

UNITED STATES OF AMERICA  
NUCLEAR REGULATORY COMMISSION

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BRIEFING ON THE HIGH LEVEL  
WASTE PROGRAM VIABILITY ASSESSMENT

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PUBLIC MEETING

Nuclear Regulatory Commission  
One White Flint North,  
Room 1F-16  
11555 Rockville Pike  
Rockville, Maryland  
Monday, February 8, 1999

The Commission met in open session, pursuant to  
notice, at 2:05 p.m., Shirley A. Jackson, Chairman,  
presiding.

COMMISSIONERS PRESENT:

- SHIRLEY A. JACKSON, Chairman of the Commission
- NILS J. DIAZ, Member of the Commission
- EDWARD McGAFFIGAN, JR., Member of the Commission
- GRETA J. DICUS, Member of the Commission
- JEFFREY S. MERRIFIELD, Member of the Commission

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STAFF PRESENT:

- JOHN C. HOYLE, Secretary
- KAREN D. CYR, General Counsel
- ANNETTE L. VIETTI-COOK, Assistant Secretary

PRESENTERS:

- LAKE H. BARRETT, Acting Director, Office of  
Civilian Radioactive Waste Management
- STEPHAN BROCOUM, Yucca Mountain Site  
Characterization, Office, Department of Energy

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P R O C E E D I N G S

[2:15 p.m.]

CHAIRMAN JACKSON: Good afternoon. ladies and  
gentlemen. Today the Department of Energy will provide the  
Commission with a briefing on its viability assessment of a  
repository at the Yucca Mountain, Nevada site.

DOE last briefed the Commission on the High Level  
Waste Program on May 15th, 1997. Over the past 15 years,

9 the Department of Energy has been studying the site at Yucca  
10 Mountain to determine if it is a suitable place to build a  
11 geologic repository for the nation's spent nuclear fuel and  
12 high level radioactive waste. In response to Congressional  
13 direction in the FY 1997 Energy and Water Development  
14 Appropriations Act, on December 18th, 1998, DOE issued a  
15 viability assessment. The purpose of it is to provide the  
16 President, the Congress and the public with information on  
17 the progress -- see, I am taking some of your words, Lake,  
18 probably -- at the Yucca Mountain site and to identify the  
19 critical issues that need additional study before a decision  
20 can be made on whether to recommend the site for development  
21 as a repository.

22 Although there is no specific requirement for the  
23 NRC review of the viability assessment, the NRC Staff  
24 presently is doing so as part of its responsibility for  
25 prelicensing consultation required by the Nuclear Waste

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1 Policy Act of 1992. This is consultation with the DOE.

2 The objectives of the NRC staff review are, first,  
3 to identify DOE progress in developing information necessary  
4 for complete license application; second, to determine the  
5 potential for licensing vulnerabilities that could preclude  
6 or pose a major risk to licensing; and third, to determine  
7 if there are any major concerns that if not resolved by DOE  
8 would result in an unacceptable license application.

9 On March 16th and 17th the NRC Staff, the State of  
10 Nevada, the affected local governments, the Advisory  
11 Committee on Nuclear Waste, and the Nuclear Waste Technical  
12 Review Board are all scheduled to brief the Commission on  
13 the viability assessment, but we welcome today Mr. Lake  
14 Barrett, DOE's Acting Director of the Office of Civilian  
15 Radioactive Waste Management, to the briefing. If DOE does  
16 not object, Mr. Barrett in particular, we may interrupt your  
17 presentation from time to time to ask pertinent questions,  
18 and then at the close of the presentation I will open the  
19 discussion to any additional general questions from the  
20 Commission. We will try to let you get through, however,  
21 your presentation.

22 Now I understand that copies of the viewgraphs and  
23 the viability assessment overview are available at the  
24 entrances to the room, so unless my colleagues have anything  
25 to add, Mr. Barrett, Please proceed.

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1 MR. BARRETT: Thank you very much, Chairman  
2 Jackson, members of the Commission.

3 Since I last appeared before you, the Civilian  
4 Radioactive Waste Management Program has continued to make  
5 substantial progress in carrying out its responsibilities  
6 under the Nuclear Waste Policy Act. Despite reduced FY 1998  
7 and 1999 appropriations, we have maintained and often  
8 exceeded our schedules by achieving efficiencies and  
9 re-prioritizing work activities while maintaining the safety  
10 and integrity of the scientific work.

11 When I spoke to you last, the program was focused  
12 on preparation of the Yucca Mountain viability assessment.  
13 On December 18th the Secretary submitted the viability  
14 assessment and its companion documents to the President, the  
15 Congress, and released it to the public.

16 The viability assessment serves as an important  
17 management tool for the program to guide the completion of  
18 the site characterization by identifying the critical issues

19 that need to be addressed before the Secretary of Energy  
20 decides whether to recommend the Yucca Mountain site to the  
21 President for development as a repository.

22 While the viability assessment is not one of the  
23 decision points defined in the Nuclear Waste Policy Act, its  
24 completion is significant because it gives policymakers like  
25 the Commission key information regarding the prospects for

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1 geologic disposal at Yucca Mountain.

2 Based on the viability assessment, we believe the  
3 work should proceed to support a decision by the Secretary  
4 in 2001 on whether to recommend the site. While the  
5 viability assessment reveals no show-stoppers, it does  
6 identify areas where additional work is required before  
7 suitability can be determined and the Secretary can decide  
8 whether to recommend the site.

9 We hope the VA will provide our respective staffs  
10 with a frame of reference to conduct the prelicensing  
11 interactions necessary to facilitate the timely submittal  
12 and review of a high quality license application if the site  
13 is found suitable. We expect that the information contained  
14 in the viability assessment and the performance assessment  
15 components will provide an adequate technical basis for a  
16 license application when combined with the additional  
17 information that will be obtained as a result of the work  
18 described in the License Application Plan.

19 The Commission's views regarding the acceptability  
20 of our approach will be important to forming a mutual  
21 understanding of what will be expected of this program  
22 during the licensing process.

23 We are now refining our licensing approach and  
24 obtaining the necessary scientific and technical information  
25 to support our safety analyses. Central to this work is

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1 refinement of our safety case, which supports the evaluation  
2 of the site and the key design decisions that are  
3 forthcoming.

4 In addition, we will continue to focus on  
5 improving the implementation of our quality assurance  
6 requirements. Today I will provide you with an overview of  
7 the program and focus on the program elements that in  
8 combination with an updated regulatory framework will be  
9 essential to licensing a repository at Yucca Mountain should  
10 the site be recommended and approved.

11 For our budget in FY 1999 Congress appropriated  
12 \$358 million -- \$22 million less than President Clinton's  
13 budget request. Congress further directed that \$4 million  
14 of that \$358,000,000 be used for the study related to  
15 accelerated transportation of waste, thus leaving \$354  
16 million available to the program at Yucca Mountain.

17 The President's request was intended to maintain  
18 our schedule for completing necessary site activities for a  
19 site suitability determination, issuing environmental impact  
20 statements, and submitting a repository license application  
21 if the site is recommended. Congress endorsed this work but  
22 reduced the appropriation. The FY 1999 funding is adequate  
23 to continue implementing the revised program approach as  
24 refined in the viability assessment.

25 We plan to publish a draft Environmental Impact

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1 Statement this coming summer. We have made the necessary  
2 programmatic adjustments to maintain our schedule and  
3 conduct additional studies of issues identified in the  
4 viability assessment.

5 The cumulative effect of the budget reductions  
6 over the last three years, however, coupled with the  
7 additional studies needed to address key scientific issues,  
8 is stretching the program's resources. Our FY 2000 budget  
9 request, which has a significant increase for Yucca  
10 Mountain, supports the funding requirements identified in  
11 the viability assessment. As the program continues to build  
12 on the momentum achieved over the last five years, our  
13 budget request supports the activities necessary to  
14 determine the suitability of the site and to develop the  
15 documentation needed for a Secretarial decision on the site  
16 recommendation in 2001.

17 Specifically in 2000 we will issue a final  
18 Environmental Impact Statement. The Nuclear Waste Policy  
19 Act requires a final Environmental Impact Statement  
20 accompany the site recommendation and to the extent  
21 practicable be adopted by the Commission in connection with  
22 the issuance of a construction authorization and a license  
23 if we are successful in our licensing endeavor.

24 CHAIRMAN JACKSON: Mr. Barrett, let me -- can I  
25 ask you a question?

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1 MR. BARRETT: Sure.

2 CHAIRMAN JACKSON: You mentioned about having the  
3 program's resources stretched. Have you had to postpone  
4 other aspects of the program like canister design to  
5 maintain the schedule to complete these additional studies  
6 of issues identified in the viability assessment?

7 MR. BARRETT: Unfortunately, we have had to do  
8 that. We have in the national transportation program, we  
9 have pretty much had to defer most of our activities in any  
10 transportation hardware development, the multipurpose  
11 canister initiatives. We are basically not doing any  
12 Federal work in that area, working on the institutional  
13 issues of national transportation -- which are very  
14 important -- we have had to unfortunately defer those until  
15 a national decision is made on siting, so we have had to  
16 basically focus almost exclusively on the scientific aspects  
17 of Yucca Mountain that lead toward its suitability and  
18 license application.

19 CHAIRMAN JACKSON: Okay. Thank you.

20 MR. BARRETT: In addition, we will begin  
21 evaluating the site for compliance with the repository  
22 siting guidelines, that's DOE 10 CFR 960, and we will  
23 complete the internal review of a working draft LA and will  
24 initiate development of an acceptance draft LA which we will  
25 make available to your Staff starting next year.

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1 CHAIRMAN JACKSON: LA being license application.

2 MR. BARRETT: Thank you very much, Madam Chairman.  
3 The viability assessment, as the Chairman  
4 mentioned in the beginning, does contain four primary  
5 components.

6 First, it describes the preliminary design concept  
7 for the critical elements of a repository and the waste  
8 package. Second, it contains the total system performance  
9 assessment based on the design concept and the scientific  
10 data analyses available at this time and describes the

11 probably behavior of the repository in the Yucca Mountain  
12 geologic setting. Third, it presents a plan and cost  
13 estimate for the remaining work to complete -- to submit the  
14 license application. Fourth, it lays out an estimate of the  
15 cost to construct and operate the repository consistent with  
16 the reference repository design concept.

17 The VA as published also contains an introduction  
18 and a detailed description of the characteristics of the  
19 site. In front of each of the Commissioners is a copy of  
20 the overview. One thing I will mention we did in the  
21 viability assessment is an attempt to make this widely  
22 disseminated to interested members of the public. We have  
23 put this entire document on our Internet site, which we have  
24 had tens of thousands of hits or visits to by members of the  
25 public and also in this is a CD ROM that has the entire

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1 viability assessment document in it as well.

2 The viability assessment identifies the inherent  
3 advantages of the Yucca Mountain as a potential repository  
4 site, including its remote location, semi-arid climate and  
5 deep groundwater table. Less than half an inch of water  
6 reaches the level of the repository per year. Based on the  
7 viability assessment, we believe Yucca Mountain remains a  
8 promising site for a geologic repository and the work should  
9 proceed to support a decision in 2001 whether to recommend  
10 the site as the nation's first repository.

11 We understand that the uncertainties remain about  
12 the key natural processes, the preliminary design, and how  
13 the site and the design would interact and we recognize that  
14 our assumptions and analyses have yet to be challenged in a  
15 rigorous licensing proceeding. To address these  
16 uncertainties, we will focus on improving our understanding  
17 of the key natural processes as well as improving the  
18 repository and waste package design.

19 The primary objective of our licensing approach is  
20 to integrate the rationale and plans for the remaining  
21 technical work with the statutory and regulatory framework  
22 within which the work must be done and the decisions must be  
23 made.

24 We support your efforts to create a site-specific  
25 Part 63 that would apply exclusively to Yucca Mountain. To

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1 revise 10 CFR Part 63 will address our need to understand  
2 the key licensing requirements for a repository site at  
3 Yucca Mountain.

4 Our current License Application Plan describes our  
5 overall approach to completing the site characterization and  
6 is contained in Volume 4 of the viability assessment. The  
7 License Application Plan presents the activities we believe  
8 should be completed prior to determining the suitability of  
9 the site and preparing a license application. Your review  
10 is essential to forming a mutual understanding of what is  
11 expected from the program in the licensing process.

12 We fully expect our approach to licensing will  
13 continue to evolve as we work toward understanding and  
14 resolving potential licensing issues.

15 Several years ago your Staff refocused your  
16 program around 10 key technical issues deemed most important  
17 to repository performance. We are continuing to focus on  
18 resolving these key technical issues. The LA Plan contains  
19 a crosswalk that indicates where each of your key technical

20 issues is addressed in our viability assessment.  
21 Of the remaining additional technical work  
22 identified in the License Application Plan, the postclosure  
23 safety case is clearly the highest priority. Our  
24 postclosure safety case must provide reasonable assurance  
25 that a repository at Yucca Mountain will protect the public

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1 health and safety and the environment after a repository is  
2 closed and sealed. The safety case is a set of arguments  
3 that will be made to show that the repository system will  
4 contain and isolate waste sufficiently.

5 Underpinning the set of arguments is an  
6 understanding of the performance of the repository system.  
7 The repository safety strategy is the framework for  
8 integrating the performance assessment, site information and  
9 exploration, and the repository design to develop the  
10 postclosure safety case. Our safety strategy is based upon  
11 demonstrating that a Yucca Mountain repository with four key  
12 attributes would protect the public health and safety and  
13 the environment for thousands of years.

14 The four attributes are limited water contact with  
15 the waste packages, long waste package lifetime, slow  
16 release of the radionuclides from the breached waste  
17 packages, and reduction in the concentration of  
18 radionuclides as they are transported from the breached  
19 waste packages to the environment.

20 Evaluations of these attributes are guided by  
21 summarizing current knowledge and developing testifiable  
22 hypotheses to address the issues. Each attribute is  
23 influenced by natural processes and the placement of the  
24 engineered components -- in other words, multiple natural  
25 and engineered barrier, iteration among the site

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1 exploration, design, and performance assessment teams  
2 produces an evolving picture of what site information and  
3 design features are important to the performance of the  
4 repository system.

5 The major thrust of the remaining technical work  
6 is to select the design which will be carried through  
7 licensing. Selecting the design will include comparisons of  
8 the options and alternatives. It will require a sequence of  
9 decisions regarding criticality issues, approaches to  
10 repository sealing and closure and evaluation of design  
11 alternatives.

12 The viability assessment reference design was  
13 developed to define a workable repository concept for Yucca  
14 Mountain and to provide a consistent basis for evaluating  
15 the significance of natural processes and engineered  
16 features. The design is not fixed and enhancements will  
17 continue to be included throughout the repository design  
18 process evolution.

19 Our design approach balances the need to develop  
20 and maintain a coherent working concept with the recognition  
21 that the design concept will invariably evolve throughout  
22 the process of suitability, licensing, and construction.  
23 Our design process has and will continue to consider the  
24 potential advantages of alternative design features,  
25 concepts, and options.

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1 For example, on the same day that I last briefed  
2 the Commission Nye County presented its views on ventilation

3 and extended monitoring of the repository. We listened to  
4 this exchange and agreed that future generations should make  
5 the ultimate decision on whether it is appropriate to  
6 continue to maintain a repository in an open, monitored  
7 condition or to seal and close the repository if they are  
8 comfortable with the risks involved.

9 To ensure the flexibility for these future  
10 decision-makers, the viability assessment reference design  
11 allows the repository to be closed as early as 50 years or  
12 as late as 300 years from the initiation of waste  
13 emplacement. An extended monitoring period also provides  
14 the flexibility needed to allow the project to move forward  
15 and obtain an improved understanding of the remaining  
16 uncertainties.

17 As I stated earlier, the viability assessment and  
18 License Application Plan guide the completion of a site  
19 characterization and the design by identifying the critical  
20 issues that need to be addressed and by laying out our  
21 technical work plans that will support the resolution of  
22 these issues.

23 I am pleased to report that since I last briefed  
24 you we have made significant progress in the site  
25 exploration, site characterization, science, design, and

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1 performance assessment areas. The progress has permitted us  
2 to evaluate the degree to which the viability assessment  
3 reference design exhibits the four key attributes outlined  
4 in the repository safety strategy.

5 At this time I would like to point the Commission  
6 to the monitors and I would like to go through a few of the  
7 experiments that have been going on since we last addressed  
8 the Commission.

9 This is the sketch of the underground area of  
10 exploratory facilities.

11 The dark is the main five-mile loop that we  
12 completed some time ago. The new red is the cross-drift  
13 that is a little over three kilometers long, which goes to  
14 the west side of the block, about 20 meters above the actual  
15 repository emplacement horizon.

16 There are two experiments that I will show in the  
17 next slides, but the upper section is the Alcove Number 1  
18 where we have done an experiment, and the large heater test  
19 down at the lower corner here. Next slide, please.

20 This is the start of the cross-drift. This is the  
21 small, 16 foot diameter tunnel bar machine being placed into  
22 the starter tunnel for the cross-drift.

23 This is the cross-drift after it has been  
24 completed at the intersection with the main 25 foot tunnel.  
25 This is where you see the conveyers from the two systems

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1 converging.

2 This is the infiltration experiment that is over  
3 Alcove 1. This is where we sprinkled tens of thousands of  
4 gallons of water on the surface and below this, directly  
5 below this is the Alcove Number 1, where we put in catchers  
6 to try to determine our models and calibrate our models on  
7 the infiltration rates and seepage into tunnels.

8 This is the sketch of the large heater test. Here  
9 the heater test is in the section on your right. It is a  
10 160 foot long tunnel. Part of it is concrete-lined, part is  
11 not, for emplacement. We put heaters in there. We drilled

12 over three kilometers of instrumented bore holes around this  
13 with over 3,000 channels of information for temperature,  
14 water, chemistry, rock strain, and we applied heat to the  
15 mountain -- and the next slide, please.

16 This is looking in through some of the insulated  
17 windows into the tunnel. We are up to over 300 degrees  
18 Fahrenheit inside the tunnel. The way -- we can actually  
19 track the water fronts as the water steams and recondenses  
20 as we go through. Again, this is where we are comparing  
21 this against our models for the thermal zone and the  
22 interaction between the engineered aspects of the repository  
23 with the natural. Here you see the predicted on the top and  
24 the measured on the bottom, and you can see over the last  
25 year how this has grown and basically our measured

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1 results -- we are very encouraged -- track very nicely with  
2 our predicted results in this area, so this is an eight-year  
3 test that the Staff, the NRC Staff, follows very carefully.

4 The next area I would like to turn to is our  
5 Busted Butte facility which is located nine kilometers south  
6 of the tunnel -- the Yucca Mountain tunnel area. Here there  
7 is a section of the Calico Hills formation, which is the  
8 rock strata below the repository has been thrust up.

9 We have dug into the Busted Butte area and we have  
10 exposed areas of the Calico Hills formation and we are doing  
11 chemical tests here to determine what the behavior of the  
12 liquids would be in the strata below. This is an experiment  
13 where we have put in the Fluorescein Disodium salt tracer  
14 material to determine what kind of flow conditions we have  
15 here in the Calico Hills, which would be below the  
16 repository. We have been encouraged at some of the initial  
17 results. It looks like the flow in this area is dominated  
18 by matrix flow as opposed to fracture flow, which will be  
19 important in the overall performance, but again, a lot of  
20 work continues in this next period there. Next slide.

21 Also we have a very active drilling program on the  
22 surface. Nye County is doing some of the drilling in the  
23 saturated zone, south of Yucca Mountain toward Lathrup  
24 Wells. They are drilling 22 different wells and they have  
25 been drilling around the clock in several locations. I am

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1 very pleased with the results that we are doing in the  
2 drilling in the saturated zone by Nye County. Next slide,  
3 please.

4 There has also been work on the engineering  
5 aspects. This is a quarter-scale model of the tunnel  
6 actually where we are looking at different backfill -- set  
7 up a Richards barrier where we could sort of look for  
8 potential design alternatives where we could diffuse the  
9 water away from the heated waste package if we were to  
10 backfill in the tunnels. Backfill is not the reference  
11 design, but we have evaluated that as an option to try to  
12 improve the performance of a repository in the geologic  
13 setting of Yucca Mountain.

14 I think that should be the last of the slides.

15 Now our work is being performed and we believe we  
16 have been completing world class science. We also know that  
17 world class science is necessary but insufficient for a  
18 license application. As I know each of you is aware, your  
19 Staff has expressed serious concerns about the  
20 implementation of our quality assurance program. These  
21 concerns have been expressed in the reports by your on-site

22 representatives, letters from your Staff, and face-to-face  
23 interactions. Although your Staff acknowledges that most of  
24 the QA issues have been self-identified by the Department, I  
25 want to make it perfectly clear that as we move towards

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1 licensing a quality assurance program that is capable of  
2 identifying issues but is ineffective at preventing and  
3 resolving them in a timely manner is unacceptable to the  
4 Department of Energy.

5 Let me begin by stating unequivocally that we do  
6 not disagree with the conclusions of your Staff regarding  
7 the implementation of what is structurally a sound quality  
8 assurance program. This program's management team is  
9 absolutely committed to making the improvements that are  
10 required to become that of a licensee.

11 To date, in the viability assessment we did focus  
12 on the world class science and we recognized that that is  
13 not going to be sufficient. It must also be performed under  
14 an NRC-approved quality assurance program with the necessary  
15 processes and documentation that are required. We are  
16 working hard to bring that dimension into every scientist,  
17 engineer, and administrator's daily routine.

18 During the last fiscal year we completed the  
19 consolidation of our multiple quality assurance programs  
20 into one overall DOE QA program and have made significant  
21 progress in integrating the quality assurance functions of  
22 the Office of Quality Assurance with those of the Management  
23 and Operating Contractor, TRW.

24 Our QA audit function has been retained solely by  
25 DOE and remains independent of TRW. Having one quality

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1 assurance organization reporting to the Director of the  
2 Office of Quality Assurance, who reports directly to me,  
3 provides the support to all the program participants and  
4 allows a more consistent approach to the implementation and  
5 interpretation of the QA program requirements.

6 At our December 9th, 1998 and January 26th, 1999  
7 meetings with your Staff to discuss quality assurance  
8 issues, we identified actions necessary to address the  
9 quality assurance deficiencies, many of which are related to  
10 technical data, procurement, software, and model development  
11 and use. We recognize the need to adopt an integrated  
12 approach to resolution as well as prevention of similar  
13 deficiencies in the future. To that end, the program has  
14 developed and is implementing our corrective action request  
15 management plan and response to corrective action requests,  
16 which identifies the actions already taken as well as those  
17 actions planned to effect the needed improvements in our QA  
18 implementation.

19 As you recall, we faced a similar quality  
20 assurance program implementation issue in 1994 when we began  
21 to design and then construct the Exploratory Studies  
22 Facility. In that case we also needed to improve the  
23 performance in the mining and engineering workforce that was  
24 unfamiliar with the nuclear culture and unpracticed in  
25 quality assurance processes.

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1 We were successful in that transition and we are  
2 now taking many of the same steps to effect change in the  
3 natural system and performance assessment activities. We

4 recognize the need for comprehensive change in a limited  
5 time period, but we have the confidence that we can again do  
6 it successfully.

7 We believe that the implementation of the  
8 corrective action report management plan will permit us to  
9 employ effective corrective actions that will have a high  
10 probability of preventing reoccurrence of the deficiencies.  
11 The program is planning to devote adequate resources to this  
12 issue.

13 Accordingly, our Corrective Action Board will  
14 provide additional management oversight of the corrective  
15 action processes. Their objectives are to decrease the  
16 overall time for completed corrective actions, to decrease  
17 the number of rejected deficiency responses and  
18 verifications, and to decrease the overall number of open  
19 deficiencies and the ensure the integration of corrective  
20 actions for similar deficiencies in the future.

21 The Board charter was approved this January and  
22 the Board members have been selected. The formulation and  
23 implementation of the management plan and establishment of  
24 the Corrective Action Board illustrate our ongoing  
25 commitment to achieving full compliance with nuclear quality

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1 assurance requirements. We will apply the appropriate level  
2 of resources and the highest level of management attention  
3 to ensure that performance meets management's expectations  
4 as well as the NRC's requirements.

5 In addition to the actions mandated by our  
6 management plan and as overseen by the Corrective Action  
7 Board, it is often appropriate to implement some corrective  
8 actions in advance of the identification of root causes.  
9 For example, the Yucca Mountain Project began providing  
10 regulatory and licensing training that portrays quality  
11 assurance as an integral part of the nuclear culture and a  
12 necessary underpinning of the licensing process.

13 Our four national laboratories are supporting our  
14 program and are being trained in the control and use of  
15 scientific notebooks by our program. The training is being  
16 conducted to promote a better understanding of the purpose  
17 and objectives of scientific notebooks in our program and  
18 the rigor of scientific notebook documentation to ensure  
19 traceability of our work in any future licensing proceeding.

20 With regard to data qualification, we are  
21 verifying the documentation supporting the status of  
22 qualified data and identifying existing nonqualified data  
23 that will be directly relied on in the license application,  
24 and must therefore be qualified.

25 Our ongoing process validation and re-engineering

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1 initiative will permit us to develop and implement an  
2 interdependent project infrastructure that conforms to  
3 project requirements, provides defensibility, traceability,  
4 reproduceability, and retrievability for products and  
5 information used in the Environmental Impact Statement, the  
6 site suitability, the site recommendation and the license  
7 application.

8 Once the process validation and re-engineering  
9 initiative is complete, the program will have reviewed and  
10 verified work processes, developed a set of integrated work  
11 procedures, established an integrated training curriculum  
12 supporting the procedures, and create an implementation plan  
13 specifying our approach as well as individual roles and

14 responsibilities.  
15 DOE considers the improvements and implementation  
16 of the quality assurance program to be of paramount  
17 importance. As our program moves beyond just world class  
18 science, and our quality assurance performance improves, the  
19 project expects to enhance its ability to respond to  
20 deficiencies and promptly identify root causes and implement  
21 the appropriate corrective actions to prevent reoccurrences.  
22 We intend to routinely communicate our progress to  
23 the NRC Staff and are looking forward to briefing the Staff  
24 on the status of our management plan and results achieved to  
25 date when we meet this coming April.

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1 The program is reaching a conclusion of our site  
2 characterization efforts. The viability assessment  
3 clarified the remaining work required and identified those  
4 technical issues that should be addressed prior to  
5 determining the suitability of the site.  
6 We are addressing those issues and have commenced  
7 work on assembling the information required to support  
8 national decisions on geologic disposal at Yucca Mountain.  
9 In closing I would also like to note that since I  
10 last addressed the Commissions, our respective organizations  
11 have interacted frequently and have made progress in a  
12 number of areas. The valuable efforts of your Staff have  
13 resulted in tough but fair critique and have stimulated  
14 positive change within our team. I hope that we can  
15 continue to build on this progress as we move forward.  
16 We intend to keep you and your Staff apprised of  
17 our progress and look forward to a constructive dialogue as  
18 we carry out our mutual responsibilities.  
19 Thank you for the opportunity to brief the  
20 Commission and I would be pleased to try to answer any  
21 questions that the Commission may have.  
22 CHAIRMAN JACKSON: Thank you. I have a couple of  
23 questions. Let me ask one question about your QA program.  
24 Will you revalidate the aspects of your program,  
25 meaning data models and samples, that already comprise your

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1 basis for the viability assessment against or, you know, the  
2 standards of your improved QA program?  
3 MR. BARRETT: Some of the data has already -- is  
4 satisfactory and has met the requirements. Some of the data  
5 has not. What we will do as we proceed now toward a license  
6 application, we will go back and qualify the data that needs  
7 to be, and as the budget permits, go back and get that data.  
8 We will have to wait and see how the 2000 budget  
9 turns out but we have had basically a 20 percent increase  
10 for the Yucca Mountain science activity in 2000 and want to  
11 pick that up for one integrated science program that serves  
12 all the needs, of which the most restrictive and demanding  
13 program is the one the NRC would require for a license  
14 application.  
15 CHAIRMAN JACKSON: Will your Environmental Impact  
16 Statement address the transportation aspects of high level  
17 waste disposal?  
18 MR. BARRETT: Yes, it will. It will also -- it  
19 will look at the inter-Nevada transportation among multiple  
20 routes and multiple methods of transport as well as it will  
21 look at the national transportation from reactors or the  
22 high level waste sites to a possible Yucca Mountain

23 repository.  
24 CHAIRMAN JACKSON: Okay. These are a couple of  
25 questions about remaining technical work and then evaluating

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1 the design against the four key attributes you mentioned.  
2 You know, the review of the design for the waste  
3 packages as well as the repository itself are going to be a  
4 critical factor in terms of time and resources needed by the  
5 NRC to review. Does your schedule take into account the  
6 effect of delaying the finalization of the design by you,  
7 the effect that that would have on NRC's completion of the  
8 review of the License Application?

9 MR. BARRETT: Yes. In the License Application  
10 Plan in the viability assessment and in supporting  
11 management schedules that we have, and as we have explained,  
12 your Staff is aware of those, we have plans on that. Our  
13 desire in the concentrated activity currently underway on  
14 the design alternatives is our goal is this June to  
15 basically select the reference design that we would use for  
16 site suitability and for licensing that would allow your  
17 staffs as well as my staffs to be able to focus on a  
18 specific reference design we would wish to carry through the  
19 process so it would be an integrated system.

20 CHAIRMAN JACKSON: When do you actually plant to  
21 have the waste packages designed for all the waste forms  
22 projected for Yucca Mountain?

23 MR. BARRETT: Well, the key is the majority of the  
24 material, which would be the commercial fuel as well as the  
25 generic high level waste packages for the Savannah River and

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1 the West Valley borosilicate glass. Also, the Navy is  
2 pursuing fairly rapidly their package for the Navy spent  
3 fuel.

4 Regarding the many different -- tens of types of  
5 DOE's own spent fuel, those are coming along on various  
6 schedules as our environmental management colleagues work on  
7 that, so that has a various schedule but the main central  
8 focus is for the classical commercial spent fuel and the DOE  
9 borosilicate glass in the Navy.

10 CHAIRMAN JACKSON: Glass in the Navy, and those  
11 are -- so what kind of schedule are they on?

12 MR. BARRETT: The commercial fuel, the waste  
13 package design basically would be -- we'd hope to have that  
14 pretty well -- the reference design advanced enough for the  
15 design, the reference design --

16 CHAIRMAN JACKSON: To cover those three things --

17 MR. BARRETT: For those, this summer.

18 CHAIRMAN JACKSON: And are you planning on  
19 disposing of greater than Class C waste at Yucca Mountain?

20 MR. BARRETT: That is not in our reference design.  
21 the greater than Class C waste is one of the modules that  
22 will be discussed in the Environmental Impact Statement for  
23 Yucca Mountain, but that is not presently part of our  
24 License Application design.

25 CHAIRMAN JACKSON: And how will you address failed

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1 spent fuel in terms of credit for cladding and so forth?  
2 Have you worked that out?

3 MR. BARRETT: As in the models in the viability  
4 assessment, it depends upon the fuel. The algorithm is, for  
5 example, stainless fuel, which is about 1 percent of the

6 inventory of the commercial fuel that we have, we do not --  
7 that is not of the higher integrity of the zircalloy fuel,  
8 so there it is a higher fraction, assumed to be failed  
9 basically we have assumed at 10,000 years. It does not  
10 provide a barrier at all so it depends upon the fuel.

11 CHAIRMAN JACKSON: So you are taking a graded  
12 approach based on what fraction of the fuel you think has  
13 what degree of failed cladding, is that basically --

14 MR. BARRETT: That is correct.

15 CHAIRMAN JACKSON: -- basically correct.

16 MR. BARRETT: And as we refine the models more, we  
17 may take into account burnups and different aspects as we  
18 basically develop the sophistication in the models for  
19 modelling the different source material as it relates to the  
20 system.

21 CHAIRMAN JACKSON: Commissioner Dicus?

22 COMMISSIONER DICUS: Yes. One of the conclusions  
23 of the U.S. Geological Survey report from this past November  
24 states that in view of the enormous technical complexity of  
25 the total system performance assessment that as they called

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1 it a semi-quantitative assessment -- in other words, a plain  
2 English with simple calculations assessments -- of Yucca  
3 Mountain would be valuable.

4 It went on to state that such an analysis is  
5 likely to be more readily comprehended by the public, by  
6 legislators and by intervenors.

7 Do you have any plans to do such an assessment?

8 MR. BARRETT: We have worked on that and it  
9 becomes a very difficult balance between oversimplification  
10 and looking at the true risk-informed -- I think this body  
11 has dealt with risk-informed regulation -- so we have done  
12 some deterministic. We intend to do more deterministic as  
13 together with your Staff we work on a defense-in-depth  
14 aspect of your regulation that we suspect will be there in  
15 your regulation -- it is in your existing -- in your future.  
16 That will involve some deterministic as we look at different  
17 barriers, but we want to keep the main thrust on the  
18 probabilistic risk informed, but we will also be doing some  
19 deterministic, but we are very careful with the  
20 deterministic that people don't make sound bites out of  
21 deterministic calculations that can mischaracterize the  
22 situation.

23 COMMISSIONER DICUS: Okay, thank you.

24 CHAIRMAN JACKSON: Okay, Commissioner Diaz.

25 COMMISSIONER DIAZ: Yes. This is mostly -- it

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1 might be a qualitative question, but what is the sensitivity  
2 of the design of the engineered barriers as a function of  
3 protection standards? Is it -- will changes in protection  
4 standards of a factor of two will change your engineered  
5 barriers by an order of magnitude in cost or complexity?  
6 Have you done sensitivity analyses of the potential impact  
7 of protection standards?

8 MR. BARRETT: We have done that. We have done  
9 some of those. In the viability assessment, we looked at  
10 two options past the reference design, which basically were  
11 some advanced technology that we could try to put in.

12 We cannot change -- Yucca Mountain is what God  
13 made and we really cannot change the natural mountain. The  
14 only thing we can control are man-made things and engineered

15 system, so we looked at three.  
16 We looked at backfill, which is one of the  
17 experiments we showed where you could put like a Richards  
18 barrier to diffuse water droplets away from the waste  
19 package. We looked at advanced material, ceramic material,  
20 which has come from commercial advances over the last  
21 several decades as well as classified defense work on  
22 ceramic barriers.  
23 We have looked at ceramics and also drip shields  
24 that you could put to try to shed any drips away from the  
25 waste package out of various types of material.

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1 So we have looked at some of these that in theory  
2 could basically give you 100 percent containment in theory  
3 for 10,000 years -- at least that is what our models would  
4 say. I am not sure those would be sustainable in a rigorous  
5 licensing environment based on what science and technology  
6 could tell you.

7 Part of the reason we did some of these options  
8 studies was we do not know what the final requirements will  
9 be for Yucca Mountain repository until the standards by EPA  
10 are issued and the NRC regulations that we will follow are  
11 issued, so we are trying to be flexible. We are trying to  
12 explore other engineering ways and some of the design  
13 alternatives work looks at 26 different options in different  
14 cases of different thermal loads, different tunnel  
15 diameters, and different things to try to be exploring best  
16 available technologies, where we are basically at the  
17 state-of-the-art and pushing the state-of-the-art in  
18 technologies to try go toward a goal of basically zero  
19 release, if one can get there, but I don't believe we could  
20 ever sustain it for 10,000 years or more, as the case may  
21 be.

22 COMMISSIONER DIAZ: Who will pay for it?

23 MR. BARRETT: Pardon?

24 COMMISSIONER DIAZ: Who will pay for it?

25 MR. BARRETT: Cost is one of the lesser issues we

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1 looked at. We did evaluate the cost of the advanced, say  
2 the ceramic coatings. I mean we are looking at different  
3 costs of around a billion dollars added on. The cost was  
4 not a major driver. We were really looking at the  
5 performance and the sustainability of those performance  
6 claims in a licensing process.

7 Then there is also the national debate, I would  
8 predict, in the EPA standards as to at what cost for what  
9 benefit. If one were, say, to change by a fraction of a  
10 millirem or something 10,000 years in the future, what is  
11 that cost worth relative to today's dollars in a billion  
12 dollars, so we wanted to have that information available for  
13 organizations and policymakers like the Commission, like the  
14 Congress to look at that in the future.

15 CHAIRMAN JACKSON: Thank you. Commissioner  
16 McGaffigan?

17 COMMISSIONER MCGAFFIGAN: Commissioner Dicus has  
18 already referred to this USGS report that was sent to the  
19 Director of USGS back in November and if there is a thrust  
20 to it, and I am sure you saw it at the time, it's that  
21 there's a lot of overly conservative, from their  
22 perspective, design features and assumptions in your  
23 viability assessment.

24 Perhaps from a regulator's perspective that is a

25 good thing but they claim at times in here that it can lead

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1 to perverse results in terms of you are optimized for one  
2 thing and you actually end up having an adverse result  
3 somewhere else.

4 I could go through bit by bit but have you all  
5 analyzed the USGS critique and is there a document that has  
6 been prepared to sort of deal with the comments, or do you  
7 agree with some of them? What is the situation -- because  
8 they are ahead of us. We are still at least a month away  
9 probably from giving you a response to the viability  
10 assessment.

11 MR. BARRETT: Well, the USGS Director's Review  
12 Team is valuable input to us as the Commission's views, I am  
13 going from the Staff, and in the future from the Commission  
14 is valuable. The Nuclear Waste Technical Review Board input  
15 has been valuable, so we are factoring all of these in,  
16 basically to our ongoing dynamic work plans which, you know,  
17 are spelled out in general in the viability assessment and  
18 more and more detail as they go on.

19 We will look at some of those issue, but for  
20 every -- as you mentioned, these are all inter-related. For  
21 every place that may look like it's an advantage there is  
22 also an uncertainty on the other side, so on water flow and  
23 a lot of these issues on future climate, we are looking to  
24 have expert elicitations on future climate but then again,  
25 you know, who knows what future climate is going to be and

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1 is that really where we can put our resources on some of  
2 these when we have near-term engineering issues. We have  
3 got quality assurance issues which are a major time and cost  
4 thing for us to do is to get our earth scientists to  
5 basically do what they need to do as far as the  
6 documentation and process and maybe not go on to the  
7 absolute best piece to it, so we are evaluating our work  
8 plans in light of that and in light of all the input to try  
9 to get the right balance to get the best progress that we  
10 can as far as the scientific aspects of Yucca Mountain, how  
11 it does perform in the future, balancing the resources.

12 We try to avoid excess pieces of paper. We are  
13 not planning a specific response to the USGS but we will  
14 fold that into our work plans of which the USGS is part of  
15 the team.

16 COMMISSIONER MCGAFFIGAN: You said that you plan  
17 to select your reference design for the site suitability  
18 determination by June. Somewhere in your testimony -- I  
19 couldn't find it exactly -- you also suggested that this is  
20 a design that will change again perhaps all through this  
21 what will undoubtedly be a very long process.

22 How do you build flexibility in and to allow for  
23 those changes? I assume that the site suitability reference  
24 design will be different from the viability assessment  
25 reference design, depending on the sort of comments you get

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1 and your reaction to them, and then there could be other  
2 design changes just as more science comes in or more  
3 analysis comes in.

4 Is there enough flexibility in the process to  
5 allow that?

6 MR. BARRETT: This is a constant balance we make

7 as we go forward. Design never, never stops. It is never  
8 stagnant. It is always trying to do as good as or better  
9 than your reference, and when you start looking at things,  
10 is this design concept better? -- you have to look "better"  
11 from what perspective.

12 One of the things, for example, we have had a lot  
13 of internal debates about is the placement tunnel diameters.  
14 Here you are trading off one design aspect from another.  
15 From a tunnel stability point of view, if you make the  
16 tunnel smaller, they are more stable than a larger  
17 emplacement tunnel, but then if you line up 100 waste  
18 packages down the tunnel if for some reason you want to take  
19 one out from the center you would have to take, you know, 49  
20 to get to that. Now you have an operational concern versus  
21 a 100,000 year performance concern, and you try to balance  
22 these two.

23 So we are looking at these and we are very careful  
24 about decisions that will sort of preclude another decision.  
25 For example, some of the basic concepts of tunnel diameter,

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1 heat load, and some of those kinds of things we are very  
2 careful about, so we put most of our focus on the issues  
3 that given us less flexibility in the future.

4 We have deferred much of our preclosure surface  
5 design work and left that very conceptual, focusing on the  
6 postclosure aspect, so we constantly go at this. There is  
7 no right single answer, and then as the natural system  
8 information becomes more refined and more specific, we want  
9 to make sure that we can accommodate that, those situations,  
10 in the design.

11 You find out that maybe a higher water  
12 infiltration design is not so good for a drier and vice  
13 versa, so we are trying to balance these things and it is  
14 not a simple answer to how to do it.

15 COMMISSIONER MCGAFFIGAN: And this may be a  
16 question more fair to address to our own Staff than to you,  
17 but in our licensing process, how will this be handled?  
18 Will there be license conditions, do you envision that will  
19 allow flexibility or how does it get built into the license  
20 application and then our license which if granted, you know,  
21 how do they deal with the uncertainty as to what the final  
22 design will look like?

23 MR. BARRETT: In the existing 10 CFR 60 -- I don't  
24 recall, I think it is still 10 CFR 63, is the Staff requires  
25 that we evaluate alternatives and provide information of

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1 these types of things for the Staff to look at.

2 Now I think when it comes to specific license  
3 conditions I think we are far away from that level of  
4 detail, you know, until we come up with a design that would  
5 go into the license application phase, and then it becomes a  
6 reference design, and as we go through the licensing  
7 process, through construction, it is always as good as or  
8 better than, and we do hope to be able to advance the  
9 designs as technology advances hopefully over the next many  
10 decades, that we can do it better, better quality assurance,  
11 better fabricability, better QC issues as well as maybe  
12 lower costs we could hope too that we could achieve.

13 CHAIRMAN JACKSON: Commissioner Merrifield.

14 COMMISSIONER MERRIFIELD: I just have one brief  
15 question.

16 There has been a lot of notoriety in the news

17 lately about some of the seismic activity that has been  
18 present near the site, within 10 miles of the site. I was  
19 wondering if you could comment on that -- any of the  
20 information that you received from out at the site,  
21 information related to how that has affected the site  
22 itself.

23 MR. BARRETT: We know we are in a seismically  
24 active area in Nevada. The whole state of Nevada is  
25 seismically activity -- not a seismically active as

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1 California but seismically active.

2 We have had earth tremors and earthquakes there  
3 and these are constantly ongoing. The underground  
4 postclosure, which is our main focus, is not heavily  
5 influenced by earthquakes because the earthquake energy goes  
6 through the ground and dissipates at the surface much like a  
7 wave at the beach will dissipate its energy when the wave  
8 hits the shore, so in the cases of the recent events, we had  
9 people in the tunnels -- didn't feel a thing -- whereas you  
10 could actually feel the ground shake at the surface over  
11 near the test site, so we are looking at this.

12 We are not surprised by these tremors. They are  
13 expected. We believe that we can design surface facilities  
14 that can withstand it. That's a matter of concrete and  
15 steel.

16 The Commission, as you said, we have submitted two  
17 topical reports to the Staff over the last several years  
18 concerning seismic design criteria and we have another  
19 topical report that is scheduled I think it is later this  
20 year or next for the Staff, so we believe that through  
21 design we can deal with the seismic risks and it is not  
22 going to be a major determinant regarding the site.

23 COMMISSIONER MERRIFIELD: Okay.

24 CHAIRMAN JACKSON: You had some concerns that you  
25 had expressed relative to the prescriptive performance

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1 confirmation requirements that were in the draft, 10 CFR  
2 Part 63. Could you elaborate upon those a bit?

3 MR. BARRETT: I will ask Dr. Stephan Brocoum, who  
4 is our Assistant Manager of Licensing and Regulatory, to  
5 come and assist me on that one.

6 CHAIRMAN JACKSON: Okay. Welcome, Mr. Brocoum.

7 MR. BROCOUM: I am not sure what -- we haven't  
8 formally to my knowledge --

9 CHAIRMAN JACKSON: -- responded --

10 MR. BROCOUM: -- responded on 63, okay --

11 CHAIRMAN JACKSON: Okay.

12 MR. BROCOUM: -- so we have had informal  
13 discussions with your Staff --

14 CHAIRMAN JACKSON: Right.

15 MR. BROCOUM: -- and offhand I don't know what the  
16 concerns were --

17 CHAIRMAN JACKSON: Okay.

18 MR. BROCOUM: -- on the performance confirmation.

19 CHAIRMAN JACKSON: All right. This may be  
20 something that is too sensitive for you to answer because of  
21 litigation, but --

22 MR. BROCOUM: No -- let me ask Mr. Jack Bailey  
23 here.

24 CHAIRMAN JACKSON: Okay, while he is coming  
25 forward, let's do this one.

1 Are you looking at alternative funding, like  
2 funding dry storage facilities at licensee sites, that kind  
3 of thing?

4 MR. BARRETT: That answer is no. We are not.  
5 We are executing the law as it is presently  
6 written.

7 CHAIRMAN JACKSON: Okay.

8 MR. BARRETT: There are discussions about changing  
9 the statute. You know, those would be a matter of  
10 administration record. Regarding the Act said specifically  
11 that we are to prepare a repository for the waste, the  
12 eventual disposition of the waste, regarding paying for  
13 onsite storage through our inability, Secretary Pena had a  
14 proposal to utilities, a deferred payment option, to try to  
15 resolve some of the lawsuits. That was not accepted by the  
16 contract-holders. We are in 100 different lawsuits in  
17 different courts at this time, but that will run its own  
18 course, but as far as the program, he's not planning --

19 CHAIRMAN JACKSON: -- following the existing  
20 nuclear waste policy?

21 MR. BARRETT: Yes, ma'am.

22 CHAIRMAN JACKSON: Did you have --

23 MR. BROCOUM: No. We don't have any issues with  
24 the current 63 as you have published it on the Internet.

25 CHAIRMAN JACKSON: Right. If you anticipate the

1 need for the use of advanced materials or engineered  
2 barriers, have you folded that in? Are you going to be able  
3 to complete the testing and demonstration of these materials  
4 on a schedule to support the license application?

5 MR. BARRETT: It depends on what that is.

6 The reference design is with C-22, or commercially  
7 known years ago as hastalloy, for those of us who used to do  
8 valve work. That -- there is material, 50 years' worth of  
9 data on that material and the A516 outer is well-known  
10 material to the engineering field for 100 years.

11 Here -- for that case we feel that we could make a  
12 case on the schedule we have. If we were to drastically  
13 change designs, we would not submit a license application  
14 until we felt it was one that was sustainable and would be  
15 accepted by the Commission, so it depends upon what it is.

16 CHAIRMAN JACKSON: Okay, and one last question.  
17 You have the Busted Butte tracer tests -- you know, the  
18 large migration study. Will those results be available in  
19 time to support a licensing application or are you planning  
20 to use that information as part of a performance  
21 confirmation?

22 MR. BARRETT: No, that will be, much of that  
23 information will be available for the license application  
24 part of our license application case.

25 We will also probably continue to do some

1 performance confirmation work indefinitely at that facility.

2 CHAIRMAN JACKSON: Okay.

3 MR. BARRETT: Funding permitting.

4 CHAIRMAN JACKSON: Funding permitting. Any other  
5 comments, Commissioners?

6 [No response.]

7 CHAIRMAN JACKSON: Well, let me thank you, Mr.  
8 Barrett and Dr. Brocoum and the Department for today's

9 briefing. Obviously you have made substantial progress in  
10 the repository program since our last meeting.

11 I think we will be meeting a little more  
12 frequently than every two years. The Commission and the NRC  
13 Staff will benefit from the clarity of your presentation  
14 that you have given of the DOE viability assessment process  
15 considerations and conclusions.

16 It helps us. It helps to facilitate the NRC's  
17 ongoing review of the viability assessment and it will be  
18 useful, I believe, to the Staff's general review of the  
19 issues with regard to your continuing efforts -- and so, if  
20 there is nothing more, then I thank you and the meeting is  
21 adjourned.

22 MR. BARRETT: Thank you.

23 [Whereupon, at 3:10 p.m., the briefing was  
24 concluded.]  
25