

United States Nuclear Regulatory Commission Protecting People and the Environment

# **Implementation of Part 26**

#### February 8, 2011

# **Speakers and Topics**

- Opening: Bill Borchardt, EDO
- Introduction: Eric Leeds, NRR
- Fatigue Science: Dr. David Desaulniers, NRO
- Inspection of Licensee
  Implementation:

Kriss Kennedy, Region 4

Next Steps: Fred Brown, NRR

## Agenda

- Fatigue Science
- Observations from the Regions
- Proposed Alternative and Next Steps

#### Fatigue Management : The Science Behind the Rule and Its Relationship to the Interim Approach

#### Dr. David Desaulniers, Ph.D. Senior Technical Advisor for Human Factors Office of New Reactors

### **Overview**

- Development of the Rule and the Role of Fatigue Science
- Fatigue Science
- Cumulative Fatigue Management

### **Rule Development**

- Key Considerations
  - Studies of fatigue and performance
  - Scope of job functions to cover
  - Operational practices and constraints
  - State-of-the-art practices
  - Integration and consistency of requirements

#### **Rule Development**

Annual Reviews

Minimum Day Off Requirements

Work Hour Limits and Break Requirements

Training, Self-Declaration, and Work Hour Scheduling

- Fatigue
  - defined in Part 26 is the degradation in cognitive and motor functioning resulting from inadequate rest
  - experienced subjectively as sleepiness, irritability, difficulty in concentrating
  - has a physiological basis with potential health consequences

- Two-process model of sleep regulation
  - Circadian Process
  - Sleep Homeostatic Process
- Interaction of these 2 processes is widely accepted as describing propensity for sleep or wakefulness

- Circadian Process
  - Represents a nearly 24-hour oscillatory variation in the propensity to sleep
  - Circadian rhythmicity observed in a wide range of physiological and cognitive measures

- Sleep Homeostatic Process
  - Represents a drive for sleep that increases progressively during wakefulness and decreases progressively during non-REM sleep

## **Cumulative Fatigue**

 Cumulative Fatigue – the increase in fatigue over consecutive sleepwake periods resulting from inadequate rest

# **Cumulative Fatigue**

**Cumulative Fatigue** 

- Caused by
  - early start times shorten recovery sleep
  - shiftwork reduces sleep quantity and quality
  - consecutive long work days and work weeks
- Without time off, people sacrifice sleep to meet daily living obligations

# **Cumulative Fatigue**

- Prevention
- Mitigation
- Compensatory Measures

#### Inspection of Licensee Implementation of Fatigue Management Rule

### Kriss M. Kennedy, Director Division of Reactor Projects Region IV

#### **Overview**

- Inspection of Fatigue Rule
- Inspection Results and Observations

### **Inspection Program**

- Manual Chapter 2515, Appendix D, "Plant Status"
- Inspection Procedure 71111.20, "Refueling and Other Outage Activities"
- Inspection Procedure 71130.08, "Fitness for Duty Program"
- Inspection Procedure 93002, "Managing Fatigue"

### **Inspection Program**

- Objectives of Inspection
  - Maintain awareness of situations that may result in increased fatigue
  - Review of waivers, self-declarations and fatigue assessments
  - Verify outage work schedules comply with rule
  - Verify compliance with rule for security force personnel
  - Provide inspection guidance for evaluation of issues related to Subpart I

#### **Inspection Results**

- Few violations since October 2009
- Limited or no use of waivers at most sites
- Some examples of large reliance on waivers due to low staffing within a specific work group

#### **Observations**

- Feedback from plant personnel mixed
  - Positive feedback
    - Less forced overtime
    - Less fatigue during outages
  - Negative feedback
    - Less available overtime resulting in loss of pay
    - More unwanted overtime
    - Change in outage shift schedule caused more fatigue
    - Increased administrative burden on supervisors to manage work hours

### **Observations**

- No direct impact on safety
- Some examples of extended work evolutions
- Multiple reports of increase in burden on supervisors
- Reduction in beneficial practices

#### **Next Steps**

#### Fred Brown, Director Division of Inspection and Regional Support Office of Nuclear Reactor Regulation

### **Next Steps**

- Interim Approach
- Expand Existing Rulemaking Activity
- Continue to Assess Effectiveness

## **Interim Approach**

- Alternative to Non-outage Minimum Days Off
- 54-hour per week average over a rolling period of up to six weeks
  - Would limit work hours to levels comparable to Part 26 MDO requirements for most workers

# **Authorizing Interim Approach**

- Direct Final Rulemaking
- Exemptions
- Orders
- Confirmatory Orders
- Interim Enforcement Policy

# Rulemaking

- Expand existing Rulemaking
- Address all Current Petitions on Minimum Days Off Provisions
- Leverage Existing Technical Basis

# **On-going Assessment**

- Obtain Comments from broad group of stakeholders
- Meet Regularly with Industry on effectiveness of Interim Approach
- Continue to gather operational data