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                       UNITED STATES OF AMERICA
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                     NUCLEAR REGULATORY COMMISSION
                        OFFICE OF THE SECRETARY
                          BRIEFING ON PART 35
               RULE ON MEDICAL USE OF BYPRODUCT MATERIAL
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                                  Room 1F-16
                                  One White Flint North
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                                   11555 Rockville Pike
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                                   Rockville, Maryland
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14
                                  Thursday, October 21, 1999
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               The Commission met in open session, pursuant to
     notice, at 9:36 a.m., Greta J. Dicus, Chairman, presiding.
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     COMMISSIONER'S PRESENT:
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               GRETA J. DICUS, Chairman of the Commission
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              NILS J. DIAZ, Commissioner (Via Speaker Phone)
              EDWARD McGAFFIGAN, JR., Commissioner
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              JEFFREY S. MERRIFIELD, Commissioner
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                         PROCEEDINGS
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                                                     [9:36 a.m.]
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              CHAIRMAN DICUS: Good morning again, ladies and
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     gentlemen.
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               On behalf of my fellow Commissioners, I would like
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     to welcome the staff from the Office of Nuclear Materials
      Safety and Safeguards, as well as representatives from the
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     Advisory Committee on the Medical Use of Isotopes.
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               Today's presentation will discuss many of the
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     proposed major revisions to NRC's 10 CFR Part 35, the
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     medical use of byproduct material.
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              The Commission's last briefing on this subject was
     on March 25, 1999, and since that time, the staff has
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      continued to listen and work with our stakeholders to modify
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     and to revise the draft final rule.
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              Some of the more important issues that we will
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     hear about today is the training and experience requirements
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     for authorized users, reporting thresholds for unintended
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      exposures, and potential notification following a medical
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              This draft final rule illustrates the ability by
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     staff, members of the public, the medical community,
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     licensees, and the agreement states to be able to
     effectively communicate and work together to develop a draft
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     final rule that is both risk-informed and performance-based,
     which is a vast improvement over the existing 10 CFR Part
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               More important, however, is the focus that the
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     rule continues to ensure the patient's health and safety,
     while using past operating experience from medical
     facilities across the United States to make risk-informed
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     changes to the regulations which reduce unnecessary
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     regulatory burden for very low-risk procedures.
              The many weekends, week-nights, and holidays that
     the staff of the Division of Industrial and Medical Nuclear
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      Safety has worked have not gone unnoticed. The staff is to
     be highly commended for these efforts in bringing a
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      well-balanced and well-written paper to the Commission. It
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      is, indeed, a job well done.
               Since August of 1997, seven facilitated public
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      workshops have been held, for of which were during the
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      public comment period of the proposed rule.
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               I note that the staff has made more than 20 formal
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     presentations to professional societies on the particular
      items of interest to them, such as training and experience,
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      T&E;, requirements.
              In addition, both the Organization of Agreement
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     States and the Conference of Radiation Control Program
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     Directors were directly involved with the preparation and
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     development of the proposed and draft final rules.
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               While the information presented today is quite
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     extensive, we will try to let you get through your
     presentations with minimum interruption and save our general
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      questions until the end of each of the two major
      presentations.
               In other words, the staff present first and then
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      we will have a period of questions, and then the advisory
      committee to present, with a series of questions, and if
     time allows, we might have everybody at the table toward the
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               If there are additional questions, as I mentioned,
     we will try to have everyone together at the end.
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               Do any of my fellow Commissioners have any opening
     remarks they wish to express?
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               Commissioner McGaffigan.
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               COMMISSIONER McGAFFIGAN: I want to echo your
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      compliments to the staff. I think they've done an
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     outstanding job here. I think this is a major improvement
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      over the existing Part 35.
              I also want to thank ACMUI, the members who put in
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21
      a large number of hours plowing through large numbers of
      drafts, and I think we have a good product. Is it a perfect
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     product? No. There will be dissatisfied people. But it is
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2.4
      a vast improvement over the previous Part 35, and I
      compliment the staff for the effort.
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               CHAIRMAN DICUS: I thank you for those comments.
               Commissioner Merrifield?
               COMMISSIONER MERRIFIELD: I'd like to join in that
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     sentiment.
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               Cathy Haney, Diane Flack, and the rest of the Part
     35 team have done an outstanding job. I know there are some
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      areas where some stakeholders still have concerns. We'll
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      work through those, but overall, it's a tremendous amount of
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      effort on the part of the staff, and we do recognize that
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      and thank you.
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               CHAIRMAN DICUS: We do really, very much, as all
     of us have mentioned, appreciate the effort. We know this
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      has been a major effort. I know how long this has been on
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     the books, and I also appreciate the work of ACMUI and what
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     you have done in giving your time to this effort.
               So, without any further ado, Dr. Paperiello, if
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     you would introduce the staff, and we will get started.
              DR. PAPERIELLO: Thank you, Madam Chairman and
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     Commissioners.
               As you noted, the purpose of the meeting today is
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     to brief the Commission on the revision of Part 35, medical
     use of byproduct material.
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23 Before the staff starts the actual briefing on the issues associated with rule-making, I'd like to note that 24 25 the draft final rule before the Commission is the culmination of extensive effort that began in 1993, when the 1 2 Commission examined the issues surrounding the medical use 4 In 1997, the Commission stated that it supported 5 the ongoing medical use regulatory program, with 6 improvement, decreased oversight of low-risk activities, and 7 continued emphasis on high-risk activities. 8 That direction from the Commission has resulted in a draft final rule that significantly reduces the requirements for medical use licensees, especially for 10 11 diagnostic uses. In addition to other Commission guidance over the 12 past two years, the staff has also benefitted from extensive 13 interactions with the advisory committee on medical uses of 14 15 isotopes, medical professional societies, and other stakeholders, and in particular, as we heard from yesterday, 16 the agreement states and the Conference of Radiation Control 17 Program DIrectors. 18 19 The staff has kept the Commission apprised of the key issues associated with rule-making through SECY papers 20 21 and previous briefings. 2.2 Our presentation today will focus on the issues 23 where the staff has requested Commission guidance, in SECY 99-201, in order to finalize the rule-making. 24 25 However, the staff is prepared to discuss other 1 issues in the draft final rule, as well as the public 2 comments on the proposed rule and the comparison of current requirements in Part 35 with the requirements in the draft 3 final rule. 4 5 Members of the Commission's ACMUI will follow their comments -- with their comments on the staff's proposed resolutions of the key issues. Following the formal presentations, the staff will 9 be glad to respond to questions. 10 Seated the table with me are William Kane, 11 Director of the Office of Nuclear Material Safety and 12 Safeguards; Dr. Donald Cool, Director of the Division of Industrial, Medical, and Nuclear Safety; and Cathy Haney, 13 14 Chairperson of the Part 35 Working Group. 15 Ms. Haney will be presenting the staff's position 16 on the key issues. 17 Following the staff's presentation, Dr. Manuel Cerqueira, Ms. Nekita Hobson, Ms. Ruth McBurney, and Dr. 18 19 Louis Wagner will present the ACMUI's position on the key 20 Cathy Haney will now begin the staff's briefing on 21 22 Part 35. 23 MS. HANEY: Good morning. Thank you. If I could have view-graph number one, I would 24 25 like to first go through what I would like to discuss with 1 the Commission first. 2 That would be just a brief discussion on the background, some of the extra information that is included in SECY 99-201, identify the key issues for the Commission's 4 consideration, also look into our implication -- what we consider to be implications of implementing the rule in the licensing, inspection, and enforcement program, and then,

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finally, to give you our best estimate of the time-table for
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      completion of this project and also the resources needed to
      complete the task before us.
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               Next view-graph, please.
               With background, as has been indicated, we're
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      really starting back with the March 20, 1997, SRM from the
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     Commission that asked that we revise Part 35 into a more
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      risk-informed performance-based regulation, and again, as
      indicated previously, we have had continuous interactions
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      with the public, with stakeholders, agreement states,
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     non-agreement states, and the ACMUI, and that's why \ensuremath{\text{I}}
      believe we were able to really put this package before you
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     with considering all these comments.
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              It was very advantageous to us to have this
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      interaction with the stakeholders.
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               The next view-graph -- I'd like to note just a
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      couple of things on this.
               Obviously, the main reason for providing you with
      SECY 99-201 was to provide the draft final rule language to
      you, but we also used this as a mechanism for closing out
     previous requests from the Commission on some of the
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      outstanding issues.
               One such example would be the need for a formal
      risk assessment, which we'll discuss in a minute.
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               The other thing was to provide the Commission with
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     our understanding of where the draft final rule package
     differs from that of the SR-6 committee, the SR-6 committee
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     being a committee that's under the Conference of Radiation
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     Control Program Directors that is developing a suggested
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     state regulation for the medical area.
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               In view-graph four, there are several issues that
     we would like to highlight for the Commission's decision.
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     They are noted on this slide.
               There are numerous other issues associated with
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     this project, but knowing that I couldn't have you for eight
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     hours to talk to you about them, we identified just the key
      ones that we wanted to put before you, the first one being
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               CHAIRMAN DICUS: Do you think you can get all the
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     key issues just in eight hours?
               MS. HANEY: No.
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               COMMISSIONER MERRIFIELD: That's reading them.
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               MS. HANEY: It's not telling you the background.
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               COMMISSIONER McGAFFIGAN: Madam Chairman, I just
     might note -- I forget whether we've said it already. This
     paper has been available to the public since early August.
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     and we've been pouring over it and getting briefed on it bit
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      by bit.
               So, this is the tip of the iceberg of the
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      Commission's involvement in this paper and, I think, the
     public involvement, as well.
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               COMMISSIONER MERRIFIELD: I'd actually, just for a
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      second, like to jump in on that.
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               I think all of the Commissioners, or at least all
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      the Commissioners present, have also had separate briefings
     from the staff on this, and there are a number of questions
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     that I had that have already been answered, and I'll try to
     give the flavor of some of those today, but you know,
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      normally, I might have more questions than perhaps I may
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     have today, and that's not as a result of not having
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      questions, it's a result of having answers.
               CHAIRMAN DICUS: I think we're all in the same
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boat. I do have the questions that I'll ask on behalf of
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21 Commissioner Diaz, but we're going to let you go ahead and

22 get through. You're going very well.

MS. HANEY: Thank you.

24 All right.

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25 The first topic would be the need for a risk

1 assessment, and the issue here is whether we need to perform
2 a formal risk assessment.

After the March briefing, the Commission asked us to provide the pros and cons associated with doing a formal risk assessment at this time.

The pros, obviously, would be that there would be additional information and that it would be responsive to some of the public comments.

9 However, there are several down-sides to doing it
10 at this point, and this has to do with the significant delay
11 in providing a final rule to either the Commission or
12 putting a final rule in the Federal Register. It also would
13 be significantly resource-intensive.

We believe what we've provided you with is a risk-informed rule and that we have made significant reductions in unnecessary burden associated with the use of byproduct material.

Another thing to note, too, is that some of the data that would be needed to perform a formal risk assessment would be problematic, and this goes back to information that appeared in the NAS -- the National Academy of Science Institute of Medicine report, when they did look at NRC's role in regulating in this particular area.

As I said earlier, we have made significant reductions in unnecessary burden.

1 Just to give you an example of a few of them for 2 consideration would be as the reduction in the radiation safety committee, your diagnostic users would no longer be required to have a radiation safety committee, some of the 4 quality assurance tests that are done on generators. We have reduced requirements in for surveys in the department, relying on Part 20 as the governing regulation, as compared to Part 35, and also, we've made some changes in the requirements for what a licensee would need to -- when they would need to come in for a license amendment. All of those 1.0 11 things considered, we do think that the rule has reduced the 12 burden -- unnecessary burden. 13 Moving on to the next view-graph on radiation

Moving on to the next view-graph on radiation safety committee, this was a very interesting issue, and really, the issue is what is the impact of deleting the radiation safety committee? Does it reduce the licensee's radiation safety program effectiveness?

18 We took into consideration the risks associated 19 with use of material at the facilities, as well as public 20 comments.

Public comments really were from the standpoint of medical physicist, radiation safety officer, they believe a very strong importance for the safety committee, where others believed that it was more important to have flexibility.

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Our recommendation would be that the radiation
safety committee is only required if there are two or more
different types of uses under the sub-parts E, F, and H, and
what that translates into is, if you're using unsealed

byproduct material for therapy, something such as I-131, remote after-loaders, gamma stereotactic radiosurgery units, teletherapy, or manual brachytherapy. If you have two or more of those types of units, then you would need to have a 8 radiation safety committee. 9 Also, in subpart H -- subpart H is really medical 10 11 devices, and we believe that, if you have two or more units 12 under that particular subpart, that it was important to have 13 a radiation safety committee. For example, if you had a remote after-loader, 14 15 gamma stereotactic radiosurgery unit, that tends to be in 16 17 your neurosurgery units.

those tend to be in your oncology department. If you had a

The concept of -- the need for a radiation safety committee is to bring departments together so that they can speak, and that's why we believe that this was important

We also believe this is a very good example of where we considered risk in reducing requirements.

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Next view-graph, seven, has to do with training and experience requirements, and this was probably the

1 biggest issue that we had to address under this particular rule-making. It also received the most comments.

We believe that our regulation should be focused on the safe handling of the radioactive material and that it's important to note that being a licensed, authorized user under NRC, in the case of a physician, is saying the individual is competent to handle materials safely. it is not assessment of their clinical proficiency.

We do have some global recommendations in this particular area, and that is, as I said, we focus on radiation safety, and also, we rely on the preceptor form and the hours of -- that are required by the rule to assure that the individual has safe handling of the material.

Slide seven, please. Thanks.

If you remember back in the proposed rule, we had proposed that an exam be required, and also, in March, when I spoke with you, we asked that -- and we were considering NRC getting into a situation where we would approve training programs, but when we went back and looked at the implications and the assurance that we would gain from both of those particular items, we felt that we really didn't need to go to that extreme, that it would be sufficient to just require -- increase the number of hours in some areas of training over that in the proposed rule and also to rely on the preceptor form.

1 Now if you can move to page eight, the other thing I would like to point out about changes in the training and experience is that, in the case of the diagnostic users of 3 4 material -- that's the 35.200 use of material -- that we no longer have the breakdown in the number of classroom hours and the number of work experience hours. We've grouped that together and asked that the individual have 700 hours of training, total, and we specify in the rule what we would 9 want the individual to know.

10 So, this is, again, a step at us becoming less 11 prescriptive and focusing in on performance, telling the 12 licensees what we want them to know.

13 The other particular item that is a change in this area is that we have reinstated the current requirements for 14 15 the use of strontium-90 for eye applicators.

Again, an example of looking at risk in this

17 particular area, at the proposed rule we asked that the

18 hours be increased to that required for radiation oncology.

19 However, we were concerned about the impact on the

20 profession by doing this.

21 We were also aware that there were a significant
22 number of misadministrations in this particular area, but we
23 asked ourselves whether increasing the training was really
24 the solution to the problem, and our suggestion is that, in
25 this case, it may not be.

The cause of the misadministrations were sources that had not been calibrated properly and had not been decayed.

So, we did put a prescriptive requirement into the rule that the sources would -- the licensee would need to have them calibrated to NIST and also that an authorized medical physicist perform the calculation.

Again, we recognize it is a prescriptive requirement, but because of the risk associated with the use of this material, we do believe that it is warranted.

Moving on to view-graph nine, this issue has to do with the reporting threshold for unintended exposure to the embryo/fetus and nursing child.

The issue here is that we do have a requirement to report to Congress when an embryo/fetus or nursing child receives an exposure greater than 5 rem or if there is a situation where there's been unintended permanent functional damage to an organ.

As a result, we proposed at the proposed rule stage that the threshold be slightly less than the AO criteria that we come -- that our rules require reporting at 500 millirem, rather than 5 rem, so that we would hear about information in advance of having to report it Congress.

We have changed -- based on public comment, staff

25 is recommending that we increase the level from that

proposed in the proposed rule to the 5-rem limit, which would put it right at the limit of the AO criteria.

Now, if we go on to view-graph 10, some of the reasons that we are doing this are because of the impact on the medical profession if the threshold were left at 500 millirem.

We received comments to the point that, even in a diagnostic area, there are a significant number of procedures that would trip the 500-millirem level, and as a result, the practice of medicine would have to be changed somewhat to address this, because the question is would it be adequate any longer just to merely ask the patient if they were pregnant or would we get into a situation where they were having to do pregnancy tests all the time?

Another concern would get into the cost of who would be paying for this, whether it would be covered for insurance or not. Would the patient be able to go to the same facility to get the blood-work that they would need to that they would get the nuclear medicine procedure?

Also, it could actually impact the care of the individual, because the physicians might be leary to do this procedure, and therefore -- because they might have to report to NRC -- and therefore order tests that would not be as good as the nuclear medicine test as a diagnostic tool.

We consider this in light of the risks associated

what was -- you know, was the baby or embryo/fetus going to be negatively impacted? 3 We considered reports put out by the National Council on Radiation Protection and the American Association of Physicists in Medicine and based on the information in 6 that document, we felt justified in coming back to the Commission with the request to raise this level to 5 rem, also realizing that this is a reporting requirement and not a dose limit, and that is a very important distinction on this particular item. 11 12 Lou Wagner, who is on the ACMUI, is prepared to discuss the effects of the -- on the embryo/fetus and the 13 14 nursing child between these two particular levels. 15 This is one of the areas where I would like to point out that there is a concern -- that there was a 17 concern raised by the SR6 committee in this area, believing 18 that the dose limit should not be at the 5-rem level, it 19 would be better if it was at a lower threshold. View-graph number 11 gets us into the next topic 20 21 area, and that is notification following a medical event or 22 exposure to the embryo/fetus or nursing child. The issue here is should there be an NRC 23 24 requirement that would require the licensee, referring physician, or the authorized user to notify either the patient, the responsible relative, or the mother if an event did occur? The public comments that we received were very 3 4 much against a requirement from the standpoint of NRC having a requirement, indicating that it is the standard of car, 6 standard of practice to inform the individual when an event such as this has occurred. 8 However, we have chosen, based on previous 9 Commission positions, I guess is the best way to put it, that we would continue to require this notification in the 10 11 rule. 12 We did, however, provide in the SECY paper some alternative rule text for this particular area, and that was 13 in response to the SRM that we received after the March 14 briefing. 15 So, what I would like to just run through real 17 briefly with you are the pros and cons of the alternative text, recognizing that the alternative text would only 18 require that the licensee certify to us that the individual would told. 20 21 We would go no further. We would not care whether 22 a report was given to the mother nor would we ask if a report was given; it's merely licensee certify to us that 23 24 you told them. 25 From the pros associated with this alternative, we 1 do believe that it is more consistent with the medical policy goals. We believe that it does put a greater reliance on the patient/physician relationship. We would like to recognize that it is consistent with the Federal patient -- with other Federal patient 6 notification requirements, that being FDA's, and then it also -- one could argue that it is stepping more into a more risk-informed situation than the current text is right now. However, there are cons associated with that, and 10 they are that it does not ensure that the patient is 11 notified, is fully informed of the event. That's probably 12 the biggest one.

The other is that it is not consistent with other

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      NRC requirements, that being the Part 20 requirements that
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      require the licensee to notify a member of the public or an
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      occupationally-exposed individual if they receive doses in
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      excess of the limits.
               So, as I said, we have -- the draft final rule
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      text has the current requirements in it, but you do have the
     alternative text, if you would like to consider that.
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               There are two additional concerns raised by the
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      SR6 committee.
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               One has to do with criteria for releasing
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      individuals, slide 14, and that being that there are two
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      cases where -- two items that they would suggest going into
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     the suggested state regulations.
               Under this particular item, the states would have
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      the authority to be more restrictive in this because of the
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     compatibility designation associated with it.
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               One is that the authorized user would be required
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     to sign the document that authorizes the release of the
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      individual
               The other is that they would like to include a
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     statement that the licensees would have continued
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      responsibility for contaminated articles even though the
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     patient had been released under the regulations.
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               The other issue has to do with safety precautions
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     associated with brachytherapy treatments. The draft final
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      rule you have before you would allow a licensee to quarter
      two patients in the same room that had both received
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      unsealed byproduct material for a therapy situation.
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               We believe that the dose that one would be
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     receiving from the other would be inconsequential in light
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      of the dose or exposure that they are receiving as a result
      of their treatment. Therefore, we see no reason to preclude
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     that
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               However, the SR6 committee would so require a
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     private room.
               The other is that they would like to see some
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      additional survey requirements in their equivalent to Part
     35, where we feel confident that the licensee's radiation
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      safety program under Part 20 would adequately address this.
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               View-graph 15 covers real briefly the implications
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      in the licensing inspection and enforcement program.
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               We do believe that medical licensees should
     continue to receive a specific license because of the risks
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      associated with the use of the material.
               We have, however, made changes in what
     information, what amount of information must be submitted to
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     us in order to receive that license.
               Under the current rule and current policies,
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     licensees need to submit procedures to us for handling
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     material safely, for their training programs, for how
     they're going to calibrate their dose calibrator, very
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     specific procedures, and that becomes part of their license
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      application.
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               What we are proposing is that information no
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      longer come in to the licensees.
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               The only information that we would need would be
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     their name, their mailing address, who's going to be the
     authorized user, the training and experience for the
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      authorized users, T&E; for their radiation safety officer,
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      and some information on their equipment, and that is all
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that we would be looking at, but the comments that I

received were fine, Cathy, that sounds great, but you're going to hit us on the inspection, you're come in and look 1 2 at that, so all you're doing is shifting your resources from licensing to inspection, and that is not the case. 3 Our plan is not to go into reviewing these 5 procedures at all, really, during an inspection, unless the situation warranted it -- for example, we were out 6 investigating a medical event or some contamination getting 8 out into a unrestricted area. 9 In that case, we would go in and look at these 10 11 So, it doesn't relieve the licensee from having 12 them as part of their safety program, but from the 13 standpoint of NRC reviewing them, we would not be doing that. 14 15 Also, there would be some needed revisions to the 16 enforcement policy from a standpoint of there are some 17 changes in terminology. 18 So, we would need to make some minor changes in 19 some of the appendices for the enforcement policy, and that would really be it, because there are -- any changes in the 20 21 overall enforcement policy are being handled under a 22 separate effort. View-graph number 16 addresses the resources and 23 time-table for completion of the rule. My best guess is 24 25 that it will take approximately three FTE to complete the 1 rule-making, recognizing that, when we do come back to you, we will be providing you with the medical policy statement 3 in final, the NUREG document, which is the guidance 4 document, a complete Federal register notice, regulatory 5 analysis, and an OMB package. 6 As far as the due date for that, or the timing to do all of that, once we get that back to you and the rule would get published, we're looking at probably an effective 8 9 date of early 2001. That is assuming that we really -- we're waiting 10 for direction from the Commission to go forward, and then 11 12 we'll have about three to four months to do that. 13 Following that, we'll need a maximum of 90 days to get OMB clearance on the package. The rule would be 14 15 With that, I've gone through prepared remarks, at 17 least. 18 CHAIRMAN DICUS: Okav.

published mid-next year, with a six-month effective period.

Let me make a couple of observations.

19 First of all. I want to thank you for a succinct 20 21 yet thorough review of where we are at the moment. I really 22 personally don't have very many questions, because in fact, 23 I think they've been answered in the slides themselves and 24 from a pre-brief that I had. I will make a couple of 25 observations, though.

The first one is on slide seven, and it's the last 1 sub-bullet, where it says NRC recognition, especially 3 boards, and I simply want to point out we are talking about recognizing, not approving. 4

MS. HANEY: Correct.

CHAIRMAN DICUS: So, there is a difference there. 6 And then I would go to slide 15 and the issue in the third bullet of inspections and the issue that you 8 9 brought up as to whether or not we would be transferring licensing burden to inspection burden.

I just want to caution the staff to be sure that 12 we don't do that, to be sure that we don't get inspection 13 creep in this arena, that we are careful, that our review of procedures and inspection is related strictly to when it's 14 found to be a reason that we need to go in to review those. 15 16 So, on behalf of Commissioner Diaz, who we did 17 have problems having in on this briefing -- and I know he has a great deal of interest in this issue -- I would like 18 19 to ask a question on his behalf, and it goes to slide eight, 20 and his question is, "As noted in the SECY paper and as discussed yesterday at the OAS/CRCPD briefing, which we did 21 22 get into Part 35, there is a concern about the number of 23 hours of required training for the use of I-131. Would you please explain why 80 hours of training" -- and I realize 24 25 you may have already done this, but I think, for the record, 1 for him -- "is sufficient for the safe administration of I-131?" That's question one. 2 "Should there be different requirements for large 3 institutions versus endocrinologists' offices?" question 4 number two, and question number three, in "In your discussion, would you please address health and safety 6 7 issues of workers and the public in this regard?" MS. HANEY: Okay. Let me address them in order. 9 I think I can do that. 10 We did -- if you go back to where we were before 11 the proposed rule, we had recommended that the number of hours for the endocrinologist be increased, and that was 12 13 based on looking at hazards associated with the use of I-13114 and recognizing that misadministrations have occurred with 15 use of I-131 and noting that the way the rule was currently 16 written, there were some hands-on performance sort of 17 requirements that were not included in the requirements that were specific to the endocrinologist. So, we had 18 19 recommended an increase. 20 We did have a lot of interactions with the endocrinology community, where they described in detail 21 their training programs and how they handled material and 22 23 asked that we look closer at the records -- the 24 misadministration records associated with use of I-131 and 25 reconsider whether an increase in this particular area was 1 warranted or not. 2 We did go back and do that, and according to our 3 records, there were two misadministrations of I-131 that 4 could be attributed to an endocrinologist as compared to another type of user, and really, what we've been hearing is look at the track records. 6 If material -- if things have been working well, why do you need to change them, and with that in mind, we went back and we really didn't feel like we had the basis to 10 change the requirements, to increase the hours for the 11 particular users. We did modify the ruling somewhat to make sure 12 13 that there were requirements in the rule for some of the 14 more performance-oriented -- surveys, things like that -- to explicitly get them into the rule as compared to knowing 15 16 that it takes place but it was not necessarily required by 17 the rule change. The other thing that -- change that we made in 18 19 this area was the greater reliance on the preceptor. 20 Whether you're looking at someone preceptoring for 21 use of I-131 or for a remote after-loader or a radiation

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      safety officer, for that instance, under the current
      scenario the preceptor is merely saying yes, the individual
23
      took the training period. That's all that he's signing to.
24
25
               Under our new proposal is the preceptor is
      actually saying the individual took the training, but in his
 1
      or her professional opinion, the individual is competent to
 3
      handle the material safely
               So, because of that, we really felt that we could
 5
      leave the training requirements at the same level as they
 6
      are right now and not feel like there was any impact on
      either the patient or the occupational workers or the public
      as a result of these particular hours.
 8
 9
               The second requirement about whether there should
10
      be different requirements for the large institutions versus
      the -- you know, whether you could have a different
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12
      requirement for the endocrinologist -- if you asked me this
13
      question a year-and-a-half ago, I would have said no -- or I
14
      would have said yes, based on that information, but again,
15
      because of the benefit of having this level of interaction
      with the stakeholders, I really do think that there is a
16
      need for separate requirements in this particular area.
17
18
               In the larger institutions, you have individuals
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      that are -- you're supervising larger staff, so they're
     handling more material, so more things can go wrong, and
20
      they need to be able to have a little bit more experience to
21
22
      be able to make sure that either things don't go wrong or
      that, if they do go wrong, they know how to handle it, also
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24
      recognizing that there are certain -- it's almost a -- well,
25
      it is a specialty, that they're only using iodine, majority
      is only used in capsule form and, therefore, cutting down on
      the problems with potential contamination, and you're only
 3
      dealing with one organ, and based on all of that, I think
      it's just a separate category for this particular type of
 4
      user is warranted.
 5
 6
               With regards to the question about did we consider
      the health and safety associated with use of the workers and
      the public -- and I'm going to assume this is specific to
 8
 9
      iodine -- yes, we did, and I believe that the regulations
10
      are in place that would provide for that.
               Section 35.75, which has to do with the release
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12
      criteria for when a patient can be released, is dose-based,
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      and it is -- and the release is based on the dose to the
14
      maximally exposed individual.
15
               So, from that standpoint, I think that that
16
      addresses one set of populations, really your public
17
      exposures.
18
               Part 20 would address your occupational exposures,
19
      and again, the licensee still needs to comply with Part 20,
      so all of those requirements are still in place.
2.0
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              So, because of, you know, the combination of Part
22
      35 and 25, I think that the public health and safety, as
      well as occupational health and safety, is protected by the
2.3
24
      draft final rule.
25
               CHAIRMAN DICUS: Okay. Thank you for your
 1
               I know that the SR6 committee did have concerns
 3
      with this and did surface them later.
               Commissioner McGaffigan.
               COMMISSIONER McGAFFIGAN: I'm going to try to go
      through basically the SR6 concerns. I think I come down on
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the staff's side on all of them, but I just want you to have

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a chance, publicly, to talk about some of them.
              The first slide he had yesterday -- and I'm
      talking about Mr. Walter from Alabama -- had to do with
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      written procedures, and he basically, unlike our rule, wants
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     to have all of the procedures submitted as part of the
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13
     license and, you know, a fairly prescriptive requirement,
14
     rather than relying on spot inspections as needed. Tell me
     why you came down the way you came down, as opposed to the
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16
      SR6 committee.
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               MS. HANEY: From the standpoint of the procedures
     being submitted at the time of licensing?
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19
              COMMISSIONER McGAFFIGAN: Yes.
20
               MS. HANEY: The procedures are really the
     licensee's responsibility. We set the regulations, tell
21
      them what they need to do. We have requirements for
22
      assuring that they're properly trained, and that sort of
23
24
      information we would review.
25
               So, we're looking at saying that you've got
      properly trained individuals who know what they're supposed
1
      to do because it's in the requirements, and we don't need to
      look any further at the time of licensing.
3
4
               We would expect the licensees to develop the
      procedures that are needed to comply with the regulations,
5
6
     and our review is not necessary.
               Also, it gives the licensees maximum flexibility
      in those areas where we think, because of the risk, that
9
      it's warranted.
10
               Any procedure that we've reviewed, they're tied to
11
     in their license. So, they cannot change that procedure
12
     unless they come in for a license amendment, which is yet
13
      another process they have to go through in dealing with NRC.
14
      So, this eliminates that need.
               So, it has to do with flexibility for the
15
     licensee, but yet, at the same time, I don't think we're
16
17
     reducing any of the safety considerations.
              COMMISSIONER McGAFFIGAN: I would think that OMB.
18
19
      when it did the paperwork reduction review --
20
               MS. HANEY: They'll like us.
               COMMISSIONER McGAFFIGAN: They'll like you on this
21
22
      particular item.
23
              Authorized user duties was a slide he had
24
     vesterday, and he claimed there's a Catch-22 because there
25
      are no duties specified in Part 35 for the authorized user
1
     unless a written directive is required.
               It sounds like a technical point that he was
2
      making, but have you talked to him about this and can you
3
 4
      explain why you are where you are?
               MS. HANEY: Sure.
               We have tried not to interfere with medical
6
7
      practice, following the medical policy statement. So, from
     the standpoint of selecting the patient, in my view, at
     least, is crossing that line a little bit too far.
10
               I do believe that the needed duties are in Part
      35. There is a requirement for the individuals handling the
11
     material, say at the technologist level, to follow the
12
13
     directions of the authorized user.
14
              So, in essence, that is setting up a
     responsibility for the authorized user. I mean maybe it's a
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16
      de facto one, but it is there.
17
               The reference to whether there was a Catch-22 in
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      the rule between our requirements really has to do with the
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     unique situations that is in hospitals, where we -- the
     hospital is our licensee.
20
21
               However, the authorized user is usually a contract
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      employee, and now you have got a contract employee in a
      situation where they're directing a member of the licensee's
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24
25
               So, the relationship between 35.11 and 35.27,
      which he cited in his view-graph, is really needed to make
      sure that NRC -- that the licensee is clear that they're
3
      ultimately responsible for the safe handling of the material
      but yet making sure that, at the technologist level or the
5
     user level, that they are aware that they have to follow
 6
      what the authorized user says.
               COMMISSIONER McGAFFIGAN: Let me just mention --
8
     and I don't want to go through them all, because you've gone
9
     through several in your presentation. Technologist T&E; --
10
     he says the SR6 will include technologist training and
11
     experience requirements which we don't have and I don't
12
      think at any point in the process we ever had. So, this is
13
      a new idea.
14
               Why have we not considered training and experience
15
      requirements for technologists?
16
              MS. HANEY: The working group considered having
      training and experience requirements for technologists back
17
      in late '97, on one of the first drafts that we issued.
18
19
               We received a lot of early comments from the
20
     technologists on this particular area, and those comments
21
      really went along the line of they were very concerned that
22
     if we put a requirement for the training and experience in
     our rule and it was only focused on radiation safety, that
23
24
      we might actually be negatively impacting the medical
25
      practice, the handling of it, because licensees are always
      going to be looking for ways of saving money, and if our
1
     rule says you only need 100 hours of training to handle the
2
     material at a technologist level, they're going to go out
3
      and look for individuals that have 100 hours of training,
      and the technologists really are doing -- going much further
5
6
      than just the radiation safety handling.
              There's imaging. There's positioning. There's a
     lot more than just the world of NRC that the technologists
8
      have to do.
10
               So, the techs are very concerned that that might
     be an impact of having that.
11
12
              Also, the Part 35 working group stepped back and
13
      said, you know, who's responsible here, and it's the
     licensees that's responsible for the safe handling of the
14
15
      material, not at the technologist level.
16
               There are requirements in the rules for the
17
     licensee to make sure that their technologists have -- well.
18
      actually, let me take it broader.
19
               The licensee is responsible for assuring that
      their staff is properly trained, and under that particular
2.0
21
      requirement is we're making sure that the techs get the
22
      experience to -- and the training to handle material safely.
2.3
               So, because of that, we don't really think that
      it's needed in Part 35.
24
25
               COMMISSIONER McGAFFIGAN: I'll leave it there.
     There's some other questions I'll pursue with the ACMUI.
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               CHAIRMAN DICUS: Okay.
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               Commissioner Merrifield.
               COMMISSIONER MERRIFIELD: Going to slide five, I
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just wanted for you to expand a bit.
              You mentioned that there were significant costs
6
      and staff impacts related to performing a full-blown risk
               I was wondering if you could perhaps expand a
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10
     little bit more on that in terms of detail, because I think
11
     there are some out there who wanted us to do that, who
      expected us to go through a risk-based rather than a
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13
     risk-informed process, and I think having a little better
14
      understanding of why we chose the direction we did for those
     reasons would be helpful.
15
16
               MS. HANEY: Okay.
17
               Our estimate is that, in order to do a formal risk
      assessment and to carry that into regulations could take
18
      approximately 10 FTE to do, and we're looking at five years
19
20
     to complete that project, again, we're looking at a very
21
     thorough assessment here. It also could take several
      million dollars to do that.
22
23
              Our concern is that this rule does provide
      immediate relief to some of the diagnostic users, and if we
24
      were to wait and hold this rule to complete that, we
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1
     actually are negatively impacting the stakeholders, because
     they would not be allowed to start implementing and reducing
2
3
     their requirements right now, because they'd be waiting for
      another five years while we completed this risk assessment.
               COMMISSIONER MERRIFIELD: There's nothing about
      moving forward with this rule that would preclude outside
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7
      stakeholders on their own from obtaining additional
     information and bringing that to us later on, after this
     rule-making is completed, for us to consider additional
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      changes, is there?
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               MS. HANEY: Nothing.
               COMMISSIONER MERRIFIELD: Okav.
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13
               On slide 11, you spoke about the concerns relative
14
      to some of the notification requirements, and you mentioned
      something, that there was significant -- we received a
15
      significant number of public comments related to this
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17
      requirement.
18
               To what extent did those public comments include
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      comments of persons other than those who would be impacted
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     by the rule change -- i.e., individuals not in the medical
21
      community?
22
               MS. HANEY: I don't believe we received any
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     comments from your average patient commenting on whether
2.4
     this was a good requirement or a bad requirement. I mean we
     didn't get comments either way. We didn't hear from that
25
1
      population at all.
               We do have a patients rights advocate on the ACMUI
      that will be -- when they get to this -- when they come up
3
     to the table, will be discussing it with you, but we did
     hear, during the process, at the public meetings -- we had
     two patients rights advocates come, and they did not believe
6
      there should be an NRC requirement for this type of -- for
      the notification.
9
               Their view was this was between the patient and
1.0
     the physician and it was just not needed to have the NRC
11
     step in, but they were, you know, two people sitting at the
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     table.
13
               COMMISSIONER MERRIFIELD: I'm reminded of
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      experience that I had working in the United States Senate
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dealing with -- although it's a completely different issue

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dealing with right-to-know requirements under some of our
16
      environmental laws, and I know changes to that which would
17
      take away the right of individuals to be aware of materials
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     brought with it significant comments.
19
               Whether the greater patient community was aware of
20
21
      what we were doing or not remains to be seen. I leave that
22
      for further comments.
23
               On slide 14, one of the issues that was raised
24
      yesterday when we met with the agreement states, one that
      Commissioner McGaffigan didn't mention, related to the
25
      possibility -- the impacts that this would have on
1
2
      individuals in the medical community or -- I guess the
3
      example that was used was nursing homes.
4
               If you had multiple patients who were in a nursing
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 6
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home who were subject to these release criteria, how would that impact a nurse or other nursing home attendant who had to deal with multiple patients and multiple exposures over

the course of a year? 8

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Did we factor that into our thinking?

MS. HANEY: This was factored into the thinking when we revised the rule back in 1990, the early '90s, when we did go from an activity-based rule to a dose-based rule, and the belief at that time, and as continues on, was that the requirement stating the 500 millirem to the maximally exposed individual was sufficient to protect the public as well as any individuals.

Now, in the case of, you know, the nursing home situation, I can't tell you that we actually went back and -- I have not gone back and looked at the reg analysis or the supporting statement for that rule specifically on were releases to a nursing home considered.

22 I would like to believe they were, but I can't 2.3 tell you that they were definitely.

COMMISSIONER MERRIFIELD: Thank you.

CHAIRMAN DICUS: Let me follow up on slide 11 on

the patient notification, and I'm asking, again, on behalf of Commissioner Diaz, and this question is actually to the

General Counsel on his behalf. It has to do with the alternatives, verbal notification of patient as opposed to

written notification. 5

> Does the Office of General Counsel have specific concerns with the alternative that would allow verbal notification?

MR. BURNS: Any one of the alternatives, I think the question really is whether a rationale can be developed for it and can be supported. So, from that sense, there's not a legal bar to alternative formulations that are under consideration.

The alternative here, one would have to. I think. 14 15 harmonize in terms of the rule-making notice and the Commission's ultimate adoption of the alternative, would 16 want to harmonize it with other notification requirements 17 the Commission has, because if you look at Part 19 and Part 18 19 20 for both routine exposures, occupational but also public 2.0 exposures, both routine as well as extreme or accidental exposures, there are notification requirements that we 21

22 routinely require to be made under those regulations. So, I think the answer is really that the 2.3 Commission could adopt alternatives. It would have to 24 articulate the rationale for them, and the special case 25

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the context of other notification requirements the
3
     Commission has adopted.
               The other thing we noted, too, is although it's
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      not -- it doesn't drive us particularly as a requirement,
     the Commission can look at -- there are notification
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     requirements in another Federal statute, the Mammography
     Quality Standards Act, which are comparable or in the same
      ballpark, let me say, as, I think, what the staff's proposal
10
      is, but alternatives could be considered.
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               CHAIRMAN DICUS: Okav. Thank you.
               I think, in the interest of time, we'll go ahead
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13
     now and hear from ACMUI.
14
              I want to thank the staff again for your
      presentation, and we may, in fact, have additional questions
15
      after the ACMUI presentation.
16
               DR. CERQUEIRA: Good morning, Chairman Dicus. My
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     name is Manuel Cerquiera, and I'm going to be presenting the
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19
     presentation for the ACMUI.
20
               We have other members of the committee that are
     currently present: Dr. Louis Wagner, who is representing
21
     the nuclear medicine and the physicist community; Nicky
22
     Hobson, who is the patient rights and care advocate; and
23
24
      Ruth McBurney, who's representing the agreement states.
              What I'd like to do, as Cathy Haney also
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1
      demonstrated, was to just go through our presentation.
     You've had the overhead slides available to you, and at the
     end of my overview, then we will take specific questions on
 3
     the specific issues.
              If we could have the briefing outline, we will
     make some general comments, we will then deal with the
6
      radiation safety committee, the training and experience
8
      issue, medical event, unintentional exposure to the
      embryo/fetus and a nursing child, patient notification, and
9
10
     then some challenges to implementing the Part 35 revision as
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     we understand it at present.
              In terms of my general comments, I think that all
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13
      of us have had an opportunity to share in this effort and
      feel that it's been a very thorough process that I think, in
14
15
      general, has been able to maintain the safety to the users,
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     to the public, and to the patients.
17
               It is really taking a step in the right direction
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     towards decreased the regulatory burden for the regulated
19
      community, and I think as a result of this very thorough
20
     process, it will definitely increase the public confidence
21
      in what we as medical professionals are current doing, and I
     think, as a result of all these changes, we've also managed
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23
      to increase the efficiency and the effectiveness of the
2.4
     radiation regulations.
               Again, it's our belief that the draft rule is
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      risk-informed, it's more performed-based, and as a result of
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     the process, we've really been able to get the stakeholders
     involved.
3
               The meetings that were held with public input and
      all the letters and things that were reviewed thoroughly by
     the staff as well as the committee have really taken the
6
     public input, both the user community and as much of the
     public as we could get, and as a result of that, I think
     it's allowed us to make those four points up front that
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     we've been able to achieve as a result of this.
11
               Slide three, please.
12
               In terms of the radiation safety committee, I
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13 think Cathy has done a very good summary of our feeling son this. 14 The ACMUI endorses the draft rule which basically 15 16 allows institutions that have higher risk to require some degree of safety oversight from the radiation safety 17 committee while at the same time allowing the single office 18 19 practice that's providing diagnostic services to have a more 20 limited overview with the radiation safety officer but 21 without the need for a radiation safety committee. 22 So, I think that this -- it's a prospective system 23 that will allow the assurance that safety is appropriately provided at the various facilities. 24 25 Slide four. 43 1 The training and experience has in many ways been 2 one of the more debated issues, and I think the current 3 proposal maintains the safety aspects and deals with some of the issues that have been brought forth by the community while at the same time allowing the opportunity for emerging 5 technologies to be regulated at a later point in terms of the training and experience requirements. It's the feeling of the committee as well as the 8 9 staff that the training needs to be obtained in a clinical 10 environment, because all of these will be set up in a clinical programs, and it's very important to make certain 11 that the training is going to be obtained in situations 12 13 where it's going to be used. 14 We've endorsed the alternative pathway for 15 training and experience for the AU, AMP, ANP, and the RSO, because the preceptor statement we feel will provide some 16

assurance. This is not just a mere signing off. We really feel that the people that are doing the training assume

responsibility to make certain that the material is fully 19

mastered.

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We believe that the NRC recognition of the specialty boards is going to be a very important process. It sort of widens the opportunity for people who have not taken traditional programs but who have gotten the appropriate clinical and radiation safety experience to

become authorized users.

There was some concerns expressed by the committee in terms of national standards for training and experience. I think the agreement state meeting yesterday -- some of these issues may have been brought up, but currently there's 31 agreement states.

So, we are implementing a national policy that's going to be, in effect, in a much smaller percentage of states than those that are actually going to be regulated, and if you look at the current regulations, they vary considerably from state to state, and even within states, there are some regulations that differ within New York City versus New York State.

So, it's the feeling of the committee that a uniform national policy, certainly with regards to training and experience, would be very important, especially for the people that are coming in through the alternative pathway, through the experience requirements without the boards, that by just allowing the NRC states to have these new modifications, people involved in training programs are going to be very much stretched in order to provide training

22 that will allow people to practice wherever they have the 23 opportunity to do so.

We also feel that -- and this was an issue that

1 Intravascular brachytherapy for prevention of restonosis was one thing that came up, and this is a technology where the information as to which of the multiple alternatives will 3 4 actually be available for clinical use is not known, and it wasn't felt that there was sufficient data at this point to set very definite training requirements. 6

35.1000, which deals with the emerging technology, will allow some of these techniques to be evaluated and recommendations made specific for the applications that are developed.

Slide five.

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The medical events -- the ACMUI endorses the dose thresholds that are in the draft final rule. We feel that this adequately captures the events of concern and safety.

The dose thresholds will help to reduce the unnecessary regulatory burden for things such as wrong treatment site or patient intervention that are not really within the control of the medical community.

Events occurring as a result of patient intervention should not be reported to the NRC unless unintended permanent functional damage to an organ or physiological system has occurred.

Slide five.

Unintentional exposure to the embryo/fetus or the nursing child -- I think Cathy has gone over in some great

1 deal -- there were specific questions related to this.

It's the feeling of the committee that the risks are really very low and that the 5-rem reporting limit is probably too high, but given all of the issues and concerns around this, the ACMUI endorses the 5-rem as an appropriate reporting threshold.

We feel that, again, it has minimal impact on the patient and physician relationship in this format and has minimal impact on the current standard of car and cost, and some of these issues will be brought up during the discussion.

Slide seven, notification following medical event or exposure to embryo/fetus and nursing child -- and again, this was brought out. The ACMUI does not support any regulation requiring notification of physicians and patients, as this is redundant to the existing standards of care for medical practice.

You know, all of us believe that these types of things are essential for good medical care to be performed, but they're not regulated in other areas, and we feel that certainly diagnostic levels of radiation, that the current practice of medicine standards are effective.

The alternative rule language provided by the 23 2.4 staff -- it was preferred over the existing requirements. We heard some of the legal counsel issues that were brought

1 up related to this.

> And the final overhead is really the implementation challenges to this -- the revised Part 35.

3 4 We feel that it is very important that the NRC and the staff begin the process of recognizing the medical specialty boards that have sufficient requirements and 6 assess competence in radiation safety and knowledge of radiation for approval for becoming an authorized user.

If we wait until this rule is fully implemented, a

10 lot of the regulated community and people coming out of training will have some difficulty in getting appropriately 11 12 licensed. 13 We also believe that, as Part 35 is being revised, there's going to have to be a considerable mind-set within 14 the NRC reviewers and inspectors on how they perform their 15 evaluation, and you know, we're really, at this point, 16 trying to make it risk-based, and areas that are very 17 18 low-risk, that really don't contribute to the safety of the public, the users, or the patients, really need to be 19 20 recognized as such. 21 We also feel that it's very important to develop 22 the quidance document. 2.3 We don't see this as de facto regulation but, 24 rather, provides the user the opportunity to see -- look at 25 some models, especially those less sophisticated sites. 1 This will give them some informed basis upon which to send 2 their applications. We believe, also, that implementation of the rule 4 will continue to require some -- quite a bit of oversight from the ACMUI committee. 5 We were joking yesterday that we think we've gone 6 through the hard part, but once these rules become implemented, there will obviously be guite a bit of 8 contention into the actual implementation. 9 10 So, this really concludes our presentation in terms of the recommendations of the ACMUI, and at this time, 11 12 I'd like to open it for questions from the Commissioners. 13 CHAIRMAN DICUS: Okay. Thank you very much. 14 Let me begin with a guestion on implementation. 15 It's on behalf of Commissioner Diaz, but I think it would be my question, as well. 16 17 The intent is to try to have these new rules -when and if, but I think I can say when this becomes a final 18 rule, to have the new requirements in place within six 19 months of the rule becoming final, and I guess my question 2.0 is, is six months sufficient time for the specialty boards 21 and the NRC itself -- and the NRC may want to come to the 22 23 table on this one, as well -- to process the necessary 24 certifications and actually meet the requirements of the 25 rule? Can we do this in six months? From your 2 perspective, can it be done in six months? And then I might 3 ask the staff if they think they can be prepared to do this in six months. DR. CERQUEIRA: Again, in terms of reviewing the 5 6 boards, I think that there's probably a limited number of boards that are going to apply, and I think as Cathy pointed 8 out in her overhead, with enough FTEs, we should be able to 9 get this done. 10 I think the interested boards have already met with the NRC, and they have actually initiated within their 11 12 own organizations steps for applying. 13 So, I think that six months is adequate. 14 You know, this rule has been evolving over several years, so it's not completely novel. So, I think six months 15 16 would be adequate time. 17 CHAIRMAN DICUS: Okay. Cathy? 18 MS. HANEY: Six months is adequate time for the 19 20 licensees to adopt the new regulation, recognizing that the

majority of what's in the rule is actually a reduction. So,

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they're not going to -- they'll have to go back and just
23
      review some procedures, but they're not going to have to
24
      start over from scratch in developing new procedures.
               I would say that the one key, though, is the early
25
 1
      recognition of the boards.
 2
               I do not think six months is effective, is going
      to give us enough time to actually get the boards approved,
 3
      and then there's still an issue of whether we would need to
      notice in the Federal Register and things like that that we
      need to consider. So, that's why we're asking for this
 6
 7
      permission to start the recognition process early rather
 8
               CHAIRMAN DICUS: What about getting the guidance
 9
10
      documentation together?
               MS. HANEY: Well, our plan right now is that, when
11
12
      the package comes back to you, that we would have the
      guidance document with it, and we have to make changes to
13
14
      it, but it matched the proposed rule.
               But we will need to update it to match the final
15
16
     rule and then just to go through and double-check and make
      sure everything is in there and what shouldn't be is not in
17
18
      there
               So, I think we have adequate time for that, also.
19
20
               CHAIRMAN DICUS: Okay.
21
               I want to ask one more question on behalf of
22
      Commissioner Diaz, and we're in very good shape time-wise,
      so I think there will be sufficient time for some
23
2.4
      discussion, but this has to go with this kind of ticklish
      issue of the unintended dose to an embryo/fetus in excess of
 1
      the 500 millirem, and the question is to both the staff as
      well as ACMUI, again on behalf of Commissioner Diaz, and
      this was brought up, of course, yesterday, as we know, by
 3
      Mr. Walter of the SR6 committee at the briefing we had.
              His question is that, in the case of this -- of
      unintended dose, how would requiring that licensees report
 6
      unintended doses in excess of 500 millirem hinder the
 8
      practice of medicine? There was some indication that
9
      perhaps it would.
10
               DR. CERQUEIRA: Lou, do you care to comment on
11
      this?
12
               DR. WAGNER: Sure. I think there are several
13
      issues with regard to this.
               First of all, as the rule currently stands, it is
14
15
     my understanding that, if there is a report to the NRC,
      there obviously has to be a written report to the patient.
16
17
              Now, in terms of medical practice, what is a
18
      physician going to do in terms of medical decisions with
      regard to a dose like that? Okay.
19
               Likely what he's going to do is go tell the
20
21
      patient, look, we reviewed everything, we don't have any
22
      real concerns here or any real risk, we're not going to do
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5

Then patient's going to get this report and say,

okay, the NRC says I got to tell you all this. That's going

to really tear down the confidence of the patient with their

physician. That is a problem.

That is one essentially area where there would be

anything, we're not going to take any intervention, we're

going to go on, but there's got to be a written report to

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24

25

the patient.

a lot of difficulty with regard to the patient-physician

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7
     relationship.
              In addition, if you have this reporting rule, the
8
     NRC carries a lot of weight, and it can impact medicine by
9
10
     making some examinations not available to patients merely
     for the intention of avoiding this potential.
11
              The question is what's unintentional, and the only
12
13
     place where it's really going to become a big issue is the
14
     early pregnancy, when they're not going to do that the
      patient's pregnant, patient comes back later and says, oh, I
     happened to be about four days past conception the day I got
16
17
      that examination, okay?
              So, that's going to cause them a problem in regard
18
     to now I've got to report this to the NRC. Well, maybe I
19
2.0
     don't want to report that to the NRC. Maybe what I ought to
21
      do is just not do these examinations.
22
              That will have an influence. It will change the
23
     way people practice medicine. So, there is a difficulty
24
      with regard to that.
25
               Furthermore, what is going to happen with regard
      to pregnancy testing? Are we going to require more and more
      pregnancy testing for women when they come in because they
2
     might be pregnant?
3
              We might catch a few more, but pregnancy testing
     in itself is not foolproof and we still might not be able to
5
      catch all of them. What are we going to do now? Instigate
      a 10-day rule?
8
              Are we going to say you have to have had your
9
     menstrual period within the past two weeks before we're
10
     going to do this exam on you? Now we're going to delay it.
11
     Okav, we delay it.
12
               We find out later on, after we delay to find out
13
      if she is pregnant, that indeed she is pregnant. Then we
14
      so, oh, my gosh, I wish I'd done the study early, because
     now she's pregnant and she's at a certain stage which had
15
     advanced her risk time.
16
17
              So, there's lots of areas now where this is going
      to have an impact on medical care as we currently practice
18
19
20
               Now, if we look at the essence as to how medicine
21
      is practiced now with regard to standards of screening and
     how we take actions on things, they're in conflict with this
22
23
      rule and this reporting at 500-millirem, and it will have
24
      those kinds of changes on medicine, and I think that we have
25
      to come to an agreement on a reporting mechanism that
     applies across the board for all the stages of pregnancy
     that is not going to impact the current standards of
2
      practice in medicine but will at the same time satisfy the
3
4
      need for the reporting requirement.
5
              CHAIRMAN DICUS: Okav.
6
              DR. CERQUEIRA: As, I guess, the only practicing
     physician on this group, as a practicing cardiologist and
     nuclear medicine physician, I feel it incumbent upon myself
     to notify patients when things happen that are not planned
10
      or are potentially dangerous, and as a cardiologist I can
11
      give medications that are 10 times more harmful than any
     radiation risks that could be given, even at therapeutic
12
13
     doses, and there's no regulation for my reporting
     misadministration of medications -- beta blockers,
14
15
      intravenous, or so on.
               But I think within the practice of medicine, we
16
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basically regulate our own reporting of these things, so that this reporting mechanism is really beyond anything else

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19
      that exists within the practice of medicine.
              The risks that are involved, I think we've all
20
21
      agreed, certainly for diagnostic, are very low. So, I don't
      think it really adds to the safety of the patient.
22
               It does create some difficulty in the
23
24
      patient-doctor relationship, and I think, you know,
25
     physicians are currently doing this as part of the standard
 1
      of care for medical practice, and to have it regulated like
      this doesn't really further the patient benefits.
 3
               CHAIRMAN DICUS: I know Commissioner Merrifield
      wants to weigh in. I've got another part of the question.
 4
               COMMISSIONER MERRIFIELD: It's very short. It's
 5
      actually in the form of a statement in response.
 6
               CHAIRMAN DICUS: Okay.
               COMMISSIONER MERRIFIELD: I've heard this argument
 8
9
      before, and I'm sympathetic to it, but the response I would
10
      give is this:
11
               If we sat around the room and we had a group of
      medical professionals and a group of scientists and experts
12
13
      in the NRC, I think we would recognize that, indeed, in a
      comparative manner, the risks associated with the uses of
14
15
      some of these radiological materials and the risks
      associated with some of the use of the other chemicals that
16
17
      you utilize is vastly different, but that's the issue that
18
      we have to deal with with all of the regulatory areas that
19
      we deal with as an agency.
               When you compare some of the risks associated with
20
21
      some of the areas we do with chemical facilities out in the
22
     United States, there are some far greater risks in the
23
      safeguards, the security area, far great risks relative to
24
      those facilities than the reactors that we regulate, and we
25
      get the same complaint from our reactor operators, gee, you
 1
      have all these security requirements on us, and I got a
      chemical facility two blocks down the road that has nothing,
      and that's a far greater danger, and the fact is it's true.
 3
      and the reason it's true is because there is a much greater
      public sensitivity to the areas in which you practice and we
      regulate than there is with chemicals, and I think that's a
 6
      fact of life that we all have to recognize.
              I mean, like I said, I think, sitting around a
 8
 9
      room, we could all recognize that perhaps there ought to be
10
      more balance, but I think there are external factors both to
      the regulated community as well as the regulators that
11
12
      affect the manner in which we have to go.
               CHAIRMAN DICUS: Cathy -- oh, do you want to
13
14
      respond?
15
               DR. CERQUEIRA: Well, again, I think we've had
      this discussion, you know, at our previous briefing in
      March, and you know, we're very sensitive to the public
17
18
      perception that surrounds radiation and, certainly, the
19
      failure to report, given all the public scrutiny that has
      gone on, but if we really try to make this, you know,
20
21
      risk-based, the risks are really very low.
22
               It does interfere in the patient-physician
23
     interaction, and you're right, if we as physicians and
24
      scientists can come to an agreement, that sort of sets the
     level of risk, but then the public perception and the
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5

1 regulation and all the other things are something that, you

2 know, you have to make the decision on as Commissioners.

3 The ACMUI has given you our recommendation on it, and we're

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aware of all the other things that need to be considered in
5
     the rule-making.
               CHAIRMAN DICUS: Cathy, do you want to add
7
      anvthing?
8
               MS. HANEY: No. I think Lou really addressed
9
      everything that we've heard so far.
10
              CHAIRMAN DICUS: Okay.
              DR. WAGNER: I'd like to make one comment with
11
12
      regard to that.
13
               In the example that you just gave, you're talking
14
      about risks associated with the general public for which
15
     they are not seeking any benefit.
16
               Here we're talking about patients who are sick.
17
      It's an entirely different situation. We're not talking
18
      about chemical risks versus radiation risks. We're talking
      about medical health care for patients. That's a totally
19
20
     different perspective, because we are going to intentionally
21
      expose this patient to radiation.
22
              That is not something that was unintended. It is
23
      not something that's accidental.
24
               It's a fact that that patient came to us because
      they had a medical need and we acted on that medical need.
25
     and the conceptus of that patient has a similar stake in the
     benefits to the mother, and every time we irradiate a
2
     patient, we always have to take into consideration that they
4
      may or may not be pregnant, and we take that into full
5
      consideration every time when we do the screening properly.
               So, this is not the same kind of analogy.
 6
      Chemical versus x-ray versus others isn't the same. We're
      talking about risk-benefit. That's what we always talk
 8
      about in medicine, and that's always what we have to look
      at, and in this case, you're interfering with that
10
11
      risk-benefit relationship between the physician and his
12
     patient or her patient.
              COMMISSIONER MERRIFIELD: Well, I appreciate that
13
      comment. Again, I would argue, you're looking at it through
14
      the lens of a very well-trained professional who understands
15
     the risk.
16
17
               What I'm trying to do is recognize that, relative
18
     to untrained individuals in Congress and public policy
19
     individuals in Washington, we've got to make decisions, and
20
     the general public, unfortunately, doesn't have the same
21
      level of understanding on these issues that either you or,
22
     to a lesser extent, I have, and so, what we've got to do is
23
     be reflective of the individuals who don't have that level
24
     of understanding and who have a higher sensitivity to the
     areas in which we regulate.
25
1
               DR. WAGNER: I think your stakeholders in terms of
2
     members of the consumer rights advocates have given you some
      answers with regard to that, and I think you should listen
3
 4
      to that.
               CHAIRMAN DICUS: I want to go back to pursuing the
 5
      issue of reporting, 500 millirem to 5 rem, and part of the
     basis, as I understand it, for -- and it's in one of your
8
     back-up slides from staff -- for a comfort level with the
      5-rem reporting -- again, it is not a standard, it's not a
10
     dose that's allowable, it's a reporting requirement -- is
11
     based upon NCRP commentary number nine, I think, which
      indicates that, at 5-rem, there is not expected to be any
12
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deterministic effects and perhaps only a 1-percent

stochastic effect to a fetus or conceptus at that rem.

Are you comfortable -- and I'd ask this, really,

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of both groups -- are you comfortable with the criteria that
17
      was used to come up with that conclusion in NCRP commentary
18
19
               MS. HANEY: From staff's standpoint, we were
20
     comfortable with that level, recognizing that there is a
21
      perceived difference between these reporting levels and that
22
      in Part 20, but because of these extenuating factors that
     Lou mentioned and the impact on medical care, we felt that
23
24
      it was warranted.
25
               DR. CERQUEIRA: Lou, would you care to make a
1
      comment on the selection of the level?
              DR. WAGNER: Well, one of the important factors
      for the selection of this level is the fact that that is
3
      basically the level in medicine where if we have a situation
      where a woman has been exposed to radiation and either we
6
     find out that she's pregnant or we knew she was pregnant in
      the first place or whatever, that level is the level where
     we start considering the potential for medical intervention.
     Below that level, there are no recommendations for any
9
10
     medical intervention other than discussing with the patient,
11
      okay?
12
               So, reporting in this level throws in -- reporting
      below that level throws in a level of uncertainty that
13
14
      erodes the patient-physician confidence again, by putting
15
      that reporting level in lower than that, and that's what
      we're trying to avoid here.
17
               MS. McBURNEY: As a health physicist, I'm
18
      comfortable with the level of the 5-rem in accordance with
19
     the recommendations in NCRP number nine as a reporting level
20
     not as a dose limit.
21
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CHAIRMAN DICUS: Commissioner Merrifield.

COMMISSIONER MERRIFIELD: I don't have any 23

22

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25

additional questions. CHAIRMAN DICUS: Commissioner McGaffigan.

COMMISSIONER McGAFFIGAN: Well, let me just follow

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up on this same line of questioning.

The SR6 committee -- this may go to Ms. McBurney 3 as well as Mr. Wagner, but -- is basically saving that we 4 should be treating the embryo/fetus and nursing child as a member of the public, and their recommendation is essentially going to be that -- not to include anything here 6 but to force you to treat these people elsewhere in the 8 model state regulations as a member of the public, so 100 9 millirem would be the limit, and I'm not advocating that at all, but I assume that your answer would be that that -- if 10 11 the SR6 view takes hold in any of the 31 agreement states, 12 that that would be even more impacting on the practice of medicine, because you -- as I understand it, the medical 13 14 community believes that you treat a unit, you treat the 15 mother and child, the mother and embryo as a unit, and 16 you've just articulated what the action levels are, but how is the SR6 view, which is so far afield from any of the 17 18 views that we've heard here today, going to move forward, and what is the -- how do stakeholders interact with the SR6 19 20 process. I might ask?

21 You could answer the first question. I mean, you

22 know, I assume the answer is, if it was 100 millirems, there would be even more of an impact. 23

DR. WAGNER: I'll be happy to address that issue. 24 I'll give you an example.

```
A woman comes into the emergency room. She's been
      in an automobile accident. She's a young woman. She might
2
      be pregnant. We don't know. She needs immediate medical
4
      care.
5
               We're going to order a CT scan, because we
      consider that there may be some injury to her pelvis. We're
      going to do a CT scan of the whole abdomen. We need to have
     it done. We do it. Okay. Baby got about 4 rem from that
8
      exam. She turned out to be pregnant at the time.
              Now, if that were a member of the general public,
10
11
      we'd have to report that as an overdose to a member of the
12
      general public from that radiation.
13
              COMMISSIONER McGAFFIGAN: Even though CT scans
14
      aren't covered by us, the state regulations would cover it.
15
               DR. WAGNER: Yeah. That's to give you the idea
     that we'd have to report it because it violates the member
16
17
      of the public being exposed to a level like that.
               Now, how absurd is that? Clearly that baby is not
19
      a member of the general public.
20
               Now, you can go on down to any other situation
21
      that you've got.
               A woman presents herself in the doctor's office
22
23
      and says I'm sick, I'm feeling bad, here are my symptoms,
24
      etcetera.
               The doctor works up the patient and continues to
25
1
      work the patient up and finally decides, well, we're going
     to need this other study here, we're going to need this
2
     nuclear medicine study, okay? This is a sick patient.
3
              If she is pregnant, that consideration is going to
5
     have be taken into account by the doctor in what he
      administers, what he prescribes, what he does, and the baby
      is going to be part of that. It's going to be in the baby's
8
      interest that this mother is going to be around for the
      baby. It's going to be in the baby's interest that the
     mother is healthy.
10
11
              There is no way in the world anyone can argue that
      this baby, who's going to be intentionally exposed to
12
      radiation because the mother is sick, is rationally
13
14
      considered a member of the general public and should be
15
     restricted in terms of the dose that the baby is allowed to
     receive
16
17
               We don't do that, and rightfully so we don't do
18
      that. If we did that, we wouldn't be able to do any
19
     diagnostic exams on young women or women of childbearing
     potential.
20
21
               COMMISSIONER McGAFFIGAN: I'm very proud of the
     process that we've gone through the last several years here,
22
23
      with your involvement, with the wider public's involvement,
24
      with massive public comments received and, I think, address
25
     honorably and well.
1
               The SR6 process -- how does that work in the
2
      states?
               Do you get a model of regulation and do a five-day
      notice and it's suddenly the rule, or how -- if the SR6 is,
 4
5
      as eloquently as Mr. Wagner is talking, making a major
      mistake in its recommendation, how does that get resolved?
      Is it a state-by-state battle in 31 states?
               MS. McBURNEY: Yes, sir. A short answer.
8
               What the suggested state regulations provide is a
     model that the states can use in their rule-making process,
10
11
     but each state has to undergo the same -- well, a similar
      type of rule-making process that the Nuclear Regulatory
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13
     Commission does.
               We have to publish notice of the rule. In Texas,
14
15
      what we would do is take the suggested state regulations and
      the NRC regulations and pull from those, and there may be
      instances where we may add or subtract, and then, looking at
17
18
      the level of compatability, we take all those things into
19
      account in our rule-making.
              Then it would go out to the public for comment.
2.0
21
      We have to address those comments the same way. As a
22
      regulator, we have to be sensitive, as Commissioner
2.3
      Merrifield mentioned, to the perceptions of radiation risk
24
      in our policy-making and the right to know, and from a
25
      regulatory standpoint, I feel that there should be some
      notification at those medical event levels and that dose to
      the embryo/fetus.
 2
 3
               However, I do recognize that --
               COMMISSIONER McGAFFIGAN: At the 5-rem dose.
               MS. McBURNEY: Right, at 5.
               In medicine, there is a unique physician-patient
 6
      relationship, and so, that would be in the medical records.
 8
      That's why I think maybe the alternative language route
 9
      might be an appropriate way to go where you're talking about
      the unique situation of a medical event, where it's not a
10
11
      general member of the public but in the unique situation --
12
      and that could justify, then, the different language that's
13
      in Part 20.
               MS. HANEY: Two points is that, with the suggested
14
15
      state regs, I believe some states are required to adopt them
16
      verbatim by their legislation. So, that's one thing that
17
     makes a suggested state's regs very important.
18
               The other is that I believe the next step from
19
      where Dave is is that it does go out to all the states for
      comment and for their review, and while it's not something
20
21
      that's published in the Federal Register, it's something
     that Dave would come back and get based on the comments that
     he receives from the state and possibly make some changes in
23
24
      the suggested state regs.
25
               MS. McBURNEY: Yeah, that was the other point I
 1
      was going to make, is that these suggested state regs, in
 2
     draft form, have not gone out to the other states for peer
 3
      review, only the states that have been involved or only the
 4
      representatives involved on that working group have actually
 5
      been involved.
               COMMISSIONER McGAFFIGAN: In all honesty, I am
 6
      sympathetic to the staff proposal and what you're endorsing
 7
      in this area, in T&E;, in whatever, and I believe that the
 8
 9
      model state regulation as it exists at the moment clearly
      impacts medical practice in a variety of areas more than
10
11
      what our staff is proposing.
12
               Yet, I am worried about either this battleground
      in 31 states or some of the 31 having to, you know, just
13
     automatically, by their legislation, adopt standards. In
14
15
      T&E;, I see Georgetown here. I mean, you know, you're going
16
      to train somebody who's going to be able to practice in the
     District and Virginia but not necessarily in Maryland.
17
1.8
     depending on if they decide they're going to do something
19
               Yet, I think there's a reluctance, given the
20
21
      history of, you know, we're a Federal system, as my
      colleague from New Hampshire is quite apt to point out, and
23
      I fully acknowledge, and the states have, in, whether it's
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2 you know, for 19 states ours, and for 31 others quite

So, there is a chance for 32 different outcomes,

3 different outcomes on several of these issues.

4 Yet, I hope that the states will give some real

5 weight to the process that we went through, in the openness 6 and transparency and whatever.

Does the medical community have a chance to

8 involve itself in SR6?

standards.

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19 20 DR. CERQUEIRA: No, not really. It would have to be at the state level, and I'd sort of like to endorse your statements, as well, because this process has been very open, you've really sought input, not just from the medical

community but from the public.

You've taken, you know, four major meetings, and
so, I think this rule is very much -- has input from all of
the stakeholders, and as such, the state process, even
though it, you know, does involve a certain amount of
review, especially with T&E;, it's going to make it very
difficult, and I think, right now, the NRC agreement state

20 concordances is category C.
21 I think, for training and experience, making it a
22 category B would really simplify the effort on the training
23 programs and just physicians, because you really can't tell

24 where you're going to be practicing.

So, you could be practicing, authorized in one

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state, either by training or experience or boards, and go to another state and just not be able to do it, and so, this has been a very well-developed, thought-out process with a lot of input, and I really think that, in some form, it should be more of a Federal overall policy, and they've had three years in which to review the recommendations and take

their own actions.

So, we're not going to have an instant resolution

9 on the training and experience.

10 COMMISSIONER McGAFFIGAN: On patient release
11 criteria, as I understood Mr. Walter yesterday -- and I
12 haven't seen his draft regulation, but he wasn't necessarily
13 against the 500-millirem patient release criterion, but he
14 was stressing the requirement for ALARA training, ALARA

5 training is critical in order to meet some of the concerns

16 that he saw, but I think that was the thrust of his remarks 17 yesterday.

Is there any need for something to be in the rule with regard to ALARA training for the patient, you know, adequate instruction? Or is there already something in the

21 rule?
22 MS. HANEY: There is a requirement in the rule
23 that, if you exceed 100-millirem, the authorized user needs

24 to provide the patient with instructions, and it says 25 explicitly instructions on how to minimize exposure. So,

1 that is going with the patient.

2 COMMISSIONER McGAFFIGAN: That goes with the
3 patient. It goes with the loved ones of the patient, or the
4 nursing home, for that matter, who will receive the patient.
5 There's probably some written instructions that go with the
6 patient.

7 MS. HANEY: Right. The rule says that the 8 instructions would be provided to the patient.

Now, I believe what Dave is trying to bring out

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is, well, those instructions could get trashed on the way
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     home, there's no requirement for the patient to follow what
12
     they're given, but even at that, the idea is that, if the
      instructions are not followed, you're still not going to
13
      exceed the 500 millirem.
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               COMMISSIONER McGAFFIGAN: Right.
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               CHAIRMAN DICUS: Commissioner Merrifield,
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      comments?
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               COMMISSIONER MERRIFIELD: Yes, closing comments.
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               I do want to add my thanks to the other
     Commissioners' for this committee coming up and the amount
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      of time that you've spent in going over these issues and
22
     providing your input.
              It is very helpful and useful and certainly will
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24
      weigh in my determination about how to move forward on this
      rule.
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               This is obviously an area where I think we've all
2
      spent a lot of time and effort in really trying to get
      ourselves up to speed so that we can make an informed
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4
      decision about where we ought to go.
5
               We had an enormous number of comments on this
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      proposed rule, over 500 pages of material put together to
      answer some of those, I think is a recognition of the time
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8
      we spent in considering those.
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               I think, for the vast majority, those are very
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      thoughtful comments, and I think the staff has attempted to
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     address those as much as possible.
12
               I do have to note, since the time I have been
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      here, this has been a rule-making which has had some
14
      enormous personal-directed comments that I have seen.
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               I think it would be -- I don't think I can let
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     slide -- some of the comments that were made, which,
      frankly, coming from members of the medical community, I
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18
      felt were quite unprofessional, and personal attacks on this
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      Commission, our staff as well as the individual
     Commissioners.
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21
               Unfortunately, that's the case. That's why we
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      take these positions, and we are what we are, but I was
23
     disappointed by some members of the medical community in the
24
      attacks that they made on us, in particular.
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               CHAIRMAN DICUS: Commissioner McGaffigan?
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               COMMISSIONER McGAFFIGAN: No further comment,
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      except there's one member of the medical community, Carol
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      Marcus, who is, I think, the main person you have in mind
      when you make that comment, and many of her comments are
4
      just so far off the mark that it's hard to read them.
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               CHAIRMAN DICUS: Anything else?
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               I'm going to refrain from making any comments. I
     think it's appropriate at the moment.
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9
               So, on behalf of my fellow Commissioners, I would
10
      certainly like to thank the staff from the Division of
     Industrial and Medical Nuclear Safety and certainly members
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12
      of the Advisory Committee on the Medical Uses of Isotopes
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      for this very informative briefing and for the good exchange
     that I think we have had.
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               It's very clear that all of you have worked
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      extremely hard over the past couple of years and even beyond
     that on this rule-making, and you've made great progress in
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      addressing the numerous stakeholder concerns with respect to
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     training and experience requirements, the reporting
     thresholds, which we are still debating, obviously, the
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21 medical event notification, and unintended exposures, and revised radiation safety committee requirements, while 22 23 taking into account, certainly, the implementation 24 challenges that are going to face us as we put this rule into effect 25 1 Part of this ongoing interaction includes a new direction and exchange of ideas for including more 2 performance-based, risk-informed decision-making processes in our routine interactions with our stakeholders, as well 4 5 as inclusion of these ideas into revised regulations, since the public's health and safety is paramount to all of our endeavors. 8 I think we obviously share that in common. But we must take it upon ourselves to change the 10 old way of developing regulatory strategies and instead use 11 our technical competence, along with the insights drawn from 12 past operating history, to better focus licensee and 13 regulatory attention on design or operational issues 14 commensurate with their importance to health and safety. 15 I believe it is paramount that the regulatory agencies in this country responsible for ensuring the 16 17 public's health and safety for medical uses of ionizing radiation continue to focus all of our concerns on 18 higher-risk activities to ensure that any revisions in the 19 20 regulations are technically sound and are risk-based. 21 If we continue to work together in this manner, we will not only have a solid materials regulatory program that 22 23 provides reassurance to our stakeholders but a sound uniform 24 approach in regulating the safe use if ionizing radiation 25 for medical purposes. 1 Do any of my fellow Commissioners have any other 2 closing comments? 3 [No response.] CHAIRMAN DICUS: Therefore, we stand adjourned. 4 5 Thank you very much. [Whereupon, at 11:12 a.m., the briefing was 7 concluded.] 8 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25