulemaking was used. In addition, we held public workshops.
               T would note that the Office of Research had the
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      lead on this particular rulemaking which was in the era
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      between 1996 and 1998, the span of this particular activity,
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      but it had considerable NMSS support.
13
               The proposed issue was to strengthen the role of
      the RSO, Radiation Safety Committee, and in return for
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15
      stronger management, expand the changes the licensees could
      make in its program without a license amendment.
16
               Public workshops were held and advanced notice of
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18
      proposed rulemaking was published on November 14, 1996.
               One of the Agreement States had a similar idea and
19
     helped develop proposed rule language. However, as a result
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21
      of public comments and recommendations of the ACMUI, the
22
      rulemaking was terminated.
23
               The reasons for the adverse comments was the draft
24
      rule language was overly prescriptive, and that there were
      too many variances in the type of broad scope facilities and
 1
      their management structures to encompass in a rule.
 2
               Subsequently, what was proposed in the rule was
      offered as a licensing option in the Standard Review Plan.
 3
 4
      I think this is an example where the Staff heard the
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      feedback and responded appropriately.
               Can I have the next slide, Slide 5?
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 7
               Part 31 authorizes possession and use of byproduct
      material and fixed gauges under a general license, provided
 8
      the gauges are manufactured and distributed in accordance
 9
      with the requirements of Part 32.
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11
               Over the years, there have been problems with
12
      these devices because they have been lost, and as a
      consequence, been improperly disposed of. Although there
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14
      could be unacceptable dose consequences, most of the actual
15
      consequences have been economic.
               On occasion, smeltings in steel mills result in
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      costly cleanups, and if detected before smelting, scrap
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18
      dealers, recyclers and steel mill operators have the expense
      and other problems of disposal, and there has been
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considerable stakeholder input on this issue.
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              In response to Commission direction, the Staff
      published the proposed rule changes. Since some Agreement
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23
      States had already set up programs to increase control over
     generally-licensed devices, the Staff held a workshop with a
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25
      number of Agreement States to benefit from their experience.
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               A public workshop was also held to provide a forum
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      for manufacturers, distributors, and users of
3
      generally-licensed devices to discuss implementation aspects
4
     of the proposed rule and other related issues.
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               The Staff believes the workshops were effective.
      Feedback was received directly from the participants of each
6
      of the workshops during and following the workshops.
               The Staff believes that stakeholders seem to enter
      the process with little confidence that their participation
9
10
      would make a different, but left the workshop with the
11
     renewed confidence that the NRC valued their input and
12
     participation.
               As to lessons learned: Although published in the
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14
     Federal Register four to six weeks prior to the meetings,
     some participants did not become aware of the meeting until
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16
      shortly before the date of the workshops. We are now far
      more aggressive in noticing these types of meetings, and I
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18
      will describe later what the current process is.
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               Slide 6.
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               The Commission has approved a proposed revision of
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      Part 70 to risk-inform the rule to an integrated safety
22
     assessment process. The public comment period on this
23
     proposed rule, I note, closed October 13th of this year.
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               This ongoing proposed rule change has involved
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      heavy public participation, particularly on the part of the
      industry. All important documents and significant drafts of
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2
      selected documents were posted on a website.
               These postings include draft rule language,
     revisions to draft rule language, Standard Review Plan
4
      language, Staff comments on related issues, postings of
     comments received, and postings of the transcripts of public
6
7
      meetings.
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               All known interested or potentially interested
     parties were notified by e-mail when a new document was
1.0
      added to the site or when a public meeting was schedule.
11
              There were three public meetings between December,
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     1998, and March 1999. Of course, the Federal Register was
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      used to request comments on a specific number of areas for
      the proposed rule.
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              Slide 7.
16
               We believe these actions were very effective and
      led to the progress that was made on the rule after several
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      poor starts on this rule over a number of years. Several
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19
      rounds of two-way communication were needed, many times, to
20
     clarify an issue.
21
               This approach to drafting this rule, I believe,
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     led to the success to date.
23
               Improvements were made to the website as a result
     of early communication problems. Resource costs in time for
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25
     meeting preparation and for analyzing and communicating the
     results of these meetings were not properly planned for, we
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2
     determined later.
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Outreach for Part 63 has been NMSS's most

resource-intensive effort to date. The slide shows the scope of these efforts. The include, today, five public meetings, and there are additional ones that are being planned. 8 In addition to the official Federal Register 9 Notice, we contacted state, county, and tribal 10 11 representatives directly. We posted announcements at the 12 meeting locations in Nevada where these meetings were held 13 14 We met with the press before the meetings, and ran 15 newspaper ads two weeks before the meetings. We, of course, 16 listed the meetings on our website. 17 Comment forms were provided to the audience to be mailed after the meeting, and we developed a list of 18 19 participants that wanted copies of the transcripts. I believe we were effective in reaching people 2.0 21 that were directly impacted and interested in this issue. 22 23 Although not directly related to the Part 63 24 rulemaking, I want to note the use of video teleconferencing 25 between the NRC and DOE in Nevada, and Headquarters, for 1 routine, essentially monthly data exchanges. The State of Nevada participates in these calls by essentially being in the DOE video teleconferencing center 3 in Nevada. 5 I believe that this technology has applicability 6 to future outreach efforts. We've also learned the need to provide the Staff with communications training. Feedback from the first round 8 9 of meetings by our own Public Affairs people, as well as 10 others, was that the answers were too long, too technical, and we had too many NRC representatives answering the same 11 12 question. Feedback after the second round of meetings -- and 13 it was at this point that we were providing training, 14 15 communications training to the Staff the day before they went out to conduct these meetings -- the feedback was much more positive. 17 18 The Staff was complimented on the clarity of the 19 presentations, and the Staff's willingness to respond to questions and come into the various communities to discuss 20 21 issues of concern to the people in the local communities. Another major accomplishment was the fact that it 23 became clear that the public understood the difference 24 between the NRC and the DOE roles in the high-level waste site at Yucca Mountain. 15 1 Slide 10? Development of a Decommissioning Standard Review Plan, and a more realistic decommissioning dose modeling 3 4 guidance is another Staff activity directed by an SRM. This 5 is ongoing, and probably represents the current technical state of stakeholder dialogue. 6 The web is used to post documents. In this, it includes the initial Standard Review Plan, Draft Standard 8 9 Review Plan. One can submit comments and questions by way of the web, and public workshops are held to discuss 10 11 specific topics. 12 This Part 70 activity and high level waste 13 activity are examples of NMSS's highest level of public interaction, combining, in addition to the traditional 14 15 communication through the Federal Register, Internet

communication and public meetings.

Information is communicated before meetings, continually received during and after the meetings. 18 19 Slide 11 20 Time does not permit to discuss other areas where 21 there has been stakeholder interaction, such as Part 35, 22 Uranium Recovery, and West Valley, nor the development of 23 Standard Review Plans over the past five years for all the activities regulated by NMSS. These have been published in 2.4 25 draft for comment. 1 In addition to areas already discussed, major 2 areas that are now encompassed by Standard Review Plans include recertification of the gaseous diffusion plants. We're developing a licensing guide for a MOX facility, and 4 the approximately 26 Licensing Guides include encompassing the Materials Program that we share with the Agreement 6 States. In this latter case, there has been an Agreement State representative on just about all of the writing teams. Communication initiatives have produced changes, I 1.0 believe, in the Staff approach to many of the issues. 11 All the activities I discussed today were 12 13 influenced in many different ways. It also has to be noted that various stakeholders may have mutually-exclusive views 14 15 on an issue. 16 Some stakeholders say, well, we made our comments, 17 but you didn't change the rule or change what you were going to do in reaction to our comments. Well, in some cases, 18 19 we've had diametrically opposed comments. 20 How the Staff communicates continues to evolve. 21 Technological changes in communication will continue to 22 influence how we communicate with stakeholders. 23 In addition to traditional methods of communication by Federal Register Notice, paper draft 24 25 documents, and public meetings, we now have Internet communications, web postings, and e-mail. 1 Additional changes may occur from the shift to an electronic recordkeeping environment in the NRC. Video 4 teleconferencing and Internet media streaming may lead to 5 enhanced public participation at public meetings. We have learned that how we do outreach is 6 important. We have found that we have to use old and new technologies. We have to aggressively advertise public 9 meetings. 10 Although the Internet and the Web allow us to make 11 a lot of information widely available, the Internet may not be widely used in some communities. Personal interactions 12 13 are important. Along with hardware, we have to consider 14 people. 15 This last year we have been concentrating on 16 giving the Staff training in communications just prior to 17 conducting public meetings. This is an area that requires much more additional effort. 18 19 I believe that having raised, significantly 20 raised, the quantity of our stakeholder interactions, 21 priority now needs to be given to raising the quality. 22 Lastly we have to consider resources expended in 23 these efforts. We need to be aware that with limited resource base there is going to be a tradeoff on the number 24

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and timeliness of rulemaking completions and the number and

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confidence and efficiency and effectiveness.
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               Now I am going to note just for Fiscal Year 2000
     we have increased by eight FTE in NMSS the number of Staff
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     devoted to rulemaking with a split between what is needed
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      for actually writing the rules in stakeholder interactions
     and for risk informing the rules to meet our goal of making
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      our goals more risk effective.
               I now look forward to hearing from our
11
      stakeholders. Thank you.
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               COMMISSIONER MERRIFIELD: Mr. Chairman, if I may,
      if you will bear with me for one second --
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               CHAIRMAN MESERVE: Yes.
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               COMMISSIONER MERRIFIELD: -- I want to briefly
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      expand on something that Carl alluded to. We are in the
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     midst of an initiative right now to undertake video
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      streaming on the Internet of our Commission meetings,
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     presumably meetings such as our next meeting could be
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     videostreamed on the Internet as well as a host of other
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      meetings we have with the public here in White Flint, and I
      think this will dramatically increase our ability to reach
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      out to stakeholders to see how we are acting as an agency.
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               Our CIO has this effort underway. It should be
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     fully developed within the next few months and certainly I
      think many of us are looking forward to providing that
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      enhanced opportunity for public understanding of our
      actions. Thank you.
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               CHAIRMAN MESERVE: I would like to now walk around
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     the table and allow each of our other participants an
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      opportunity to provide an opening statement. Before I do
      that, however, I ought to introduce two other NRC, important
     NRC Staff members who are at the table and who I hope will,
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      as we get into our discussion, will participate, and they
      are Bill Travers, who is the Executive Director of
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     Operations at the end, and Bill Kane, who is the Director
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     for NMSS.
               I am just going to arbitrarily sort of circle the
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     table, and why don't I start to my left your right, and Roy
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     Brown I will call on now to give an opening statement.
              Roy is the Director for Regulatory Compliance with
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      Mallinkrodt, Incorporated.
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               MR. BROWN: Thank you, Mr. Chairman.
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               First of all, I would like to thank the Commission
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      and Staff for allowing me the opportunity to come here today
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      and talk to you. I appreciate Carl's comments. Just a very
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     general comment.
              We feel that the process NRC has been going
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      through for enhanced participatory rulemaking and for
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     involving the public and the stakeholders has been very,
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     very good.
               The process has been excellent. I'll have some
      more specific comments later on areas we feel improvements
      can be made and where we feel maybe the process has fallen a
 4
     little bit short, but I appreciate the opportunity to be
 6
     here today.
               CHAIRMAN MESERVE: Okay. Robert Holden is going
      to be with us I understand a little bit later. We will pass
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9
     by him.
               Our next participant is Dr. Joseph Ring. He is a
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     Radiation Safety Officer with Harvard University.
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              DR. RING: I would really like to thank you all
      for the opportunity to come here to speak about the issues.
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problem in order to achieve our goals of safety, public

You don't want me to speak about this now, but they are 15 putting up the slide, right? 16 CHAIRMAN MESERVE: They are putting up all the 17 slides. I think the thought was we would ask each of you to make your opening comments and then you'll come back to this 18 19 with the idea that if the slides are the vehicle you would 20 like to use for making your comments then please go ahead 21 and use them. 22 DR. RING: All right. One of the things that I 23 found, having worked with NRC over the years -- I am now in 2.4 an Agreement State -- I am active with the NRC on other 25 issues such as the clearance guidance that you were just 1 working with. Really I find that communication is very important. However, bad communication really leads to 3 4 distrust and misunderstanding and I think you have got that component on both sides, and I am going to try to give you an example that you all delivered to me this morning. 6 I followed the instructions on the building as I tried to walk in here this morning, and I followed the instructions to the auditorium and there was no door that 1.0 was open, so I went to the security guards and I asked them how to get to the auditorium, and they looked and they said, 11 well, where are you going? The auditorium? I said, well, I 12 13 would like to go to the auditorium. Well, there is a sign 14 out there that says go this way, and they said, yeah, the sign is there but it doesn't mean anything. You have to go 15 16 around back. That is people feel when trying to work with 17 the NRC. 18 You really are doing the right thing. You are saying the right things. You really want to do it, but when 19 20 you try to work with the NRC it becomes difficult for many people because they don't understand. Really what people 21 22 have said to me when I have gone out and asked them for 23 their comments is that the NRC is very process oriented, legalistic and reluctant to communicate about the issues 24 25 when they have the opportunity. 1 When it comes to things that the Commission asks 2 to implement, which are usually done with very good intentions, they get implemented inconsistently so that the 4 licensees feel uncomfortable trying to work with the NRC. This leads to people feeling that you all don't hear what they have asked for, which is difficult, which goes back to 6 7 feed the cycle. Now having made those observations, I want to impress that it is really not as bad as one can take it from 9 10 that. The recommendations I would like to make is that you 11 all consider push-out technology. Take advantage of the web systems. Many of the 12 13 byproduct licensees are quite disappointed that you dropped 14 your list servers because now the only way they can get information is to go back to the Federal Registers, which 15 16 means going to the libraries, which means that they don't 17 get the information until after your public comment period 18 has expired. 19 We suggest you take a look at putting multiple 20 list servers out there so that people can get on a list 21 server that is appropriate for them so that you can get the 22 information out to them. It has got to be cheaper than

going to the paper route that you have converted your list

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servers to.

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25 The web system is great. There is a lot of information there, but if you don't understand the web system it is awful hard to find things. I was looking for something on it the other day for the clearance guidance. I 3 even used a search engine and I couldn't find it. However, I found a listing to something that went to a different website and it was actually on the different website, so it is difficult to follow your web because the information is there but it is difficult to follow the information because 9 it is not presented in a user format. It is presented from 10 an insider's format. 11 One thing the people feel is that the NRC does not respond to the comments very well. They think that the 12 13 information that they have provided as comments disappears and doesn't come back out, and so they are saying, well, if 14 15 that is what is going to happen, why am I going to comment? They would like to see some kind of a method to 17 say this is how we have addressed your issue. I have heard 18 it already mentioned that when you have a diametrically 19 opposed answer that you didn't address their issues, and that is certainly acceptable, however when people don't know 20 21 that you didn't accept it for that reason they think you 22 ignored them. The regional meetings and facilitated meetings 23 work great. People like those. One thing that may be 24 25 suggested is especially in the early stages to have more 1

localized discussions, have work groups so that people can have a communication. In the clearance enhanced rulemaking 3 process people didn't realize until the end of the two-day 4 seminar they were talking about the same things and when they finally started to communicate effectively about it, 6 they said, oh, yeah, the things I was complaining about yesterday I understand now and they are not the same thing. We are talking about the same issues. We all could have gotten better communication by doing a work group a day and a half earlier and then had another day and a half to 10 actually work on the issues that you are interested in us 11 12 helping you with. 13

You have to be able to acknowledge difference of opinions. People out there feel that when something is said that -- let's say a member of the public or a licensee makes a comment that isn't necessarily true, people feel that the NRC doesn't respond to that. They just let it go, and when people have a difference of opinion people think that the NRC just leaves it. You need to say, well, we don't see it that way -- we see it this way, which goes back to your diametrically opposed comments. I really appreciate the request that you all have

22 23 made to ask us for comments. We think you are really 24 interested in doing it. We think that with some refinement 25 the instructions that you as Commissioners send to your

staff and that we ultimately hear as interested parties, we think we can harmonize those. Thank you all.

3 CHAIRMAN MESERVE: Thank you very much.

Roy, I think you intended to say more than you did 4 initially? 5

6 MR. BROWN: Yes, opening remarks -- I had a full five minutes of commentary too, so I will go ahead and start 8 on that.

9 Let me go back and reintroduce myself. I am Director of Regulatory Compliance for Mallinckrodt,

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Incorporated, based in St. Louis. Mallinckrodt is a
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      manufacturer of radiopharmaceuticals. I am here today to
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      also present comments on behalf of the Council on
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      Radionuclides and Radiopharmaceuticals or CORAR. CORAR is a
      trade association representing the manufacturers of
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      radiopharmaceuticals and manufacturers of radionuclides for
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      use in medicine and biomedical research.
               Let me start off with a general comment about
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      stakeholder involvement. I think stakeholder involvement
20
      very much encourages good rulemaking. It provides an
21
      opportunity for expert advice from the industry.
22
               What we will typically do in enhanced
23
      participatory rulemaking and in all rulemaking where we get
      a chance to, all the stakeholders to interact, we will quite
24
25
      often bring in practicing physicians, clinical physicians
1
      that practice nuclear medicine every day of their career.
      We also bring in from the manufacturers people that handle
 2
      tens of thousands of curies of unsealed radioactivity on a
      daily basis, so we have experts coming in offering advice on
 4
      rulemaking or ways to address rulemaking and quite often
      there is a great deal of experience in the room and that is
 6
      why we like the stakeholder involvement. It gets the people
      to really use the material out in front of the Staff, out in
 9
      front of the Commissioners.
10
               Also, we feel it is very important to provide an
11
      opportunity for the environmental community. They also are
12
      a stakeholder. They also have very valid concerns just as
13
      industry does. We also feel it is very important that all
14
      the players are at the table. Any time you have a
15
      stakeholders' meeting it is important for the industry and
16
      members of the public and environmental interests to be
17
      there as well.
               Going back to my earlier general comment on the
18
19
      NRC's process, the NRC's process is very, very good. In the
20
     last several years the process of enhanced participatory
21
     rulemaking and stakeholder involvement is a very good
22
23
               We do have some concerns because we feel like the
24
      process is good but sometimes the results fall a little bit
25
      short of where we would like things to be. The facilitated
      meetings seek to get input from all, and that is a very good
1
 2
      and honorable process and a goal.
               We feel the NMSS Staff with Carl and Bill Kane is
 3
 4
      very good. There are quite a few experts within NRC that
      understand our industry very well, understand the
      manufacturing process very well, but once again the experts
 6
      we bring in are clinical physicians. They are people that
      work with tens of thousands of curies at a time. We have
      experts in the field and quite often we feel that the
10
      experts may even know more than the Staff in these certain
11
      circumstances, so we value their opinion. We think they
     have some very good advice to give to the Staff and to the
12
13
      Commission.
14
               Quite often when we bring the experts in to
15
      testify or participate in the stakeholder meetings we feel
16
      that their comments are not always taken into consideration.
17
      We understand that there will be a difference of opinion and
      the Staff and the Commissioners will differently than the
18
19
      licensee will feel, but if we would like our comments
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      explained or for some reason our comments are not
21
      incorporated into the rulemaking it would be nice to know
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why they weren't or the rationale the Staff used to discount 22 23 our comments. The trouble is when you take the time and the 24 25 effort to prepare these comments and participate in this 1 process it gets discouraging if you feel like you

participate and then at the end of the day you look at the 3 final result in the rulemaking and there is no evidence of Also, in conversations with the ACMUI there seems 6

to be some frustration there too, where they feel like recommendations are made quite often to the Staff and the Staff accepts the recommendations of the ACMUI but for some reason they don't make it into the final rule, and the members of the ACMUI that I have talked to feel like quite often it is the Commissioners that put up a roadblock to some of the suggestions they make.

Also, I just wanted to mention a few of the enhanced participatory rulemakings our industry, the manufacturers of radiopharmaceuticals and medical radionuclides, have participated in the last few years, and a little bit of the frustration with these.

I realize this is going way back, but in the late '80s we were involved in the Price-Andersen effort. There was some concern on the part of manufacturers because we were unable to get commercial insurance for our facilities and at the time there was some reauthorization of Price-Andersen going on. We paraded in a whole army of insurance specialists saying that, yes, we are not willing to write you a commercial policy to ensure your plants. We

thought we presented a very credible case, but then we were very disappointed when the NRC decided not to include our

types of facilities in Price-Andersen.

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We were very involved in the strategic assessment 4 of rebaselining initiative from 1996 to 1997. Our industry 5 6 was involved in each one of the public workshops, participating in each one fully. We made what we thought were good suggestions, good recommendations to the 8 9 Commission and were once again disappointed that that effort 10 didn't go further and didn't incorporate our comments and we 11 had no explanation why those weren't incorporated.

Lastly, our Part 35, which is an ongoing process as you know, we have been very active in that as well for the last few years. Once again we are presenting comments and we feel like they are not always being fully incorporated and we are not getting good explanation why those aren't being incorporated.

18 As I said before, most of these discussions have 19 been very beneficial. They involve many, many stakeholders, which is a good process. Once again I want to point out 2.0 21 that we feel the process is good. We are just concerned 22 about the results falling a little bit short of our expectations. Thank you.

2.3

24 CHAIRMAN MESERVE: Thank you. Let me turn now to 25 Ms. Elsa Nimmo, who is a radiation safety officer with

Honeywell Measurex. 1

MS. NIMMO: Thank you. I'm going to start off by 3 telling you a little bit about my company. We're probably a bit different than the other people represented around the 5 table. We're a manufacturer of generally licensed devices. 6 COMMISSIONER MERRIFIELD: You actually probably need to pull that microphone -- the whole base closer to you

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so it's a little closer to your mouth.
               MS. NIMMO: Better?
9
10
               We have facilities scattered around the world,
      with some in North America and some in Europe as a sideshow.
11
     I work as a radiation safety officer at the historic
12
13
     headquarters for my company, which is in Cupertino,
14
      California.
15
               If I could have the second slide.
16
               I should back up and say some of these slides
17
     you'll notice are labeled Measurex. Others are labeled
     Honeywell Measurex. We were -- we are one of the companies
18
19
     that's recently been in a merger. Probably throughout the
20
     presentation I will talk more about Measurex just to shorten
21
22
               We build -- we manufacture gauging devices that
23
      are used in the flat sheet industry. And I want to tell a
24
      little more about that.
25
               A typical Measurex system includes a whole number
1
     of sensors that scan across a sheet as that sheet is being
     produced, say it might be paper. So a paper mill would buy
      a gauge that Measurex has manufactured and uses that gauge
3
4
      in their process control to control different variables,
      maybe the color of the paper, the gloss, the strength.
5
              One of the most fundamental measurements is the
 6
     weight per unit area, or the thickness of that sheet. And
      that measurement is usually made using a radiation beam.
     That weight sensor is only one very tiny piece of a rather
9
10
     large, complicated computer base system. Without that
11
     weight sensor, we really wouldn't have a product. And I
12
     don't think we or our competitors would exist if we couldn't
13
      make that measurement.
14
              I'm a radiation safety officer, and I very much
     enjoy working for my company, but I'd probably be the first
15
      to counsel my company if they had a way not to use
16
17
     radioactive materials in their devices, that they should go
     for it. The truth is, to make that particular weight per
18
      unit area measurement, my company and our competitors have
19
20
     spent quite a bit of time looking at alternatives. You can
     think of other forms of electromagnetic radiation that might
21
22
     work. But as it turns out, most of those alternate
23
     technologies aren't particularly good for making that
     measurement. They simply can't do it with nearly the
24
25
      accuracy, particularly when you're talking about making
1
      measurements on a product where the chemical composition is
      not fixed, as in paper, aluminum, other sheet processes.
2
               So as it goes we are stuck with using radioactive
 3
      material to make this measurement. Our customers, the paper
 4
      mills, the aluminum mills, plastic sheet production
     facilities, use it to, as I said, do quality control, live
 6
7
      time, as they're producing whatever their product, their
     sheet product is, and in the end it ends up minimizing their
     use of the raw materials for the paper plant. It might be
10
      something like 5 percent annually reduction in need for wood
11
      pulp. It also ends up reducing their energy. It reduces
     the time they spend making inspect product.
12
13
               I'm not a salesman, but I think we have a really
14
     good product, and I think it's something that is worth
15
      manufacturing.
16
               Now to the point of our meeting today,
17
      interactions with the NRC. I want to start by saying we
18
     distribute throughout the world and throughout the United
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States, and we've distributed at this point to about 46 --
19
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we've distributed sensors that contain radioactive sources 20

to about 46 of the 50 States. Thirty of those States are

22 now Agreement States, and 16 of the States are not. In

addition, once we've sold the equipment, we have employees 23

that work in all of those States doing the installations of 24 25

the devices, then doing the ongoing testing of the devices,

and on a daily basis maintaining the devices so that they

make the measurements that they're designed to do.

3 I have to say the single biggest frustration of $\ensuremath{\mathsf{my}}$

career in working in radiation safety is the huge fraction 4

5 of the radiation safety time that's put into not improving

safety but coping with the variations in regulations between

the NRC and the Agreement States. This is really costly.

It's nonproductive. It confuses our customers, confuses our

9 employees, and it in no way promotes safety.

10 Whenever the NRC comes up with a meeting to

11 consider new regulations that's going to affect us or affect

12 our customers, we make the effort to participate and speak

13 up about our concerns. Now we're located on the west coast,

so it's not -- this doesn't come easy, but we think it's 14

15 important.

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16 One of the major themes of our comments invariably 17

is please, NRC, as you're setting these new regulations,

consider the need to sight your compatibility requirements 18

19 high. In other words, consider the need to set the

regulations up in a way that when the Agreement States are 20

looking at them, they would be asked to adopt essentially

22 the same language in those.

We very much hope that that concern is heard. The increasing consistency is really burdensome. So far I guess

25 my impression is NRC is extremely good about soliciting our

comments and asking us to give them feedback, but our second 1

conclusion is if we propose something that flies in the face

of the States' individual desire for maximum freedom, our 3

concern is not really terribly likely to be heard or acted

upon. I hope I'm wrong in that assessment, but that is our 5 6

impression to date.

So I'm stating some doubts about whether a certain

type of input actually can be heard. And maybe it's, as my

colleagues have mentioned around the table, maybe our

10 concern is being heard, but in the feedback from the NRC,

we're not hearing okay, we've looked at both Agreement State

input and manufacturer input, and we have to go with one of

these two opinions, and here's how we're going to go.

I guess the other concern I have is as the NRC

allows States to become Agreement States, they do ask for

compatibility in certain areas, but as far as asking the States to allow stakeholders to have input into regulations.

the NRC doesn't seem to ask that of States. The States in 18

19 my experience tend not to have widely publicly announced

meetings or mailing lists or anything to tell the regulated

21 community when they're considering new rules.

A lot of us put in a great deal of effort trying

2.3 to find out when the Agreement States are considering

changing rules. But even so, we're constantly surprised by

25 rules that are -- we learn about after they've been adopted.

In some cases we find that the rules have consequences that

the Agreement States didn't expect or realize. Then we have

to go back and ask for an exception. We think it would be

much better if the NRC in looking for compatibility would

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also ask the Agreement States to have compatible processes
      so that people who are going to be affected by regulations
      would have the chance to interact.
9
               CHAIRMAN MESERVE: Thank you.
10
               Purely by accident our next speaker is
11
      Roland Fletcher, who is here representing the Organization
12
     of Agreement States.
13
               In his ordinary job, he is with the Maryland
14
     Department of the Environment.
15
              MR. FLETCHER: This morning I, as I looked at the
      topic and I listened to some of the comments, was very
16
17
     pleased to hear during Carl's presentation the mention of
     Agreement State involvement in many of the areas in
18
      rulemaking and in working groups, and that's a very positive
19
      step, and Carl will admit that 5 years ago you wouldn't have
20
21
     heard the Agreement States mentioned, I don't think, that
      frequently. So I think that's a step in the right
22
23
     direction.
24
              When we talk about the interaction of Agreement
25
      States and the NRC, particularly looking at the Agreement
1
     States as stakeholders, I think we fall somewhere between
     rare and very well done. And some of the things that we
2
     have been engaged in jointly I think have been very well
     done. There are many other things that require a great deal
 6
               But let's begin. I don't assume that everybody
7
      knows exactly what an Agreement State is and how the
     relationship evolved, so I'm going to take a moment just to
     go back a little bit to point out first of all that the
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      Agreement States and the NRC essentially sprang from the
11
      same basic act or rule or foundation of authority, and that
      of course is the Atomic Energy Act of 1954. By the way,
12
13
     Microsoft Word is highly overrated as far as its spell check
14
              Based upon an amendment in 1959 called Cooperation
15
      with States, section 274, provision was made in that law to
16
17
      transfer -- and that's an interesting word when you start
18
     talking about rules -- transfer authority from the then
19
     Atomic Energy Commission to certain States which met certain
20
     qualifications. And that essentially meant that Federal
21
      authority for those items was discontinued in those States.
22
      Of course, this was appealing to many of the Agreement
23
     States, because first of all this gave us new regulatory
2.4
     authority, and you have to remember the time. I mean, this
      is the late fifties, early sixties, when we were just
25
1
      beginning to realize that the whole area of radiation, which
      was as mysterious then as it is in some cases now, was
      something that we could start having some rules and
3
 4
     regulations on. So it was appealing.
              States had already begun to have some regulatory
      authority over something which you whisper in this building
6
      called NARM, and there was a lot of radium that had to be
      dealt with. And they also had regulatory authority over X
9
     ray. There was valuable training that could be obtained,
1.0
      and this of course was very appealing as the staffs were
11
     being developed and qualified to perform this job. So our
     initial interaction as between Federal and State programs I
12
13
      think was very good and very positive, and the first
14
      Agreement State was Kentucky in 1962.
               About 15, 16 years after that, there was another
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amendment to the act where AEC became the NRC, and program 16

evaluations began, the Office of State Programs began, and 17

there was a little more concern about what's going on in

these State programs. Maybe not so much from the State 19

perspective, but from the NRC perspective. 20

21 Now I believe that we over the next 20 or so years

have developed a relationship that demonstrates some mutual

23 respect and responsibility that I think can grow even

24 further, because we began having annual meetings. We

25 established what's called the Organization of Agreement

States. There began to be interactions, Commission

2 briefings, staff meetings, staff directives based upon

working groups that were established, joint committees.

4 Agreement State members have been encouraged to participate

to the degree they can in many of the rulemakings that have

6 taken place.

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Now that is not to say that everything is, you

know, peaches and cream, but as we stand here now, many of

the rules and many of the directions that the NRC is going

in have had involvement from Agreement State 10

11 representatives.

One of the best things we've done is the

13 establishment of the IMPEP and MRB system whereby the

materials programs are evaluated by teams of evaluators that

include Agreement State representation, and the final result 15

is reviewed by a management group also including an

17 Agreement State representative giving some additional

feedback to the process. And as of August 31, I believe

19 that the date is correct, we now have 31 Agreement States,

20 which means as far as the materials licensing is concerned,

we license about 70 percent of the materials licenses in the

22 country. And therefore we from that perspective license

many of the same organizations that the NRC does inside the

24 States. So that stakeholder relationship once again is

somewhat different from a licensee perspective.

There are a lot of current issues, but we do enjoy

a better and more frequent communication. My e-mail is

3 always full of information requests, working group requests,

information being transmitted. It works very well.

We still have a lot of States who have come to

depend on sponsor training who aren't getting it, and we

feel that this is going to have a long-term negative effect.

There's still a controversy about some of the

earlier terminated licenses that are on the SDMP list, but

that's another -- that's for another discussion. Regulation

of DOE, these are some joint concerns that we are in

12 dialogue with as far as the need for rulemakings.

13 The generally licensed device situation Carl

mentioned earlier, we are continuing to encourage our 14 15

membership to participate in work groups that can lead to

and often do lead to rulemakings, and it's been successful. 16

It can be more successful with more participation. 17

One of the best things we do together is that we 18 19 jointly have monthly teleconferences to discuss any current

2.0 item, any area of concern that might be ongoing.

My prognosis for the future is that as long as we 21

22 continue to communicate and improve those methods of

2.3 communicating, and if we work together to permit the

earliest possible incorporation of Agreement State 24

perspectives in developing rulemaking, radiation safety 25

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Thank you.
               CHAIRMAN MESERVE: Thank you.
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               Our next presentation is by Mr. Peter Hernandez,
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      who's vice-president for employee relations with the
     American Iron and Steel Institute.
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               MR. HERNANDEZ: Thank you, Chairman Meserve.
               American Iron and Steel Institute is a trade
     association that was founded about 100 years ago and
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10
      represents the basic steel producers in North America. Our
11
      U.S. members account for about two-thirds of the 100 million
12
     tons of steel that's produced annually in the United States
13
      and employ about 125,000 in their operations. We represent
14
     both integrated steel producers as well as electric arc
     furnace producers, and in fact about a fourth of our
15
      membership today represents small business, as categorized
16
     by the SBA. That is, they have fewer than 1,000 employees
17
     in their operations.
18
               Our members are also licensees of the NRC, both
19
20
     specific and general licensees. And our first involvement,
     however, as an association with the NRC was when we were
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      invited to participate on the NRC's working group on orphan
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23
     sources in 1995. The group was created in response to the
24
      pleas of a sister association called the Steel Manufacturers
      Association, which represents primarily or only electric
25
1
      furnace producers, although together we represent 100
      percent of the U.S. steel production, several of whose
     members had actually melted some radioactive sources that
 3
      cost their members between $9 million to 24 million dollars
     to clean.
               Prior to 1995 my experience in Federal rulemaking
 6
     had been limited to the Labor Department, OSHA primarily,
8
      and EPA, and so I wasn't quite sure what to expect at NRC.
     And while the process in this particular rulemaking isn't
9
10
      complete, I personally and our members were quite pleased
11
      with the Commission's response to the significant problem
      and risk that was created by these orphan sources.
12
               Compared to OSHA, NRC's rulemaking, at least the
13
      first step, took about four years, which is about half to a
14
15
     third the time, and it is a step in the right direction.
16
               As with any large organization, staff at NRC is
17
     often reluctant to change the status quo, and it's really
18
     had to be driven by the Commissioners, who recognized the
19
      existence of a serious problem and decided that corrections
20
     were needed, and we are appreciative of that.
21
              Our second involvement with NRC has come as a
     result of its clearance limit rulemaking, which is -- it's
22
      in the midst of today. The current effort to seek public
23
24
     input in developing uniform Federal regulations for free
      release of radioactively contaminated material from DOE in
25
1
      nuclear fuel production sites is also an issue that's of
2
     great concern to our members. We were disappointed that we
      weren't consulted at an earlier stage in this rulemaking
3
      effort, because the action that the NRC is proposing to
      undertake could have serious unintended consequences for the
      metals markets, not only steel but other metals as well.
6
               In 1998 steel product sales in the United States
      amounted to about $40 billion. Steel products are
     ubiquitous, although not generally given much conscious
     consideration by the public. If you look around you, the
10
11
      infrastructure, your vehicles, appliances, tools, homes,
     food containers, toys, medical devices, et cetera, have
12
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13 steel as a major if not only component. And so if just one percent of the steel industry's 14 15 sales would be lost due to a negative public reaction, the impact on the steel industry, on employment in the industry 16 and on jobs of a \$400 million loss in sales would not be 17 18 insignificant. 19 We understand that some Staff at NRC do not 20 believe that the Commission is required to give serious 21 consideration of the economic impact that would flow from its decision, because it doesn't believe it's directly 22 23 related to public health. But we would hope that the Commissioners -- and 24 we're guardedly optimistic that the Commissioners will take 25 a broader view of this particular issue. 2 We commend the NRC for reaching out to 3 stakeholders, and we respectfully urge the Commission to continue to provide the stakeholders with an opportunity for continued participation as this rule and others are 5 developed. Thank you. 6 CHAIRMAN MESERVE: Thank you. I'd like to turn to Mr. Kevin Kamps now. Mr. Kamps is with NIRS, the Nuclear 8 Information and Resource Service. 9 10 What we're trying to do now--I realize you've just arrived -- we've been walking around the table with various of 11 12 the non-Commission individuals, and have been asking that 13 they focus their comments on the kinds of interactions they've had with the NRC, and in particular, how the NRC 14 15 could improve its processes for communications. We're really trying not to get into the substance 16 17 of the various rulemaking activities today, but we're trying to get comments on how we could improve our means for getting involvement by the licensees and the greater public. 19 2.0 MR. KAMPS: First, I'd like to apologize for arriving late. I don't know what to say, and I'm sorry that 21 I missed some presentations already. 22 2.3 I'm thankful to have gotten here at all. I had car trouble coming up here, and luckily got some help to get 24 going again, so I'm glad to be here. 25 1 Thank you for the invitation to our organization to speak here. Diane D'Arrigo from Nuclear Information and 2 Resource Service was originally invited, and was called away to a conference in Germany, so I'm happy to be here in her 5 place. 6 Just to get back to what you just said about, you know, not getting into the substance, but commenting more on how interactions can be improved, it's very difficult to 8 separate the two, from our perspective. 9 10 We represent people that live near nuclear power plants and radioactive waste facilities across the United 11 12 States, and there's a growing feeling among concerned 13 citizens and public interest organizations and environmental groups across the country that they are being effectively 14 locked out of meaningful participation decisions that the 15 NRC is making. 16 17 And these range from dry cask storage decisions to decommissioning, to high level radioactive waste disposal, 18 19 to the proposed release of radioactively contaminated 2.0 materials that was just spoken about. 21 Some of examples of these things: At the 22 Palisades Nuclear Plant in Michigan -- I'm from Michigan, and, 23 just recently moved to Washington to work with NIRS. I, myself, and many of my friends and fellow concerned citizens

in Michigan were involved in trying to make sure that the loading of casks at Palisades Nuclear Plant took place in a And we felt very locked out of that process 3 4 throughout. When Palisades was granted the ability to proceed without hearings, that effectively gutted any meaningful public involvement in the process. 6 And this interaction has continued to the present. 8 The NRC has had public meetings at Palisades in regards to problems with the dry casks, but these meetings are really 9 10 token exercises at pacifying citizen concern. There is 11 really no meaningful way for the public to be involved in decisions about the dry casks of Palisades. 12 13 Another example is decommissioning. Again, there's no public hearings available. 14 15 At the Big Rock plant in Michigan, there was a public meeting where the NRC listened to public concerns, 16 17 but really the green light was given to proceed with an immediate dismantlement of the plant. 18 19 And there is no effective way for the public to 20 register its concerns that have any teeth in affecting 21 decisions that are taking place. Another example: In the early 1990s, 22 23 environmental groups, public interest organizations, and 2.4 Native American tribes, entered into a negotiated regulatory 25 rulemaking process with the NRC, about the flow of 1 information to the public concerning disposal of high level 2 waste at Yucca Mountain, Nevada. Meetings were held every few months over a 3 couple-year period, and the negotiated regulatory rule was published in the Federal Register. But this rule was subsequently rejected by NRC and 6 7 replaced with, in the eyes of the public, a worse rule that had never been brought to the table during the participatory 9 process. And the organizations felt betrayed, and these 10 11 public groups refused to participate any longer, and the 12 process fell apart. 13 And this isn't a very good basis for public trust 14 as the NRC enters into the Yucca Mountain licensing process 15 at the present time. 16 Unfortunately, this pattern has continued up to 17 today. It's interesting to note the connection between the 18 enhanced participatory rulemaking on residual radioactivity in 1993, which led to NRC's '97 decommissioning rule, and 19 the NRC's present attempt at consensus-building on 20 21 radioactive release rules. The NRC's '97 decommissioning rule really gutted 22 23 2.4

meaningful citizen advisory groups, allows for the same or higher exposure to radiation from a closed facility than

from an operating nuclear power plant, and doesn't protect

groundwater to EPA standards.

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And so in the eyes of concerned citizens and public interest groups, and environmental organizations. this is much worse, again, than anything that the $\ensuremath{\mathsf{NRC}}$ brought to the table during the so-called participatory

To put it simply, the public felt betrayed by the NRC's final decision, and excluded from any effective involvement in the process.

10 Now, the NRC and the same contractor that was involved in the previous effort to build consensus, are 11 again trying to build consensus on a radioactive release 12 13 14 But as saying goes, once bitten, twice shy, the 15 public interest and environmental communities have made clear in many ways over many years, that their position on 16 17 radioactive material release is that the materials must be 18 isolated and not released into the marketplace. 19 The sense that we have is that we're being ignored 20 by the NRC. The three meetings that have taken place to build consensus so far apparently don't present a meaningful 21 22 option for preventing radioactive release. It seems that 2.3 that's not under consideration at all. 24 And the clear impression exists that many 25 decisions have already been made by the NRC, so our 1 organizations feel that the exercise would be a token exercise; that it would be chasing after an illusion of 2 public participation, when predetermined decisions have already been made. 4 And for this reason, we recently requested and 5 eight-month extension to the public comment period on the 6 radioactive release scoping process, because we feel that if true public involvement is desired, that it's going to take 8 real public knowledge of the issue, and an opportunity for 10 the public to get involved. 11 And to rush through the scoping procedure is not 12 acceptable. 13 So, in conclusion, speaking for myself and my 14 experience in Michigan with decommissioning and dry cask 15 storage -- and I have spoken to a number of people across the country who have been involved in these different issues, 16 17 and there is really a growing feeling that the NRC's public involvement processes are not legitimate processes. 18 There is a growing concern that at the expense of 19 2.0 public health and safety and the environment, and even democracy, that the public is being locked out of 21 decisionmaking processes that affects their lives. 22 23 So, thank you for this opportunity to speak. I did bring handouts. They're probably out at the back table 24 at this point, if people would like to see further 25 elaborations on these points. 2 CHAIRMAN MESERVE: Thank you very much. I'd like 3 to go slightly out of order now and call on Mr. Robert Holden. I had understood that he had limited time to be with us this morning. 5 He is the Director of the Nuclear Waste Project of 6 7 the National Congress of American Indians. 8 MR. HOLDEN: I also apologize for being late. I'm 9 serving as Interim Director while our Executive Director is 10 on travel, but I guess I'm late for a lot of things. It reminds me of the doctor that tried to find his 11 12 patient, and he found his patient and said, well, I have 13 good news and bad news. What do you want to hear first? 14 Well, he said, I want to hear the good news. He said, well, the good news is that you've got 48 hours to 15 16 17 And he said, well, if that's the good news, what's 18 the bad news? He said, well, I tried to find you yesterday. (Laughter.) 19 20 MR. HOLDEN: But I appreciate the opportunity to 21 address the Commission and Commissioners here. This is not

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the first time that the National Congress of American
23
     Indians has stood before you.
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               Many years back, when I first came -- joined the
      organization, mid-80s, I sat here and talked with
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      Commissioners regarding issues involving Indian Country and
2
      the outreach and communication shortfalls of most of the
3
      agencies.
               Since that time, there has been some progress
5
      made, some Staff are aware of tribal nuances of the various
6
      issues that are under your jurisdiction.
               But that is not to say that everything is perfect,
      and we realize that there is no such thing as a perfect
     world. Even the Creator made things to keep us on guard and
      keep us aware of who we are and of human frailties, I think,
10
      just in our every-day lives.
11
               But in terms of what I'm here to talk to you
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13
      about, it goes to policy matters, primarily, those things
     that are guided by not only executive memoranda and
14
     executive orders and the legal cases, the treaties that, in
15
      essence, brought all of this about.
16
17
               We are Indian Nations. We have different
18
      cultures. In our minds, there are two types of lands,
      Indian Country and bordering Indian Country at this point.
19
20
               These treaties that we sign are significant
21
      instruments; we don't take them lightly, and we're taught
22
      that these are not relics of the past, that these are not
      things that went by the wayside, because we still live on
23
2.4
      those lands and we still have jurisdiction and some degree
     of autonomy in those lands.
1
               We look after the environmental quality, we
2
      protect our culture, we protect our resources, we protect
      our peoples.
3
               And we have the downside of the socioeconomic
      impacts from signing those treaties, so what we lost, we
      were healthy, we were a strong, viable people at one time,
 6
      and I regret to say that that's not the case today.
               And you do hear of some tribes that have done well
9
      in economic development, but that's only a handful, that's
10
      only a few, and that was very much at great expense in terms
11
     of lives lost, in terms of lands lost, in terms of
12
      capitulating to state and Federal Governments to set up
13
      those enterprises.
               But these treaties call for a lot of things,
14
15
      primarily a government-to-government relationship, and in
     this progression of this relationship and what that means to
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17
      current Administrations.
18
               As I said, various administrations have express
      memoranda stating that, reaffirming that each agency will
19
      remove impediments, for instance; that each agency will do
20
21
      its utmost within the extent of the law to reach out and
22
     inform, provide notice and consultation to tribal
23
      governments on proposed actions that may impact Indian
24
     Country.
25
               That is not happening, for the most part. Many
1
      agencies don't have that type of outreach capability.
              However, that, in our minds, is not a valid
      excuse. Those are still legal requirements, those are still
      things that we interpret today in these things that these
      treaties call for.
               For instance, you know, back in those days, since
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we survived on, the Government provided us. I will say 8 "exchanged," because we gave up those lands -- it was quid pro 10 quo: those lands for blankets, beef and the medicines and supplies that would keep us well, keep our people healthy. 11 These days, it amounts to federal program dollars, 12 13 it amounts to education programs, it amounts to health 14 programs, and it amounts to consultation and providing technical assistance to address and understand those issues which impact our lives to this day. 16 17 And we don't think those things, the majority of Indian Country does not think that those things are being 18 provided, that trust responsibility is not being adhered to. 19 2.0 And as you are well aware, when you talk about 21 trust responsibility and fiduciary obligations, that it's supposed to be pursued with a great degree of diligence. 2.2 23 And it's a high degree of protection that's called for in 24 the courts and in this legal system which we are trying to 25 work with. 1 However, we don't see that happening. And I quess, once again, we're subject these days to this Congress 2 and the Administration, OMB, and the dollars that flow from 3 the public domain, but also that does not--should not preclude providing of resources to tribes, because we still 5 have the treaties. The Federal Government still has the lands and the resources, so those treaties are still intact. and that's 8 9 the basis of what we call for in terms of meeting this trust 10 responsibility. 11 And we also look for some improvement in 12 interaction in this outreach to Indian Tribal Governments. 13 There are protocols that should be set in place. 14 Many of the agencies have set up Indian policies. We've asked several federal agencies and they've sought to 15 provide us with the -- some are guidelines, some of more 16 17 directives in terms of how this process will take place, 18 interaction, outreach, and so forth. So, we're doing much to ask the federal agencies 19 20 to provide these things for us. 21 But many of the agencies are using -- as I said, 22 these are not directives to the extent that they should be; 23 they're just sort of maps as to how to interact and what we 24 expect form this relationship. The oversight that you have on some of the 25 1 agencies, particularly the Department of Energy, the impacts of those programs that are long-term and that can 2 significantly impact the cultural integrity, or the 3 4 resources that we have left, and the health impacts. 5 I use the example of if radiation escapes by the side of the road, and it gets into the groundwater, the 6 animal may eat that, it may be absorbed by plants there. Some of our people still use and make baskets, and in order 8 to make these baskets for ceremonial purposes, they may chew the weeds to soften it or to mold it into a basket for that 10 11 ceremony. Once that's contaminated, they may eat that animal 12 13 or they may ingest some of the radioactivity into their system. Then it stays not only within their system, but for 14 15 generations to come. 16 So, those children that are not vet born may still 17 have some significant impact from genetic disorders. Not only -- but once that plant -- it might no

we didn't have our food and medicines and those things that

9 longer be there because it might be contaminated. It may

20 die, and once that plant is gone, that is the end of that

21 basket, that basket-making. That is the end of that

22 ceremony. That is the end of that song that's sung that we

23 have been directed to do to the Creator to acknowledge what

 $\,$ 24 $\,$ $\,$ he has put here for us and how we are to live, so that is

25 just one example.

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12 13

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1 I guess, as I said, once we embark on working together there is a lot to be shared that our people are 3 willing to share and want to share. However, there has to be a process set up, a system of interaction and we would look forward to working with you to do that, setting up something where we could interact, and I do appreciate some 6 of the programs that have been in place, some of the 8 technical assistance, and some of the outreach that has been 9 done by some of the folks that are within the Nuclear 10 Regulatory Commission.

I'll just close by saying that we are still a viable people. We still have jurisdiction over our lands and the ability to regulate transportation, to regulate many things within our jurisdictions, and we have this from the historical standpoint and we have it today.

15 I am from Oklahoma. Our people fought along with 16 17 General Jackson at the Battle of New Orleans, and he thanked 18 us by moving us at gunpoint to Oklahoma, where we now 19 reside. We lost many people on that Trail of Tears in the winter, but we still have our lands and we have the 20 21 semblance of our culture, although it's not as strong as it 22 once was, in our new homelands, but even then back in those 23 days we tried to work with this Federal Government, setting 24 up bicameral legislation, setting up a judicial system, an 25 education system to show that we were to coexist and work

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1 with our neighboring newcomers.

But in one instance there was a Federal Judge in Oklahoma who presided in Paris, Texas, and he would come to 3 the Indian territory on occasion to fish and to hunt, and we had a judicial system and Choctaw-speaking Judge who 6 presided over that court. At one point in time in history the Choctaw Judge was ordered to be a witness in a Federal court in Paris, Texas. Reluctantly he went and he started 8 speaking his own language and telling them what he was 10 asked, and the Judge said I know you speak English, you have to speak English -- you are on our lands now, and he said, 11 12 besides, if you don't, you are in contempt of court and I will put you in jail until you decide to speak English. 13 So the Choctaw Judge complied with that and after 14 15 his presentation, after he testified, he went up to the Judge and said, "Your Honor, I assume you plan to come back to the Choctaw Nation to once again fish, enjoy the 17 18 pleasures of our bounty. He said yes, I do, that's one of 19 my favorite places to go. He said just remember, if you do, you have to speak Choctaw while you are on our lands or you 20

CHAIRMAN MESERVE: Thank you very much.

Our next presentation is by A. Edward Scherer, who is the Manager for Nuclear Regulatory Affairs for Southern

going to jail. That Federal Judge never came back. Thank

California Edison.

you very much.

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MR. SCHERER: Thank you. By the way of background, I am with Southern California Edison, which has

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responsibility for the operation of two operating units and
      one shut down unit that is leaving its current SAFSTOR
      status and will be actively decommissioned over the next few
      years. While I sit on the NEI Decommissioning Working Group
     and the EPRI Executive Committee on Decommissioning, and in
8
      a previous position I had worked for an NSSS vendor who --
10
      and at one time had been Vice President for Regulatory
11
      Affairs for a fuel cycle facility, today I will speak for
12
     myself and based on my experience, and I intentionally did
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      not have any overheads so that I could keep my presentation
14
     informal, listening to some of the other presentations, and
      make my comments accordingly -- also, to avoid the ire of
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16
     Commissioner Merrifield.
17
               [Laughter.]
               MR. SCHERER: Today I have been asked to cover
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     both decommissioning and dry cask storage issues and I will
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20
      attempt not to re-cover any of the issues that the
21
      Commission heard yesterday at their presentation on
22
     decommissioning, but talk about the processes that are
23
      involved in some of those issues.
24
               When I think about the issue of decommissioning, I
      think of the three phases that a plant has to go through.
25
1
      the beginning, the middle and the end of decommissioning,
      each having their own challenges and opportunities.
2
               The first phase or the beginning is the transition
4
      from an operating plant to a decommissioned plant, the
5
      second being the decontamination, decommissioning, and the
     transition of the fuel from the wet storage of the spent
      fuel pool to dry cask storage today lacking a federal
      repository, and finally the license termination at the end
      of the decommissioning process.
               Going to the transition period, there is obviously
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11
      difficulty in understanding the requirements that exist in
      terms of the requirements for a plant that is shut down, no
12
     longer posing the same risks that a plant does when it is
13
14
      operating, and the timing of the transition to a shut down
15
      plant status.
               Decommissioned plants pose a unique challenge to
16
17
     the Staff that needs to be understood in that there is a
18
      finite amount of money that is made available to us by our
     ratepayers for decommissioning. Monies that are spent on
19
20
      unnecessary regulatory requirements at the early part of the
21
      decommissioning process are monies that are not available at
     the latter part of the decommissioning process for things
22
23
      such as ALARA concerns and further reducing the residual
24
     radioactivity that will be left.
               As you heard yesterday, the process in place tends
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1
      to frustrate all of the stakeholders if for no other reason
2
     by how long it takes to get to resolution of these issues.
               When we get to the process of decommissioning it
3
      is relatively straightforward -- construction in reverse --
      except for the issue of taking the fuel, the spent fuel,
      from the spent fuel pool, wet storage, to dry cask storage.
               Let me dissent from some of the previous speakers.
8
      I have something good to say about the Staff. I think they
     have done an outstanding job in the Spent Fuel Project
10
     Office in supporting operating plants, in reviewing
11
      applications that came in as they were received in a
      disciplined manner and a disciplined process, and achieved
12
      timely approval of the applications that were submitted.
13
14
              Unfortunately, their process resulted in numerous
15
      plant-specific applications that were approved that left
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unresolved some of the generic issues that were not resolved

17 by specific applications without a process to address those

18 issues upfront, so all of the stakeholders understood what

19 was required and were able to get it resolved.

As an example, when we went to market to buy a

21 certified cask for use at San Onofre, there are no certified

22 casks that are certified for the seismic requirements for

23 the Western states.

acceptable standard.

Now those issues can and I am sure will be readdressed on a timely basis, in time for our needs, but it

requires a resource-intensive effort on the part of each

Applicant, on the part of the Staff to support it, and it's

probably not the best use of the NRC's resources nor the

4 resources of the industry nor all of the stakeholders,

because it pushes to plant-specific application all of the

issues that everybody deserves to have addressed once and

7 for all

This gets to the third and final part of decommissioning, the license termination plan. Clearly I would probably be preaching to the choir to talk to the Nuclear Regulatory Commission about the difficulty that everybody has with the current debate occurring between the Nuclear Regulatory Commission and EPA as to the final cleanup standards for the site, except to say that the debate adds a note of discord and uncertainty when dealing with all of the stakeholders in understanding to what standard we would clean up the site and what is a safe and

Nevertheless, let me address an issue that is part of that, and that is in the discussions with the Staff there is a natural tendency on the part of the NRC Staff to want more and more detail in each application for license termination plan. That is understandable. It is part of the process. Unfortunately the more detail that is required or requested of Applicants, the more the process is pushed

to the back-end because it is only through the
characterization of the site and the development of the data
that the licensee is able to supply those details.

Not only does that push it later in the process for the licensee but I personally believe that is bad public policy because now the public hearing and the public exposure to the license termination plan is pushed back until all those details can be developed.

We believe a better public policy would be served by moving the license termination process forward, obtaining all the stakeholders' input earlier by avoiding that unnecessary level of detail in a process that I am familiar with in other aspects of nuclear reactor regulation, such as the advanced plants that I have worked on, and that can be done with methods, procedures and acceptance criteria. By doing that, moving the process forward, getting the public involved earlier, and having all the stakeholders, local stakeholders and the national stakeholders, involved, we

Those are my comments on the two areas of decontamination, decommissioning, and dry cask storage.

believe that would make better public policy.

CHAIRMAN MESERVE: Thank you. Let me turn now to Mr. Jack Allen, who is the Manager of Columbia Plant of the Westinghouse Commercial Nuclear Fuel Division.

MR. ALLEN: Thank you. May I have the second

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1
     slide, please.
               It is a pleasure to be here, and we appreciate
2
      your hosting this. I am speaking on behalf not only of
4
      Westinghouse but of the fuel fabrication industry. I would
      say upfront that NEI, Westinghouse, and all the fuel
5
      fabricators regularly meet with the Commissioners and the
     Staff. We average about twice a year, and that has been the
     primary nature of my involvement, and that has been a very
8
      positive experience, so I would start out with something
     that is working quite well.
10
11
               We feel that the industry meetings, because there
     are 10 locations that really comprise the U.S. fuel
12
     industry, when we meet together the structure of the meeting
13
14
      eliminates issues associated with site-specific concerns by
15
      company and allow us to come before the NRC, before the
     Staff in a common way. We believe that this type of
16
17
     approach will be very important as we proactively look
18
      towards the future in light of the events with Tokaimura.
19
              For example, we are very close -- having decided
20
     to recommend an independent assessment team of the fuel
21
     facilities that would go above and beyond the normal,
     routine self-assessments, the regular assessments by the
22
23
     regulators to a self-assessment because of the concerns that
24
     came out because of Tokaimura. We finalized last week
     members that we would recommend and this week we are working
25
1
     on a team protocol, so you should in the very near future
     see some more concrete recommendations relative to
2
     Tokaimura
3
               We believe that is a positive example of things
5
      that we are doing together to work as an industry with the
      NRC
               But now to my selected area of comments with
8
      interaction between the NRC in Part 70 primarily, the
     revision, and ask you can imagine, interactions have ranged
     from bad to good. Quite honestly, the process started over
10
11
     seven years ago, as Dr. Paperiello mentioned earlier, and
      was really ineffective due to poor communications early-on.
12
      In fact, because of the authors' protectiveness they were
13
14
     unwilling to discuss and resolve issues. It became a
15
     combative process.
              However, maybe due to the Congressional oversight
16
17
      committee or certainly due to the senior management of the
      NRC's involvement, we have seen a lot of progress in
19
      particular over the last 18 months. A dedicated NRC team
20
     working with industry has really worked effectively through
21
     draft and formal revisions. We have openly debated the
     issues, compromised and resolved things, and I think their
22
23
      credit this was shepherded by Dr. Paperiello and Elizabeth
24
     ten Eyck.
25
               The keys to that progress were really that the
     process changed to allow, before the formal docketing
1
     process, a lot of review, a lot of iterations, and in fact
2
      both the rule and the review plan were pursued
      simultaneously, which I think is the key, and I will
5
     recommend that later.
               However, we recognize that the burden for change
      is shared between the industry and the NRC and we have
     actively written portions of the documentation for review by
8
      the NRC and that has been a part of the compromise that has
     occurred. It has been a good process.
10
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So what would I recommend from lessons learned for the future? Well, I believe that as we all talk about it,

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this is a process of continuous improvement and change
14
      management.
15
               So, I'd start with dedicated teams using an
      interactive approach in advance of what has become the
17
     formal docketing process.
18
               Mr. Kane in Spent Fuel, and Dr. Paperiello in Part
19
      70, have given us good example there, and I consider that we
     need to continue to use those.
2.0
21
               Second of all, we need to establish schedules and
22
     joint expectations so that we can allow adequate time for
     review, for debate of some of the issues to resolve them,
2.3
24
      and to rewrite. I think that's a very key part of the
25
     process.
               And more importantly, as part of those schedules,
2
     we need to keep the information flowing and allow enough
     time to process the information and do a quality job.
3
               So it's participation, visibility, and
5
     interaction, and that was one of the frustrations in the
      early part of those seven years working on the revision of
 6
      Part 70 that I think we've really handled over the last 18
8
      months very well.
9
               We need to develop all the documents together:
      the rules, the licensing, and the Standard Review Plan, the
10
11
     quidance work.
12
               As many of us have said, the devil is int the
13
      details of what we're doing, so we consider that a major
     part of what has to be done.
14
15
               We also continue to enforce the risk-informed,
16
     performance-based approach, away from prescriptive
17
     regulations. I'd like to see the extensive use of the
18
     Internet. It's a great tool, however, we also need to
19
      recognize that timely feedback on both the part of the
      industry and the NRC will make quality work time and a
20
21
      quality product after we're finished.
22
              There are several areas where in the future,
     this -- in fact, current -- this technique that's been developed
23
24
      on Part 70 should be applied. In fact, Part 71 revision has
25
     been initiated, but, in fact, is not being currently
1
      organized in a way like was done on Part 70.
2
              We would really encourage that, because
      transportation has become a key issue with many of the
3
4
      things in our industry, shipping to Europe and several other
5
     countries outside the U.S., we face this very, very
      predominantly
6
               Finally, we recommend that we continue meetings
      with the NRC between the industry and NRC. The workshops
8
9
     have been given as a good example.
10
               Some of the workshops have been extremely
     productive, some have not. We firmly believe that the
11
12
     Commission's involvement, the senior management involvement
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      at a regular point in these meetings has made the workshops,
     and, in fact, this forum, a success.
14
15
               We believe that we will share as the industry in
16
     participating with prioritization, the writing, and the
     debate, but the open-mindedness of the NRC has been a very
17
18
     big help in the progress we've made to date.
19
              Thank you for inviting me.
               CHAIRMAN MESERVE: Thank you. Let me now turn to
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     Ms. Katie Sweeney, who is here representing the National
22
     Mining Association.
23
               MS. SWEENEY: Thank you so much for the
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opportunity to speak today. I have been fortunate over the
24
     last five years to represent most -- not quite all -- of NRC's
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1
     uranium recovery licensees, in a variety of rulemaking
      activities, notice of proposed rules, changes to guidances.
2
               And I'm not going to focus on any one rulemaking
      or one issue. I think everything that I'm going to speak
      about today can come under three headings: The need for
      true, open, two-way communication involving the right
      people, and timing is everything.
8
               On the need for true, open communication, on
      occasion, the uranium recovery licensees have gone to a
9
10
      meeting with some NRC Staff, and have heard that the Staff
11
      is just there to listen.
12
               Well, we think that it's a lot more helpful if
13
     there is two-way communication, and a back and forth.
14
              And we realize, especially at the early stage, if
15
      it's a scoping meeting, that the Staff can't make a decision
     right there and say, oh, yes, this is what we're going to
16
17
      do. But they can ask questions or provide some input, or
      say, we're going to look into this.
18
               We find that this happens more frequently when
19
20
      it's a new approach suggested or a new issue that comes up,
21
     and there seems to be a reluctance to look at new
      approaches. We think this is kind of an institutional bias
22
23
      that can only change through strong leadership from the top
24
     down.
25
               We realize that new approaches sometimes cost
     money, but if they optimize efficiencies and they protect
1
      the public and the environment, then they should be
      considered, and it may be that it might be more costly up
     front, but cost less for everybody in the end.
4
5
               We think that the Internet is a great way to
      communicate, we think that NRC is using it well, and they
     could use it more extensively. I agreed with the comment
8
     about reestablishing some list servers; I thought that was a
      good comment.
              Of course, the Internet cannot always replace
10
11
      face-to-face meetings, but it's still a good and useful
12
13
               Getting the right people involved sounds like it
14
      should be simple, but it's not always. For a licensee, the
      right person for them to be speaking to at NRC might be
16
      their Project Manager, and often issues can be dealt with
17
      very simply, very quickly that way.
18
              And I have found that most uranium recovery
     licensees are quite happy with their interactions with their
19
20
     Project Managers, except for one thing--their Project
21
     Managers tend to change about every three or four months,
22
      and then they are talking to somebody new and reeducating
23
      somebody new.
24
               For broader issues, the right people are usually
      the Staff, the Uranium Recovery Staff. The Association has
25
     had a very good relationship with the Staff. We have an
2
     annual Uranium Recovery Workshop that has been very, very
      helpful in getting issues out on the table early, and we
      appreciate the Staff's help in keeping those going.
4
               But sometimes we can't just stop with the Staff;
       re have had on occasions, issues where the Staff understands
      it very well, but then somebody else is writing the rule.
 8
              And there is sometimes a disconnect there, and we
      think that there could be more interaction between the
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10
     people writing the rule and the Uranium Recovery Staff.
              Sometimes it's not just those. We need the
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12
      General Counsel's Office or the Commission to get involved.
      There were issues that we raised with the Staff for several
13
     years, and it took that long for both the Staff and the
14
15
     Association to realize that we were the wrong people to be
16
     dealing with these issues, and they needed to go up to the
17
     Commission level.
18
               So, we have actually managed to do that, and we
19
     have had some meetings with the Commission, some briefings
     with the Commission, and we think those have been very
2.0
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22
              The General Counsel's Office, as always, plays a
     role. Unfortunately, their role is not always as
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24
25
               Sometimes we just see references to their
     decisions or their papers in Staff papers, but we never get
1
     a chance to actually see the OTC's decisions and actually
      respond to the issues raised.
3
4
               And so that might be an area where we could work
5
     on making the process more transparent.
6
              And sometimes the right person missing from the
      table actually are the stakeholders. There are times when
8
     Agreement States or non-Agreement States are meeting with
9
      NRC on issues where it might actually be helpful to have the
10
      stakeholders there.
11
               I realize that you can't make every one of those
12
     meetings open to the public, but maybe part of those
13
     meetings could be made open to the public, or a summary of
14
     the meeting be made available.
15
               And, finally, timing is everything. Getting early
16
     input, I think, helps everybody. We have had scoping
     meetings on possible changes to Part 40. They were very
17
18
      good, well attended, held in many parts of the country, and
19
     they were helpful in framing issues that are now still being
      addressed.
20
21
               We don't have any conclusions on that, but at
22
      least everybody got to get in there early and give their
23
      viewpoints.
24
              But in other cases, we haven't had that same
25
      opportunity. For example, in the establishment of Standard
1
      Review Plans, many changes had to be made to the In Situ
2
      Leach Standard Review Plan at a very late date, because
3
      there were no scoping meetings, even though they had been
      requested by stakeholders.
4
5
              I think timing plays a big role, and we've seen
      improvement with NRC, like the scoping meetings last week on
 6
      the release of radioactive materials. I think this is a
      good approach and one that should be followed in the future.
8
9
               Again, I thank you for the opportunity to talk
10
11
               CHAIRMAN MESERVE: Thank you very much. I think
12
      we now have encompassed everyone who is around the table
13
     here, other than the NRC representatives.
               What I'd like to turn to now is actually have some
14
15
     discussion of some of the issues that have been raised.
16
              Let me kick this off with really a question that's
     directed at the Staff: Several of the people around the
17
18
      table have raised the concern that they submit information
19
      to the NRC and it's not apparent that it has been evaluated.
20
               Obviously, if people are going to stop
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21
     participating, if they don't think that they're having an
     impact on the system and find other means by which to make
22
23
      sure that their concerns are heard, I think it might be
24
      helpful if one of you would make a comment as to how you
     handle the comments when they are submitted, how you analyze
25
1
     them, and, in particular, how you let people you know how
2
     you've analyzed them, and see whether you have some
      suggestions or perhaps others in the group might have some
     suggestions as to how to make that part of the process more
 4
5
      transparent.
               DR. PAPERIELLO: Yes, let me address that, because
      as a practical matter, it has evolved, I mean, from the
8
      beginning of this thing.
               Clearly, when we have the formal process or a
     formal process is involved under the Administrative
10
11
      Procedures Act, we have an advance notice of proposed
12
     rulemaking, or a proposed rule.
13
              All comments have to be formally evaluated and
14
      documented; that's a requirement. Let's give some examples:
15
               This has been and is being done on Part 35 and
      Part 33, where we have put out on the street, a proposed
16
17
      rule. We receive comments, but the point is, we didn't just
18
     do it through the Federal Register; we did it by inviting
     comments over the Web, inviting comments as a result of
19
20
      public meetings.
21
               They, in fact, were evaluated; they have to be.
22
     Now, the consequences, of course, is that Part 35 was
23
     originally supposed to be finished in June of '99 or July of
24
     '99. We are currently not looking for that rule to be
25
      completed for probably another year.
               As the Commission has seen in the package we gave
2
     to the Commission several months ago, rather than a package
      three inches thick, this is almost maybe nine inches thick,
     because we, in fact, got comments and we had to respond to
 4
5
      them.
               A similar situation occurs with Part 63; that was
     supposed to be a final rule due to the Commission in
8
      December of 1999, and we had to ask for an extension to
      March. It's a similar situation.
10
               We asked for comments, we got them, and, of
11
      course, it obviously added to the resource burden. I'm not
12
      saying that negatively, but I said there's a tradeoff on all
13
     of this in terms of how much we get and what we can do with
14
15
               And I'll make an observation: When there are
      actually words down there -- and let's go to the issue of what
16
17
      I call writing a rule or writing a Standard Review Plan on
18
     the Web. This is before you have a proposed rule for the
     Commission's consideration, or before you have a proposed,
19
20
     even a draft Standard Review Plan, the Staff puts words on
21
      the Web.
2.2
               We get interactions and comments from people, and
23
      in some case, at least I believe with what we did in the
24
      case of Part 70, and actually Part 35 before it was a
     proposed rule, we were telling people how we were
2.5
1
     responding, and we were doing, you know, line-in and
     strike-out on the Web, and we actually did that.
2
              But these are things that we have done in the past
4
     two years. Prior to that, obviously, we were not nearly as
5
      ambitious. Now, let's give a consideration to another case,
      clearance, which is a process that we're going on with right
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7
               At this point, I have what I call an informal
8
9
      process. We are not into the Administrative Procedures Act
      rulemaking. We don't have an ANPR; we don't have a proposed
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12
               We're holding meetings. We have transcripts that
13
      are several inches thick. I don't know how many comments
     we're getting. We are getting hundreds, thousands.
14
15
              I don't see where we have--the Staff is not going
16
     to have a position. Our target -- in fact, the Commission
     gave the Staff some fairly clear direction on how to
17
     proceed. The issues paper was reviewed by the Commission,
18
19
     and the paper out there for consideration of the issues was
     one that the Commission had formally approved of.
20
21
               The Staff's task is to give the Commission
22
      options. It's hard.
23
              Now, what we would do is, we try to bin the
      comments. The other alternative is for the Commission -- and
24
25
     obviously this isn't practical -- to read essentially the
      transcripts from all these meetings. We have to distill
1
2
     this thing down to what are the issues.
3
              And this is a case where it is clearly people who
      are 180 degrees apart on how we ought to proceed on this
4
     thing. In fact, I drew myself a little chart here that
5
 6
      looks like a bow tie, of the interest of people sitting
              It has a nexus on clearance, the general license
8
9
     rule. In order to keep material out of, you know, the scrap
     material, which would then require one to move into
11
     clearance; the interest of the general-license
12
      manufacturers, the interest of the Agreement States.
13
             So you're trying to--a lot of these things are
     interrelated. Until we have words -- in other words, if we
14
15
     had words of a final resolution, then you could respond to
16
     each individual comment, which is what you do on a proposed
17
     rule.
               But we're in this information-gathering stage, and
18
19
      about the best that I can give to the various stakeholders
20
     is to try to adequately represent their views in a summary
21
     paper that I give the Commission for their decision.
22
              You really can't respond to, say, how do you
23
     handle--I won't say you can't but it would certainly add
24
      probably a year to this particular process, because you'd
25
     have to tell them how in the Commission paper, their input
      was reflected, and I'm not sure that's quite practical.
1
              I wish I could give a better answer, but there is,
3
     as I mentioned, a tradeoff between the resources that we
     have in this, and timeliness and the formality of the
5
     process.
6
               COMMISSIONER DIAZ: Mr. Chairman, in the interest
      of better communication, could we have a five-minute break?
              CHAIRMAN MESERVE: I think that's probably a
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9
      motion that cannot be refused. We'll take a five minute
10
     break.
11
              (Recess.)
12
               CHAIRMAN MESERVE: Why don't we get under way.
13
     Let me at the outset commend Commissioner Diaz for an
     excellent suggestion that I think benefitted all of us up
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16
               I would like to follow up a little bit on the
17
      point that several people have raised, namely the issue of
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whether and how the NRC responds to comments. Bill Travers
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     I think had mentioned to me that he had some further
19
      comments he would like to make on that issue.
20
21
               DR. TRAVERS: Thank you, Chairman.
               I was also struck by the fact that this theme of
22
23
      the importance of identifying for commenters how the NRC
24
     deals with comments and the fact or stipulation of some of
25
      the details of that consideration and what we have done or
      not done with the rule. Making it clear to stakeholders I
1
2
     think is important. I think in fact one of the commenters
      pointed out it is a factor in the sort of trust that can be
      built or lost when dealing with a regulatory agency, and I
 4
5
      agree with that.
               While Carl pointed out some of the historical
7
      applications that have involved NRC interactions with
8
      stakeholders in some of our concerted efforts really to deal
     with comments, I don't want it to be lost that we agree that
     more can be done -- in fact, in the context of some of the
10
11
      strategic planning efforts that are ongoing within the Staff
12
     right now, most pointedly in the Materials and Waste arenas,
     we are actively going through a fairly structured process to
13
      identify the strategies that we can employ against strategic
14
15
      outcomes.
               One of the important outcomes that we have
16
17
      identified in that regard is enhancing public confidence in
18
     NRC processes and programs. I don't mean to suggest that
     our interactions are exclusively related to that outcome. I
19
20
     think effectiveness and efficiency of our activities also
21
     play a role. Maintaining safety is another outcome and so
22
     forth, but an important outcome and one that we are taking
23
      on in a fairly structured way, as I indicated, is looking
      for strategies and ultimately the sorts of work that we can
24
2.5
      do to enhance how we deal with the public, how our processes
1
      for communication are either effective or not.
               We are doing that right now. We expect to bring
2
      to the Commission some identified, specific suggestions for
      that. I think this meeting frankly has been very helpful.
4
5
      A number of very tangible suggestions have already come
      up -- enhanced use of the web not just in rulemaking but in
     public meetings, how we respond and are prepared to respond
      to stakeholder questions and comments -- so I think there is
      a fair range of work, of activities that we are considering.
10
               We are resource limited. I will point that out,
11
     and Carl was absolutely right. We have to look for the
12
     right balance, frankly, optimizing our resources, and I
     think this become particularly important when you look at
13
14
     the diversity of issues that the Materials office faces.
15
               I am always struck when I sit around a table like
     this and take note of the diversity of not only stakeholders
16
17
      but their issues and views. It seems to me that that
18
     underscores the importance of the processes and the
      communications that NRC uses.
19
               I do want to indicate in the going forward sense
20
21
      we are sensitive to that issue and we are actively looking
2.2
      and we think today's comments have been useful and we will
      take them up as we go forward. Thank you very much.
23
24
               CHAIRMAN MESERVE: Thank you, Bill. Carl?
               DR. PAPERIELLO: Yes. I had a discussion during
2.5
     the break which I think really sheds some light on what I
1
      was trying to say, and I think is an issue here, and that is
      that when we -- and the lessons learned I'll take away --
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that when we hold public meetings and in various meetings
      seek stakeholder input we need to make it very clear to
      them, and actually to ourselves, how we are going to use the
      input. Will we respond to every comment on a one-on-one
     basis or will we aggregate it, and how the person who gave
      us the information will be able to see how it is being used,
      and I take that as a lessons learned out of what I have
11
     heard today.
12
               I think it is important. I do want to make it
13
     clear I don't think that in certain circumstances we can
14
      respond in a very formal way to every bit of input that we
15
     have because there are -- NMSS right now is looking at a
16
     total of about 30 plus FTE for rulemaking and there's
     millions of people in the country. I mean it is just a
17
      question of volume, but we should let people know how --
18
      that what they can expect from what they are giving. I
19
20
     think that is an incredibly important thing.
21
               In getting ready for this meeting, it became clear
22
     to me we would need to make our processes more formal, and I
     don't want to make them more formal -- as we notice, we are
23
24
      very process-oriented -- that we would stifle innovation,
     and it is tied in with training our people, how to conduct
25
1
      public meetings.
               This is part of it. They need to let the
3
      people have expectations -- so it all comes together in
5
               One slide here I noticed the NRC Staff tries to do
 6
      the right thing, and I think that is good and bad. Those of
     us who are trained as scientists or engineers, particularly
     those of us who were trained in a liberal arts school where
      we had a lot of history and English and philosophy relevant
10
      to our science and math courses is you will note the
11
     difference between the textbooks. Most good science
12
     textbooks give problems and the answers are at the end of
13
     the book, so you can always check whether you did it right.
      I am unaware that any of my books on either philosophy,
14
      history or literature did the same thing, so part of our
15
     problem to our training is there is a right answer for every
16
17
      question, and the reality is when you start dealing with the
18
     regulatory activities is there aren't always right answers,
19
      at least not in the sense that you can generate them through
20
     an algorithm.
21
               So in some sense for the NRC Staff, when we elicit
22
     public input we have to undergo a cultural change, because
2.3
      we come from an educational culture where there is a very
      well-defined process to get to the answer. Thank you.
24
              CHAIRMAN MESERVE: I would like to ask a question
25
      of the various participants who are not NRC people. Several
     of you have mentioned the importance of the Internet as a
3
      means of communication. Dr. Ring had made some comments
      about the problems of using the current Internet website in
      terms of getting information and the suggestions for how
5
 6
      that might be improved.
               I would be interested in hearing from some of the
8
     others around the table as to whether they have used the
9
      website as a means of getting information, whether they have
10
     had difficulty, what kinds of problems they have had, what
      suggestions they have as to how that site might be improved
11
12
      to facilitate this process.
13
               MR. FLETCHER: Roland Fletcher. I use the webpage
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quite frequently to search for the answers to questions that

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15
      seem to pop up invariably when dealing with in-state
     licensees as to whether or not there are examples of what we
16
17
      are going through from a regulatory perspective that have
      already been dealt with in incidents or events or licensee
18
     dealings by the NRC.
19
               I find the webpage very helpful. It is a rich
20
21
      source of information but it always seems that the specific
      question I have is not there.
22
23
24
               MR. FLETCHER: You know, it is not there at the
25
      time I am looking for it but it -- I have it on my favorites
1
     list and to me it is a very good source of information, and
2
     I use it frequently.
               COMMISSIONER MERRIFIELD: If I may add to the
     Chairman's question, to think about it, are there other
4
5
     websites that any of our guests use that we might look to as
     a model that we may follow to improve our website.
              MR. HERNANDEZ: I have had an opportunity to use
8
     the website in relation to the free release issue and found
      it to be excellent.
               However, we were given a specific address to go
10
11
      to. I think Staff has just done a tremendous job at putting
12
     all the information on there in a very timely manner that
     has allowed me to then communicate that information to our
13
     interested parties, our members.
14
15
               I also use the OSHA website fairly regularly and I
     believe the way it is organized, the OSHA website is
16
17
     organized is more user friendly than the NRC website in the
18
     front pages. Once you get into the issues you can find what
      you want fairly easily. I found it a little more difficult
19
20
     to use the NRC website before I was given the specific
21
      locations to go.
2.2
              CHAIRMAN MESERVE: User-friendly in that it was
      hard to navigate through the pad pages or --
23
              MR. HERNANDEZ: Yes. Very obviously an agency
24
2.5
     with very complex rules, almost Byzantine --
1
               [Laughter.]
2
               MR. HERNANDEZ: -- activities, but -- I don't mean
      to be negative. It is very complex and if you are not
     familiar with it -- and OSHA is as well, but they just have
4
      laid out their site making it a little easier for a
      layperson, if you will, to navigate than the NRC website,
      but having said that, again what you have done on this
8
      clearance is excellent, very timely, very useful
     information.
              MS. NIMMO: I would just like to echo what Peter
10
11
     Hernandez said. When we have been given an address, the
12
      information is there. If we don't have the address, trying
      to get from a particular topic to where it is on the website
13
14
     is extremely difficult, so any efforts that could go towards
15
     reorganizing it or having a better index I think would be
      verv valuable.
16
17
               MR. ALLEN: If I may? I think that the analogy
18
      that we are drawing is that when there's specific
19
     interaction you can do it in a dedicated way. The general
      information, I think there is a certain portion of that
20
21
     information that is readily available and we have no
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23 our interactions, but I think the use of the Internet -- we also need to be careful that the timeliness of the 24

2.2

25 information, just because it is electronic doesn't mean that

difficulty getting it, so I think that is what is key about

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you can read it faster or process all the information that
      is there. I think you still have to set up the processes to
      allow for the quality time to go soak and debate and
      rewrite, so let's not get carried away with just to use the
      Internet, which I think is positive, and ignore the other
 5
      parts of the process that occur.
               CHAIRMAN MESERVE: Rov?
               MR. BROWN: Yes, I think it is a great tool we can
 8
 9
      use to get access to NRC documents. The only two
10
      suggestions I would have, and it sounds like we are all
11
      repeating ourselves here, is we want a better search engine
12
      because you still have trouble going in and saying you want
13
      a particular topic and you still have to spend quite a bit
      of time trying to find exactly what you want, and also the
14
      timeliness of documents on there.
15
               Invariably we will hear about something and then
16
17
      go to the website, look for it, and it is not there for a
      week or so, but that is much, much better than having to
18
19
      send someone in to the public document room and make
      photocopies of it, so I really think the NRC is doing a
20
      great job on the website and I look forward to seeing what
21
22
      they do in the future.
23
               CHAIRMAN MESERVE: I would like to make sure
      that -- Katie, did you have a comment on the website?
24
               MS. SWEENEY: Yes. I just think that improved
25
      links would help too. I know one time there was a specific
      uranium recovery rulemaking where you could submit comments
 3
      via that webpage, but it wasn't the same, the main webpage
      where you can submit rulemakings. It was only under the
      uranium recovery page, so if you looked just under that
      interactive rulemaking page you would never have found it,
 6
      so I mean if there was a link there, then you could have
      just hopped from one to the other.
 8
 9
               CHAIRMAN MESERVE: Good. These are all very
10
      helpful.
               I would like to -- ves?
11
               MR. KAMPS: I just wanted to point out that there
12
13
      is a whole, there is a large set of people that are on the
      Internet and are concerned citizens. I will speak about
14
15
      Michigan in particular.
16
               The Palisades Nuclear Plant is in Covert Township,
17
      which is an economically low income area, and in addition to
18
      just the issue of class and access to the Internet, there is
      also the generational issue. A lot of the concerned
19
      citizens that I worked with in Michigan are not familiar
2.0
      with the Internet. They are people in their retirement
21
22
      years who haven't learned that technology, and so the
2.3
      Internet can't be the exclusive means of communication with
      the public and I think that in Michigan my experience with
24
      the NRC has been lacking in helping people who aren't
25
 1
      plugged in in that way to learn about the issues, even to
      learn about the public meetings taking place.
 2
 3
               There have been a number of times when the public
 4
      wasn't notified in a timely fashion that meetings were
      taking place and found out at the last minute and very small
 5
 6
      turnouts of people that found out the day of the meeting.
               COMMISSIONER MERRIFIELD: Mr. Chairman, I want to
      make a comment on that, primarily because my brother is a
 8
      professional librarian in upstate Wisconsin, and one of the
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things I think we need to think about, and I think the comment is a good one, is working with public libraries

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12
      around the facilities that we regulate, because many of them
     do indeed have access to those facilities in terms of
13
      relying upon public librarians to help those who aren't as
      Internet-aware or don't have the facilities in their own
15
     homes but can go to a public library to utilize those
16
      services so that that information is available to a wider
17
18
      audience. That may be something we need to think about.
               CHATRMAN MESERVE: I would like to make sure that
19
20
      all of my fellow Commissioners have an opportunity to
21
      explore issues with the people here as well, although I have
22
      got a number of things that I could raise.
              Let me give each of them a shot at raising issues,
23
      and I would like to turn first to Commissioner Dicus, who,
24
2.5
      as I indicated, is the inspiration what I view as a very
1
     helpful meeting.
 2
               COMMISSIONER DICUS: Thank you, and first of all,
      let me apologize for this voice, so I won't talk much
      because I don't think you will enjoy listening to it very
 4
      much, but I do want to echo this issue that we have been
      discussing with regard to feedback on comments that you make
      and whether you feel that feedback that you get from us is
 7
 8
      helpful.
               I think Mr. Brown had mentioned, you know, really
      tell us why you have accepted or not accepted, as the case
10
11
      may be, a comment, but I want to go a little bit further
12
      into the whole issue of communication that parallels a lot
13
      of what Carl was talking about and most of you, many of you
14
      may remember that I have spoken to this before.
15
               In April I went out to Yucca Mountain and I spent
16
      a day and said I will meet with anybody who wants to meet
17
      with me, and I spent a day meeting with state and local
      officials and public interest groups and et cetera, and I
18
19
      learned that even though we were out there meeting, we were
      not communicating, and I learned even that some people
20
      thought we were part of DOE. They didn't realize that we
21
2.2
      were different.
               So we came back. I met with Carl. I talked with
23
      Chip. We sat down -- and several of the people involved in
24
25
      the waste issue -- and we looked at this and we realized we
 1
      weren't communicating, so we are working on this and I think
      it is extremely important.
 2
               I think Mr. Kamps has mentioned a couple of
 4
      things. Well, we don't know how to be part of the process
 5
      or we don't feel that we get to be part of the process, and
      that was one of the things that we discussed in Yucca
      Mountain because people did not understand what the process
      was and how to be a part of that process, so I think in the
 8
      communication area -- I am not going to go into that
      anymore. I gave a speech in Denver last week which is why I
10
11
      think I am talking like I am talking today, not that I
12
      dislike Denver, it is a nice place, but it was cold.
               And I got a chill on the very issue of
13
14
      transparency and communication, and I think that speech is
15
      now on the Web site or about to get on the Web site if it's
16
      not already. So you might find it useful to go into.
              I want to raise then the question
17
18
      different -- changing horses now, quite a bit. As the issue
19
      that I think Ms. Nimmo --
20
               MS. NIMMO: Yes.
               COMMISSIONER DICUS: And it goes to you and to Mr.
21
22
     Fletcher, and it's the issue you brought up being a source
      manufacturer working in multiple States, 31 Agreement
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States, and slightly different requirements that you might

25 run into from State to State.

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I was director of a State program, and this was a 2 concern to me at the time I was director of a State program on whether or not the NRC should in fact have very strict 3 compatibility issues when it's interstate commerce and a national company. And I would like -- I think I know what 5 6 your position is, but if you want to add to this, and I would like for Roland to respond as well, if he would. MR. FLETCHER: I had the fortune actually of 8 having the opportunity to work on the NRC Agreement State compatibility work group. And virtually every one of the

9 10 issues of State-to-State compatibility was raised whenever 11 12 we looked at a regulation that in different States was applied differently. 13 14 The emphasis at the time and the direction at the

time, we were looking at from an Agreement State perspective, and I haven't noticed any change, is that there needs to be more flexibility imparted to the Agreement States rather than more restriction, because different States just have different levels of concern, of history, of makeup of licensees that impact the rules that are made.

I understand the difficultly the Ms. Nimmo has indicated, but I'm not sure that the rules can be made that are going to make everybody happy. And in Agreement States in particular, we have to look at those rules that, one, meet the health and safety requirements that we're looking

for, and, two, conform to whatever internal State procedures need to apply. I think it would be a mistake to dictate more strict compatibility and then have a larger number of Agreement States who due to local requirements can't meet

6 CHAIRMAN MESERVE: Mr. Brown may want to enter 7 into this discussion, too, I think, being a national 8 company.

MS. NIMMO: Part of the problem we see is the Agreement States sometimes pass rules that have consequences for licensees outside their borders, and the licensees outside their borders may not even know about the proposed

14 I was speaking to you in the break about problems 15 we just had in New York. New York decided that some of the devices would no longer be generally licensed. That's 16 17 somewhat different for us and our customers, but we can actually live with that. But there was a side effect to 18 that. We do service within -- we do installations and 19 2.0 ongoing maintenance to the systems in that State. And by taking the devices off the generally licensed list, we lost 21 our right to go in as a California Agreement State licensee 22 23 and do service.

24 New York has very restricted reciprocity requirements limited to 30 days a year. We can't live with 25

that. We have people who are reporting to work on a daily basis working under a California license. So the outcome 2 3 was that New York said well, apply for a specific license

from New York. Well, we can do that, but you end up with a very redundant licensing process that I think is a waste of

New York's regulatory effort as well as our radiation staff 6

New York is in the process of now granting us and

exception, and their statement was that was an accidental 10 outcome of that regulation. We didn't realize it was going 11 12 to do that. We didn't intend it to do that. I guess what I would be looking for is if the Agreement States do want that 13 kind of flexibility, some mechanism to let people out of 14 15 state at least see what they're proposing and be able to 16 point out consequences like that, rather than waiting till 17 after the fact. I don't think it's a good use of taxpayer money or a good use of radiation safety effort. 18 19 CHAIRMAN MESERVE: Roy? MR. BROWN: Yes, I would like to address that as 20 well, and I don't want to get too far off the track, but I 21 2.2 can't resist an opportunity to talk about this. 23 We run into problems from time to time with this and incompatibility from one State to another when we have a 2.4 25 new radiopharmaceutical developed, when it gets reviewed and 1 approved by NRC, but most of the time with a NARM product it's very easy to go into Agreement States and non-Agreement 2 States and sold across the board, especially States that adhere to CRCPD. 4 5 An area we do have a great deal of problem though, and I know this is outside of NRC's jurisdiction right now, and it would take a congressional mandate to change it, is in the area of NARM products, cyclotron-produced products, many of the important radiopharmaceuticals now are cyclotron 1.0 produced. There's a whole new class of radiopharmaceuticals 11 involving PET products, positron emitters, that also are not 12 governed by the NRC. 13 When manufacturers of these products come out with 14 a new product, it is very, very difficult to get that approved. Sometimes you have to go to each individual State 15 16 to get approval. Once again, some of the good, strong Agreement State programs in some of the States that adhere 17 to CRCPD licensing guidelines it's fairly easy, but then 18 19 oftentimes you'll have to go out to 18 or 20 or 25 of the States and actually sit down with and file an individual 20 application with each one of them, and you end up with a 21 22 situation where you get this new radiopharmaceutical, this 23 lifesaving pharmaceutical approved in 20 States November 1, and then invariably it'll take you six months to get the 24 25 rest of the 50 States approved, and that's really not good 1 public policy. But once again I realize that's not your 2 jurisdiction, but I couldn't resist the opportunity. COMMISSIONER MERRIFIELD: Mr. Chairman, I actually have a followup question related to this topic. Obviously 4 5 we as NRC play a role in dealing with all the States, 6 whether they are agreement or nonagreement States. And I 7 direct this to Mr. Fletcher. One of the concerns that was raised was 8 notification, New York undertaking an action which licensees in other States didn't have access to an understanding that 10 that was moving forward. Having just talked about the 11 12 Internet, is there any usefulness in an idea of perhaps the 13 NRC having sponsorship of a Web site in which Agreement States would post on that Web site activities that they have 14 15 under way in rulemakings so that licensees would have a 16 one-stop place to go to and say gee, you know, check that 17 sheet, New York is undertaking an action on this issue, or New Jersey's undertaking an action on this issue? Is that 18 19 something that -- any reaction? Something -- how do you think the States might react to that?

I think 11 other companies that we banded together with an

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               MR. FLETCHER: Well, speaking on behalf of the
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     OAS, I think it would be something that we'd like to pursue.
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      It sounds like an information source that, you know, we'd be
      foolish to overlook and that would in many cases provide
24
     some information for reciprocity in particular for licensees
25
      in other States. I think it is something we'd like to at
1
     least get more information on.
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3
               COMMISSIONER MERRIFIELD: Ms. Nimmo, do you
4
      believe that would be a helpful idea?
               MS. NIMMO: Yes, I think that would be extremely
5
     helpful. As we were discussing during the break, it is
 6
      possible for companies like mine to subscribe to the State
     registers, but if any of you have spent a lot of time with
      the Federal Register, you know it's a little bit like
      looking for a needle in a haystack. You'll see stuff on
10
11
      sweet potatoes and everything under the sun when you're
12
      looking for your one issue that you need to follow up on.
13
               COMMISSIONER MERRIFIELD: One sensitivity. If we
      were to -- I mean, one thing is to invite the States to do
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      this. The other one is to say, you know, we really, really
     want you to do that, and we're going to require Agreement
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17
      States to do that. I know obviously that's a sensitivity.
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      But any reaction to that?
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               MR. FLETCHER: At this point in time I don't think
      "require" would be the word to use.
2.0
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               COMMISSIONER MERRIFIELD: Strongly encourage?
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               MR. FLETCHER: But I would prefer that the
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     proposal was elevated to the States to get comments back
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     before we, you know, make any kind of, you know, further
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     discussion about it. I think it's a good idea personally
      for Maryland and I think a lot of other States will, but a
      lot will depend upon how comfortable States are with being
2
      able to provide that information on a timely basis even
 3
      through the Web site, because as you probably know, there's
     going to be different levels of use, depending upon what the
5
      State mechanisms, data mechanisms are.
               MR. BROWN: We would also love to see something to
8
      that effect. You can imagine it takes guite a bit of
     resources to maintain 50 different sets of State regulations
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10
     and keep them current.
               COMMISSIONER MERRIFIELD: I'm going to take credit
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12
      for that idea, because I just thought of it. So just in
13
     case anyone wonders --
               CHAIRMAN MESERVE: We'll stick you with the
14
      "required," though.
15
              [Laughter.]
16
17
               Commissioner Diaz?
               COMMISSIONER DIAZ: Thank you, Mr. Chairman.
18
               First I'd like to underscore the importance that
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     the Commission during my time has placed on the issue of
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     open communication with stakeholders. I believe that it
     might not be well known to all stakeholders the importance
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23
      that this Commission has placed on being open to
      communications, and I'd like to just really, you know,
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     emphasize that there is an openness, and that unless you
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     participate, however, we don't have a way to knowing your
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     concerns.
               So regardless how deficient we are, I really
      believe that we are trying very, very hard to listen to all
      concerned, and I encourage all groups to avail themselves of
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the opportunity. And like somebody was saying, sometimes
      the staff, you know, might filter something. This
      Commission has been open to direct communications, and, you
      know, if you feel that need, please use that fact that we
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     are open to it
               Second, I'd like to make a comment that I believe
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12
      this is a stakeholders meeting to enhance communications.
13
      If we look at what transpired early in the process, Carl
14
      discussed six different areas where multiple different
      techniques were used for stakeholder participation. I
15
16
      wonder how many people would pass a quiz on how many
17
     different techniques were used.
18
               Therefore, again I think it is important not only
19
     for the staff and the Commission but for the stakeholders
20
      that the staff reasonably soon captures what techniques were
      good in what situations, and go ahead and establish, you
21
22
     know, an optimization of what worked when, so we can use
23
     them in the proper areas, in the proper time, so, you know,
24
      we will not be rediscovering this at every time, not only in
25
      NMSS but in different parts of the staff, the experience
      will be valuable. I think it should be assessed, it should
1
     be criticized, it should be documented, it should be
 2
     publicized for comments, and then we will have something
     that is going forward.
4
               Having been in training, I'd like to underscore
6
     again the importance of training. I think a couple of years
      ago I really tried very hard again to push for additional
7
      training of the staff. We all realize that technical
      competence does not assure competence in communications, and
1.0
     also I think we realize that communication competence does
11
      not assure accuracy. So it's a combination of both issues.
12
      And we need to make sure that our people are trained and
13
     they are put in the line of fire, they actually go through
      the processes, because that's the only way you're going to
14
15
     increase their competence.
16
               And finally a question I think that is open is we
     have heard a lot of things. And the bottom line, what do
17
     you think is the most important thing regarding
18
19
      communications? Is it that it be timely? Somebody was
20
      commenting that it be accurate. Or is it that it be
     transparent so people can participate? Is participation the
21
22
      most important, you know, component? Is that the one that
23
      should be the dominant issue? Should we always try to have
24
      enhanced participation, or is that something that the
25
      process already has built in? And I encourage your
1
      responses.
2
               MR. KAMPS: Okay. Well, I did mention a couple of
3
      earlier cases where enhanced participation left the public
      interest community and the environmental community feeling
4
5
      really burned, and we feel that that same pattern is
      continuing at the present time in regards to the release of
 6
      radioactive materials, for instance,
               And another point I wanted to make is that I
9
     really feel that the communities I'm talking about are
10
     underrepresented on this panel today, for one thing, and
      another pattern that seems to be taking place even today
11
12
     that's happened time and again in Michigan, for instance, is
13
     that the meeting is often dominated by the people at the
      front of the room, and it's turned over to the people in the
14
15
     rest of the room at the very end with very little time left.
16
      after most people have already left in terms of the press or
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even concerned citizens. And so I'm just -- I wonder if

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18
      even today if people from the public, people who were not
19
      invited to sit on this panel are going to have an
20
      opportunity to ask questions or make comments.
               CHAIRMAN MESERVE: I'll respond to that. Somebody
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22
     did come up and ask me, and I had a microphone placed in the
23
      audience that was not there before. We have a large number
24
     of people that we had invited to participate here this
      morning, and I indicated to that person that asked for time
25
1
      that if we had time and allowed public comments, we would
     certainly allow it, but I thought it would be rude to the
2
     people we had invited and who had prepared for this meeting
3
      if we didn't give them a full opportunity to air their
     views. So I stated that it would be on a time-allowed
5
               Let me say specifically, however, as well, that if
8
      there is anyone in the audience and if we don't have time
      for public comments that we will certainly provide an
10
     opportunity for anybody to submit written comments that will
     be part of the record of this proceeding, and which will be
11
      something that we will evaluate as we see what we should
12
13
      learn and what lessons we should draw from this meeting.
14
               Are there others that have comment or response to
15
      Mr. Diaz?
16
               MR. KANE: Perhaps not a response. I want to make
17
      a comment about the importance of the comments for this
      public meeting that we're having today, and specifically as
      we build out our strategic plan in the materials and waste
19
20
      arena. I was curious about are there best practices out
21
      there in government that we should be looking to that you
22
     may all be aware of or any of you may be aware of that we
23
      should be looking to as we build out our plan? I'd be very
24
      interested in getting any kind of comments that we can
     factor into our process in the coming months, because it's
25
     really important to us to address the area of improving
      public confidence.
2
               MR. FLETCHER: Commissioner Diaz, I want to add a
 4
5
     different adjective, which is probably even more difficult
     to define. Meaningful communications, because essentially
 6
     that's different things to different people. But I think
8
     whenever a party is engaged in communications, two things
     need to occur. They need to feel as though they have the
     opportunity to express themselves, and they need to feel
10
11
      that once expressed, their perspective is heard and
12
      considered.
13
               I think if that is accomplished then
14
      communications has occurred, but that has to be a two-way
      street. The privilege of speaking, being heard, and having
15
     your position considered means that you do likewise to
16
17
      whomever is speaking to you.
18
               CHAIRMAN MESERVE: Dr. Ring?
               DR. RING: I wanted to go back and make a comment
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20
      about Carl's description of public comment and response.
21
               I think it is very easy for us to all acknowledge
     that they do a great job of preparing that material. It is
22
23
     not a question of whether or not you, quote, "respond" to
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much work you have done, and I, myself, in my experience
have said that you quys do a great job, although I know how

the comments that you get. It is a question of what do people hear. If they don't hear it, it doesn't matter how

24

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to find the material.
 3
               Maybe one of the things that Carl brought up is
 4
      when you have forums, you say this is how we expect to
 5
      respond to these materials. If you want to see our public
 6
      response document it will be available on the website or you
      can obtain it from us by doing "x" -- and that way people
      know the expectations very clearly upfront.
1.0
               One of the things that Mr. Kamps brought up is
11
      that people don't know about the meetings and it is hard for
      people who are not particularly plugged into our area to
12
13
      find out about the meetings. One of the things that I have
      done when I have been in a similar role within Massachusetts
14
15
      is to make notifications to the local cable companies,
16
      newspapers, public meeting forums, so that they can post
17
      them on their systems if you are having a local meeting and
      often that works.
18
19
              I don't know if you have done that because I have
20
      never seen an NRC meeting in my local area.
21
              DR. PAPERIELLO: As I mentioned in my prepared
22
      remarks, when we held public meetings in Nevada on Part 63
23
      we did use multiple techniques, not just web. We posted
      them several weeks in advance at the location where the
24
25
      meeting was going to be held, and no, I agree with you. We
1
      have learned that if you really want to get people to come
      to a meeting you have to use multiple media to make that
 2
 3
      information available. Yes, that is definitely a lessons
 4
      learned
 5
               MR. ALLEN: I would say that on the Part 70, while
      this is a very simply worded question, it gets very
 6
      complicated, but to try to give a simple answer the Part 70
      actions where all of the industry was directly involved,
      public included, we came together so we had participation.
10
      The accuracy of the information -- the information was being
      exchanged readily face-to-face in many cases, and that has
11
      worked exceedingly well, and I think that has enabled us to
12
13
      debate issues, to understand concerns.
14
               I think where we have gotten sidetracked is
      formality in terms of the formal process, whether or not it
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16
      permits disclosure of what the rulemaking or the standard
17
      review plan is going to be in draft form. I think Dr.
18
      Paperiello and Liz ten Evck have tried to overcome that in
19
      great part through a lot of open meetings but that gets to
20
      be a concern, and so I think that goes to the point of in
21
      terms of what we are dealing with timeliness, and I would
22
      say that the one thing that at times we have suffered from
23
      is moving the process forward in many cases too fast to
     drive to an end date without resolving issues and trying to
24
25
      issue the rule without the standard review plan, which
      really is an unacceptable condition.
1
 2
               So I think in each case the examples that I have
      heard mentioned today suffer from parts of the concerns that
 3
      you have brought up, but from a Part 70 standpoint I think
      we have dealt well in overcoming a lot of the issues that
      stymied us in the beginning. The first six years of a long
 7
      process were really not very fruitful. The last 18 months
      have been very productive and I think they are good examples
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9 for us to apply in the future.

COMMISSIONER DIAZ: So you are saying there has to

11 be a balance between how the participation is enhanced and

12 how timely it is, the document is, and that is a difficult

13 issue.

MR. SCHERER: Yes, I want to make the same point in response to Commissioner Diaz's question and challenge. 16 17 Clearly in my mind there is no supremacy of accuracy over timeliness or timeliness over accuracy, or inclusion, but there is a balance that needs to be struck on 19 20 each issue, depending on the issue, and the need for clarity 21 and the need for timely response. 2.2 There is also the issue of formality and process 23 over content in that there still seems to be a reluctance on 24 the part of many at the Staff to discuss information that might be pre-decisional. It is as if every discussion with 2.5 1 the Staff may in fact be going to a rulemaking or a full adjudicatory hearing and there is a reluctance to discuss 2 with any candor some of the work that is still in process. That results in extended schedules and unnecessarily 4 5 protracted periods where nobody is communicating because each side is going back and working on its process. It frustrates both sides and all the stakeholders because there is very little communication as each party is 8 working in the confines of its own organization. I see that for example some of the meetings that I have attended where 10 11 senior management has been there the exchange has been significantly more fruitful, significantly better, and 12 13 significantly more productive, and they may leave that 14 morning and have other meetings and the discussion quickly 15 ceases in terms of material exchange of information and in some cases when they have returned the exchange again 16 17 becomes fruitful. 18 Some of that you heard yesterday in the 19 decommissioning hearing in terms of why is it taking so long 20 to get resolution on some issues, but again I think those 21 are not legal requirements. Those are almost thought processes that exist in the Staff that everything is somehow 22 23 heading to a full adjudicatory hearing, there is ex parte 24 communication on everything. Everything is pre-decisional until it has been fully endorsed up and down the 25 organization, which frustrates our ability to communicate. 1 2 CHAIRMAN MESERVE: Let me turn now to Commissioner 3 McGaffigan for his comments and/or questions. COMMISSIONER McGAFFIGAN: I will just comment 4 briefly on the last point. 5 6 I think that we have made tremendous strides in 7 the last few years in holding less stuff pre-decisional. At 8 the moment as the Commission is voting on a variety of 9 papers, almost every paper we are voting on is in the public domain and we have encouraged the Staff, maybe SRM by SRM, 10 11 to be open on some of these documents and we have seen tremendous strides forward I think as a result of the Part 12 70 rulemaking. 13 14 It was mentioned that over the last 18 months, and 15 that really came up as a result of Commission direction, there has been a much-improved process, but in all honesty I 16 17 once said Frank Miraglia took me to the woodshed. You know, 18 Frank Miraglia educated me after a reg info conference a 19 couple years ago that previous Commissions have given the 20 Staff previous guidance on this subject, which is you don't 21 have any thought until the Commission has blessed that thought, and it has taken awhile, it takes awhile to move 22 23 from an old paradigm to a new paradigm where I think any 24 Staffer should feel free to interact, provided he says that 25 this is my own personal view and this may or may not -- if

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it hasn't already been cleared at the EDO or the Commission
      level it may not survive.
               The issue I wanted to talk about is interaction
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     with the Commission itself. I notice Mr. Brown mentioned
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      the Commission overturning some ACMUI recommendations. I
     believe we have been quite transparent. We do it in public.
      We have public meetings with ACMUI. We disagree with them
      in public and then we write our votes in public. We all
     have voting records.
1.0
               But if that isn't enough, I am happy -- I know I
      have an open door policy, I think every Commissioner does,
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12
     to further explain why we overturn ACMUI or why we are
     pursuing generic -- orphan sources, or whatever, when we
13
14
      make a change of direction from the Staff.
               I do think Carl was right that when it is a formal
15
16
      process we do a great job of dealing with comments. There
17
     was somebody from Mallinkrodt at one of the public meetings
     in this room on Part 35 mentioned, you know, he made a
18
19
      comment with regard to assaying unit doses and whether we
20
      were doing the right thing in the draft Part 35. Well, I
      went and found it. It's in there, his comment, and how it
21
     was dealt with, even though it is way down in the comment.
22
      It is in that nine inch -- I think it is actually three and
     a half inches -- double-sided package that we have before us
24
      at the moment, but I would be interested in ways we could
25
      improve the Commissioners' interaction with the public.
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2
               With regard to Mr. Kamps, you know, West Valley --
     Diane D'Arrigo and Ray Vaughn were in my office earlier this
3
     year and I believe that the West Valley policy statement
 4
      that we arrived at after a year's deliberation is responsive
      to the people there, so something worked right in that case.
6
      Maybe it is just because we came to the answer that Ray
      Vaughn and the West Valley Coalition wanted, but we have
9
      open processes.
10
              We meet with people. We perhaps can be even more
     transparent. I remember on the decommissioning rule that
11
     you criticized us on, we were transparent from the fall of
12
13
      '96 to the May of '97 we did the decommissioning rule. We
     were entirely transparent. The paper was out there while we
14
     were voting on it. We had a public meeting where Ramona
15
      Travota came over here in the ACRS meeting room, met with
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17
      us. Our votes, which go on, mine was five pages -- I think
18
     every Commissioner's was that length, were available.
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19 We were available to talk. It was a very 20 transparent process. It was not what some public stakeholders wanted but that was because the generic 21 22 Environmental Impact Statement and the NUREG summarizing the 23 comments didn't support some of the positions that you took, in my view. 2.4 25

I would be interested -- we are Commissioners, we

do make changes. We do disagree with ACMUI. We will 1 disagree with the Staff. We will disagree with lots of folks. We all have voting records. We all have open door 4 policies. But if there is something more we need to do as Commissioners to communicate, I would be interested. MR. HERNANDEZ: If I may respond to Commissioner Diaz with an analogy. In manufacturing we have learned that productivity, quality, and safety are inter-related and you can't have one without the other, and so I would suggest 10 that each of the items that you mentioned in communication are critical components, as well as Roland's suggestion for

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     meaningfulness.
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               CHAIRMAN MESERVE: Let me turn to Commissioner
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      Merrifield -- oh, is there a comment?
               MR. ALLEN: There is a comment.
               CHAIRMAN MESERVE: Oh -- I didn't see anyone
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17
      raising a hand. I'm sorry.
18
               MR. ALLEN: Commissioner McGaffigan's comment
      spurred something and again I want to go back and reinforce
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20
      that I have been in my job slightly over two years, as the
21
      leader at the Westinghouse facility and have had at least
2.2
     four meetings with the Commissioners and more with the Staff
23
      and I would say that the preparedness of the Commissioners
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     for the meetings, for the issues that we have dealt with on
     Part 70 has been excellent, and so I'd go to the point that
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 1
      the open door policies and the discussions that we have had
 2
      with the NRC Commissioners, with the Staff have been a very
      productive process and I would only encourage that that
      continues, and again I feel blessed that I am a part of a
     10-facility industry, so that is pretty unique, but we have
 5
      been able to take advantage of it and the preparedness has
 6
 7
      been outstanding.
 8
               CHAIRMAN MESERVE: Thank you.
               COMMISSIONER MERRIFIELD: Mr. Chairman,
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     unfortunately I have got to leave in a minute, as I have got
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      another meeting that was scheduled, but I do want to follow
12
      up on Commissioner McGaffigan's comments and reinforce those
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      just a little bit, although I agree with virtually
14
      everything he said.
15
              I think that what we have right now and have had
16
     for a while, even before I got here, is one of the most
17
      committed and hands-on Commissions that this Agency has had
18
      for a long time, if ever.
               Much to the chagrin and frustration of the staff
19
20
      sometimes, these Commissioners frequently seek information
21
      well down the chain of command, to become more informed
      about what we are doing and how we are to make our decisions
22
      as Commissioners. What that results in sometimes is our
23
24
      reversing recommendations made by our staff. Sometimes that
      results in our reversing recommendations made by ECMUI or by
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1
      experts who come before us.
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               Speaking for myself, we were nominated by the
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      President and confirmed by the United States Senate for the
      purpose of rendering impartial decisions based on the
 4
 5
      information available to us from whatever source. And I
      think this Commission has really committed itself to
      fulfilling that mandate of the President and of the Senate
      in that regard. As a result of that, there are times where
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      licensees are not happy with the decisions we come down to,
      but those are the lumps that certainly we have to take.
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11
               But I agree with Commissioner McGaffigan. As you
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      all go back to your offices, I certainly look for other
      opportunities to interact with you and others in our
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14
      stakeholder community so that we can have the best-informed
      decisions. And if there are ways in which we as
15
      Commissioners individually or collectively can get better
16
17
      access to that information, certainly I'm very open to
18
      suggestions that people may have in that regard.
19
               Rov?
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               MR. BROWN: Just one brief comment. I might have
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      been filled with negative comments here today. I did want
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to make a positive comment. I do have to agree with what

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     Commissioner McGaffigan and Commissioner Merrifield said. I
     think the communication that at least our side of the
24
      industry has had with the staff and with the Commissioners
25
     themselves has improved dramatically over the last few
1
     years. So I did want to make that point, and I appreciate
2
      and acknowledge that openness.
3
               CHAIRMAN MESERVE: Thank you very much.
 4
               There was one person who had asked for an
      opportunity to make a brief statement, and her name is Amy
6
7
      Shollenberger, and she's with Public Citizen. Is she still
      in the audience? If she would choose to make a very brief
      statement, we'd allow that.
10
               MS. SHOLLENBERGER: First, thanks for the
11
      opportunity to speak.
12
               I just wanted to follow up on something that Kevin
13
     Kamps pointed out. I think it's really great that you guys
14
      are looking for input on how to improve your public
15
     participation process. However, I'm not entirely convinced
     that you're sincere in your efforts. Public Citizen asked
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17
      to be allowed to sit at the table at this meeting, and we
      were refused access to this table. And so because of that,
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19
      we had to wait until the end, after everyone else had
20
      spoken, in order to say anything at all.
              The justification for us having to wait was so
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22
      that the people sitting at the table wouldn't feel offended.
23
     And I think that's really telling, that you're not at all
      worried about offending members of the public who have been
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25
      waiting three hours to speak, but you're worried about
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      offending people who had special invitations to come and
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      tell you what they thought. And most of those people are
      members of the industry, and not members of the public or
3
 4
      people representing the public.
               So that's the first thing I'd like to say.
               Secondly I'd like to make a comment about what's
 6
      going on with your discussions about how to better use the
      Internet. I have a master's degree in technical and
      scientific communication. I'm highly trained in how to use
10
     the Internet, as well as Web site design and how people use
11
12
               Less than 30 percent of American citizens have
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      access to the Internet, and so I think it's really great
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      that you're thinking about making your process more
15
      transparent, posting stuff on the Web site, allowing people
16
     better access to it, but I would really caution you in
17
     believing that, doing that, is solving your problem. I
     think it solves the problem for people in the industry who
18
     have access to the Web. I think it even helps public
19
20
      interest groups like Public Citizen who have good resources
21
     and access to get that information. However, I think for
22
     members of the general public, it's a farce to believe that
23
     putting your documents on the Web site is really helping
     them in any significant way.
2.4
               Along with that, I would like to point out,
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      following up on something that Dr. Ring said, on your Web
      site the posting for this meeting stated that this meeting
2
      was going to be held in the Commissioners' conference room
      in a different building, not in this auditorium. And so I
      spent 15 minutes trying to find the meeting, not to even
     mention the fact that the signs don't lead to the
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auditorium. And so that's, you know, that's just an added layer of how do you get to participate in these meetings.

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9
               I'd also suggest that if you are looking to really
10
     help people have better access in navigation capabilities on
11
      your Web site, that you would look to the EPA Web site. The
      EPA has done a tremendous job of hiring technical
12
13
     communicators and Web site designers. They did several
14
      usability studies, and their Web site is very navigable, and
15
     you can find pretty -- information pretty easily that's
16
     buried pretty deeply on their Web site.
17
               Also the Thomas Web site that the Federal
18
     Government has by the Library of Congress that posts all the
     different bills and voting records and stuff is pretty easy
19
20
      to use, and I would suggest that you look at that site as
21
               That's basically all I have to say. I think that
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23
      the idea that you have is a very good one to listen to
     stakeholders to make your process more transparent. I think
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25
      it would really benefit you to take a hard look at how
1
     honest you're being with yourselves about how willing you
      really are to do that.
2
               CHAIRMAN MESERVE: Thank you very much.
4
5
               Let me clear up one point of confusion possibly.
      I think that all of the Commission representatives here at
     the table do not view the Web site as the sole means by
     which we will ever communicate with the public or with
      anyone, that this is one among many tools. It's one that we
      need to learn about, because this is obviously evolving
10
11
      technology. It's an opportunity that we don't want to
12
      foreclose, but that's not to say that it would be exclusive.
13
     And I can't respond to your comments about the invitation,
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      and I don't believe any of the Commissioners were involved
15
               I think we've come to the end of the appointed
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17
      time. I would like to thank everyone who's participated in
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     this session and those in the audience who have been patient
     and participated as well through listening, and that we will
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20
      welcome written comments from any of you, and that we've run
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      to the end of the allotted time, and we'll consider them.
22
               This has been very helpful for me. I'm in my
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      early stages here at the Commission, and I am aware of some
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     of the processes that Dr. Paperiello mentioned, because I
25
     had -- as an outsider I had participated in some of them.
1
     Others I was not familiar with. And this was a very, very
2
     helpful session for me in getting up to speed on the things
      we've done, but also extraordinarily helpful in the
     recommendations and suggestions that people have made.
 4
 5
      We'll take them in mind.
               So thank you very much, and we are now adjourned.
               [Whereupon, at 12:07 p.m., the meeting was
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      concluded.l
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