

November 17, 1997

MEMORANDUM TO: L. Joseph Callan
Executive Director for Operations

FROM: John C. Hoyle /s/

SUBJECT: STAFF REQUIREMENTS: SECY 97-183 - CRITERIA FOR FUNDING AGREEMENT STATE
TRAINING, TRAVEL, AND TECHNICAL ASSISTANCE

The Commission approved the staff's proposal for addressing Agreement State requests for technical assistance on a case-by-case basis. The Commission also approved the proposed criteria for evaluating Agreement State requests for NRC funding of training and travel, subject to the following guidance and the editorial changes noted in the enclosure to this SRM:

- the staff should develop an additional criterion which would establish a limit on the total number of training requests that the NRC will approve for an individual State over a three year period to ensure that States do not rely upon NRC funding as a matter of routine. This number should represent an appropriate fraction of the Agreement State's training needs, and be based on the size of the Agreement State program and the projected training needs of the individual States so as to provide an equal incentive for all States to seek funding to cover their training needs independent of the NRC.
- the relative priority for class admission is as follows:
 - Priority I NRC staff and Agreement State staff fully funded by their State.
 - Priority II Agreement State staff for whom tuition is funded by the NRC.
 - Priority III Agreement State staff for whom NRC has funded tuition and travel, in whole or in part.

(EDO)

(SECY Suspense: 12/19/97)

- at the conclusion of FY 1998, the staff should conduct its initial evaluation of the effectiveness of the criteria and their application over the prior 12-month period and propose modifications to the criteria or their application as needed.

(EDO)

(SECY Suspense: 12/31/98)

- after three years of experience in applying the criteria, the staff should evaluate the program again to determine if the criteria have been effective in providing an incentive for the Agreement States to fund the full costs of their respective programs.

(EDO)

(SECY Suspense: 12/31/2000)

After incorporating this guidance and the editorial changes set forth in enclosure 1, the staff should inform the Agreement States of the new criteria.

(EDO)

(SECY Suspense: 12/19/97)

Enclosure: As stated

cc: Chairman Jackson
Commissioner Dicus
Commissioner Diaz
Commissioner McGaffigan
OGC
CIO
OCA
OPA
OIG
Office Directors, Regions, ACRS, ACNW, ASLBP (by E-Mail)
PDR
DCS

SECY NOTE: This SRM, SECY 97-183, and the Commission Voting Record will be made publicly available 5 working days from the date of this SRM.

ENCLOSURE 1

Editorial Changes to the CRITERIA:

1. Page 1, paragraph 3

- line 1 - insert commas before and after the words "as a minimum"
- lines 4 and 5 - strike the words " ... and students from States with approved training and associated funding support from NRC ..."
- line 9 - substitute a comma for the period at the end of the paragraph and add the words "except for students from States with approved training and associated funding support from NRC."

2. Page 1, paragraph 4

- line 2 - insert ", travel, and per diem" after the word "tuition" ; insert "or will pay only travel and per diem costs" after the word "costs"; and insert the word "will" after the existing word "or"
- lines 3,4, and 5 - strike the period in line 3 and the words "Potential numbers of Agreement State students that might attend on only a "space available" basis would not be considered in training course planning."

3. Page 3, subparagraph 1d

- sentence 1 - insert the words "and category (e.g., new hires versus more experienced)" after the word "number"

4. Page 3, subparagraph 2b

- line 4 - substitute "must" for "may"

5. Page 4, numbered paragraph 3

- line 2 - strike the words "...determine whether the State..." and insert in their place the words "...confirm that the State has provided documentation that it..."

6. Page 5, numbered paragraph 4

- indented sentence 1 - insert the words "...or a comparable..." after the word "same" at the end of the line

Editorial changes to the Logic flow diagram (p.6):

- Delete all references to "technical assistance" in the title and individual boxes in the diagram
- consider removing the fourth box in the left hand column as it appears that if the answer is "yes", the Agreement State should not have submitted a request for funds to NRC at all, and if the answer is "no," it would be addressed in the next step or box.

Editorial change to the Questionnaire (p.7):

- Question 4, line 3 - insert a comma after the word "policy"

Editorial change to the Footnotes (p.9):

- Footnote 4, line 2 - insert "...,submitted in the budget request to the State legislature." after the word "program" at the beginning of line 2.