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UNITED STATES OF AMERICA

NUCLEAR REGULATORY COMMISSION

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ADVISORY COMMITTEE ON NUCLEAR WASTE (ACNW)

144TH MEETING

+ + + + +

WEDNESDAY,

JULY 30, 2003

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ROCKVILLE, MARYLAND

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The ACNW met at the Nuclear Regulatory Commission, Two White Flint North, NRC Auditorium, 11545 Rockville Pike, at 9:30 a.m., B. John Garrick, Chairman, presiding.

COMMITTEE MEMBERS:

B. JOHN GARRICK, Chairman

GEORGE M. HORNBERGER, Member

MILTON N. LEVENSON, Member

MICHAEL T. RYAN, Member

DR. RUTH F. WEINER, Invited Expert

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1 PANEL MEMBERS:

2 ROBERT BERNERO, NRC (Retired)

3 STEVE FRISHMAN, State of Nevada

4 JOHN KESSLER, EPRI

5 RICHARD PARIZEK, Pennsylvania State University, NWTRB

6 WENDELL WEART, DOE/Sandia National Laboratories

7 CHRIS WHIPPLE, ENVIRON

8

9 ACNW STAFF PRESENT:

10 JOHN T. LARKINS, Executive Director - ACRS/ACNW,

11 Designated Federal Official

12 SHER BAHADUR, Associate Director - ACRS/ACNW

13 HOWARD J. LARSON, Special Assistant ACRS/ACNW

14 NEIL M. COLEMAN, ACNW Staff/Designated

15 Government Official

16 RICHARD K. MAJOR, ACNW Staff

17 MICHAEL LEE, ACRS Staff

18 TINA GOSH, ACNW Staff Summer Intern/MIT

19

20 NRC STAFF PRESENT:

21 HANS ARLT, NMSS/DWM

22 JOHN BRADBURY, NMSS/DWM

23 RALPH CADY, DWM/NMSS

24 LARRY L. CAMPBELL, NMSS/HLWB

25 TED CARTER, NRC/DWM

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1 NRC STAFF PRESENT: (CONT.)
2 KUIN CHANG, NMSS/HLWB
3 JIM DANNA, NMSS/HLWB
4 DAVE DIODERO, USNVTRB
5 JAMES FIRTH, NMSS/DWM
6 JASON FLEMMING, NRC
7 CHRIS GROSSMAN, NMSS/DWM
8 GREG HATCHETT, NMSS/DWM
9 LATIF HOWARD, NRC/NMSS
10 BAKR IBRAHIM, NMSS/HLWB
11 BANARD JARANNATI, NMSS/DWM
12 PHILIP JUSTUS, NMSS/DWM/HLWB
13 TIM KOBETZ, DWM/NMSI
14 BRET LESLIE, NMSS/RT6
15 TIM McCARTIN, NMSS/DWM
16 TOM NICHOLSON, NRC/RES/DSARE
17 JACOB PHILIP, NRC/RES
18 JEFFREY POHLE, Division of Waste Management
19 PHIL REED, RES/DSARE
20 KING STABLEIN, NMSS/DWM
21 CHERYL TROTTER, NRC/RES
22
23
24
25

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1 ALSO PRESENT:
2 DEBORAH BARR, DOE
3 LES BRADSHAW, Nye County, Nevada Department of
4 Natural Resources and Federal Facilities
5 DANIEL BULLEN, NWTRB
6 VERONICA CORNELL, Parallax
7 GUSTAVO A. CRAGNOLINO, CNWRA-SWRI
8 NICK DiNUNZIO, DOE
9 DOUG DUNCAN, USGS
10 ATEF ELZEFTAWY, Las Vegas Paiute Tribe
11 COLLEN GERWITZ, NYSERDA
12 CECIL HAULON
13 NORM HENDERSON, DOE/Bechtel-SAIC Company, LLC
14 KAREN JENNI, DOE (LLNL)/Bechtel-SAIC Company, LLC
15 ERNEST LINDNER, LAP/Bechtel-SAIC Company, LLC
16 ROD McCULLUN, NEI
17 AHMED M. MONIB, DOE (LLNL)/Bechtel-SAIC Company, LLC
18 ROBERTO NABALAN, Southwest Research Institute
19 TIM NIEMAN, DOE (LLNL)/Bechtel-SAIC Company, LLC
20 MICHAEL O'MEALIA, State of Nevada
21 ENGLISH PEARCY, CNWRA
22 JIM SHAFFIN, MTS-East
23 SURANNU STIVGLINSKI, Las Vegas Sun
24 E. J. TIESENMAUSEN, CCCP
25 JUDY TREICHEL, Nevada Nuclear Waste Task Force

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1 ALSO PRESENT: (CONT.)

2 JOHN WALTON, University of Texas at El Paso/Nye
3 County, Nevada Department of Natural Resources and
4 Federal Facilities

5 JIM YORK, Bechtel-SAIC Company, LLP

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P-R-O-C-E-E-D-I-N-G-S

8:34 A.M.

CHAIRMAN GARRICK: It's time for the invocation.

(Laughter.)

Good morning. The meeting will come to order. This is the second day of the 144th meeting of the Advisory Committee on Nuclear Waste. My name is John Garrick, Chairman of the ACNW. The other Members of the Committee are Michael Ryan, Vice Chairman; George Hornberger and Milt Levenson. Dr. Ruth Weiner is at this meeting as an invited expert.

Today, we're going to continue what we were doing yesterday and that is continue the working group on performance confirmation plans for the proposed Yucca Mountain high-level waste repository and Neil Coleman is the Designated Federal Official for today's initial session. The meeting is being conducted in accordance with the provisions of the Federal Advisory Committee Act.

We have received no written comments or requests for time to make oral statements from members of the public regarding today's sessions and should anyone wish to address the Committee, please make your wishes known to one of the members of the staff. And

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1 as usual, we request that the speakers use one of the
2 microphones and identify themselves and speak clearly
3 so that they can be readily heard.

4 As you recall, Dr. Ryan of the Committee
5 is chairing this session and without further ado, I'm
6 going to turn the meeting over to Mike.

7 MEMBER RYAN: Thank you, John, I'm going
8 to start by saying thank you again to everybody who
9 presented yesterday. I thought it was an extremely
10 useful and informative session and hopefully today
11 will be equally as useful and informative. We have
12 several presentations by interested parties, the NRC
13 and others and I think this will be an equally
14 informative day.

15 Without further ado, I'd like to introduce
16 our first speaker who will be Tim McCartin of the NRC
17 staff. This title is "NRC's Risk Insights Initiative
18 and Its Impact on Review of Performance Confirmation
19 Plans."

20 Good morning, Tim, welcome.

21 MR. McCARTIN: Good morning, thank you.
22 It's good to be here. Today's presentation actually
23 fulfills two different roles. One is certainly
24 providing information today to the people of this
25 workshop with respect to approaches we have for risk-

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1 informed performance confirmation. In a broader sense
2 for the Committee, I would like to point out for about
3 the last year, year and a half or so, we've been
4 updating you on the status of our risk-informing
5 activities in general. And as you know, we continue
6 to evolve and seek ways to improve and clarify how we
7 intend to risk-inform our activities here at the
8 Commission.

9 And this is installment number four or
10 five. I don't keep track, but as you know, we have
11 been presenting these and so you will see in this not
12 only information for the workshop, but sort of a
13 status of where we're at with these activities and
14 where we're headed for in the future. And so it's
15 really -- it serves two purposes. It's a timely
16 presentation in that sense and Dave Esh and I worked
17 together to prepare a couple of examples of our
18 approach that we'll go through shortly.

19 May I have the next slide?

20 (Slide change.)

21 MR. McCARTIN: In terms of my
22 presentation, I'll give some small perspective on the
23 performance confirmation. Jeff went over the
24 regulatory aspects yesterday. He's going to go over
25 the review plan aspects after my presentation here and

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1 so most of that is going to be covered very well by
2 Jeff, but I'll give some -- a brief perspective. Then
3 I'll explain our approach for risk-informing, give a
4 couple of examples, one engineered, one natural and
5 then finally summarize at the end.

6 Next slide.

7 (Slide change.)

8 MR. McCARTIN: In terms of performance
9 confirmation, the first part -- there's really three
10 aspects from a risk-informing standpoint. One,
11 certainly as Jeff went through yesterday, to evaluate
12 the adequacy of the information used to demonstrate
13 compliance, and I know some question was raised, the
14 word safety does not appear in subpart F and I will
15 point to the second tick under that first bullet. The
16 word "barriers" does appear in the subpart F and that
17 really is the connection with safety. We're looking
18 at barriers important to waste isolation. If you're
19 important to waste isolation, it's in our mind, it's
20 self-evident that it is important to safety.

21 Next, very importantly, that same subpart
22 F, you provide data where it's practicable and I think
23 Chris Whipple got into that very well yesterday. You
24 want to have things that are doable. You don't want
25 to promise things that can't be done.

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1 And we also identify, there's a variety of
2 different ways to get performance confirmation
3 information, be it in situ monitoring, laboratory test
4 field tests, etcetera, and that just as a backdrop.

5 Next slide.

6 (Slide change.)

7 MR. McCARTIN: Risk-informed. When we're
8 doing risk-informed here, I think we are really
9 looking at the risk significance of each of the
10 barriers and there's no question that you're looking
11 at the relationship to the dose. However, it's very
12 important that it isn't just the dose calculation.
13 One might argue that what if DOE could very
14 confidently demonstrate that no waste packages will
15 fail within the first 10,000 years. Does that mean
16 these other barriers don't have any risk significance?
17 I would say no. It doesn't mean that. That the
18 saturated zone still has a retention capability that
19 we would expect to see demonstrated in the spirit of
20 the multiple barriers and that's why we're really
21 looking at the potential risk significance. When the
22 packages eventually leak and I don't think anyone
23 would say that eventually they will leak, what is the
24 capability of the other barriers? And so that's why
25 we try to focus on the risk significance of each

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1 barrier and it's a relative kind of thing. Not the
2 absolutely.

3 Clearly, if no waste packages fail or if
4 one waste package fails, the risk significance of the
5 other barriers in that sense is if you just looked at
6 dose, would be very small because the overall risk is
7 very small.

8 So it's a broader concept that you'll see
9 in my examples a little better what's meant there.
10 Certainly, Dr. Garrick brought up the uncertainty and
11 you have to consider the uncertainty in estimating the
12 performance of the barriers.

13 Thirdly, we want to point out DOE is
14 required to describe and identify the repository
15 barriers. My presentation today, I'm making use of
16 some of our performance assessment results, but
17 ultimately it is the responsibility of the DOE and we
18 will be looking at the DOE's compliance demonstration.

19 With that, I'll go right to the approach
20 that we're looking at and clearly I want to emphasize
21 the word iterative, primarily because you can see we
22 start with risk significance. Well, the only way you
23 can start with risk significance is you've already
24 done some calculations. You've already done some
25 analyses and as the status of where we are today, the

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1 risk significance I'm talking about here is really the
2 risk baseline report that we provided to the
3 Commission. That's our starting point today, if you
4 will.

5 We have some risk significance that we've
6 described to the Commission. We're going to be using
7 that risk significance, look at the quantitative basis
8 for that risk significance. Clearly, we've already
9 done the analyses, but as I pointed out, this is a
10 iterative process and I'm giving the status of where
11 we're at. The Committee is aware that we, in October,
12 we intend to provide an update to what we've given to
13 the Commission that will include a more explicit
14 discussion, explanation of the quantitative analyses
15 including the uncertainties.

16 When you have that information, the
17 quantitative basis, looking at the uncertainties, you
18 should be able to identify important parameters,
19 models, assumptions. It was correctly pointed out
20 yesterday that you always when you're using the
21 performance assessment code, you always want to be
22 aware of assumptions, some of which excluded certain
23 processes. You need to consider that, those
24 assumptions also when you're looking at what are the
25 important features of my assessment of demonstration

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1 of compliance.

2 And finally, and I borrow a word from Dr.
3 Garrick that he used oh I'll say at least a couple of
4 years ago, maybe earlier, but ultimately when you have
5 -- you've identified from your analysis, the important
6 models, parameters, assumptions, what's the evidence
7 supporting these models? Once you look at the
8 evidence, you then should be able to look at what are
9 the things I would like to confirm? And that's sort
10 of our thinking right now of the process we're going
11 to go through internally in trying to risk-inform the
12 performance confirmation. Like I said, this up here
13 is that risk baseline report and we'll be walking
14 through it to get to this point where at the end we're
15 looking at the evidence and what makes sense from a
16 confirmation standpoint.

17 Next slide.

18 (Slide change.)

19 MR. McCARTIN: To explain this process, if
20 you will, with a couple of examples, I'll have an
21 engineered example and a natural system example.
22 People always get nervous when -- I don't know if it's
23 just me, but when I think the staff here present
24 examples to the Committee and we aren't -- we don't
25 want to see -- we aren't implying DOE come back

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1 exactly with our example and that's approved by
2 default. We are giving these examples in a way to
3 demonstrate the process. We are still thinking about
4 this. These examples do not represent some type of
5 regulatory acceptance. Certainly, it's the DOE safety
6 case. We're looking at our performance assessment
7 here. And so it's just a caution that we think the
8 example is good in terms of giving you an idea of how
9 the process should work, the particulars of the
10 example are not, should not be construed as regulatory
11 acceptable in any way.

12 With that, let me go to the first example.

13 Next slide.

14 (Slide change.)

15 MR. McCARTIN: And we're looking at spent
16 fuel dissolution. In our risk insights report, this
17 was a high risk identified item. The dissolution of
18 the waste affected a lot of the radionuclides,
19 essentially all of the radionuclides and we saw that
20 it could vary, the dissolution from hundreds of years
21 to hundreds of thousands of years. There is a
22 significant potential effect on performance, due to
23 the dissolution rate of the spent fuel.

24 Next slide.

25 (Slide change.)

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1 MR. McCARTIN: In terms of the
2 quantitative basis, we've used existing information in
3 developing our TPA code. Right now, in terms of the
4 code itself, we have four different dissolution models
5 and going to one based on natural analog information,
6 another one based on secondary mineral formation and
7 a couple that are dependent on the water chemistry.
8 So we're covering a range of potential different
9 things and this is important, these alternative models
10 a couple of which are based on different chemistries,
11 we don't necessarily have the explicit chemistry in
12 the TPA model, but we try to represent the effect some
13 of these chemistry aspects of the environment inside
14 the waste package could have on the release.

15 Next slide.

16 (Slide change.)

17 MR. McCARTIN: In terms of the -- what
18 does this mean in terms of performance and I
19 apologize, the colors are not especially great on this
20 slide. They were done as much to make a black and
21 white xerox to look a little better, and boy, it's
22 really hard to get colors to work well. But the net
23 effect is you can see we have approximately a two
24 order of magnitude variation in the dose due to the
25 different release models. So once again, a fairly

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1 significant effect on the performance.

2 Going to the next slide --

3 (Slide change.)

4 MR. McCARTIN: In terms of the potential
5 importance of the release model, you have to consider
6 the limitations and once again I will point as much to
7 water chemistry as a model uncertainty and that's why
8 we have the different conceptual models.

9 There is certainly parameter uncertainty
10 with the dissolution rate, but why did we have four
11 different conceptual models? Part of it was due to
12 water chemistry, the Schoepite model was a secondary
13 mineral formation, but there's different processes to
14 be considered in terms of the dissolution rate and
15 these are the kinds of things, they tend to be fairly
16 important. They're seeing a couple of order magnitude
17 effect.

18 Next slide.

19 (Slide change.)

20 MR. McCARTIN: In terms of some of the
21 evidence we now have, what supports these models and
22 you'll remember Dave Esh showed the Committee a
23 similar slide in a previous workshop that in terms of
24 putting some parameters to the pre-exponential term of
25 our two models, the first two models there which were

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1 -- some of which is due to the water chemistry. You
2 can see there's different solutions considered and
3 there's different dissolution rates depending on the
4 test method, etcetera.

5 This is -- the information that you have
6 available supporting some of those models. I haven't
7 shown everything, but the idea is to -- we've shown
8 what's important, be it the chemistry, the rates,
9 etcetera. Look at the evidence you have. Piece
10 together all that evidence and try to get a sense of
11 what kind of information there makes most sense to
12 confirm.

13 And so this is a later step in our process
14 and it's just the example, we want to tie the evidence
15 we have up through the importance to the model, to the
16 dose calculation and then look at the candidates for
17 confirmation.

18 Next slide.

19 (Slide change.)

20 MR. McCARTIN: I'm now going to move to
21 the second example which is the retardation in the
22 alluvium, the natural system versus the engineered
23 system, the dissolution of the fuel.

24 Once again, this is the retardation of the
25 alluvium and our risk baseline report was a high risk

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1 aspect of the performance calculation. The
2 retardation, the alluvium had the potential to delay
3 movement for a vast majority of the radionuclides for
4 very long time periods, thousands, tens of thousands
5 of years and longer. For the nuclides that tend to
6 absorb, neptunium, americium, plutonium, clearly
7 iodine and technetium are not in that mix. They're
8 unretarded. They are a small fraction of the overall
9 inventory of the repository.

10 Next slide.

11 (Slide change.)

12 MR. McCARTIN: In terms of the
13 quantitative basis, once again we're using existing
14 information that's out there. Most of this is -- a
15 lot of it is the DOE information. There's information
16 on specific radionuclides with respect to looking at
17 crushed tough analogs, literature values. There also
18 is support for the conceptual model. There is some
19 experimental evidence supporting some of the key
20 assumptions in the KD approach, namely a linear
21 isotherm and fast and reversible sorption.

22 Here's one of those items I'll point out
23 that we don't have alternative models here. We have
24 a range of KDs, as you'll see, but we don't have
25 alternative models, but there are aspects of the

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1 model, of the conceptual model that could be supported
2 in terms of the linear isotherm in fast and reversible
3 sorption.

4 Next slide.

5 (Slide change.)

6 MR. McCARTIN: Once again, the Committee
7 in previous meetings has seen this slide. There's a
8 lot of numbers here. There's -- but basically it's a
9 sensitivity analysis of retardation in the alluvium
10 and there are a couple of things we varied. One was
11 the flow path in the alluvium, one kilometer versus
12 five kilometers, a longer path versus a shorter path.
13 And we also varied the retardation factor or the KD
14 with a slight transformation from a low value to the
15 high value of the sample range in our TPA analysis.

16 As I mentioned, technetium and iodine are
17 assumed to be unretarded, so it's not too surprising
18 that between low and high, it's the same number, they
19 come out the same. There is some difference between
20 five kilometers of alluvium versus one kilometer. If
21 we go down to the bottom two, americium and plutonium,
22 you can see the delay time and I guess I should have
23 mentioned, this is a delay time and it's a time it
24 takes once an initial release goes into the saturated
25 zone, how long before that initial release gets out of

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1 the saturated zone. So let's say at the first time
2 that radionuclides appear in the saturated zone, let's
3 say one curie goes in, how long does it take before
4 one curie comes out of the saturated zone? That's how
5 we're defining delay time.

6 There are two aspects. These numbers,
7 obviously, are very long. There's two parts to the
8 rationale for this. For americium and plutonium, the
9 sorption values, the KDs, are much higher than the
10 other three, but there's also another big aspect.
11 These do represent, between the two of them 75 percent
12 of the curies in the repository, but they also have
13 short half lives, relative to these three. And so as
14 you delay something, it starts to decay and if one
15 curie went in to get one curie out, the KD to delay it
16 becomes even more effective with a shorter half life.
17 It decays away as it's being transported. So that's
18 a significant part, in addition to the fact that the
19 KD values actually are quite a bit longer. But you
20 can see for americium, plutonium are well over tens of
21 thousands of year, all of them.

22 Neptunium, you can see for the low,
23 between the low and the high KD, there's a fairly
24 significant range there, at the low end, approximately
25 a thousand years; at the high end, quite a bit larger,

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1 much larger than ten thousand years. A rather
2 significant difference.

3 Likewise, even for -- it wasn't that
4 significant, one aspect of this that was interesting,
5 whether it was one kilometer or five kilometers. You
6 can see the difference wasn't as dramatic as I thought
7 it might be. Part of that is be aware that when we go
8 from one kilometer to five kilometers, we aren't
9 shortening the path by four kilometers, but four
10 kilometers is now fractured rock, rather than
11 alluvium, so it's still a total path of 18 kilometers.

12 One of the things that helps or delays the
13 neptunium is matrix diffusion and neptunium has a KD
14 in the rock matrix whereas iodine and technetium\ do
15 not and so even though the alluvium path is
16 decreasing, the fractured rock path is increasing with
17 matrix diffusion which is partly responsible for not
18 being that much difference.

19 Next slide.

20 (Slide change.)

21 MR. McCARTIN: In terms of the potential
22 importance, certainly for the alluvium, the extent of
23 the uncertainty, what you saw with those 3 to 5
24 radionuclides is three very different behaviors.
25 First, you have a zero KD for iodine and technetium.

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1 In terms of performance confirmation, you can't have
2 a lower KD and so do you -- is there a need to confirm
3 a KD that's at zero.

4 Next, the range of KD seems to be
5 unimportant for americium. As you saw for that range,
6 it was greater than 100,000 years, whether we were at
7 the low end of the KD or the high end. And so
8 depending -- you want to bring that in to your
9 confirmation activities. It's extremely, you're
10 mainly -- is that lower bound adequate, not the upper
11 bound, isn't that important. That's another piece of
12 information you bring in to risk-informing your
13 confirmation activities.

14 However, the range for neptunium was
15 significant. Neptunium has one of the highest dose
16 conversion factors for the radionuclides in the
17 repository. It has a large inventory and as you saw,
18 the range of KD resulted in approximately a thousand
19 year travel time versus on the order of tens of
20 thousands. That is a potentially significant at risk
21 significant aspect.

22 As I said, we had certain assumptions
23 about this model, sorption is fast and reversible.
24 There's always assumptions about the changes in the
25 bulk chemistry along the transport path. We are

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1 assuming the chemistries don't change. We do sample
2 pH in the saturated zone and so we have an effect of
3 a range of different pHs, but we're not looking for
4 halfway through the transport time, it reverses and
5 changes to a different value. It's constant for the
6 entire transport period.

7 So those are things that potentially are
8 important. How is the chemistry going to -- in the
9 saturated zone vary?

10 Next slide.

11 (Slide change.)

12 MR. McCARTIN: In terms of the kinds of
13 evidence, there's certainly information currently about
14 the mineralogy about the alluvium that we've used in
15 looking at appropriate KD values. There's been water
16 chemistry measurements of the alluvium, pH and ionic
17 strength and there's been for the neptunium, as well
18 as other radionuclides, but there have been some bad
19 sorption tests and some dynamic tests for neptunium to
20 give you a sense of whether there's the reversibility
21 fast and reversible sorption reactions, etcetera, to
22 help with the confidence in the conceptual model.

23 That's the two examples, as you can see,
24 and I'm not trying to suggest that we've covered all
25 the bases here, but it's a desire to walk through the

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1 thinking process and that's what I've tried to show
2 that ultimately I think as Dr. Garrick pointed out to
3 us, I'll say a couple of years ago, what's the
4 evidence? We want to be able to trace through our
5 risk insights all the way to the evidence and give
6 that clear linkage so people can see what information
7 is supporting what important parts of the safety
8 assessment. We think that is how you get to
9 performance confirmation.

10 Clearly, this is an iterative process. We
11 are not -- we hope to get to this point, I'll say in
12 the next six months to where we have documented all
13 the way through, but it's one of those things that you
14 certainly continue to update your information and go
15 back to the top and go through the system, but we want
16 to be able to show this clear linkage all the way
17 through the system from risk insights to the evidence
18 and to me would provide a traceable path for reviewing
19 performance confirmation.

20 Next slide.

21 (Slide change.)

22 MR. McCARTIN: Summary. I've pretty much
23 said most of this, but we certainly, we start at the
24 top with risk insights to identify the important areas
25 for consideration for performance confirmation. We

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1 certainly have to look at the uncertainties. It's an
2 evidence based approach. You want to be able to get
3 at the bottom to where whoever is looking at your idea
4 of what needs to be in performance confirmation, they
5 can see that linkage between the evidence you have and
6 the assumptions and their -- how they impact the
7 safety assessment.

8 There's always -- this last bullet is
9 there. There's always this tension between realistic
10 and conservative assessments. As was indicated for
11 the retardation in the alluvium, iodine and
12 technetium, both ourselves and DOE, both assume are
13 unretarded. Some people would say iodine does have
14 some retardation. Technetium may have some
15 retardation. And that might be true. But if the
16 Department, in that area, other areas, elects to take
17 a conservative approach because they do not want to
18 collect any further information, that is part of their
19 approach and from a safety standpoint, if a
20 conservative value is still acceptable from a safety
21 perspective, that's reasonable for the NRC to make a
22 decision with that kind of approach.

23 And so there is a recognition that
24 depending on the DOE safety assessment, certain
25 abstractions will determine and their approach will

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1 determine, have a role in identifying what needs to be
2 confirmed and what doesn't.

3 Final slide.

4 (Slide change.)

5 MR. McCARTIN: This is more for the
6 Committee than necessarily the workshop. Other people
7 may be interested. In terms of where we are, as I
8 indicated part of this approach is we have tried to
9 keep the Committee informed of our progress as we go
10 through our risk informing activities. This is one of
11 those presentations for that purpose. As you know,
12 the risk insights' baseline was provided to the
13 Commission recently. We are on the hook, as you say,
14 to in October to provide a final report with respect
15 to the risk insights that will be based on the risk
16 baseline, but it will provide the more quantitative
17 bases and we probably will identify further
18 calculations we need to do. I won't say that we have
19 the best calculations in-house. I think most of the
20 -- the risk insights we based on some analyses we've
21 done, but will identify further ones, but in the
22 October time frame, we'll have that quantitative
23 basis, discussion of uncertainty and further
24 quantitative work to improve our quantitative basis.
25 That will be updated as appropriate. However, even

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1 with that October deliverable in our closely
2 approaching, we are thinking of these next steps,
3 these next steps, now that you have that quantitative
4 basis.

5 What's the evidence that's supporting the
6 important parameters and assumptions? And I think
7 that, to me, is the more fascinating part of the work.
8 All this other stuff is just to get you to where you
9 can now examine the evidence and go back and say gee,
10 what do I need to look at further, etcetera and I --
11 like I said, this is Tim McCartin speaking, the
12 management, but I think we will have some information
13 to present in the next six months in showing that
14 trail to the evidence. And I would expect that at a
15 future time we'll be coming back to the Committee on
16 that and this part of the slide is talking more to our
17 continual dialogue of keeping you informed of our
18 process of risk-informing and with that I'll stop.

19 MEMBER RYAN: Thanks, Tim. Let me start
20 by just comment. I think it's important to emphasize
21 that your iterative comments, being an iterative
22 process are important. To me, that means that you're
23 learning as you go which is very good and that finding
24 out new information at some point downstream from the
25 starting gate isn't failure. It's actually a good

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1 thing when you identify important information as the
2 process of all. So that's, I think, something we all
3 ought to think about, and two, that that process I
4 think your main point is can well inform the
5 performance confirmation process itself.

6 Am I summarizing that well?

7 MR. McCARTIN: Yes, absolutely. And I
8 really appreciate that. I add slightly in the sense
9 that that's why we get nervous sometimes about coming
10 up and presenting numbers to the Committee and clearly
11 this is a work in progress. Have we thought through
12 all the aspects of this? No, we haven't. We think
13 the numbers we presented and the information we gave
14 you give you a better sense of the process we'll work
15 forward through and it's the iterative sense of that.
16 We aren't suggesting that those numbers, is everything
17 correct that we've presented? We're working through
18 that. I mean obviously the calculational numbers are
19 correct, but there could be other aspects of the
20 modeling that we haven't identified. Some we've
21 identified that, oh gee, it shouldn't, but we think
22 it's helpful for the Committee to see that and that's
23 why we have our caveats.

24 MEMBER RYAN: It begs the question then
25 how do you bring closure to any particular item? When

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1 have you iterated enough on a particular item and
2 maybe you could explore that thought just a bit for
3 us.

4 MR. McCARTIN: That's where I think my
5 idea of going to the evidence is really the closure
6 point. When we get to that point, okay, what is the
7 experimental evidence that we have? And how does that
8 relate to the important assumptions? And that's where
9 I think where the Committee and others, our management
10 needs to see, what is the logic there? What do you
11 see or don't see in that information that you need
12 more, you want to confirm this or whatever. And that,
13 I think, it really gets back to something I'll point
14 to something of Dr. Garrick. We go back to the
15 transcripts. Historians can go back to the
16 transcripts, I'll say in the two to three years ago
17 brought up the word evidence based.

18 I think that, in my opinion, that's what
19 we have struggled to try to convey is what is the
20 evidence and how does it relate to the important
21 assumptions. And that what this approach is trying to
22 get to. Once people see that, we may disagree as to
23 whether well, I think we're done. They say no, you're
24 not done. But as long as people can see the rationale
25 and the logic behind what was done and how it relates

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1 to the performance, I think that at least is up for
2 review and scrutiny. But I think getting to that
3 where we could point to the more directly than I did
4 today to the evidence. At least that's the desire.

5 MEMBER RYAN: Great, thanks. Any comments
6 or questions from any of you?

7 MEMBER HORNBERGER: Tim, first, I guess I
8 should repeat your caveat to save you from doing so.
9 I recognize that these examples are just examples and
10 we're following a thought process and by asking you
11 questions related to the examples, I don't want to
12 imply anything else.

13 MR. McCARTIN: Okay.

14 MEMBER HORNBERGER: There is no regulatory
15 commitment here, shall we say. Nevertheless, what I
16 wanted to do was explore, because the examples I think
17 are useful. As you know, I find examples useful. And
18 I'd like to explore the implications for performance
19 confirmation. So if I take your example of fuel
20 dissolution and for the sake of argument, let me
21 hypothesize that the DOE uses a range of dissolution
22 models that you have, I know they don't, but let's
23 assume for the moment that they're using the same
24 thing.

25 So they're using the same evidence and

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1 they're using the range. And now they come forward
2 with a performance confirmation plan. I can picture
3 this being anywhere from we will keep tabs on
4 experiments being done worldwide to see if there are
5 any deviations, all the way up to some grand plan to
6 do extensive laboratory experimentation including what
7 secondary minerals might control solubility and
8 developing a thermodynamic database, etcetera.

9 How do you see your risk insights as
10 playing into where you would expect DOE to be on that
11 spectrum with their performance confirmation plan?

12 MR. McCARTIN: Well, it really would
13 depend on, in that curve I probably should have
14 pointed out, but our base case model is one of the
15 higher curves. And so it is not one -- some of those
16 alternative models, the secondary mineral model only
17 lowers the release. And so, you know, for things that
18 they've shown gee, this is going to be lower, we
19 wouldn't I think the rigor for showing that
20 performance is better, is different than showing is
21 there something that could increase the dose.

22 And so there would be along those lines in
23 terms of the chemistry of the waters, have they
24 properly -- we saw a dependence on chemistry. Do
25 those models appropriately bound the range of

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1 different water chemistries they expect. And maybe
2 there would be some experimental work to see if other
3 more aggressive chemistries could occur that might
4 make the release. Because it is sensitive, it might
5 make it even worse than what we have today. It
6 depends on some of the assumptions.

7 Certainly, if they used the secondary
8 mineral models, that was their base case if you will.
9 It is quite a bit lower than the other ones. I think
10 in my mind there would need to be, we might want to
11 see some confirmation of the basis for the secondary
12 mineral model.

13 Is that helpful?

14 MEMBER HORNBERGER: Yes, it is. I still,
15 yes, it is helpful. I think that the other part of
16 the question that I think you answered toward the end,
17 because if DOE, for example, does make an assumption
18 of let's say a very high dissolution rate that, and
19 then you might look at their argument that they really
20 don't have to do any more as potentially acceptable.

21 MR. McCARTIN: Yes.

22 MEMBER HORNBERGER: The other question I
23 have in looking at this, to go to your other example,
24 it strikes me from yesterday and today at least in my
25 own thinking, that an awful lot of the performance

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1 confirmation that we've been talking about seems to be
2 in situ and in the field. And I have this gut level
3 feeling that there might be an awful lot more of value
4 to be done in the laboratory relative to expending
5 tremendous sums in building robots that may or may not
6 work to do monitoring and unshielded drifts with
7 unshielded canisters.

8 Do you have any sense, if I look at your
9 second example, KDs, as to how you might look at a
10 performance confirmation plan that in terms of a
11 balance between let's say laboratory testing of
12 materials versus large scale tests in the field?

13 MR. McCARTIN: I will give you an answer
14 based on my limited experience as a geochemist. I
15 will ask that I know we have geochemists at the table
16 that I will ask to correct me or counter that.

17 Generally, in terms of the -- there's a
18 couple things you can do in the lab that are very
19 useful in terms of some of the column tests, dynamic
20 tests, to get a sense of is the conceptual model
21 right. Do we have a linear isotherm. Do we have vast
22 and reversible sorption. So those laboratory tests,
23 some of which DOE has already done to support this
24 model. Okay?

25 Would there need to be more done for that,

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1 I'll leave that to the geochemists to evaluate that if
2 just because, I mean that's the other part in terms of
3 the negotiation phase, if you will. If no further
4 information can be gained by doing additional tests,
5 I think it would not be worthwhile to ask DOE just to
6 repeat a test to get the same result, if we have a
7 high confidence in the information that is already
8 there.

9 It just seems pointless in my mind that
10 you have to look at performance confirmation as a
11 program with a mission. And the mission is to confirm
12 things, the adequacy that there is some uncertainty
13 about. If there is some stuff that we have enough,
14 why would we just repeat tests to get the same answer?

15 That is generically true, and I think it
16 just depends on the nature of the uncertainties, the
17 information, the tests, the state of the art that is
18 in the plant.

19 Certainly in terms of the field, there are
20 some things, with respect to the KD as I indicated,
21 you can look at some limited measurements of water
22 chemistry from mineralogy to give you a sense of the
23 KD.

24 But I will happily turn it over to either
25 English Percy or Andy Campbell from the NRC Center,

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1 if there's anything to add.

2 MR. CAMPBELL: One example of a field test
3 the DOE did do was the seawells complex. And if, for
4 example, in an application, there was extensive
5 reliance on sorption in the fractured rock, based on
6 the seawells complex, then we would have to look at
7 the risk significance of that total compared to the
8 other aspects of the system and also look at the
9 uncertainties associated with the solutions they draw
10 from that. So that's an example of a field test that
11 might be appropriate for performance confirmation, if
12 it has high risk significance and if there's high
13 uncertainties involved in aspects of the test.

14 MEMBER LEVENSON: Yeah, Jim, I had two
15 thoughts. One, you've introduced kind of a
16 significantly different thought, I think, than we
17 heard yesterday. Yesterday, the implication was the
18 confirmation should confirm everything. And you've
19 kind of introduced the thought that says if DOE is
20 willing to more or less accept certain assumptions
21 that the NRC has made, doesn't want to take more
22 credit for or is willing to use your values, the
23 confirmation may not be required. Is that the
24 situation?

25 MR. McCARTIN: I did not mean to imply

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1 that in that if they use our values. They have to
2 defend their values, and the fact if they pointed to
3 our PA, and every technical exchange we've had on
4 performance assessment, pointing to numbers we use is
5 not regulatory acceptance. That is not a technical
6 basis for the Department. So I didn't mean to imply.
7 And I don't think in my mind philosophically, it is
8 not a new idea. I'll point to the one statement, I
9 was at the same meeting as Jeff Pohle was with John
10 Austin.

11 The NRC is not in the business of asking
12 licensees to do things that are silly. And any time
13 a licensee is doing something silly, they should come
14 and talk to us because that is not the intent of our
15 regulations. And that's my last thought. And I'll
16 give an example, and I don't know if it, I'm not
17 saying it is going to turn out to be true. But as an
18 example, let's say the KD for neptunium is based on a
19 column test. That is state of the art. That is the
20 best way to get the KD for neptunium. And the DOE has
21 done extensive testing in the license application for
22 determining the KD of neptunium in these column tests.

23 If the NRC says gee, there's nothing more
24 to be done here, would we say well, but it is an
25 important parameter, so we want you to redo those

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1 tests once again. I in my opinion, I don't think the
2 regulation requires that.

3 If it is just a matter of the testing
4 technique, did you do this test right, I think we
5 would have determined that in the review of the
6 license application is a possibility. To just repeat
7 a test, do they have to repeat every single test
8 they've done. It is not my impression of performance
9 confirmation that they have to repeat everything. At
10 least, I see nothing in the regulation that requires
11 that.

12 MEMBER LEVENSON: What you're basically
13 saying is if there is substantial evidence for a
14 point, it doesn't just because it wasn't done as part
15 of what is called confirmation, doesn't mean it has to
16 be redone.

17 MR. McCARTIN: Right.

18 MEMBER LEVENSON: The purpose of
19 confirmation is to fill in voids and reduce
20 uncertainties. Is that --

21 MR. McCARTIN: Not to fill in voids and
22 uncertainties. It is a recognition that we will be
23 dealing with uncertainty in the license application.
24 Before you get to performance confirmation, you've
25 made a determination that you have enough information

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1 to make a decision.

2 In my mind, what performance confirmation
3 is now look at the information you use to make that
4 decision and from a risk significant standpoint, which
5 looks at the uncertainties in my mind. What
6 information should I confirm? And if there's some
7 information, just because it is important, if doing
8 another test is not going to significantly change your
9 basis, I don't know why we would have them just repeat
10 the test for the sake of repeating, let's say a column
11 test for KDs where --

12 MEMBER LEVENSON: Okay, I understand your
13 disclaimer about the models. Let me compliment you on
14 having selected one model where the motivation purview
15 and DOE's view are probably 180 degrees out. That is
16 in things like the KD for iodine and technetium, for
17 NRC since it is zero it can't possibly be any worse
18 than that. There's no need to think about changing.
19 But since iodine and technetium are a significant of
20 the eventual dose, since almost nothing is really
21 zero, there might be a large motivation for DOE to do
22 something about it.

23 So I think that's a good example as to why
24 they shouldn't just follow your examples. Their
25 motivation might be quite different.

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1 MR. McCARTIN: Although, as we've shown
2 though, it is important to recognize that iodine and
3 technetium, while indeed they do cause the early dose,
4 a more significant dose is potentially there from
5 neptunium that dwarfs the iodine and technetium dose.
6 And that's one reason in terms, in my mind of a safety
7 standpoint, I'm not overly concerned about iodine and
8 technetium. Do they get there first? Yes. But the
9 larger potential dose is due to neptunium. That's
10 partly why. Iodine and technetium are a very small
11 fraction. You know, is it iodine, I believe it is
12 iodine. Well, technetium, the dose conversion factor
13 is three orders of magnitude lower than the neptunium
14 dose conversion factor.

15 So there are aspects that, in all of this
16 we want to bring out in the report. And that's where
17 to me, you need to be, in fact somebody put this on my
18 door in my office, you need to be very careful -- sure
19 fire performance assessment advice in that recognizing
20 the potential risks from iodine and technetium. But
21 don't put blinders on to the neptunium, which it is
22 delayed right now beyond 10,000 years. But as we
23 showed in that example, there is a potential at the
24 low end that it is a good come-in, and it is a larger
25 potential risk item.

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1 MEMBER RYAN: John.

2 CHAIRMAN GARRICK: Just a couple of quick
3 comments. Tim, it seems you got the message on the
4 evidence issue.

5 MR. McCARTIN: Yes, I think it is very
6 useful.

7 CHAIRMAN GARRICK: The other thing I want
8 to mention in that regard, because you touched on this
9 as well is that this issue of assumptions have been
10 described as the curse of analysis. And I think just
11 as important as it is to try to connect the supporting
12 information and evidence to your results, it is also
13 important to be as transparent as possible with
14 respect to the implications and significance of the
15 assumptions. And you talked about connecting the
16 supporting evidence to the assumptions. But we know
17 that some of the assumptions do just as you said.
18 They exclude some of the processes.

19 I think that this kind of becomes a risk
20 communication issue of making darn sure that the
21 assumptions are indeed understood, and the
22 implications on the results are very clear. In the
23 early performance assessments, we saw several cases of
24 where assumptions were made about things like
25 solubility, including the solubility of neptunium.

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1 And you see early in the analysis, that that kind of
2 an assumption and then later in the analysis, the
3 uncertainty of solubility didn't contribute to the
4 risk because it was assumed to be constant.

5 So those kinds of traps need to be exposed
6 very clearly. And so I would say the diligence that
7 you've applied to the evidence supporting information
8 should also be applied to making the assumptions as
9 transparent as possible.

10 The other comment is you indicated in your
11 model, there's the explicit chemistry, for example, is
12 not in the model, but the effect is. I think that is
13 another category of sort of assumptions that need to
14 be made very clear in terms of what the consequences
15 are. There's been some criticism about some of the
16 performance assessment models, that they lacked
17 adequate mechanistic models with respect to some of
18 the processes.

19 I'm not advocating they ought to
20 necessarily be more mechanistic, but I am advocating
21 that when you use a surrogate for a mechanism that you
22 need to be very clear on how that affects the outcome
23 and what -- how much uncertainty has been introduced
24 as a result of those actions.

25 MR. McCARTIN: Yes, absolutely. The four

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1 different models for dissolution point to that effect.
2 One thing I'll say that when we do the quantitative
3 basis for our risk baseline, we are going to try to
4 bring into the extent possible, and everything is a
5 matter of time and effort, of course. But both DOE
6 performs assessment results as well as EPRI results in
7 terms of that quantitative basis. Because our risk
8 baseline is both on the spectrum of performance
9 assessment results. And they're in the strength of
10 having the different models which do have some
11 different concepts.

12 You know, I point to one, matrix diffusion
13 in the unsaturated zone is more prominent in the DOE
14 model than in ours. And kind of oddly enough, matrix
15 diffusion is more prominent and more significant in
16 the saturated zone in our model than we think it is in
17 the DOE model. So having that in there and being able
18 to understand why, some of that is assumptions in the
19 conceptual model, etcetera. I think our basis is
20 strengthened by trying to account for these different
21 approaches.

22 MEMBER RYAN: We probably have time for
23 just one or two more questions.

24 DR. WEINER: This may be a simplistic
25 concept that I'm trying to understand about

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1 performance confirmation. First of all, to your
2 comment about taking your examples your comment about
3 your not talking about solubility but a surrogate to
4 solubility. I'd have to ask the EPRI I suppose, or
5 your performance assessment, know why solubility and
6 the reaction rate of solubility, rate of solubility
7 and solubility equilibrium are very straight forward
8 chemical concepts. So I see no reason why they
9 shouldn't be in the model. But that's neither here
10 nor there.

11 MR. McCARTIN: One thing on that. We do
12 have solubility limits in our model.

13 CHAIRMAN GARRICK: I was talking about
14 some earlier models just as an example.

15 DR. WEINER: Okay. The point I'm trying
16 to make is find the point in both of these examples
17 I'm trying to do where you are really looking at
18 performance confirmation. And it seems to hit on in
19 some of your closing statements the confirmation for
20 your first example, your solubility example is the
21 range of solubility appropriate, correct, or does that
22 need to be defined further or confirmatory experiments
23 yields something different and you have to do the
24 whole thing again.

25 In the second case, by the same kind of

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1 reasoning, is the range we're looking at appropriate,
2 is that what your experiments have yielded? Something
3 else as far as the range. And I just encourage you to
4 identify very clearly what the confirmatory principle
5 for each.

6 MR. McCARTIN: Sure, I would agree. Now
7 it was merely the dissolution rate, not the
8 solubility, but that's not important. It is more or
9 less we were trying to walk through the process and we
10 haven't got to that last step where let's lay out the
11 evidence. When we do that, that's the logical step to
12 take is what, given this evidence and understanding
13 how it evolves out of the risk insights, what is the
14 right things to look for confirmation and in what
15 manner?

16 DR. WEINER: I think this might also help
17 you in communicating the performance confirmation.

18 MEMBER RYAN: One last question for Tim
19 from Bob Bernero.

20 MR. BERNERO: Tim, yesterday we heard some
21 speculation about the possibility of DOE reporting
22 performance confirmation results or information to NRC
23 with some kind of a hierarchy of urgency. You just
24 described an independent review process, an iterative
25 overall approach to risk inform and trace down to the

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1 evidence.

2 Would you agree that what NRC expects is
3 that DOE's process will be iterative tracing down to
4 the evidence received from performance confirmation
5 and any other sources, and iterate internally that the
6 results of performance confirmation aren't to be
7 presented to NRC unevaluated, but to be digested
8 within the DOE license applicant process?

9 MR. McCARTIN: I just want to be careful
10 with some of your words. In terms of the degree that
11 DOE should. The process that we laid out I think is
12 one of that's logical, that you would want be able to
13 trace through down to the evidence and be able to go
14 back, and we would expect DOE to think through that,
15 whether they do it in this manner, I'm not going to,
16 there could be other approaches equally invalid.

17 In my mind, in terms of if I'm thinking
18 through the problem, this is what I would want to do.
19 This logic makes sense to me, but I think in our
20 review of what DOE gives us, we would certainly think
21 through the evidence back through the risk this way.

22 MEMBER RYAN: I would ask that panel
23 members perhaps hold their questions until a little
24 later at our break time and maybe we can catch back up
25 with Tim. I know you'll be here for the rest of the

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1 day and tomorrow is panel discussion and questions, so
2 maybe we can hold the comments until then. Next up is
3 again Jeff Pohle from the NRC who was with us
4 yesterday and welcome back.

5 MEMBER POHLE: Thank you and good morning.

6 MEMBER RYAN: Good morning.

7 MEMBER POHLE: Bob raised the question
8 again, I think it suits well that this topic. Maybe
9 I'll address your question about having to raise it
10 again. There's approximately 28 pages in the YMRP
11 that deals with confirmation and to put all the
12 criteria in there in a visually legible slide would
13 probably take 75 pages and I'm scheduled for 15
14 minutes, so I wanted to keep this to a minimum of
15 necessity.

16 An interest to the working group is
17 expectations. How do we communicate our expectations
18 to DOE, what we want from DOE in terms of performance
19 confirmation? Looking back historically over 20 years
20 on the record in developing regulations in Part 60 to
21 Part 63, it is clear we knew there would be
22 uncertainties involved in this project. We knew then
23 there would be uncertainties existing even after a
24 licensing decision was made. So I think it was hoped
25 and intended that a performance confirmation program

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1 would really represent a continued or a continuous
2 confidence building process, not only for the
3 technical community but for the public in general.

4 At the highest level, I think our
5 expectation on DOE would be for a performance
6 confirmation program that challenges their performance
7 assessment, challenges the assumptions underlying
8 their performance assessment. And our expectations
9 would be that DOE would take advantage of a permissive
10 regulation to develop a program management process for
11 performance confirmation that would express this as a
12 mission goal.

13 Of course, the devil is in the detail.
14 And so the first challenge really is to determine as
15 aptly put yesterday what they want to do and why.
16 Next slide.

17 (Slide change.)

18 MR. POHLE: Now the review plan is broken
19 up basically into four sections dealing with the four
20 primary sections of Subpart F. In the first area,
21 just we'll deal with the general requirements.
22 There's a number of criteria that harkens back to the
23 engineered and natural barriers. And one aspect of
24 this area, I'd like to stress the importance of the
25 program management aspects. We've dealt with Tim

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1 dealing with risk, a lot of the technical details and
2 the scenario that DOE realizes that they're going to
3 have to address in revision three.

4 But there's a lot of opportunity in there
5 to express what their provisions are for implementing
6 the program. So I want to highlight that. We'll have
7 to deal with potentially adverse impacts to the
8 program, establishing the baseline information,
9 monitoring and handling the changes from the baseline,
10 terms for a periodic assessment and updated
11 performance confirmation plan. And that gets back to
12 Mr. Bernero's comment. There's opportunity in here
13 for DOE to develop a strategy which allows for
14 periodic reevaluations, reassessments, updating the
15 plan in terms of their own control and self
16 initiative.

17 So there's opportunity here for DOE to do
18 that. Let's go to the next slide.

19 (Slide change.)

20 MR. POHLE: The next three areas are
21 review. First deals with geotechnical and design
22 perimeters. The following section deals with the
23 design criteria in the context of engineered barriers
24 and then the last section deals with the waste
25 package.

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1 The two middle sections are similar in
2 their structure and review plan. There's a lot of
3 criteria, but in terms of expressing our expectations
4 to DOE, the criteria in there deals with the same
5 criteria points Tim just dealt with, risk,
6 uncertainty, evidence. But it also deals with a
7 fourth point he didn't get into, and that is
8 methodology.

9 If you allow me a moment, I'll read a
10 couple of items to see the way the language is used to
11 deal with these items. For example, geotechnical and
12 design parameters in the U.S. Department of Energy
13 will monitor and analyze our selected using a
14 performance based method that focuses on those
15 parameters that could affect health and safety. That
16 establishes an expectation that their decision on what
17 they want to measure you should consider risk.

18 Now questions arose there may be
19 situations where and when do you stop the activities.
20 When do you know enough, when do you need to end it,
21 really deals with the question of uncertainty. Now
22 you try to address this in the criteria in your review
23 plan, and there may well have been better ways to
24 write it. But one criteria we would consider is DOE
25 has justified excluding any geotechnical and design

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1 parameter that is important to waste isolation. And
2 part of the justification would be the evidence, that
3 is, what is the current level of uncertainty with
4 that. I can't think up an example, and perhaps
5 gravity. It may be important in certain equations but
6 I can't see a significant need to do confirmatory work
7 on something that well known.

8 And we also have criteria in these areas
9 dealing with the evidence. That is, there's a
10 requirement in the rule DOE has to provide baseline
11 information and we will review that and consider it.
12 That baseline as used in regulation basically is the
13 evidence. And the criteria, for example, the baseline
14 of selected geotechnical and design parameters
15 considered all data available at the time of the
16 submittal. So we're going from risk, uncertainty, to
17 the evidence, and the end point in the review would be
18 a criterion like this, monitoring, testing, and
19 experimental methods that are suitable for the nature
20 of individual parameters in terms of time, space,
21 resolution, and technique. And there's a statement
22 instrumentation.

23 So we go to the next step, which Tim did
24 not deal with in his presentation, that is getting
25 into review of the detailed testing methods. And that

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1 basically is the process in this area, this area is
2 primarily dealing with the natural system. Next
3 slide.

4 (Slide change.)

5 MR. POHLE: The next area deals with
6 engineered systems and components, which is really a
7 euphemism for the engineered barriers. And a similar
8 process will be used by the staff. Our expectations
9 are that DOE will focus on those systems and
10 components based on risk or importance to performance
11 using the performance based analysis. They will
12 justify in a sense based on evidence not doing work on
13 items that may be risk significant.

14 And certainly the last item, review item,
15 would be getting into the details of the testing
16 methodologies. I just recalled Debbie saying
17 something yesterday that the detail test plans are
18 probably not appropriate to put in a performance
19 confirmation plan. I just wanted to say that's
20 something we can work with. I think the important
21 point is clearly these will be made available to the
22 staff and our only concern would be we have them
23 certainly for planned test enough time in advance of
24 the test to do a review and evaluation and provide
25 comment. So that's not a big concern of mine whether

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1 they're in this particular document or not.

2 Let's go to the next slide.

3 (Slide change.)

4 MR. POHLE: Waste packages testing is a
5 bit different in that the decision was made that there
6 will be a requirement to test waste packages. So
7 that's not based, let's say a detailed risk argument
8 on a decision to test the waste packages would not be
9 needed. In this case, the review of the more
10 straightforward into the technical details of the
11 types of tests to be done considering that type of
12 criteria in the plan. Let's go to the next slide.

13 (Slide change.)

14 MR. POHLE: One thing that I really want
15 to highlight is to do a review, we need an educated
16 staff. It is just not feasible to review a
17 performance confirmation plan without an overriding
18 context. The staff needs to be knowledgeable about
19 DOE's identification about what the barriers are, what
20 the capabilities for the barriers are. The
21 outstanding concerns or issues in these areas,
22 information not uncertainties, the evidence related to
23 these parameters of evaluated risk evaluations,
24 information from NRC generated risk evaluations.

25 So you can see reviewers will need this as

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1 input, and we understand it is a iterative, evolving
2 process. The difficulty we've had is it just hasn't
3 been feasible to put the level of detail in Tim's
4 examples explicitly into the review plan. Clearly, a
5 product will have to be developed that we can
6 communicate these insights to the staff and to the
7 reviewers and use them as a source of a technical
8 basis for any concerns or comments that we would
9 address to DOE and their program.

10 And last, the center is a supporting group
11 for us and they have been doing work to enhance their
12 capability to review performance confirmation. Some
13 of the work they're currently doing is generally in
14 the area of instrumentation, in general, trying to
15 look ahead as the types of testing activities the
16 department may do and the instrumentation required,
17 more longer term tasks for doing some work on software
18 requirements for future changes in computer codes,
19 particularly a couple THC codes. You can see that
20 these performance confirmation activities can be very
21 long term.

22 There will be data sets derived from DOE's
23 program and we're trying to have a very long term
24 vision on the type of tools we have used to evaluate
25 a rather substantial amount of data. Those are the

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1 primary thoughts I wanted to highlight and I'd be glad
2 to take any questions.

3 MEMBER RYAN: Sorry, any questions from
4 Members? John? George?

5 MEMBER LEVENSON: I've got a couple, Jeff.
6 On your slide three, the general requirements to the
7 objective is to identify tests to determine whether
8 the natural barriers are functioning as anticipated.
9 How do you do that without putting failed waste
10 containers down into the repository in large numbers?
11 How can you demonstrate that the barriers are
12 functioning?

13 MEMBER POHLE: I was thinking about that
14 actually last night based on your observation
15 yesterday. In DOE's comment, you know they have 0.4
16 failures per realization and appear to have a program
17 that seemed to try and observe or capture that 0.5
18 failures somehow in an underground, active, ongoing
19 monitoring scheme. And that I was having trouble
20 with. Does that make any sense? I don't think that
21 it is necessary to interpret that statement as we need
22 to observe a failure. But then again you get into Dr.
23 Hornberger's comment that when you do science, he
24 probably could repeat it better than I could, that the
25 negative versus the positive in your observations.

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1 In any event, perhaps the way -- a way of
2 thinking is a barrier functioning as anticipated would
3 be to look at surrogates, for example, in a waste
4 package. I think its life is really dependent on the
5 environment it is in. And if one focused perhaps on
6 the environment, that provides a confidence builder in
7 terms of your projections of waste package failures
8 rather than --

9 MEMBER LEVENSON: Jeff, my point was for
10 the natural barriers. I could visualize tests for the
11 engineered barriers, but the wording here is not to
12 say do tests which might indicate whether natural
13 barriers would function. This says tests to determine
14 that the natural barriers are functioning. But that
15 can't happen until after you've had failures.

16 MEMBER POHLE: I think the perspective
17 would have to be on the --

18 MR. PEARCY: Jeff, it might be useful --
19 this is English Percy from the CNWRA. It might be
20 useful, Dr. Levenson, to remember that the regulation
21 requires such testing where practicable. And where it
22 is not practicable, it would not be expected.

23 MEMBER RYAN: Jeff, just another comment.
24 I think it sort of gets to the point that we discussed
25 yesterday that you really have to think about what is

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1 the purpose for a particular test or measurement or
2 suite of measurements, what is my goal? You know, and
3 it has to be focused on some particular aspect of
4 performance, whether it is natural barrier, engineered
5 barrier, or whatever it might be. And is there, you
6 know, a two-part use for it. Am I demonstrating
7 compliance in some way? That is, how do I relate to
8 the safety question in the safety case. And two, is
9 it scientific information that enhances my
10 understanding of the system? Maybe as a separate, at
11 least parallel kind of line of thinking about how the
12 system is functioning. So if you tie these tests or
13 measurements, be they natural or engineered or
14 whatever it might be to those goals, it might help you
15 sort through that a bit.

16 Does that make sense to you, Jeff?

17 MEMBER POHLE: Yes, it does. And I see
18 the review plans, it is the nature of who we are as
19 regulators, I guess. We're very compliance oriented.
20 DOE has put a process that is very clear, very
21 compliance oriented. And that is good and that is
22 necessary. But when I spoke earlier about building
23 confidence, and really establishing a program to
24 challenge the assessment and the assumptions, that
25 probably is not what, it doesn't translate well into

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1 the review plan. I just wanted to make that point.

2 MEMBER RYAN: Thank you. Questions from
3 Board Members?

4 MR. PARIZEK: Richard Parizek. Just on
5 this comment, picking up on natural barriers. I was
6 going to ask this question of Tim earlier really. It
7 says well look, what about groundwater flow? And he
8 was sort of suggesting that there would be difference
9 performance if water stayed say in fracture or faulted
10 ash on the one hand versus alluvium on the other. So
11 the question is you could go further with confirmation
12 testing to say that the groundwater flow path is going
13 to be to the southeast, and finally south, or no, it
14 is going to go straight south and stay in basically
15 the ash.

16 And that's an example of a natural system
17 that could be tested, right? Because performance
18 depends upon knowing whether it is going to go south-
19 east, get into the alluvium or not. If it doesn't get
20 into the alluvium it is going to go somewhere else.
21 The same would be are you going to get seepage into
22 drifts? I mean, can you convince yourself that you're
23 not going to have seepage or might you see evidence
24 that there is seepage. And that's again, something
25 can be tested. There are certain things seems to me

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1 confirmation testing can address on natural barrier
2 performance that you depend on, but you really can't
3 wait around to find out whether it is working, right?

4 MEMBER RYAN: Jeff, maybe you can react to
5 that.

6 MEMBER POHLE: Yes, that sounds absolutely
7 correct.

8 MEMBER LEVENSON: I have a couple of other
9 questions. On slide four, where you talk about the
10 surveillance program which might lead to changes in
11 design or construction, is that intended to suggest
12 that maybe you'd like to see a staged repository
13 application?

14 MEMBER POHLE: There's nothing --

15 MEMBER LEVENSON: If you want to change
16 construction, you can't do it after it is all done.

17 MEMBER POHLE: I plead an attempt merely
18 to conform with the language in the regulation, and
19 the underlying intent in that context, I would not
20 read that into it.

21 MEMBER LEVENSON: But I guess that's a
22 generic question. If the staff has trouble reading
23 what the intent of the regulation is, it makes it even
24 a little more difficult for the applicant.

25 MEMBER POHLE: I think it just recognizes

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1 that again that downstream, new information could
2 become available, and you have to adapt to deal with
3 it.

4 MEMBER RYAN: Follow-up comment?

5 MR. CAMPBELL: This is Larry Campbell.
6 Like any part of the regulation, be it nuclear power
7 plants, the MOX Facility, or Yucca Mountain, when new
8 information becomes available, the licensee has the
9 responsibility to do an impact analysis. Once that
10 analysis is done, if it means some design aspect of
11 the plan is inadequate, there may well need to be
12 rework of construction activities. Or if the impact
13 analysis shows there's no impact, there would be a
14 non- or minimum impact. So there's always a potential
15 when new information comes in, that it could impact
16 design, construction, or some operation or need be a
17 preclosure activity.

18 MEMBER LEVENSON: I think we understand
19 that. It is just an underground repository is a
20 little bit different than an above ground structure.
21 I guess my question, which I had about evaluating
22 effectiveness of ramp seals and stuff, the answer by
23 the same thing, if practicable, you asked before. I
24 have one other question and that is the monitoring and
25 testing of waste packages including a plan for

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1 monitoring the condition of waste packages at the
2 geological repository operations area, what does that
3 mean? Is that above ground or does that mean
4 underground? It doesn't say in the repository, which
5 is what confused me.

6 MEMBER POHLE: If you have a moment, let
7 Tim look up the definition. It has been awhile since
8 I looked at the exact definition. Whether that
9 includes surface facilities by definition or not.

10 MR. MCCARTIN: It's everything.

11 MEMBER POHLE: I know it includes
12 subsurface. The question is did it only refer to the
13 underground facility or does it include the surface
14 facility. Which implies --

15 MEMBER RYAN: John Kessler, question?
16 Comment?

17 MR. KESSLER: I guess I just want to
18 observe that there seems to be a fundamental
19 disconnect between what NRC seems to be emphasizing in
20 performance confirmation and gee, almost everything
21 else for that matter. And what we heard yesterday
22 from DOE, and that's the relative importance as Chris
23 pointed out in his open talk between overall risk and
24 what we heard about risk informing, which I think is
25 really more potential risk or perceived risk that

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1 really gets down to individual barriers. Almost what
2 I heard in Tim's talk, and now in Jeff's talk
3 describing what is in the YMRP. His emphasis is on
4 every single barrier, regardless of its individual
5 contribution to overall performance.

6 If DOE is calling it out as a barrier, it
7 seems as if NRC is going to ask them to defend it
8 equally, whether it is the waste package or whether it
9 is the saturated zone. That is very different than
10 what we heard yesterday from Debbie Barr and the rest
11 of the DOE PC team, in the sense that they were
12 looking at more overall risk. What concerns me is
13 there is now, there seems to be a lot of emphasis on
14 every single barrier as long as it has some potential
15 risk reduction. It is therefore important.

16 To me, I'm concerned what DOE is proposing
17 is different than NRC is asking for in terms of
18 relative importance of individual barriers in terms of
19 level of detail that gets to George's question about
20 gee, do you just have to follow the literature versus
21 doing a full blown experimental system? As well as
22 you know, how many tests do you do on waste package
23 versus saturated zone?

24 I mean, we heard from DOE yesterday.
25 Saturated zone was relatively unimportant from them.

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1 We heard from Tim this morning that saturated zone is
2 important, and it is the perspective that the two
3 organizations are taking that is fundamentally
4 different, that gets at not only performance
5 confirmation, but I think the whole license
6 application as well. And the sooner that you two talk
7 is better.

8 MR. McCARTIN: Yes, I guess -- Tim
9 McCartin, NRC Staff. I guess I'd like to respond a
10 little bit to that. I don't believe we are disjointed
11 from overall risk in what we're seeing. I understand
12 what you're saying, and I may not have been as clear
13 as I should have been. But certainly we are looking
14 at, yes, the potential to contribute to overall risk.
15 And let me just talk through this a little bit.

16 I mean, one of the issues if you just look
17 at the performance assessment of DOE, there is one
18 quarter of a waste package failing over ten thousand
19 years. Guess what? Nothing else matters in that
20 performance assessment for ten thousand years.

21 I can do that on the back of the envelope.
22 I can tell you that the risk will always be acceptable
23 if all I have failing is one quarter of one waste
24 container. However, there are in terms of safety for
25 a repository, there is a multiple barrier requirement.

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1 That requirement is very important in our regulation.
2 And the question is what are the other things that are
3 going on in that system, now, with respect to what if
4 more containers failed? What is going on in that
5 system?

6 When you look at the overall risk, I'll
7 say I look at neptunium and that is the largest dose
8 contributor. And with that, what is the reliance?
9 Now in our particular performance assessment model,
10 and as I said we need to go through all the things.
11 There could be releases that affect neptunium,
12 solubility limits could affect neptunium. But also
13 part of that is the natural system, the alluvium has
14 the potential to significantly retard the most
15 important radionuclide for overall risk. And that's
16 why neptunium, we focus -- that is important.

17 Now with one quarter failing waste
18 package, it doesn't matter. It is never going to show
19 up. But it is thinking through that from a safety
20 standpoint, what makes this repository safe, it is the
21 one aspect as my good friend defense-in-depth. That's
22 the multiple barrier requirement. We have an
23 engineered system, the waste package. The natural
24 system has a contribution, and that's why that part is
25 there and of that natural system, the alluvium is

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1 very, very important.

2 So it isn't that we're trying to carve out
3 for every barrier, because we would look at other
4 parts with -- how significant is this to the overall
5 dose? Alluvium KD in our model is very important.
6 But it will be what the Department is taking credit
7 for.

8 MR. KESSLER: Okay, fair enough. I
9 recognize that the multiple barrier requirement is
10 there and we agree that it is a good one. What I'm
11 asking for is this degree of emphasis that you know,
12 George and Chris and a bunch of us have talked about
13 in the past couple of days. You know, Debbie has
14 given a proposal which is there at least some
15 performance confirmation activities for all the
16 barriers that they are at least claiming right now
17 they're going to proceed into licensing with. And
18 however, the relative weighing of the amount of work
19 is based on the relative overall risk importance. And
20 so my question to NRC is, is that what you have in
21 mind in terms of a balance between overall risk and
22 barrier importance? Or is it something else? I mean,
23 are they getting it fundamentally right
24 philosophically, let alone the details or are you
25 looking for something else?

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1 MR. McCARTIN: Well, we continue to talk
2 with the Department, but I believe they are giving us
3 the information to understand how the capabilities of
4 their barriers relate to the overall risk. It is, I
5 wish it was a binary decision. Yes, no. It isn't.
6 There is a opinion, there is a lot of subjectivity.

7 MEMBER RYAN: Okay, I would like to close
8 this discussion up. We can certainly cover this in
9 the panel discussion. We don't want to devote too
10 much into an individual debate.

11 MEMBER POHLE: Can I make one closing?

12 MEMBER RYAN: Yes, please.

13 MEMBER POHLE: The debate is good, the
14 regulation is permissive and silent on such a fine
15 point.

16 MEMBER RYAN: And Jeff, I think you're
17 hitting on things that hopefully we'll bring out in
18 the panel discussion as key points. I mean, this is
19 very fruitful, but to fair our next group of speakers,
20 we have six folks who will be speaking in two hours.
21 So we have a busy session ahead. I want to stay
22 exactly on schedule. We will start promptly at 10:15.
23 Thank you.

24 (Off the record.)

25 VICE CHAIRMAN RYAN: Again, we have six

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1 speakers. I would ask each speaker to think about
2 their 20 minutes, maybe perhaps using 10 or 12 minutes
3 or so for comments and the remainder of that, 8
4 minutes or so, for questions and interchange. And
5 we'll hopefully get through the next two hours as well
6 as with good information and relatively close to
7 schedule.

8 First up is Les Bradshaw presenting Nye
9 County's views on performance confirmation and related
10 topics. Welcome, Les.

11 MR. BRADSHAW: Thank you.

12 12) PRESENTATIONS BY REPRESENTATIVES OF THE STATE
13 OF NEVADA, SEVERAL AFFECTED COUNTIES, THE LAS VEGAS
14 PAIUTES, AND THE ELECTRIC POWER RESEARCH INSTITUTE

15 MR. BRADSHAW: I am very pleased to be
16 here. I appreciate you all folks with your public
17 service and serving on this Board in these capacities.
18 We appreciate your efforts.

19 We are, of course, vitally interested in
20 performance confirmation. We are as interested or
21 probably more interested than anyone in the country on
22 the long-term site performance and whether it behaves
23 as advertised and whether it will do what it is
24 supposed to do.

25 I would just point out that Nye County

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1 views the Yucca Mountain project as a planned
2 environmental degradation project. It doesn't promise
3 containment. It promises release of harmful materials
4 in a way that won't hurt anybody, with time and
5 distance being our best allies in this regard. So we
6 feel it's important for us to understand the
7 mechanisms by which harmful materials may be disbursed
8 away from the repository.

9 We have to put this in the context of many
10 other activities happening within Nye County and on
11 the test site. We believe that we have been good
12 soldiers over the years. And we believe that we can
13 work constructively with DOE and the nation on this
14 project if we can be involved with it.

15 We do urge everyone involved in this
16 project to reserve the right to get smarter as we go
17 along. And I believe we have heard that theme today
18 and yesterday as we have talked about this, that this
19 is a cumulative, iterative process, that we are
20 building a bank of data and knowledge that will help
21 us change things in the future as new data, new
22 technologies, new methods, and new thinking come along
23 that will help the repository be better.

24 The next slide. We have talked enough
25 about that. We are glad that the performance

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1 confirmation program is coming out. We applaud DOE on
2 this. We hope that they will go forward. We
3 understand from listening the last day or so that
4 there are a lot of issues yet to be resolved and a lot
5 of thinking to be clarified on how this will actually
6 go forward and be implemented.

7 I don't think we need to review the next
8 slide too much. I put this up for the state, the
9 regulatory requirements. Baseline information is
10 important. It's time to start collecting that in some
11 cases. And in other cases, baseline information is
12 being collected and can be added to this cumulative
13 database, upon which performance can be judged.

14 We hope to be involved in that as the
15 years go by. We believe that we are involved in
16 collecting some baseline information. We hope to be
17 involved in the future.

18 The next slide again reiterates our hope
19 and belief and our aspiration that a performance
20 confirmation program will be put into place that is
21 sound, is well thought out, and that has independent
22 stakeholder confidence and that we as people who are
23 directly involved can have input into that performance
24 confirmation plan.

25 We are not going to spend a lot of time

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1 talking about perhaps DOE should have done in the past
2 and how far along or behind they might be. We believe
3 that they're working as quickly as they can with the
4 funds on hand and that because of under-funding in the
5 past, perhaps they're behind on some things now.

6 The next slide. Qualified outside
7 oversight and participation by people that are outside
8 of DOE and outside of NRC is essential to public
9 confidence in the performance confirmation plan.

10 People won't believe what the government
11 agents say, you know, just out of hand. We have a
12 habit in Nye County of not believing, in fact. We
13 have been bombed. We have been strifed. I am being
14 a little facetious, but they crash their airplanes in
15 our communities. Their little rockets go off course
16 and crash.

17 If you talk to some folks in our vicinity
18 about these huge dust clouds that rolled across the
19 landscape back in the bomb-testing days. And then the
20 federal agents showed up and said, "Don't worry. This
21 won't hurt you." We have a natural tendency to want
22 to be directly involved.

23 Congress has allowed outside entities to
24 participate in this process. We think that that is
25 important. It's vitally important that outside people

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1 review the plans, input their own independent
2 assessment of the databases, the work that is being
3 done and that the long-term institutional knowledge
4 about Yucca Mountain be preserved in a way that will
5 allow us to have this cumulative database readily at
6 hand.

7 There is nothing in place now that assures
8 us that over the long term -- and, remember, we are
9 looking at this government project as it has a
10 longer-lived time line than any other government
11 project that has ever been undertaken except maybe
12 Social Security. And there is some doubt about that.

13 We are going to be involved with this for
14 the foreseeable future, for generations into the
15 future; whereas, how is the institutional knowledge
16 going to be preserved? We think that we can help with
17 that. And we think that the nation ought to think
18 about that.

19 This project, as you know with all
20 government projects, is subject to annual
21 appropriations, congressional elections, and
22 presidential cycles. We're a little fearful of that
23 mechanism for long-term stability of this project.

24 Next, please. We have been involved in
25 our independent scientific investigations program for

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1 the last five or six years. We believe that we have
2 contributed in a productive way. We have participated
3 as a constructive entity in the Yucca Mountain
4 program. We believe that we have demonstrated that
5 other outside entities that have a vital interest in
6 the outcome and performance of long-term success of
7 the Yucca Mountain site can be effective participants
8 and can work in a constructive way with all of the
9 other statutorily based regulatory and implementing
10 agencies.

11 We hope that as time goes by Nye County
12 can continue to build its I'll say reputation, its
13 programs in such a way that people have confidence in
14 them that they are actually contributing in a
15 significant way towards the database upon which
16 performance confirmation can be based.

17 The next slide, please. We think that
18 we're best qualified and we are most interested in the
19 groundwater regime in and around Yucca Mountain as
20 this will be the main mechanism by which radionuclides
21 are slowly disbursed or out towards the accessible
22 environment.

23 We all know, those of us who work with the
24 project know, that this happening won't be for a
25 number of 100 years in the future, that the first

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1 waste package will probably fail sometime well into
2 the future and that there is no particularly immediate
3 radioactive danger to the groundwater system in Nye
4 County in the immediate future.

5 However, people just generally don't
6 believe that. They just want the assurance that Nye
7 County, their own governmental entity and the programs
8 that Nye County has understands the project and that
9 it gives its own independent assessment of DOE's work.

10 We also look at the NRC and its agencies,
11 like yourself, as our last safety net. We think there
12 are, in fact, three levels of barriers out there.
13 There are the natural barriers, of course; the
14 engineered barriers; and the NRC's oversight of the
15 project. You are the ones with the big stick to make
16 the Yucca Mountain project the best that it can be,
17 make it work so that it has the confidence of the
18 people that live in and around Yucca Mountain.

19 The next slide. We are working towards
20 developing additional expertise in the future to be
21 able to be an effective participant. We think that we
22 can best participate by having some role in monitoring
23 the natural environment, both surface and subsurface
24 indicators.

25 Those are the things that we are most

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1 interested in. They are the things that we have been
2 working on in the past. We also think that we could
3 help by being a part of the data storage and the
4 long-term archiving of data about Yucca Mountain. And
5 we're positioning ourselves to be able to do that.

6 Next, please. I think the next slide,
7 which would be ten, is somewhat repetitive of the
8 things that I've said. Let's go on to the next one in
9 the interest of time.

10 The difference between performance
11 confirmation work and R&D that would support the
12 long-term operations of the repository, there have
13 been discussions about that in these sessions. And
14 I'm not here to make some bold pronouncement of where
15 that boundary is.

16 We are saying simply that they both need
17 to progress along this track of cumulative knowledge.
18 We will leave it to you folks and others, DOE itself
19 to decide what is an R&D project and what is a PC
20 program, but we are suggesting that both of these
21 items or both of these activities march along
22 concurrently, perhaps not hand in hand. Each of them
23 has a different track, but we need to be able to look
24 at the repository as the years go by and incorporate
25 new technology, new thinking, new information, and new

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1 ideas. And the repository in 100 years may be quite
2 different than what we envision it to be today or at
3 least have significant improvements.

4 Next, please. Well, I've said enough
5 about that. Let's go on to the next page, number 13.
6 The budgeting for this issue, as I said, we are a
7 little nervous about the next 30 or 50 congressional
8 cycles, maybe the next 150 appropriations cycles. We
9 don't really have that warm fuzzy in our hearts that
10 this project is going to be adequately funded as the
11 years go by.

12 The last thing we want is to have some
13 white elephant, haywire, bubble gum, and bailing wire
14 type operation orphaned out in Nye County in 50, 80,
15 or 100 years or whenever the nation loses interest in
16 this issue. Somehow we are going to keep working for
17 adequate funding, for keeping this issue on the front
18 burner with the nation so that we don't end up with a
19 goofy project.

20 Now, I am not saying that we think that
21 that is happening today. People that are working on
22 this, there are probably 1,500 or 2,000 of the
23 brightest people in the land working on this project.
24 We hope that that continues, but this level of
25 thinking that we have seen here today and yesterday

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1 and at other meetings and at other times can continue
2 to input into this project to make it the very best
3 that it can be.

4 In summary, the last slide, I just want to
5 say that. I mean, I want to summarize by saying that
6 performance confirmation is important. We hope that
7 DOE marches forward and gets the performance
8 confirmation. Rev. I guess 2 is coming out. And if
9 that comes out and we can all look at it, PC programs
10 and R&D programs, you folks differentiate and
11 distinguish between those but get these programs
12 marching forward.

13 Get the R&D that is necessary in place.
14 Get it funded. Get the PC programs defined and
15 outlined and started. Some of them need to be started
16 now. Some of them need to be continued from existing
17 programs. And so if we lose too much more time, we're
18 just going to be that much uninformed as time goes by.

19 Qualified independent entities should be
20 able to oversee or by participants in this. EPRI is
21 an example. Nye County thinks that it should have a
22 place and can fill a place. We can be a niche entity
23 here. We are not suggesting that we are going to be
24 the big lead agency on this, but we think that we
25 deserve a role and can fulfill a role in a

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1 constructive participatory way.

2 Let me just comment that in Nye County,
3 people regard the Yucca Mountain project generally as
4 a good thing in the sense that it appears like it's
5 going to happen. Everyone is acting like it is going
6 to happen. People are going forward as if it might
7 happen. Plus, there are some milestones to be met.
8 And there are people that are trying to make it not
9 happen. We leave those battles to those folks. They
10 have much larger sticks and more energy than we have.

11 But if it happens, our view is that it
12 should be the very best that it can be. It should be
13 a first-class, world-class operation. It should be
14 funded in a way that allows the best minds in the land
15 to continue working on it, and that to have the public
16 acceptance and public confidence that it needs to have
17 in order to be successful, the local government needs
18 to be involved, the local communities. And I am
19 talking local in the sense of not just the Town of
20 Amargosa Valley, which is right there, but the people
21 that are going to be impacted physically as well as
22 financially and socioeconomically should be involved.

23 We appreciate all the efforts that go into
24 the thinking that will make this repository one that
25 will protect the health and safety of the residents of

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1 Nye County. Thank you so much.

2 VICE CHAIRMAN RYAN: Thank you very much.

3 Les, do you have a few minutes for any
4 questions? I will ask one. Les, you mentioned a role
5 for Nye County on into the future. Of course, that
6 has today, near term, and long term. Could you maybe
7 give us a few extra thoughts on that point?

8 MR. BRADSHAW: Yes. We think that the
9 model that we have now, the independent science
10 program that we are conducting -- and we are funded by
11 DOE for that. We don't have some other outside
12 funding -- that is the role that we would like to
13 continue or to see happen.

14 Now, the Nuclear Waste Policy Act in my
15 understanding would tend to sunset that entitlement or
16 that right at some point, but we hope that the nation
17 sees fit to allow Nye County to have a group of
18 scientists that can stand toe to toe with the DOE and
19 the NRC folks and others that are working on this
20 project, that we can be able to have the ability to
21 understand the issues, to contribute to the resolution
22 of issues and problems, and that we can transmit our
23 own sort of warm, fuzzy feelings or our uncertainties
24 based on our independence, that we can transmit those
25 to our constituents, the residents, first of all, of

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1 Amargosa Valley, the town that is there.

2 By the way, when they take you up on top
3 of the mountain and they point you south and the tour
4 guide says, "Isn't this a fine place to put Yucca
5 Mountain? There's no one out here," we hope that you
6 will get your binoculars out and look closely because
7 where you're standing is within about six miles of the
8 boundary of a town. The town has a town board form of
9 government. They have libraries and schools and fire
10 stations and police functions and so on. So it's not
11 all that remote.

12 And the Town of Beatty is over this way
13 about 13 miles. And the Town of Pahrump is close by,
14 within the 50-mile radius. There are probably close
15 to 40,000 people who live within that 50-mile circle.

16 So we are working to be a credible -- I
17 don't want to say "partner" but a participant. In the
18 model that we see, there are a couple of models out
19 there, but the institute that was formed at Carlsbad
20 that was a part of the Civil Engineering Department of
21 the University of New Mexico, there's a scientific
22 institute there that is funded, set up. They have
23 buildings and equipment and people that can do the
24 independent type of work. That would be one model.

25 We haven't gotten to the point where we

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1 have set up something as specific as that, but that is
2 what we have in mind.

3 VICE CHAIRMAN RYAN: Thank you very much.

4 Our next speaker is John Walton. John is
5 at the University of Texas at El Paso and will address
6 us with some observations on performance confirmation
7 and performance assessment on behalf of Nye County.

8 MR. WALTON: Go ahead and change the next
9 slide. I am going to tell you about some observations
10 we have on monitoring, some of the impacts that will
11 occur in Nye County, and also some issues with
12 performance assessment. We are just going to touch a
13 few highlights and hopefully generate some interest
14 that leads to better performance confirmation.

15 One of the first impacts, one of the
16 things we do in this game is we tend to focus on
17 low-probability events, which may never occur. But
18 there are also some higher-probability events that
19 probably will occur. And this is an example of one.

20 We are interested in our groundwater, but
21 there is also the ecology of Nye County. One thing
22 that happened is we put the waste in here, and it's
23 going to heat up the mountain. And that is likely to
24 lead to some increased advection. And that advection
25 may lead to air coming in here, going out there. And

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1 it doesn't really make any difference if I have it
2 exactly right or not.

3 That air is likely to cool and dry the
4 soil near surface. And this air, at least in the
5 winter, is likely to warm and humidify the soil, add
6 moisture to the soil up on top of the mountain.

7 Well, desert vegetation responds very
8 rapidly to small changes in temperature and moisture.
9 Next slide. So the sequence is the mountain heats up.
10 That warms the soil temperatures by a degree or two
11 above the mountain just by heat conduction eventually.
12 The breathing of the mountain increases. And you
13 would expect to see change to flora and fauna over
14 time periods of tens to hundreds of years.

15 Well, if you live in Nye County, that
16 itself can be important. And it could have secondary
17 importance; that is, if there is more vegetation grown
18 on Yucca Mountain in 1,000 years and we're relying on
19 the nitrate that percolates through to lower
20 corrosion, well, perhaps the vegetation is going to
21 absorb the nitrate we're relying on for performance.
22 So there could be feedback in there as well as just
23 the changes to the county.

24 So perhaps we could do a preconstruction
25 vegetation analysis looking at slope and aspect and

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1 elevation so we could try to predict what will occur
2 in the future.

3 Next slide. There are a number of
4 unresolved issues in performance assessment. We will
5 just highlight a few of them. One of them is the
6 drift roofs. If you talk to some geologists or mock
7 mechanics types of folks, a lot of them will tell you
8 that they expect to see the roofs collapse over time
9 periods of tens to hundreds of years.

10 If you talk to most of the modelers, the
11 modelers will say, "Well, our model assumes that the
12 drift stays open from now until eternity." Well, it
13 makes a pretty big difference. Rubble is relatively
14 good insulation, at least compared to an open drift.
15 And things can get complicated.

16 If it collapses over here and not over
17 here, then not only do we get unpredicted temperatures
18 and relative humidities, but we can get strange
19 conduction cells. So we get a situation that is
20 difficult to predict.

21 And so we need to either decide if we're
22 going to collapse or not going to collapse and if we
23 can't really figure out if it's all going to collapse
24 or not, perhaps we need design change, such as
25 backfill or something else, that makes it immaterial

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1 whether the drift is open or not. So that seems to be
2 an unresolved issue.

3 Next slide. Natural ventilation. I
4 talked about natural ventilation a little bit. What
5 happens it he mountain will breath by advection. This
6 process is really not fully in a lot of the
7 performance assessment models. They tend to be
8 conduction only or make simplified boundary
9 conditions. And it's important for heat and moisture
10 transfer, particularly as your predictions go out in
11 the future. The longer time period you go, the more
12 the breathing is important. And so this may be an
13 error term in some of the performance assessment
14 models.

15 Another issue out there is uncertainty
16 relative to variability. That is, the real world has
17 natural variability, but we also have uncertainty or
18 ignorance about those processes. And in our models,
19 we tend for the most part to lump the two together.
20 There is some separation, but for the most part, we
21 lump the two together. There is a concern that this
22 could lead to dilution or lowering of the risk
23 projections.

24 My feeling as an engineer is that
25 sometimes when I get fuzzy concepts, I like to do some

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1 calculations because it I think sometimes provides
2 clarity.

3 So I, next slide, did a simple little
4 calculation. I made up a simple little pseudo PA
5 code. It just has four processes. It has corrosion
6 in that sample variable. It has a release rate that
7 is sampled, release rate. It has a transport lag
8 time. And then we define an event. An event is
9 unspecified except that it fails the rest of the
10 remaining waste containers when it occurs.

11 The units are not really arbitrary. They
12 are dimension-less, but they are not really important
13 because we are just going to compare two simulations,
14 do 1,000 realizations, Monte Carlo. All the
15 parameters are normally distributed.

16 And the way we do this is we assume we are
17 God for a minute or since I work in a university, I
18 can assume I am like one of my colleagues who know
19 everything. So if you are all-knowing, then you can
20 define exactly what occurs.

21 Each realization represents spatial
22 variability. That is, the containers over here have
23 a different environment than the containers over
24 there. That's reflected in the results.

25 So we do that simulation. And then

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1 because our metric is the peak of the mean, we take
2 the mean of those 1,000 realizations. Then I do a
3 second simulation, where all we do is take one
4 parameter, increase the standard derivation of that
5 parameter, which, as John, to pick on him, the other
6 day said, "That's conservative. You increase the
7 uncertainty range. That's conservative."

8 So next slide. Okay. Here are the two
9 results. This is the mean of 1,000 realizations. The
10 red one is the God simulation. That is, it's what
11 actually is defined to occur. And the blue one is
12 where we take one parameter and we increase the
13 standard deviation.

14 Well, contrary to popular expectation, in
15 this case, the risk is actually reduced because we
16 measure it as the peak of this mean of the
17 realizations. And so the peak of the blue curve is
18 lower than the peak of the red curve.

19 Why does that occur? Well, what happens
20 is sometimes when you modify a parameter, each of the
21 individuals of the 1,000 realizations will have its
22 peak occur at different points in times. That is, the
23 peaks of the individual realizations will be spread in
24 time.

25 And so when we do a mean of that, what

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1 happens is the curve, the mean curve, the blue curve,
2 tends to broaden and flatten relative to the red
3 curve. That is, the projected risk is lower. We have
4 actually improved our performance by our ignorance.
5 That is what my students try to do sometimes, improve
6 their performance that way.

7 Next slide. In this case, the inclusion
8 of uncertainty reduced -- when we put uncertainty in,
9 we improved our performance. And it has something to
10 do with this metric we'll use, which is the peak of
11 the mean of the realizations.

12 Now, what I showed you is not a general
13 conclusion. Sometimes if I change different
14 parameters, rerun the same simulation, the risk would
15 increase when I broadened the parameter rates. So it
16 depends on which parameter you broaden and what part
17 of it it is. It's complicated. It's not obvious what
18 is going to happen.

19 Again, -- and it's a result of the metric
20 we use, and it's really difficult to say a priori what
21 parameters when you expand or contract the range, how
22 they're going to change performance.

23 What does it do in TSPA? Well, we don't
24 know. One of the questions would be, why don't we
25 know? We see a lot of one-off analyses. We see

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1 one-on analyses. Why don't we see if somebody from
2 DOE can come up and address the question of when is a
3 broad uncertainty ban conservative? When is it
4 non-conservative?

5 Another way to say it is if I am a DOE
6 manager and somebody wants to do some study on the KD
7 off neptunium, do I really want to fund it because,
8 after all, maybe I am taking credit for the fact that
9 I don't know it.

10 Next slide. So that's the conclusion. We
11 are just trying to put some concepts out here, maybe
12 get some discussion. We think that local involvement
13 is crucial to performance confirmation because
14 otherwise you tend to get in group think and you don't
15 get as many ideas. And we think Nye County should be
16 involved in that.

17 So that's it. I've tried to be brief.

18 VICE CHAIRMAN RYAN: Thanks very much.

19 Questions? Milt?

20 MEMBER LEVENSON: I had a quick question.

21 I am glad to see people looking at the breathing of
22 the mountain. That is a thing that has been of
23 interest to me for some time.

24 Just a quick question. Have you -- one of
25 the things I don't know -- I hope maybe you have

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1 looked at it is -- what is the relative amount of air
2 that moves through the mountain by breathing which
3 would be affected by this compared to the amount of
4 air moved in and out of the mountain by barometric
5 pumping? Is the thermal effect an important one or is
6 barometric pumping a major effect?

7 MR. WALTON: Good question, haven't really
8 looked at it. Unfortunately, most of the issues I
9 raised were pointed out as we think that is important
10 and needs to be looked at, but I don't have an answer
11 for you. Sorry.

12 VICE CHAIRMAN RYAN: Yes, Ruth?

13 DR. WEINER: I'm sort of a number and
14 detail person. I was looking at your slide titled
15 "Sequence of Events." You haven't got the slide
16 numbered. It's like the third or fourth, where you
17 say the mountain heats up and increased natural
18 breathing and so on.

19 Could you supply me with the calculations
20 that went into that? I know you can't do it now, but
21 I would greatly appreciate having that.

22 And, in addition, on the unresolved
23 questions, you say many analysts anticipate roof
24 collapse in tens to hundreds of years. And I wondered
25 if you could supply one or two references for that.

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1 That's just these are details. And I
2 don't intend that you answer them now, but I would
3 appreciate having that information.

4 MR. WALTON: Right. The first question I
5 can tell you is that we don't have regular information
6 on. I am raising a process that I think is probably
7 important.

8 In the DIS, I think DOE had some
9 projection of two or three degrees C increase in
10 near-surface soil temperatures. I haven't seen any
11 analysis of the advection component added to that. So
12 on that one, I don't know of any study that does it.
13 It's just something I believe will probably be
14 important.

15 DR. WEINER: So your statement here, "The
16 mountain heats up. There is increased natural
17 breathing, changes to flora and fauna on a scale of
18 tens to hundreds of years," there is nothing
19 quantitative that you know that you based that on? Is
20 that correct?

21 MR. WALTON: That's right. I'm saying
22 that I believe the changes were big enough that they
23 may change the flora and fauna. I don't have any
24 proof.

25 DR. WEINER: You haven't done a

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1 calculation?

2 MR. WALTON: I haven't done a calculation
3 that would have proved that. I'm just putting out a
4 process that I think has been ignored and shouldn't
5 have been. That's all that is, no calculation at all.

6 VICE CHAIRMAN RYAN: John Garrick?

7 CHAIRMAN GARRICK: Just a quick one. You
8 mention in one of your slides about heating up the
9 mountain will result in changes to flora and fauna.
10 Do you have any sense of what some of those changes
11 are and how many of them are positive and how many of
12 them are negative?

13 MR. WALTON: No because really what I am
14 doing is putting out a research question I think needs
15 to be looked at. Which are positive and negative, I
16 think if more vegetation grows on top, that is
17 probably positive because they pull out the nitrate
18 because a lot of plants are nitrogen-limited. So
19 performance-wise I think that's positive.

20 I suspect you could figure that out by
21 calculating the predicted changes and then looking at
22 solar radiation and elevation levels on the mountain
23 and what grows where. And by doing that, I think I
24 could predict the changes.

25 CHAIRMAN GARRICK: I guess my point was

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1 that these kinds of changes are not all necessarily
2 negative.

3 MR. WALTON: No, no, they're not
4 necessarily --

5 CHAIRMAN GARRICK: It's like the warm
6 effluent that comes off of a nuclear power plant, that
7 some of the best fishing around is around that warm
8 effluent.

9 MR. WALTON: And it can be alligators.
10 No. It's not clear whether it's positive or negative,
11 but it is a change to Nye County in a potential impact
12 on repository performance. And so I am just saying
13 maybe we ought to look at some of these things that we
14 expect to really occur.

15 CHAIRMAN GARRICK: I was just thinking of
16 the public perception of the comment.

17 MR. WALTON: Yes, I agree.

18 VICE CHAIRMAN RYAN: Any other questions,
19 comments? Yes?

20 DR. WEINER: I'm sorry. This really
21 interests me. I live in the desert also. I live in
22 Albuquerque, New Mexico, as does Dr. Weart. We are
23 right now experiencing the major drought of what is a
24 natural cycle, a natural drought and rainfall cycle.

25 I was wondering, these changes that you

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1 predict or think are going to happen, how those
2 compare with the natural weather cycling that occurs
3 in the Yucca Mountain area anyway.

4 MR. WALTON: Again, I don't really know,
5 but I suspect that they might be somewhat similar to
6 natural changes. What happens is that I have done
7 some studies where we look at the sides of a mountain,
8 calculate the solar radiation. And you can show that
9 the plants grow in response to only total radiation,
10 what time of year the radiation occurs.

11 Now, I would suspect that as you get some
12 subtle change at the top, you get some shifts like
13 that and likely get with climate changes. So I think
14 they would be analogous, yes.

15 VICE CHAIRMAN RYAN: Yes, John?

16 MR. LARKINS: I'll try to keep it shorter
17 this time. Good points about risk dilution versus
18 potential risk magnification. I think from a
19 performance assessment standpoint, we have some
20 understanding of which causes which type of behavior.

21 For example, if you spread your
22 uncertainty bounds too wide on things that cause a
23 wide distribution in release times, you know, the time
24 at which things release or release rates, you tend to
25 lower your peak doses. And I think you must have

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1 picked one of those in your example.

2 MR. WALTON: Yes, I did.

3 MR. LARKINS: On the other hand, if you
4 pick an uncertainty that is very wide, it may tend to
5 raise everything, say, maybe neptunium solubility as
6 an example. Then if you set that wide, you might get
7 an overestimation of your dose risk. So we have some
8 understanding of which is which.

9 I like your recommendation about perhaps
10 providing some clarification as to which kinds of
11 uncertainties are causing which behavior as DOE puts
12 together its safety case, puts together --

13 MR. WALTON: Yes. That is what I would
14 like to see, where somebody from DOE comes and does a
15 hard look at that issue with their PA code and comes
16 and tells some of the reviewers, you know, where it is
17 conservative, where it is not conservative. That's
18 really kind of what that push is for.

19 VICE CHAIRMAN RYAN: One last question, if
20 I may, on your graphic slide, on mean of 1,000
21 realizations and this point about that the metric or
22 the value of the metric, which is -- I forget the
23 exact words -- the peak of the mean of the
24 realizations, could we show that curve, please? It's
25 not numbered. Thank you.

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1 Dose rate, I don't know what the units
2 are. So I don't know how to interpret that.

3 MR. WALTON: What it is is that is
4 fraction of the inventory per unit dimension-less
5 time. And if you look carefully, because there is no
6 decay in this calculation, both of these have an area
7 of one. That is, all of the inventory was released.

8 VICE CHAIRMAN RYAN: So it's very stylized
9 in its meaning. So the relative --

10 MR. WALTON: Absolutely.

11 VICE CHAIRMAN RYAN: -- height may not
12 have really any ascribed meaning? I guess two things
13 strike me about it. One is the integral under the
14 curve is, as you pointed out, one or whatever fraction
15 of one it would be and another set of assumptions. So
16 the collective dose would be the same.

17 MR. WALTON: Right.

18 VICE CHAIRMAN RYAN: And it's really only
19 a matter of the temporal arrival of a slightly
20 different peak based on assumptions?

21 MR. WALTON: Right, which my understanding
22 is what the standard is right now. That's what our
23 metric is.

24 VICE CHAIRMAN RYAN: Yes. And I guess I
25 view this to be the same kind of analysis, at least in

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1 concept, that Tim McCartin and his folks are doing to
2 think about exercising a model to look at variability
3 and contributors and times of interests and all of
4 those sorts of things.

5 So I guess I would turn your point around
6 and say I don't view this to be a negative. I view it
7 to be a positive because if it's robust and not
8 sensitive to changes or other evaluations or input
9 sets, that potentially can give one confidence that,
10 even under variable circumstances, you are within some
11 reasonable range of the mean of 1,000 realizations or
12 other kinds of risk-related parameters you could
13 calculate.

14 MR. WALTON: Well, in this case, the
15 metric wasn't very robust. I change one parameter,
16 and I reduce my projected risk.

17 VICE CHAIRMAN RYAN: You know, a highly
18 stylized calculation, it's robust or not robust
19 doesn't have much meaning because it's very stylized.

20 MR. WALTON: Right. I don't argue there.

21 VICE CHAIRMAN RYAN: And you have no error
22 bars on either curve. So it's hard to know if they're
23 even different.

24 MR. WALTON: Oh, yes. Well, I didn't draw
25 error bars in the curve, but after 1,000 realizations,

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1 they're really very stable. You can calculate it a
2 few times and show they don't change very much.

3 VICE CHAIRMAN RYAN: That's the intrinsic
4 calculational uncertainty, not the error.

5 MR. WALTON: Well, of course, on the one
6 curve, I defined it to be God. And so there is no
7 error at all except 1,000 realizations. So that is
8 the assumption I put in the calculation.

9 VICE CHAIRMAN RYAN: I wouldn't take such
10 a bold step in my calculation.

11 (Laughter.)

12 VICE CHAIRMAN RYAN: But I appreciate the
13 context.

14 MR. WALTON: Well, that allows you to do
15 the context.

16 VICE CHAIRMAN RYAN: Right.

17 MR. WALTON: You have to make that
18 assumption.

19 VICE CHAIRMAN RYAN: But, again, I mean,
20 the criticism of the mean of 1,000 realizations as a
21 metric really needs -- I mean, the context in which
22 you are criticizing it is a very narrow one, I think.

23 Any last question, comment?

24 (No response.)

25 VICE CHAIRMAN RYAN: All right. Next up

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1 -- we're doing wonderfully well on time -- Steve
2 Frishman from the State of Nevada. Steve?

3 MR. FRISHMAN: As you notice, I did what
4 I have often done with working groups with committee
5 before, and that is that I don't commit anything to
6 paper because I think the purpose of the working group
7 is to try to work through issues and topics and not
8 just have paper to walk away with and say, "Okay. We
9 have our stack of paper for today."

10 In the last day and a half, we've tripped
11 over I think most of the obvious questions that are
12 out there about performance confirmation that we have
13 all, in one way or another, talked about over a number
14 of years.

15 One point to remember is that this is
16 nothing new to Part 63. Performance confirmation
17 requirement is essentially identical to that that was
18 in Part 60. Its meaning hasn't changed either from
19 what I can tell.

20 Also it I think now, at least for current
21 purposes, probably without my very detailed review
22 looks like it's been sort of adequately analyzed out
23 of the regulation by the review plan.

24 So I am not sure that there is a lot to do
25 about a further understanding of performance

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1 confirmation in the sense of looking to the commission
2 to maybe reinterpret or further interpret.

3 I think it's sort of there, but we still
4 have this big question, what is it in terms of the
5 various interests from both the applicant side and
6 from the regulatory side and, of course, from the
7 review side ultimately?

8 We have to remember, first of all, what
9 performance confirmation is said to be in the rule.
10 I noticed that nobody in the last day and a half has
11 actually gone back to the definition of performance
12 confirmation.

13 It's probably instructive to remember that
14 it says that it is -- this is without verbatim, but
15 this has sort of stuck in my mind for a long time --
16 a program to confirm the validity of the information
17 that is used to demonstrate the reasonable
18 expectation, the information used to support the
19 reasonable expectation determination. It's to begin,
20 as was mentioned yesterday and again today, during
21 site characterization and continue through closure.

22 So let's think about what the real purpose
23 of performance confirmation must be. I think if you
24 -- I didn't do that. Somebody else did.

25 VICE CHAIRMAN RYAN: It's good, though.

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1 MR. FRISHMAN: Okay. If you put it in the
2 context of the regulatory process, it seems like its
3 purpose is a relatively simple one. And that is just
4 to provide some additional continence in the technical
5 basis for a decision to amend the license for closure.

6 I think it is probably important to sort
7 of keep it in that context. And the reason for that
8 is a discussion that you and others with the
9 commission and other places have heard from me before.
10 And that is that under the regulation, the disposal
11 decision is made with the construction authorization
12 decision. And all after that are amendments in one
13 way or another, but they need to be supportive of that
14 original disposal decision.

15 What I see performance confirmation sort
16 of inching towards, even though there are statements
17 to the contrary, is that performance confirmation is
18 the sort of currently available, as Chris put it
19 yesterday, bucket. And I see a danger of unfinished
20 business in site characterization being casually
21 flipped into performance confirmation.

22 And, in fact, I had a thought. When Tim
23 was doing his presentation today, where if you look at
24 his presentation and just do a few sort of minor word
25 changes here and there, the title really should be

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1 "Risk-Informing Performance Assessment." And then,
2 see, he picked a couple of narrow examples of how to
3 do that.

4 So we are in a situation where it is
5 pretty clear that there are a number of areas where
6 site characterization is not complete. But, at the
7 same time, there is the recognition that the license
8 application has to be one that is adequate for a
9 decision regarding reasonable expectation that the
10 performance requirement will be met.

11 So because of the circumstances of this
12 program, we are in this sort of push/pull. And I
13 would be greatly concerned if there were any approach
14 literally on the part of anyone to try to use
15 performance confirmation to overcome this incomplete
16 site characterization and actually get to a point
17 where it gains significance in licensing.

18 Now, I think probably the key message out
19 of all of that is that the license application review
20 and the hearing should proceed to a reasonable
21 expectation decision without any deference whatsoever
22 to the substantive content of the performance
23 confirmation program.

24 Performance confirmation is essentially an
25 add-on. And it should have literally no basis in the

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1 disposal decision that comes at the time of a decision
2 on construction authorization.

3 Yes, it's a good thing to do. And it is
4 a good thing to do for a couple of reasons that I want
5 to get into. But it should be, as I said, given no
6 deference, meaning that yesterday's comment from Jim
7 Blink towards the end was certainly a friendly offer
8 from the standpoint of making things operationally a
9 little bit simpler, but it also was sort of a
10 violation of this because what he invited in one of
11 the tough spots was, "Well, make it a license
12 condition." Well, what I see coming is making a lot
13 of things a license condition and a license condition
14 hooked into this vehicle or bucket of performance
15 confirmation so that we get in that situation where
16 site characterization is never ending.

17 We know that performance assessment is
18 going to go on forever, as it probably should. But
19 that first one had better be demonstrably good enough
20 in every possible way.

21 So the performance confirmation program
22 itself may be looked at in a light a little bit
23 different from the direction that both I think the
24 staff is going with its risk-informing, a little bit
25 maybe different from the way Chris was describing in

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1 terms of pick out what is most important and go after
2 that.

3 I think there are two things going on.
4 One of them is yes, it is very important to look at
5 the things that are most important, but it's also very
6 important to have a place for the necessary ongoing
7 baseline data collection that is going to come with
8 the fact that if this goes forward at all, you are
9 going to have people doing construction and disturbing
10 type things for many, many years.

11 And the rainfall discussion yesterday was
12 a good one. You know, what do you do if the rain
13 falls out of compliance? It should not be a difficult
14 question because there shouldn't be a question of
15 whether the rainfall is in compliance.

16 But what it does is it drops things into
17 sort of two boxes. One is what are the things that
18 are most important, and how do we get at them,
19 remembering all of the time that further major
20 discoveries are most likely to be adverse, rather than
21 in your favor. Things just seem to happen this way.

22 So we can't get in a situation where you
23 can say that we're looking for good things in the
24 future to sort of make up for what we don't know now.
25 You can't do that. And I have told the NAS committee

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1 on staging the same thing.

2 You can't set up a situation where you
3 expect good things to help you out of what may be just
4 marginal right now. The future isn't going to bring
5 you that unless you are really lucky. It is more
6 likely it will bring you things you don't want to
7 know, rather than things you do want to know.

8 So looking at the things most important to
9 risk, yes, that is necessary to do because you are in
10 a situation where information is going to be made
11 available throughout this long period of time and
12 information that, of course, is important to what you
13 think now about performance.

14 There is also a whole bunch of other
15 information that I think the performance confirmation
16 requirement sort of gave an incentive to collecting.
17 And that's just the ongoing information that is
18 available, such as weather, such as you've only got
19 five miles of tunnel right now or six miles, where
20 only a small portion of it is in what the current
21 design shows will be the vast majority of the
22 emplacement rock.

23 If this all goes forward, it's going to be
24 another up to about 100 miles of tunnel in that rock
25 over a horizontal space that is known to vary from

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1 north to south anyway.

2 And there is data that needs to be
3 collected that we could call confirmatory, I think, if
4 that is a regulatory word we are going to use. But
5 what it tells you or is intended to tell you is if you
6 collect it properly, that that rock has properties and
7 characteristics that either are or are not within the
8 range that were anticipated in the models. This is
9 just a matter of course type of thing that should be
10 done.

11 There was a question earlier today about
12 as anticipated. Well, what is anticipated right now
13 for the lower length comes from the data that has been
14 collected in a pretty small place compared to the
15 larger area that could be excavated.

16 "As anticipated" in this case means you
17 look at all of it to make sure its hydrologic
18 properties are within the range that your models were
19 based on. Chances are you will find things that are
20 not within that range. And then what do you do about
21 it?

22 That needs to be, as someone said
23 yesterday, in the pre-thinking "What do you do about
24 it?" as opposed to the post-thinking "What do you do
25 about it?" because we have a myriad of examples in

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1 this program where the answer to "What do you do about
2 it?" is go out to prove that it doesn't matter. And
3 if you think about it ahead of time, that is not your
4 first natural reaction over what you would do about
5 something new in the way of new information.

6 So I guess what I am urging is that
7 performance confirmation be sort of taken on its face
8 is something that is a way of dealing in an organized
9 way first with data that should, in fact, be collected
10 because it is available to be collected because you're
11 opening new space that can provide you sample that
12 provides data.

13 Also, it should be taking a very hard look
14 at the performance approach that has been taken and
15 thinking maybe not so much in terms of looking at what
16 is most important, not sort of doing endless
17 reiterations and rethinking about the components of
18 the waste package model. But remember that the most
19 important thing is to go back and look at and
20 challenge the conceptual models on which the
21 performance assessment is built.

22 If you will remember, it is only less than
23 ten years ago that a monstrous change in the
24 conceptual model of a Yucca Mountain repository had to
25 be made. And it was not expected 12 years ago, but

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1 starting about 10 years ago, it was essentially
2 mandatory that it be made.

3 It's not unlikely that additional data are
4 going to lead to the necessity to make other analyses
5 of whether the conceptual models behind performance
6 assessment are sufficiently representative to be
7 carried forward.

8 So what I am trying to do is saying that
9 performance confirmation allows a framework to do
10 something that I think would be totally inappropriate,
11 which is be a bucket for everything that is undone,
12 but it also invites something much more rational to
13 be, which is a way of dealing in an organized way with
14 a common sense data flow that comes from the ongoing
15 activity as well as providing information to challenge
16 the real basis of safety, which is a short string of
17 conceptual models that have led to a decision that
18 would allow you to dig these extra tunnels in the
19 first place, if there is even enough information for
20 that.

21 So my caution is that you don't use this
22 workshop and all the presentation that has been made
23 as a means to try to revisit what performance
24 confirmation could be if it were to be most friendly
25 to a license application, most friendly to the

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1 applicant, or maybe even most utilitarian to the
2 regulator. Performance confirmation is a pretty
3 simple thing to be used in a common sense way, not in
4 a way that results in an uncertain job only becoming
5 more uncertain because someone found it to be a
6 convenient way because it is the only bucket left out
7 there to throw stuff into.

8 Thanks. I am sure we have plenty to think
9 about now.

10 VICE CHAIRMAN RYAN: Thank you, Steve.

11 Questions from members? Yes?

12 CHAIRMAN GARRICK: Steve, I think you have
13 made the case for one of the points that we have made
14 many times and how important it is to have the
15 performance assessment results to be realistic because
16 you are going to make discoveries down the road, some
17 of which are adverse.

18 And if you have taken the bounding
19 approach all the way and, therefore, you don't know
20 what the margins really are, as you make these
21 discoveries, you have imposed on yourself a much
22 greater burden of analysis than you would if at the
23 outset you had made your models a little more
24 representative of reality. So I think we are in
25 agreement on that point.

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1 VICE CHAIRMAN RYAN: Ruth?

2 MR. FRISHMAN: Thank you. That doesn't
3 often happen.

4 DR. WEINER: Steve, since I don't take
5 notes that fast, could you recap in a few words what
6 you think DOE should do and what you think NRC should
7 do?

8 MR. FRISHMAN: DOE should at this point be
9 spending most of their effort on trying to have a
10 convincing performance assessment that they think they
11 can take to licensing.

12 They should not be worrying about
13 performance confirmation in terms of what is left on
14 the table. They should be thinking about performance
15 confirmation as an organizational element that goes
16 into their license application that says what the
17 objective of future data collection is going to be and
18 how that data is going to be managed and rolled into
19 an ongoing analysis, rather than looking at it as some
20 benefit to come in the future if they organize it
21 properly.

22 The performance confirmation program in
23 the license application I don't think is going to be
24 a big deal in the decision because the decision itself
25 if it is carried through as the regulation is written,

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1 the disposal decision doesn't rely on the performance
2 confirmation program and, as I said, should not.

3 So DOE's real effort should not be on a
4 performance confirmation program. They should outline
5 the terms of what they are going to do with new data
6 and the objective of collecting new data. And within
7 the confines of the way the staff has interpreted the
8 rule, I don't think it requires a great deal of
9 creativity.

10 And what the staff, what the NRC staff,
11 should do, get prepared for how to deal with a
12 performance assessment that may not demonstrate, as
13 the word has been used again this morning, may not
14 demonstrate, the requisite level of evidence and make
15 sure that bucket isn't out there handy.

16 VICE CHAIRMAN RYAN: Thank you, Steve.

17 Our next speaker, right up on time, is
18 Atef Elzeftawy, speaking on behalf of the Las Vegas
19 Paiutes.

20 DR. ELZEFTAWY: Good morning. I am glad
21 that all of you are looking at me. That is good. My
22 name is Atef Elzeftawy. I'm glad for the chair or the
23 vice chair can pronounce my name. If you have a
24 problem with that, call me Bob, like I have been doing
25 for the last 35 years.

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1 Anyway, I am doing this work for the Las
2 Vegas Paiute tribe and for its government pro bono in
3 a sense. The chair, Gloria Hernandez, changed my
4 schedule. And I am going to take the opposite end of
5 Les. I don't know whether he is here or he isn't.

6 I am not pleased to be here -- he was; he
7 said that "I'm pleased to be here" -- because I think
8 I have another place I would have loved to be
9 according to my schedule, to be in northern California
10 fishing for salmon and some of the tribes. But the
11 chair called me at the last minute, and she said,
12 "Well, you're going to go and represent us." So I had
13 about five minutes with her to give me some idea about
14 what she wants me to say.

15 And then she gave me that Vegas golfer to
16 pass it to the chairman. And she said, "Point out to
17 him that the Las Vegas Paiute have a nice article
18 here. It talks about the natural desert." And I'll
19 pass it to him in a minute.

20 Las Vegas Paiute tribe ten years ago, they
21 were more or less poor, have nothing. And ten years
22 ago they thought to save for money and get some golf
23 course, economic development on the land.

24 So today they have three golf courses.
25 There's about 150,000 people visit that golf course.

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1 Some of them pay \$100. Some of them pay \$300 to go
2 through the golf course. It's very good income for
3 the tribe.

4 The tribe has about 45 members who are
5 adults, Native American Las Vegas Paiute. And the
6 total population is about 150. They have a
7 seven-member council. That's the government and the
8 elected chair from them. They have an election every
9 two years democratically administered and so on.

10 Now, that brings me to my second point.
11 I want to make my presentation to you in terms of
12 probably five minutes and let you go early. I like to
13 tell stories, but I think I am going to leave you with
14 making the decision about what the story is.

15 One of those stories says, "Well, you know
16 the tree by its fruit." And I'll let you think about
17 that. Some of the stories or some of the lines say,
18 "You shall know the truth, and the truth shall set you
19 free." This is inscribed here on the CIA building,
20 sad as it may be.

21 Anyway, there is a story that I remember
22 back when I got involved with Jeff about being
23 tenacious in terms of you guys, committee members.
24 The USGS got involved into the program of Yucca
25 Mountain for the money. They got their best

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1 geologist. I know that there is a USGS fellow around
2 here. They got their best geologist. And they are
3 going to characterize the unsaturated zone.

4 Here I was sitting as a consultant back
5 then, my first to the NRC working on 10 CFR 60 as a
6 sort of a soil physicist or somebody who knows a
7 little bit about the unsaturated zone. And the guy
8 described for about two hours a long, beautiful
9 program.

10 I had only one question for him to
11 characterize the unsaturated zone. I said, "Well, how
12 are you going to drill?" I have one question.

13 He didn't answer it. He said, "We are
14 going to do this and this and this and this." But I
15 was driving at one single point. And he said, "We are
16 going to do the drilling. And we are going to hire
17 the contractors and so on." To make the story short,
18 finally after about a limited discussion, after about
19 maybe 30 minutes, he said, "Well, we will drill with
20 drilling mud."

21 I said, "Well, I'm glad you said that
22 because that is what the plan is." Now, DOE, take
23 heed from that. The plan is to drill with the drill
24 mud, drilling mud, to characterize the unsaturated
25 zone.

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1 My second question was, what is the
2 drilling mud? And I said, you characterized the
3 unsaturated zone by drilling with air or maybe
4 compressed air. Find out how you are going to get it.
5 But you characterized the unsaturated zone by not
6 adding water and mud in the bore hole as you drill
7 1,000 feet or 2,000 feet. Now, Neil Coleman in NRC
8 and the rest of you know the rest of the story.

9 It's very important to get to the
10 nitty-gritty for the committee members to be
11 tenacious. That's really what I want to say. Be
12 tenacious to find out how they are going to do it.

13 I like to put all of my presentation in
14 mathematics because I am a mathematician in a sense.
15 Then I will talk about what it means. For the last
16 six, seven years, I have been reviewing all of these
17 papers, unnamed person to be mentioned. And you know
18 what? The statistics are very staggering.

19 We get about 60 percent of the people who
20 marry today get a divorce. Do you know what? We get
21 about 60 percent of the hydrogeologists or the
22 hydrologists who write one simple equation about
23 Darcey's Law. And Darcey's Law to write the equation,
24 you have got to tell me where is the water moving from
25 where. And 60 percent of those professors or

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1 hydrogeologists put the wrong time.

2 Now, it's so sad that I have all of these
3 copies in my garage to mention that. And I send all
4 my comments back to them unofficially. My name is off
5 to mention that to them.

6 Now, be careful of what the Department of
7 Energy presents to you. It might look so nice up
8 here. They might have the best speaker. They might
9 have Ronald Reagan back from whatever he is going to
10 be now to communicate to you, the best communicator.
11 But look at the details.

12 Now, I was just asking your person a
13 minute ago performance assessment. And he said, "I am
14 the chief of the performance assessment."

15 I said, "Well, I'm glad." Now he needs to
16 look at my comments that I did for the State of Nevada
17 in 1987 or '89 about the total system performance. I
18 said in it, "Watch out for the unsaturated zone
19 parameters. They're going to be the driving factor."

20 And until today, from some of the things
21 that I do once in a while, I have not seen. For your
22 information, I haven't done anything on the program
23 since 1990 money-wise. And until today, I have not
24 seen the mathematical derivation of the so-called
25 coupling process.

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1 I have heard about the reflux. What is
2 reflux? For the water to move down to change to turn
3 upward, I have to look at the physics. How is it
4 getting done?

5 I haven't seen a mathematical derivation
6 yet. I would like to see the details. I would like
7 to see the initial condition, the boundary conditions,
8 how they put it in a source term in the computer, and
9 what the computer does.

10 Talk about a performance program. I just
11 came from the EPA special conference for invited
12 people dealing with the big, huge air modeling program
13 model. Mobil 6 it's called. You put a lot of
14 information. It tells you about the aerodynamics and
15 pollution and the clientele or whatever it was, Vegas
16 and so on.

17 I want to finish up in two seconds. And
18 the most important person of that program decided,
19 well, how many depends on, some of the inter-value is,
20 how many times you start your car. So she had, "Well,
21 three starting the car. Every person of you start the
22 cars three times a day." Do you know what? If you
23 come to Las Vegas, the people will start their car
24 almost ten times a day.

25 So when I said to her, "What happens if I

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1 change the three to six or seven? Let's put it in the
2 program," in one parameter, it changed the whole area
3 from attainable, a word that means confirmed to the
4 boundary conditions, to non-attainable. This is one
5 single factor.

6 Other comments, I was very surprised to
7 see in the confirmation graph yesterday about the
8 waste package. How many numbers are you going to have
9 in performance confirmation in the waste package? I
10 was surprised to see also that I didn't see a lot of
11 the unsaturated zone.

12 Now, to end up my talk, I am going to tell
13 you what the chair did. She gave me this money. And
14 she said, "Go to the chair. And let them see what it
15 is."

16 So this is one dollar. Everybody knows
17 that this is one dollar. It has George Washington on
18 it. Now, here is another one. It says, "\$5." It has
19 Abraham Lincoln on it. Everybody knows that. This
20 one says, "\$20," Andrew Jackson. This one says,
21 "\$100," Franklin. Then this says again one dollar.

22 What happened in that process? Think
23 about it. Started with a dollar. This is for her,
24 that is a performance confirmation. Simple, just like
25 the gentleman penciled in space.

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1 I'll leave you with that. Thank you very
2 much for inviting us. Thanks to the chairman. Thanks
3 to Commissioner Merrifield and to you and thanks to
4 Janet and thanks to John Griggs. Thank you for having
5 me and listening to the nonsense I just said. Thanks.

6 VICE CHAIRMAN RYAN: Thank you very much.
7 Questions?

8 DR. ELZEFTAWY: Any questions?

9 VICE CHAIRMAN RYAN: Yes, Ruth?

10 DR. WEINER: Where does the tribe get the
11 water for their three golf courses?

12 DR. ELZEFTAWY: That's a good question.
13 It's a very long story. The state made an enemy out
14 of me because 10 years ago they came to me and said,
15 "Well, we have this 4,000-acre feet, and we want to
16 develop a golf course and all of that. Do you think
17 you can find us water in the desert?"

18 I said, "Well, I'll look at the geology."
19 And about five weeks later, I said, "Well, I think I
20 know that it should be some water there. I don't know
21 how much and how far or how deep." Well, we drilled
22 the six wells.

23 We came here to the Department of Justice.
24 They told us, "Go and do it." We didn't see them. As
25 we knew that the state was going to come with us,

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1 state engineer is going to kill us, drilling without
2 so-called license approval of the state. Water
3 belongs to the state.

4 And so we did it. We closed the
5 4,000-acre feet with police force. Nobody came in
6 except the ones with IDs, like us here. We drilled 24
7 hours a day for 6 months. And we found the best water
8 ever. Don't ask me where. Around all of us, the
9 water is "salty." This bull's-eye delivers the best
10 water that has no contamination whatsoever, some salt,
11 calcium, magnesium, and all of that, 5,000 gallons a
12 minute, field hydrologists who might drill down about
13 10 feet.

14 And we drilled the six wells. And that's
15 where they are getting the water. The state fought us
16 in court. We finally got about 3,000-acre feet for
17 life to keep them going.

18 That's the rest of the story. Sorry for
19 taking so long. Any questions?

20 VICE CHAIRMAN RYAN: No problem. Any
21 other questions?

22 (No response.)

23 DR. ELZEFTAWY: Thanks for your
24 attentiveness.

25 VICE CHAIRMAN RYAN: Thank you.

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1 Our next speaker is Engelbrecht von
2 Tiesenhausen.

3 MR. von TIESENHAUSEN: I would like to say
4 I am glad to be here, but standing and speaking here
5 is not always one of the things I am most fond of.

6 VICE CHAIRMAN RYAN: Could you pull the
7 mike a little bit closer? I know they don't build
8 them for the --

9 MR. von TIESENHAUSEN: Can you raise it up
10 a little?

11 Steve already discussed some of the issues
12 that I wanted to bring up, but I will reiterate what
13 my points are. PC, "What does it really mean?" seems
14 like a silly question, but I would like to go through
15 how stakeholders look at it, how the NRC and other
16 participants look at PC, and how DOE looks at it, and
17 then how it appears to be implemented at the present
18 time.

19 Next slide. The Department of Energy in
20 1997, long before Part 63 was issued, made this
21 comment. And I think it's a good comment because they
22 realized at that time that PC may not always confirm
23 their data, that they may need to revise some of their
24 data or their models. And that could be positive or
25 negative.

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1 Next slide, please. These are just
2 basically some comments from the NRC Part 63. The
3 only thing I want to highlight is that it is a
4 confirmation program. It is not a program for
5 original data as far as the license application said.
6 Natural engineered systems are functioning as
7 intended. In other words, the decision has been made
8 or the calculations have been done as to how these
9 systems are expected to function.

10 Next slide. And, again, performance
11 confirmation will evaluate the adequacy of
12 assumptions. In other words, you have already made
13 assumptions. You have already collected data. That's
14 really all I want to highlight. It's been said before
15 so many times today and the last couple of days.

16 EPRI in the report on performance
17 confirmation I think also confirmed this point. It
18 says that any decision by the NRC to license each
19 stage of repository development would be made on the
20 basis of information that exists at the time the NRC
21 considers such an application. To me, that means when
22 the NRC gets an LA, they will have the data there to
23 make that decision.

24 So what are the challenges -- this is kind
25 of digressing -- in getting what I would consider a

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1 performance confirmation program? You're looking at
2 temperature effects. Temperature effects are almost
3 impossible to scale. That is one of the things that
4 you might want to do if you are looking at corrosion
5 processes.

6 You're looking at long time periods. In
7 chemical processes, where the reactions are extremely
8 well understood, you can sometimes make allowances for
9 time by changing temperatures or vice versa and still
10 come out with the same result.

11 DOE has mentioned the possibility of
12 putting in dedicated drifts for a performance
13 confirmation program. And it is unlikely that those
14 will, in fact, duplicate the conditions that you would
15 find in the repository.

16 In one case, there would be ventilation
17 problems, which will destroy all possibility of
18 collecting good geochemical data. And in the other
19 case, with the weighted waste packages, it will be
20 close, but whether the time period is sufficient to go
21 through that critical window of susceptibility for
22 corrosion is an issue that has yet to be answered.

23 This is not to say that all of this data
24 is going to be useless. I think some of this data is
25 going to be very useful. Whether it will answer the

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1 critical questions that need to be answered is another
2 problem.

3 All of this is basically driven by the
4 fact that waste package performance is still the
5 primary barrier. And the effectiveness of that
6 barrier is based on current models, models that are
7 based on corrosion data, which is basically not
8 representative of a repository environment. I think
9 this is a critical issue.

10 My last point is something that Steve also
11 mentioned. Data collected during the PC period should
12 not be used to close agreements or to be a source for
13 the license application.

14 Next slide. This is DOE's latest current
15 schedule for the closure of agreements that they have
16 made with the NRC. If you look at a license
17 application date of 12/04, you will see that there are
18 a lot of agreements that they fully realize that they
19 will not be able to close prior to that time. I guess
20 this would be the start of Chris Whipple's bucket if
21 you want to call it that.

22 In fact, some of this schedule is already
23 somewhat out-of-date because one of the agreements on
24 igneous activity will not be closed until March of
25 '06. But we now hear that DOE has put that into the

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1 performance confirmation program. It is no longer
2 part of the license application.

3 Next slide, please. So this is what PC
4 should not be used for. It should not be used as a
5 means to defer the resolution of issues that are part
6 of the license application. It should confirm but not
7 be the primary source of data.

8 I think it is up to the NRC to realize
9 that if DOE proceeds on the current path, it will get
10 a license application that is based on issues that
11 will be solved in the performance confirmation program
12 and that will be loaded with RAIs up front. In other
13 words, there will be areas where DOE knows up front
14 there will be requests for additional information.

15 A couple of thoughts on what could be done
16 to really, at least in my opinion, improve TSPA.
17 Calico Hills is something that hasn't been looked at
18 very critically that could be a very good barrier for
19 radionuclide transport.

20 And the critical question that still
21 hasn't really been answered is, where does it go and
22 how fast does it get there? The knowledge of the
23 saturated zone is still fairly small, I would say.

24 And then geochemistry is critical.
25 Geochemistry, especially in the post-closure period,

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1 is what will drive repository performance.

2 Thank you.

3 VICE CHAIRMAN RYAN: Thank you.

4 Any questions? Going once, going twice.

5 (No response.)

6 VICE CHAIRMAN RYAN: Thank you,
7 Engelbrecht.

8 The last speaker of this group of six is
9 John Kessler from EPRI.

10 MR. KESSLER: Thanks very much for the
11 opportunity to speak. I guess I will start by trying
12 to slice and dice performance confirmation yet one
13 more way. I am going to wind up repeating a lot of
14 what is said. So that will help. It will shorten
15 things a bit.

16 The next viewgraph, please. I thought I
17 would start by just talking a bit about where is
18 performance confirmation in the whole row, really what
19 is it that --it's all about uncertainty in a sense,
20 that uncertainty is unavoidable to some extent. How
21 is it that it can be managed?

22 Well, there are two groups working on
23 managing uncertainty. First, there is NRC, EPA in
24 terms of regulatory approaches. And then what is DOE
25 doing about it?

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1 So in the broad brush, the way that the
2 uncertainty is being managed to maintain safety is,
3 first of all, we are talking about dose to a
4 reasonably maximally exposed individual, not to some
5 average individual.

6 The RMEI dose limit is a fraction of
7 natural background, the requirement of multiple
8 barriers, which I think is a good requirement. The
9 waste must be retrievable. And they're also requiring
10 longer-term R&D to look at safety questions provision,
11 and the NRC review plan and the performance
12 confirmation program are always that NRC is managing
13 uncertainty.

14 DOE has got some additional approaches.
15 They are reducing uncertainties with design
16 modifications as they can as it makes sense. Some of
17 their analyses are conservative. I would say, on the
18 whole, their performance assessment in general is
19 conservative, not in all areas but in some.

20 Furthermore, another way to manage
21 uncertainty is to have margin; that is, not to be at
22 14.999-millirem per year as your peak dose but
23 something below that.

24 And then, finally, you have got a
25 long-term R&D and performance confirmation program

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1 that is yet another way to manage uncertainties.

2 I think this was alluded to by one or two
3 speakers earlier. Again, something that we talked
4 about in the EPRI performance confirmation panel is we
5 consider performance confirmation just one subset of
6 all the longer-term R&D that could be done out there.

7 So that performance confirmation with the
8 activities that are specifically designed to evaluate
9 the technical bases for the licensing decision and the
10 longer-term R&D or other activities not specifically
11 directed evaluating the licensing bases, I think that
12 DOE has kind of proceeded that way. And this more or
13 less follows the philosophy of NRC in terms of
14 performance confirmation.

15 Next, please. There has been some
16 discussion about the EPRI performance confirmation
17 workshop as well as some other work that was done.
18 The work was done in 2000 and 2001. The performance
19 confirmation workshop that included various parties
20 was done in November of 2001. We also convened a
21 performance confirmation panel to make recommendations
22 and observations.

23 Other things that are in the report are we
24 provided some examples of some appropriate performance
25 confirmation activities using DOE's eight-step

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1 methodology that I will discuss in a moment here.

2 They are all summarized in a December not
3 20,001 but 2001 report. I've got a couple of extra
4 copies there if somebody would like them. And if
5 those run out, give me your name and address. And I
6 will get one to you.

7 Next, please. A quick rundown of the
8 performance confirmation panelists. Some of the names
9 you recognize. We have people on there that also
10 represent stakeholder mediation, people who have
11 worked with stakeholders before. That's Alice
12 Shorett, a couple of people on there that have had
13 some licensing experience to understand how
14 performance confirmation might work in the licensing
15 arena.

16 Next, please. The performance
17 confirmation panel December -- now I've got the right
18 year -- 2001 comments, sort of the top-line comments
19 are the performance confirmation and other long-term
20 R&D was considered useful and appropriate, recognizing
21 that there were many interested parties in performance
22 confirmation, not just DOE and NRC, and that those
23 people should be given a voice.

24 NRC and DOE need to start now developing
25 a shared understanding of how long-term R&D and PC

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1 will be carried out. I think that is still obvious
2 after discussions we have had today that those
3 discussions need to continue. The concern, of course,
4 is that commitments are going to be identified in the
5 license application in any near-term amendments. And
6 it is best if everybody is on the same page about that
7 and how to work that through.

8 Again, to repeat, -- I think Chris
9 mentioned this in his talk -- our main recommendation
10 was a flexible adaptive plan is needed. So the
11 concern I have got here is, what are the implications
12 for using a rather rigid license amendment process if
13 that is what is selected? It is not clear from the
14 discussions, at least, exactly how that will work. If
15 the point is to keep things flexible, a licensing
16 approach needs to be able to accommodate that.

17 We also recommended prioritizing now using
18 risk-informed judgment and clear criteria for
19 prioritization. I'm still not sure if those criteria
20 are real clear in terms of prioritization, although
21 this discussion we have had the past day and a half
22 has been pretty good.

23 Avoid traps. Chris went through some of
24 those traps. I will probably reiterate a few of them
25 in a minute.

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1 Next, please. NRC and DOE need that
2 shared understanding of both performance confirmation
3 and long-term R&D. I am convinced they're not on the
4 same page quite yet.

5 The commitments are likely to be defined
6 in the licensing process, even those that wouldn't
7 start until much later. So the concern is DOE seems
8 to have to get it right the first time, which is
9 counter to the flexible adaptive PC approach.

10 NRC and DOE have both made a commendable
11 start. We have got the final regulation in now, the
12 finalized review plan from NRC. DOE has a draft
13 performance confirmation and long-term plans. And, as
14 Debbie Barr talked about yesterday, it seems as if
15 Rev. 2 is coming soon, which will be good.

16 These differences between the two PC
17 approaches need to be resolved. Again, it looks like
18 DOE is focusing on the overall performance objectives
19 that need to be achieved. And it looks like NRC is
20 looking at these natural and engineered barriers or
21 functioning as intended and anticipated. And that
22 seems to me, as I was just going back and forth with
23 Jim and Jeff, it implies some very fundamental
24 differences in approach in terms of prioritization and
25 weighting.

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1 Use risk-informed judgment and clear
2 criteria prioritization now. Some potential criteria
3 that the EPRI performance confirmation panel came up
4 with is the relative value of the information,
5 risk-informed. I think what Karen Jenni talked about
6 is just right down that alley of the kind of things
7 that we were thinking of.

8 The timing and the need for specific
9 information has not really been talked about so much
10 yet. The cost of conducting them has been alluded to.
11 Interference with other activities I believe was also
12 mentioned. And certainly we'll see in PC plan Rev. 2
13 or 3, I guess.

14 Agreements with stakeholders, I am not
15 sure what the plans are there, but certainly those
16 need to be in there. And Chris mentioned them as well
17 yesterday morning.

18 Concerns of stakeholders, potential health
19 effects to workers and the local population, and the
20 ability to define sufficiently that activity such that
21 the confidence is truly enhanced in a reasonable
22 amount of time, I think that what DOE is proposing is
23 there, although it probably needs to be clearer, that
24 last point.

25 Next. Same basic traps as what Chris went

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1 through: agreeing to measure parameters that do not
2 affect performance. One of the things we had on the
3 list was that, well, sometimes you tend to satisfy
4 parochial interests. I believe Chris gave a few
5 examples in his talk of the kind of thing you can get
6 into. That needs to be avoided.

7 Agreeing to do things that can't be done.
8 Chris talked about that again yesterday, such as
9 requiring unnecessary accuracy or precision in
10 measurements, monitoring of too limited duration or
11 extent. I look forward to Rev. 3 to see how that is
12 going to be managed. I understand that is where that
13 will show up.

14 Assigning excessive levels of conservatism
15 on bounds because it's easy. They tend to eat into
16 margin that don't really give it up unless you really
17 feel you have to is what I think we are after there;
18 and neglecting institutional aspects. You must
19 maintain technical capabilities over a long term is
20 something that some folks are very interested in.

21 Periodic report cards was something that
22 has been done for other stakeholders in other cases.
23 And I think that this will likely be something that is
24 important to the public as well.

25 Next. Okay. Here is what DOE had for

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1 their eight steps in defining a performance
2 confirmation activity in one of their earlier
3 revisions, their 2000 draft performance confirmation
4 report. We like these eight steps. We think they are
5 really good ones. We look forward to DOE getting
6 through all of them.

7 The first step is identify which processes
8 are to be measured, the key performance contribution
9 factors. I think that is what we heard yesterday. We
10 understand that is what is going to be in Rev. 2.
11 What I have in brackets here are my guesses and based
12 on my understanding from public meetings as to what
13 will show up when. These aren't DOE inputs
14 necessarily but my guesses.

15 Define the database and predict the
16 performance. It sounds like that will be in Rev 3.
17 The three things in red I want to talk about in a
18 little bit more detail in a minute.

19 Then establish the tolerances or predicted
20 limits or deviations from predicted values. Indeed,
21 that's critical. We look forward to seeing that in
22 Rev 3.

23 Identify the completion criteria and
24 guidelines for corrective action. It wasn't clear
25 from the talks yesterday whether that will be in Rev.

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1 3. It seems pretty important that it must be. I'm
2 guessing it will be just to remind folks that we are
3 looking for that.

4 Conduct the detailed test planning,
5 monitor the performance and do the tests, analyze the
6 data. And then our eighth step is very important. I
7 think several speakers have already mentioned it:
8 recommend and implement appropriate actions if there
9 are deviations. I hope that will show up in Rev 3.
10 Certainly that needs to be thought through.

11 Next. Step 3; that is, establish the
12 tolerances, limits, or deviations from prediction,
13 certainly that is a key step in a successful
14 performance confirmation activity. Without it, you
15 may as well not do it.

16 Combine baseline data with predictions for
17 performance confirmation period. How do you mix those
18 together? What we're concerned about is that they may
19 become licensing conditions. If this happens, then
20 you do this. If not, then something else. So it's
21 important to get it right.

22 An example of that is in the next
23 viewgraph. This is taken also from that same DOE's
24 draft performance confirmation plan, this whole idea
25 of how you acquire the data, run it through your data

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1 reduction, convert it into what you think you have for
2 baseline data, then going into the confirmatory period
3 with some sort of predicted bounds in terms of
4 expected behavior.

5 And I have a note that I have added here,
6 which is the compliance bounds may be much wider; that
7 is, you can be outside those bounds and still meet the
8 regulatory criteria. I think that is what Debbie Barr
9 was talking about yesterday. I am not quite sure.
10 But certainly that kind of philosophy needs to be
11 incorporated when one talks about these tolerance
12 bands and how to define them.

13 Next, please. Another step, identifying
14 completion criteria. You need to know when you have
15 done enough. So a clear end has got to be identified.
16 These time periods are examples. You might want to
17 develop tolerance bands at these time periods if that
18 is where you think you are going to stop your test or
19 whenever you propose to stop your test, you need to
20 say, "How is a 50-year tolerance band going to be
21 defined to show me a longer-term behavior that helps
22 confirm things are going to behave as anticipated?"

23 The test has to be sensitive enough to
24 detect that required tolerance. The test has got to
25 be long enough. So you need to know in advance

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1 adequate time is going to be likely. And it's going
2 to be difficult to exactly define how much time is
3 required there, hence that need for flexibility.

4 Sample size and frequency issues must also
5 be considered, like do you have to really test every
6 container or just some subset?

7 Next. Finally, step eight; that is,
8 recommend and implement appropriate actions depending
9 on what you see from your performance confirmation
10 tests. Potential actions? No. No action required.
11 Maybe you need to do some more testing. Maybe you
12 need to modify the original license bases. Maybe you
13 will have to make some engineering design
14 modifications. Maybe you have to completely halt
15 emplacement for a while and stop and rethink and see
16 what happens or it may even require retrieval or
17 abandonment of the site just depending on what is seen
18 in performance confirmation. And DOE needs to have
19 some sort of plans depending on what they think they
20 might see that would develop some of those options.

21 Next. Some suggested options for
22 important effects, not amenable. That is this whole
23 idea of if there is something that is important to
24 performance confirmation, part of Chris' criteria he
25 was mentioning, but you can't test it, either you

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1 can't measure it or you don't have enough time, what
2 is it that should be done?

3 I think probably the first thing I should
4 add that has been talked about by Tim McCartin and
5 others is maybe you don't have to do anything. Maybe
6 there is no performance confirmation activity that is
7 required at all. That I'm sure would depend on the
8 kind of case that was made originally for the original
9 license application or you could use reasonably
10 bounding values based on expert elicitation.

11 Debbie Barr gave us some examples of how
12 that is going to be done, it seems, in the vulcanism
13 area, where you can't really get at all of the aspects
14 of collecting data for vulcanism.

15 You might want to leave some margin, leave
16 natural analogs such that some analog research could
17 be part of the performance confirmation program or it
18 could be an aside. How you define it probably is less
19 important than that it's there.

20 Add or modify an engineering feature to
21 reduce the importance of that particular FEP, say, dip
22 shields were added to mitigate groundwater flow
23 uncertainty and heterogeneity is an example of an
24 engineering approach that was taken based on some of
25 these data I believe that Steve Frishman was alluding

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1 to earlier that came out five-ish years ago. This was
2 a deliberate engineering change partially to
3 accommodate some of those data that --

4 VICE CHAIRMAN RYAN: Since there are other
5 speakers, you might want to hold --

6 MR. KESSLER: Okay. Next. Here is an
7 example of a licensing process, this idea that your
8 confidence builds over time. We were trying to
9 compare this to a reactor equivalent with all of those
10 steps. The idea is you may have some FEP activity
11 here where your confidence may decrease and you have
12 to have a way forward for that.

13 Next viewgraph, please. We think that the
14 performance confirmation is similar to a tech spec
15 surveillance program; that is, your verifying reactor
16 equipment is operable. You have limiting conditions
17 of operation; that is, what has to be operable, and if
18 not, what actions are taken. Certainly the time
19 periods over which you look at inoperability and
20 recovery are much different for repositories than
21 reactors, but we think the analogy holds.

22 Next. Just to kind of reiterate the big
23 three conclusions from the performance confirmation
24 panel, describe how the long-term R&D program provides
25 enhanced confidence is the first thing that we would

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1 recommend.

2 Consideration of activities. How do they
3 fit in each stage of repository development? And
4 options for treatment important FEPs with which you
5 can get little additional information.

6 Next. Is appropriate baseline information
7 being collected? You've got to establish meaningful
8 tolerance bands, identify a clear enough end to the
9 activity, and you need to prioritize.

10 Thanks. Sorry for running so long.

11 VICE CHAIRMAN RYAN: That's all right.
12 Any short questions? George?

13 MEMBER HORNBERGER: John, you obviously
14 have given this a lot of thought, perhaps as much as
15 anyone. Do you have any notion of what NRC and DOE
16 need to do to make sure that they get onto the same
17 page?

18 MR. KESSLER: Talk to each other. Talk
19 philosophy, to begin with. Like I was getting into
20 there, I think it really concerns me the relative
21 weighting in terms of approaches of the overall risk
22 criterion versus the barrier. They're both in the
23 regulations. We understand NRC wants both of them.

24 DOE has provided a shot at how to balance
25 between those two. What I heard this morning makes me

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1 unsure whether that balances at all what NRC is
2 looking for philosophically.

3 And the next step is just the level of
4 detail. How detailed a program does it need to be?
5 Back to your fundamental question you asked earlier
6 this morning I think is a real good one.

7 Those are the two places to start. And
8 then the last one is just the formality of how
9 performance confirmation is dealt with in the
10 licensing environment. How does one do that to get
11 what one wants?

12 Like Jeff Pohle was talking about
13 yesterday about there is a lot of flexibility here,
14 good. How do you do that in a licensing environment?

15 VICE CHAIRMAN RYAN: Ruth?

16 DR. WEINER: On your slide "Traps to
17 Avoid," you talk about excessive levels of
18 conservatism and about maintaining technical
19 capabilities. Can you enlighten me as to how you
20 would do those things, how you avoid excessive
21 conservatism and, even more important, how in the
22 current way these things are funded you have an agency
23 that maintains its technical capabilities?

24 MR. KESSLER: My memory's fuzzy in the
25 first one. Chris, if you can help me out a bit? On

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1 the excessive levels of conservatism, I believe what
2 we talked about was the idea that don't just set your
3 bounds really wide because you don't really know. You
4 have got to do something to try to maintain to do some
5 work to rein those in up front was part of what I
6 think we talked about in terms of maintaining
7 excessive levels of conservatism.

8 Chris, do you want to add anything before
9 I go on to the next point?

10 DR. WHIPPLE: Well, perhaps this is
11 disagreeing to an extent. I think that one of the
12 things that hasn't been done sufficiently here, Tim
13 mentioned in his examples -- and I can't believe he
14 got away with it with John sitting here -- that, in
15 fact, for relatively trivial properties and processes,
16 taking an issue off the table by use of a bounding
17 analysis is fair game. If you try to do that with the
18 big stuff, you can't do it.

19 And I think that's the key, that you have
20 to do what you can to be realistic on the important
21 processes, but polishing the fourth decimal place does
22 nobody any good.

23 MR. KESSLER: Right. On your second
24 point, this sort of gets at Todd LaPorte's reason for
25 being, so to speak. There are certain institutional,

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1 long-term institutional, requirements. This is really
2 what that point was about, the idea that perhaps over
3 the long run, you may want to fund local
4 organizations, maybe something like what Les Bradshaw
5 was talking about, but the idea is that perhaps you
6 should develop technical capability within the State
7 of Nevada, wherever that is, for them over the long
8 run to maintain the know-how and the knowledge and the
9 understanding to make the decision 50-plus years out
10 into the future as to what you should be doing.

11 VICE CHAIRMAN RYAN: Thank you, John.

12 That brings us to the end of our morning
13 session. I would like to take a few minutes and talk
14 about the rest of the day. We will hear from Tom
15 Nicholson, the NRC Office of Research, after lunch on
16 their activities regarding long-term testing and
17 performance confirmation.

18 And then we will begin a working group
19 roundtable panel discussion. I would like to take a
20 minute and ask members to be thinking over the lunch
21 break how we will do that. We have six members in a
22 time slot of about two hours. So the 20 minutes
23 apiece rule seems to make a lot of sense.

24 What I thought we would do is invite you
25 to make comments on what you heard and what it means

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1 to you in the first ten minutes or so and then for the
2 second part of each individual's talk to try and get
3 an exchange going among members reacting to that
4 individual's comments. And hopefully the audience
5 will also participate.

6 We have time in there we can take
7 questions during that last ten minutes from staff or
8 from the audience or other participants here today.
9 So if that is acceptable with everybody, we can begin
10 that process and see how we do. Sound reasonable?

11 Well, great. Given our hour, it's right
12 at noon. Our schedule is to break until 1:15. We
13 will convene promptly at 1:15. Thank you all for an
14 interesting morning.

15 (Whereupon, at 11:58 a.m., the foregoing
16 matter was recessed for lunch, to
17 reconvene at 1:15 p.m. the same day.)

18 MR. GARRICK: If I could ask everybody to
19 take their seats, please.

20 MR. RYAN: Good afternoon. We're back
21 from lunch with our first presentation to be made by
22 Tom Nicholson of the NRC's Office of Research.
23 Welcome, Tom. Tom's going to talk about research
24 perspective on long-term testing of performance
25 confirmation and development of an integrated ground

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1 water monitoring strategy.

2 MR. NICHOLSON: Thank you very much, Mike.

3 I want to thank Mike Ryan, the Chair and Neil Coleman
4 for inviting us to make this presentation. First of
5 all, I want to clarify that this is generic research.
6 Next slide, please.

7 Jake Philip and myself from the Office of
8 Research are involved in looking at development of an
9 integrated ground water monitoring strategy. Many of
10 the ideas that we're going to be presenting have
11 evolved from our low-level waste performance
12 assessment. The whole concept of performance
13 confirmation originated back in the mid-80s with
14 performance assessment for low-level waste. So our
15 research is generic in that it is focusing on low-
16 level waste, assured isolation facilities and
17 decommissioning.

18 We'd like to briefly give you the outline
19 of our talk. We're going to talk about needs that
20 we've identified through a variety of sources:
21 National Academy of Science report, licensing
22 experience, research that we've conducted and other
23 people have conducted -- USGS, Agriculture Research
24 Service and the U.S. Geological Survey. We'd like to
25 talk about what our research objectives are, our

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1 research tasks. We have recently selected a
2 contractor through a competitive procurement
3 procedure, Advanced Environmental Solutions, and we'll
4 go through the tasks that they're performing today.
5 We'll briefly mention some generic applications that
6 we think might be appropriate, and then we'll do a
7 summary.

8 Well, first of all, as many people have
9 already commented, the issue for us is what, when,
10 where and how to monitor for both water flow and
11 contaminant transport. There's been quite a bit of
12 work done on this field already, and we'll get into
13 that in a few minutes, but the issue of what, when,
14 where and how to monitor goes to the issue of not only
15 the devices and the technologies but also what you're
16 trying to achieve. So we want to design a monitoring
17 system.

18 There's a need to detect both the current
19 conditions and changes in the system behavior, and we
20 put an emphasis on system behavior. The system may be
21 the site itself or it may be the site in combination
22 with engineered systems that may affect contaminant
23 transport. We also want to look at development of
24 databases for identifying and quantifying causative
25 mechanisms, features -- excuse me, events and

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1 processes. These causative mechanisms are extremely
2 important as we look at the coupling to performance
3 assessment. Next.

4 We also want to look at the features, the
5 potential pathways. The preferential pathways may be
6 due to a variety of hydrogeologic features, fractures,
7 faults, things of that nature, or they may be human
8 related, such as bore hole casing failures. We also
9 want to assess the effectiveness of contaminant
10 isolation systems. This is engineered systems, both
11 their performance overtime and their degradation
12 overtime.

13 And then as some of the speakers have
14 already pointed out, what do you do with all the data
15 you've collected? Data management is a big issue.
16 We've looked at what Hanford is doing. They have a
17 tremendous amount of data they've collected over the
18 last 45 years, and how do you manage all that data?
19 What kind of analyses do you do with that data, and
20 how does this information through your analysis feed
21 back to your performance assessment?

22 Visualization is an extremely important
23 part of this. The monitoring is within a very complex
24 system, a three-dimensional system. How do you
25 visualize that to people? How do you tell them where

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1 you're monitoring, why you're monitoring and what
2 information is coming across? And that goes right to
3 the heart of how to communicate monitoring data.
4 Next, please.

5 Now, our research objectives take into
6 account all of those needs. What are our research
7 objectives? Well, first of all, of paramount
8 importance is to provide technical basis to our
9 licensing colleagues for their evaluation of ground
10 water monitoring programs. And as I said before, it
11 could be low-level waste, assured isolation
12 facilities, decommissioning or other important
13 licensing reviews.

14 The second point is probably somewhat new
15 to this research. It's how do we couple monitoring to
16 site characterization and performance assessment?
17 There obviously is a very strong relationship. We
18 want to explore that relationship and tailor
19 monitoring to site characterization and performance
20 assessment.

21 Another important aspect is looking at
22 relevant alternative conceptual models. A lot of
23 times monitoring is oriented towards some type of
24 compliance where you put in sentinel wells at the
25 boundary, you look at those wells with regard to

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1 concentrations, and you think you're done when in fact
2 you may be monitoring in the wrong location because
3 you haven't considered alternative conceptual models
4 that may be fast pathways. We can give you many
5 examples of situations where monitoring wells were put
6 in the wrong place giving people a false sense of
7 confidence when in fact the plume had been evolving
8 and moving off-site.

9 Now, with regard to the alternative
10 conceptual models, some people have looked at
11 different scales. One scale -- next, please -- is to
12 look at the actual flow properties of the medium
13 itself. For structured medium, this could be
14 fractured rock, this could be fractured clays, it
15 could be a variety of geologic media. Over the years,
16 there have been a lot of conceptualization of how
17 water and contaminants may move through structured
18 media, and there has been quite a bit written about
19 this. American Geophysical Union Monograph 42 began
20 the discussion way back in 1989 on this, and some of
21 these illustrations are from Peters and Klavetter
22 where you're basically saying there's a relationship
23 between the fracture and the matrix and you've put in
24 the so-called double hump curve relating relative
25 permeability to tension. One of the things that isn't

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1 up there is a discreet fracture network
2 conceptualization. That's at the small scale with
3 regard to the medium. Next, please.

4 You have to understand that that's just
5 the medium. There are a lot of features, events and
6 processes. We put this up as an illustration of the
7 Hanford tanks in which you have a disturbed zone
8 around the tanks themselves, you have monitoring wells
9 that may be sealed or their seals may be faulty, you
10 have a regional water table at some depth, you have
11 some type of engineered failure modes that may cause
12 contaminants to move out, you have to look at detail
13 at the hydrological system, plastic dike seals. How
14 in the world do you take all that complexity
15 abstracted, put it into a performance assessment model
16 and talk about monitoring? So we're dealing with a
17 very complex system, not just for a system like this
18 but other near surface systems, and that's what we're
19 focusing on. Next, please.

20 One of the first things we thought about
21 is that if we're going to talk about monitoring, what
22 are you going to monitor, and we related back to
23 performance assessment models by calling them
24 performance indicators. Now, these performance
25 indicators, there is no magic list. Each one of

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1 these, obviously, is a function of the site you're
2 investigating. For some sites, it may be water
3 content if you're dealing with the unsaturated zone;
4 it may be the hydraulic pressure; may be both tension
5 if it's negative or positive; flux, could be water
6 flux, heat flux, contaminant flux, maybe air flux,
7 contaminant concentrations in a variety of means, both
8 in the water and in the air phase and in the soil.
9 All of these are candidates for monitoring, but you
10 have to relate them back to your performance
11 assessment.

12 We want to look very strongly at this
13 relationship between performance indicators and site
14 performance. The performance indicators are a
15 monitoring information or database and how we relate
16 that back to site performance, as predicted by
17 performance assessment models. And then we want to
18 design a strategy to collect the monitoring data for
19 parameter estimation, model calibration and
20 uncertainty analysis. Next, please.

21 So a logical approach then would be to
22 say, well, the monitoring data has to be used to
23 update these performance assessment models and using
24 the analysis of that data to generate new realizations
25 and to update or modify your performance assessment

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1 models. And the last point I can't emphasize enough:
2 The technology to the NMSS staff. Next, please.

3 Now, as I said earlier at the beginning,
4 we have through a competitive procurement action
5 selected Advanced Environmental Solution to conduct a
6 series of tasks for us, and I'll run through these
7 tasks very briefly and tell you where we are in that
8 research effort. At the present time, they're
9 reviewing the present technologies with regard to
10 ground water monitoring. We've sat down with EPA's
11 Technology Innovation Office, we've attended the
12 Federal Remediation Technology's round table, we've
13 been talking with the USGS and other people finding
14 out what people are doing today with regard to their
15 monitoring strategies for nuclear and hazardous waste
16 facilities. This isn't just radionuclides. We're
17 looking at other contaminants also, not because we're
18 going to regulate those but because we want to
19 understand the thought process, the philosophy, the
20 techniques, the technologies, the sensors that are
21 available, what is practically being done today.

22 Following that work, and they're finishing
23 up that task, we are asking them to develop an
24 integrated monitoring strategy, integrating, as I said
25 earlier, decouple site characterization and

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1 performance assessment to modeling. And then we're
2 asking them to develop a test plan to critically test
3 this monitoring strategy, the process, the thought
4 process you go through and how you come up with this
5 information for a range of hydrologic features, events
6 and processes.

7 And then the most important part, of
8 course, is testing this against a specially selected
9 data set. We have been in some discussions with some
10 of the national labs to find out what data they have
11 available. At all the labs there has been quite a bit
12 done in the way of monitoring. We're looking
13 specifically at those data sets, and we're going to
14 select some of those in cooperation with DOE to
15 understand how to test that strategy. We're going to
16 provide technology transfer, as we have in the past,
17 to NMSS. When we had an unsaturated zone monitoring
18 strategy developed by Professors Wierenga, Warrick and
19 Mike Young at the University of Arizona, the staff
20 went out to the Maricopa Environmental Monitoring
21 Site. We looked at geophysical techniques, we looked
22 at suction samplers, we looked a whole variety of
23 techniques that are being used today to monitor in the
24 unsaturated zone and to have them go through that data
25 with us and explain to us this is an evolution of that

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1 work.

2 And then, finally, we want to document and
3 publish this report. In research, we've been putting
4 a lot of our NUREG reports as pdf files on our web
5 site and we plan to do that also.

6 What about generic applications? Well,
7 first of all, every site is unique. There's no way of
8 saying that there's a magic recipe for every site. So
9 we want to take this information, obviously, and
10 provide it to our Licensing staff and make it
11 available to the public, licensees and how to look at
12 the issue of how to understand monitoring needs at
13 specific sites to update and verify performance
14 assessment models.

15 We also want to look at alternative
16 conceptual models that are related to causative
17 mechanisms. For instance, episodic recharge event
18 seems to be an important issue at many sites. We're
19 doing research with the Agricultural Research Service
20 at Beltsville and Riverside to look at recharge events
21 and ways in which people do model abstraction and look
22 at the effect on transport.

23 We want to look at estimating parameter
24 and boundary conditions using monitoring data and
25 assess uncertainty in performance assessment. We

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1 think that monitoring data could be extremely valuable
2 evidence in looking at the sources of uncertainty.
3 And coordinate this information with -- there are
4 eight federal agencies involved in a Memorandum of
5 Understanding, and if you go to that web site, you can
6 download a copy of the Memorandum of Understanding.
7 We have four working groups. One of those working
8 groups deals with parameter estimation and
9 uncertainty, and this work is going to be coordinated
10 -- is being coordinated with them.

11 Well, in summary, what are the important
12 points I'd like to leave with you? First of all, we
13 think this is fairly new that we want to couple
14 monitoring to site characterization and facility
15 performance assessments. They are not distinct but
16 they're related, and we want to look at that coupling.
17 We also want to look at how monitoring strategies
18 provide evidence for comparing and supporting
19 alternative site conceptual modes. We think this is
20 the heart of many hydrogeologic problems is that there
21 are plausible alternatives. Does your monitoring
22 provide you the evidence to explore those? The
23 ongoing research with the Advanced Environmental
24 Solutions Company, we want to provide that information
25 to our NMSS staff as it evolves.

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1 And with that, I'll take questions. Thank
2 you.

3 MR. RYAN: Thank you, Tom. Questions from
4 members?

5 MR. GARRICK: You, of course, emphasize
6 that this is generic. Is there any intentions of
7 specializing the research program in any particular
8 direction or any particular application?

9 MR. NICHOLSON: I think the points I was
10 making to reach our research objectives I think from
11 the very beginning this work is tailored to help our
12 Licensing staff. They're struggling every day with a
13 variety of issues, one of which, of course, is monitor
14 natural attenuation. A lot of people think that to
15 allow nature to move the contaminants and that they
16 will abate with time. So to answer you question, no,
17 we do not have a specific application. We think that
18 we want to do this generically to help a variety of
19 applications.

20 MR. RYAN: George?

21 MR. HORNBERGER: Tom, I don't know how
22 much of the past day and a half of this workshop
23 you've sat in on but I'm going to ask you the question
24 anyway. Given your generic approach and what you've
25 accomplished to date and what you've thought about,

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1 what do you think the lessons are relative to
2 performance confirmation at Yucca Mountain?

3 MR. NICHOLSON: That's a fairly difficult
4 question since I'm not actively involved in the High-
5 Level Waste Program. I think some of the objectives
6 that we identified, the need to look at alternative
7 conceptual models and to have a monitoring program
8 that can evaluate and test those, I think are
9 extremely important.

10 MR. HORNBERGER: In your works to date,
11 you mentioned some of the things that you were looking
12 at as candidates for monitoring. Do you have any
13 insights on an effective monitoring strategy for
14 vadose zone transport in fractured rock?

15 MR. NICHOLSON: One of the difficulties
16 with that is that depending upon how wet the
17 unsaturated zone is, you have pathways that some
18 people haven't in the past considered. For the
19 eastern part of the United States, the emphasis is
20 generally speaking on the unsaturated zone on soils
21 and soil complexity and trying to understand are the
22 so-called fast pathways perch water systems. So that
23 is a different animal than if you look at in the
24 western part of the United States where you have vapor
25 phase. The USGS is doing work at the Amargosa Desert

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1 site. They have identified a variety of potential
2 processes that previously had not been considered or
3 capable of being modeled. With regard to water moving
4 in a variety of ways is a volatile in such a way that
5 it could actually move with an organic compound.

6 So to answer your question, no, I don't
7 have any magic answers today. What we're trying to do
8 is we're trying to look at the complexity. The
9 National Academy of Science had a meeting out in Santa
10 Fe last October in which they talked about the so-
11 called vadose zone road map that was put out by Dan
12 Stevenson Associates in consultation with a lot of
13 very knowledgeable people. The thing that surprised
14 us was that although the plan was developed, it never,
15 to our knowledge, has been implemented, and it was a
16 shame because there was so much information that was
17 brought together.

18 Now, DOE, through their EM Program, is
19 actively trying to say how can we apply this to our
20 decommissioned sites, we'll call them? They're sites
21 other than Yucca Mountain. And we're actively
22 discussing with them how they're going to be looking
23 at decommissioning technologies with regard to
24 demonstration of unsaturated zone sites. Work in
25 Idaho, work at the Hanford Reservation, all those

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1 sites have a whole different needs with regard to
2 technologies than a site on the east coast, such as
3 Savannah River or the Mound or Fernald or places like
4 that.

5 DR. WEINER: How do you manage knowledge
6 transfer and information transfer from one contractor
7 to another?

8 MR. NICHOLSON: That's a very good
9 question. What we tried to do is we do it in a
10 variety of ways. First of all, we have a lot of
11 teleconferencing. We expect -- for instance, I'll
12 give you a very good example. Pacific Northwest
13 National Laboratory is trying to develop for us right
14 now what we call a unified uncertainty methodology in
15 which they're combining what had previously been
16 developed at University of Arizona on conceptual model
17 uncertainty with what they've done on hydrologic
18 parameter uncertainty.

19 Now, how do you merge those together and
20 how do you get people talking? Well, one way, of
21 course, is to put it into the contract to have
22 teleconferencings, to have workshops, to have field
23 sites and to get people to work together. For
24 instance, in September, the National Ground Water
25 Association is going to be putting on a conference

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1 dealing with environmental modeling and monitoring.
2 And we've strongly encouraged our contractors to
3 attend that meeting; they have submitted abstracts.
4 So it's this constant need of having people to get
5 together via telephone or in person to focus on
6 problems together and to actively question the
7 person's results. Whether it be models or field data
8 or whatever, you need a very strong interaction
9 between them and allowing them to be different.

10 One of the problems we had in INTRAVAL,
11 INTRAVAL was an international project we had on
12 validation of conceptual models. A lot of people were
13 frustrated because we weren't getting the same
14 answers. And I said I think that's good because the
15 worst thing that can happen is if everybody comes in
16 with the same conceptual model and the same results
17 and all they're doing is testing their ability to echo
18 back computer results. What we want to see is a very
19 technically diverse set of people looking at problems
20 in different ways and then bringing it together.

21 MR. RYAN: Tom, I had a question, and this
22 slide's a good one to talk about. Couple monitoring
23 of site characterization. First of all, I think it's
24 a great idea, and, second, there's probably ten
25 different dimensions of it I can think about. You

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1 know, yesterday I made the comment that any monitoring
2 well, for example, ought to be placed for two
3 purposes. One is for whatever compliance
4 demonstration needs you have -- the safety case or
5 concentration limit or whatever it is -- and the
6 second is to enhance your knowledge of behavior of the
7 system. I guess I'd appreciate any expansion you
8 could have on how you're thinking in those regards.

9 And the second point is many of these
10 programs where you're coupling monitoring to
11 characterization create a lifespan for such a program
12 that instead of being perhaps a few years as a pre-
13 operational aspect to a license facility becomes a
14 lifetime activity for that facility, because you can
15 always enhance, improve or build confidence in how you
16 think things are working through additional
17 monitoring, both from a compliance standpoint and a
18 how's it working standpoint.

19 And I guess my question is have you
20 thought about that data management aspect in detail of
21 how things migrate over time? My specific example is
22 20 years ago I took an awful lot of data on a PDP-8.
23 I would have to try and figure how to read those tapes
24 today.

25 MR. NICHOLSON: Well, one of the things

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1 we've been thinking about is that contrary to people's
2 belief monitoring is not something to be afraid of;
3 it's something that tells you -- it's diagnostic
4 information about a living system. In this case,
5 we're dealing with a natural system in which an
6 engineered system has been placed within that system.
7 And so you want to understand the dynamics of that
8 system. We use the word, "causative mechanisms,"
9 meaning what affects transport? We don't want to
10 monitor everything because the worst thing you can do
11 is be so confused with so much detail that you're
12 missing the most relevant, the performance indicators.

13 So part of it is, I think, going back to
14 characterization is to understand the system as best
15 you can from an initial standpoint, and then you build
16 a monitoring program that builds on that site
17 characterization but never has the arrogance of
18 saying, "I know it all." I don't want to just monitor
19 those things which today I think are critical. For
20 instance, is it the perched water table, is it the
21 water table fluctuations, is it a certain preferential
22 fracture that you think is going to be controlling?
23 You want the system to be viewed in a way that the
24 monitoring can look at a variety of possible outcomes,
25 and that's where these alternative conception models

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1 come in.

2 But they have to be important, meaning you
3 can't have a 1,000 variations on the same thing. You
4 could have literally millions of alternative
5 conception models. They're not significantly
6 different, they're just changing one parameter. And
7 as a speaker said earlier today, if you change a
8 parameter, everything changes. That isn't the issue.
9 The issue is are the hydrologic features and events
10 that may be so different today -- excuse me, down the
11 road that you looked at today?

12 For instance, the perched water systems,
13 I keep bringing this up again and again because the
14 later Professor Evans from the University of Arizona
15 was kind enough to come and work with us here at the
16 NRC, and we were looking at issues with regard to
17 high-level waste, he brought up perched water systems.
18 We put it into Part 60. Many years later some of the
19 Management went out there along with the Chairman and
20 they were incredibly impressed at how could you be so
21 clairvoyant to think about perched water systems,
22 because even then DOE and USGS did not think that they
23 occurred at that particular site.

24 Well, if you understand the basin range
25 and if you look at the work of George Maxie and other

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1 people, they exist. They exist and Professor Evans
2 knew that. So you have to have that ability to think
3 differently with regard to evolution of a dynamic
4 system. That's my input.

5 MR. RYAN: I'm reminded and aware that Tim
6 McCartin said it's very much iterative.

7 MR. NICHOLSON: Yes. Yes.

8 MR. RYAN: That was point one, how about
9 point two? What do we about all this data over
10 instead of a few years maybe a few decades?

11 MR. NICHOLSON: Well, I think the
12 monitoring database, again, has to be actively worked
13 on. It has to be -- there has to be part of analysis
14 procedure. You just don't collect the data and store
15 it. There has to be some way of saying every -- and
16 you pick the a period of time, whether it's every year
17 there's a water year that most hydrologists know
18 about, you could go maybe even further out. But you
19 want to pick a period of time in which you go back and
20 look at that data and analyze it and ask the question,
21 does this provide evidence that my performance
22 assessment model is correct? It also gives you some
23 understanding of how the system may evolve.

24 A lot of people dismiss things such as
25 focus recharge and the relationship to hydrology. In

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1 some of the work that we've been funding, we've
2 discovered that infiltration, in order to really
3 understand it, you really need a real-time monitoring
4 program to understand it. The question is how do you
5 do it? There is work being done by people like
6 Glendon Gee who's come up with a flux meter to put in
7 the subsurface to directly measure ground water
8 recharge. Some people, of course, in the past like
9 the Thornthwaite analysis. You did a monthly balance
10 of evapotranspiration, precipitation, moisture content
11 distribution, ground water fluctuation. You have to
12 do some type of analysis that gives you a sense that,
13 "Yes, in fact that system is performing as I thought
14 or it is changing and why is it changing?"

15 MR. RYAN: Questions? Chris, you're next.

16 MR. WHIPPLE: Go ahead, Steve.

17 MR. FRISHMAN: Are you going to offer in
18 this integrated monitoring strategy any suggestions or
19 hints to sort of a common mode of quality assurance to
20 go with it, rather than having each person who
21 implements or tries to implement a plan try to figure
22 out how to do something acceptable and it's always a
23 real problem?

24 MR. NICHOLSON: One of the things we've
25 been thinking about, Jake Philip and I just came back

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1 from a meeting up in Philadelphia. The American
2 Society of Civil Engineers had a world water
3 environmental congress, and one of the groups there
4 that was very strong was ASTM. ASTM has done an awful
5 lot of trying to talk about procedures and ways of
6 understanding how to properly use instruments and how
7 to calibrate them and how to verify them. We
8 ourselves will not get into the issue of QA by
9 creating guidance, but we will look at what guidance
10 is being developed by other people in the area of
11 quality assurance.

12 So the answer to your question is, no,
13 we're not going to come up with a single mode, but
14 we're going to rely upon those people who are experts
15 in quality assurance to tell us what approaches people
16 have used or may use.

17 MR. FRISHMAN: Just to follow on that, is
18 there any opportunity to think about adding that to
19 the program to make it more useful, especially for
20 people dealing with Commission regulations?

21 MR. NICHOLSON: I will pass that on to
22 Management and let them consider it.

23 MR. RYAN: Chris?

24 MR. WHIPPLE: I would welcome your
25 thoughts on the role of monitoring much later in the

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1 process than you've been discussing. You've been
2 discussing the site investigation, conceptual model of
3 water flow at a given site that would be important in
4 a licensing decision for any given site. And as I
5 look at sites that have been through that and are now
6 considered more or less closed, whether they be DOE EM
7 containment cells or EPA CERCLA/RECRA sites, I guess
8 my sense on both of those organizations is that
9 there's no money and perhaps not interest in
10 reexamining conceptual models. The best you can hope
11 for is that they'll do a good job of looking for leaks
12 and that somebody will notice them when they occur and
13 get on the phone.

14 Those two organizations have different
15 approaches to the question of the duration of the
16 monitoring. EPA uses a succession of 30-year
17 regulatory periods extending till the end of time, as
18 I understand it, and DOE keeps trying to hand the
19 Office of Legacy Sites off to other government
20 agencies and to wash their hands of the whole deal.
21 Do you have a thought about monitoring once you get a
22 site that's done, closed and in just a monitoring
23 mode?

24 MR. NICHOLSON: Well, the National Academy
25 of Science looked at this with regard to long-term

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1 stewardship, and it would be kind of presumptuous of
2 me to make any observations other than to say that I
3 think that they looked at the problem fairly
4 thoroughly and refer you to that.

5 MR. WHIPPLE: I was on that Committee.

6 (Laughter.)

7 MR. WHIPPLE: I was on the second
8 Committee, yes. We didn't figure it out, I can tell
9 you that.

10 MR. RYAN: Other questions from panel
11 members? Yes.

12 MR. PARIZEK: Parizek, Board. I have a
13 question with regard to confirmation testing. Does
14 anything need to be done to make sure that the
15 monitoring techniques that we all consider routine, we
16 all do this, really as it applies to long-term
17 monitoring in a place like Yucca Mountain it really
18 needs to be included in basically a confirmation
19 testing program. To show that it will be that metals
20 or that cement is one thing, but on the other hand,
21 how will these things behave in the long haul. Do we
22 have remote sensing or indirect monitoring devices
23 that can send signals back when you place them in some
24 location where you really can't go in there and you
25 don't want holes left behind, so this whole idea of

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1 what are the technologies that might be available that
2 are sort of futuristic in some respects? But do you
3 see confirmation testing as a worthwhile through
4 process? Otherwise we're going to just go do it the
5 old way.

6 MR. NICHOLSON: Well, the thing that
7 amazes me, I have been able to go to the Federal
8 Remediations Technology Round Table and I'm always
9 impressed when I come away from those meetings because
10 people like the United States Air Force and other
11 people are not afraid of new technologies. And
12 they're talking about advanced methods, sensors that
13 I was not familiar with. And I think that, generally
14 speaking, if there's a need and there's a resource to
15 follow that need, then a lot of people are very
16 creative. And I think a lot of it is telling people
17 what are the performance indicators and what issues
18 are you trying to look at?

19 So to answer the question, yes, I think
20 that development of sensor technology's important but
21 too often, though, people just want to come up with a
22 better fiber optic method for looking at a specific
23 chemical when in fact it's the overall system
24 performance you want to look at. And so people may
25 get diverted running down that path of just developing

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1 better and nicer or miniaturized techniques and they
2 still don't understand the big picture. But I think
3 there is certainly a role. I agree with you.

4 MR. RYAN: Other comments? Questions?
5 Tom, thanks very much. We are at the Working Group
6 Round Table Panel Discussion on Performance
7 Confirmation. I had suggested that each of the six
8 members take ten minutes or so to offer comments and
9 observations on the last day and a half of activities
10 and information and then a second ten minutes we'll
11 have for interaction and exchange on that speaker's
12 points. Steve Frishman has volunteered his ten
13 minutes to the group for more discussion rather than
14 an individual comment. Steve, thank you. It will be
15 good to have that time for extra discussion.

16 MR. FRISHMAN: Well, you know, I always
17 have plenty to say so it's fun to give it up
18 occasionally.

19 MR. RYAN: Yes, absolutely. It will be
20 good to have the time for some more exchange. So
21 without further ado, Chris, let me start with you,
22 please.

23 MR. WHIPPLE: All right. Since I had a
24 longer session yesterday morning, I can do this in
25 about two or three minutes, I hope. As I listened to

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1 the last day and a half, what came across for me is
2 the important points with respect to performance
3 confirmation is I heard it said several times,
4 although I'm not sure I can cite where in the Part 63
5 it appears, that performance confirmation is to be
6 done for things that are important to safety. We've
7 clearly heard that Part 63.131 through 134 requires PC
8 for all barriers that are classified as important to
9 safety as opposed to being safety significant in a PA
10 sense. And then, finally, it has to be practicable.

11 I guess I see the potential conflict
12 between the first two requirements, and it may well be
13 that DOE has simply extended the definition of
14 barriers important to safety beyond the logical
15 stopping point and that the consequence being now that
16 you need to do performance confirmation on things like
17 gravel in the bottom of the drift, which to most of us
18 might not be seen as terribly important to safety, is
19 a consequence of semantics and a poor choice by DOE
20 not recognizing a down side to classifying so many
21 things as important to safety.

22 But I would like to hear, particularly
23 from the staff, if they think there is a substantive
24 requirement for importance to safety somewhere else in
25 Part 63 than in the 131 to 134 link that might be a

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1 basis for not doing some things that appear to be
2 pretty low valued. So I guess that to me is kind of
3 the central question that's emerged after a day of
4 listening to this.

5 MR. RYAN: Okay. Great. Thanks. Any
6 other panel members wish to comment or add to those
7 thoughts? Well, I hear that. I was just going to
8 start with our game plan and move out there very soon.
9 Hearing none -- yes, Tim?

10 MR. McCARTIN: Well, I understand what
11 people are saying there's a conflict there, but part
12 of the flexibility is identifying the barriers that
13 DOE is relying on, and I have a problem with DOE
14 identifying a barrier but it's not really a barrier,
15 it really doesn't do much. Well, then it isn't a
16 barrier, you're not relying on that. And the
17 Commission purposely did not try to assign any
18 prescriptive numbers to individual barriers. The
19 Department is free to identify those barriers that are
20 significant to performance. And there is no numerical
21 value given to significance, but we certainly would
22 expect that the Department would look at the barriers
23 most significant and apply most of the technical basis
24 in their safety case and when they're looking at
25 performance confirmation, they would also be looking

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1 at the barriers that they are relying on most.

2 And so by gravel in the -- okay, maybe it
3 does give some minimal delay, ah, it's a barrier. I
4 don't think so, not the way I read Part 63. Sixty-
5 three says, "A barrier is defined as something that
6 substantially reduces the amount of water that gets
7 in, the movement of water, the transport of
8 radionuclides, the release of radionuclides." So it
9 has to have some substantial effect, and we leave it
10 to the Department to identify which barriers they're
11 relying on. So I don't think there's a problem there.
12 I don't believe there's a conflict there. I don't
13 know if that helps or further confuses.

14 MR. WHIPPLE: Well, it answers I think the
15 question I had which is if DOE in conflict with its
16 own self interest insists on identifying a larger
17 number of barriers than a reasonable person might
18 technically believe are important, one cannot look to
19 NRC to rescue them from their own folly. That's what
20 I heard you say, Tim. Even though in the back of your
21 mind as you review this stuff, you'll say, "This isn't
22 a barrier." You won't say, "Therefore, you don't need
23 to do performance confirmation because I don't think
24 it's a barrier since you told me it is." Is that
25 roughly correct?

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1 MR. McCARTIN: Well, I don't know if I'd
2 go quite that far. We are not there to rescue DOE,
3 that's for sure. I mean I agree with that completely.
4 But if you look at our review plan for post-closure
5 performance, the first thing we have up front is the
6 identification of the barriers important to
7 performance. That's the very first thing we look at.
8 In terms of the analysis, clearly, you do that at the
9 end, but in what we're looking at in the documentation
10 we would like from the Department, tell us up-front
11 what you believe you are relying on the most. We
12 would then tailor our review to what they have shown
13 to be important. And if indeed they say, "Oh, we're
14 relying on the gravel. It gives a ten-year delay of
15 transport, that's one of our barriers," I think we
16 would say, "Okay. Well" -- I would be surprised if we
17 would call that a barrier, to be quite honest. Ten-
18 year delay when you're looking at 10,000 years doesn't
19 seem to be very significant.

20 MR. WHIPPLE: Well, let me ask just to be
21 clear, if in fact you would not call that a barrier,
22 would you then say that no performance confirmation
23 action is needed since in NRC's view the gravel is not
24 a barrier?

25 MR. McCARTIN: Right. The performance

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1 confirmation is looking for the barriers.

2 MR. WHIPPLE: All right. So I mean you
3 would second guess DOE's classification of barriers
4 important to safety.

5 MR. McCARTIN: No, no, no, no. We're not
6 -- if they have performance confirmation, we would be
7 -- as Jeff indicated, our review of performance
8 confirmation would be do you have the things there
9 that you need, okay? Now, if they have additional
10 things that we might think, "Gee, you really don't
11 need that," that's the Department's -- it's the
12 Department's plan, but we would be looking at, say,
13 conversely, gee, the Calico Hills unsaturated unit
14 gives them thousands of years of delay time. They
15 have no confirmation program for that barrier. We
16 would say, "Well, that's a fairly substantial barrier
17 and here are some uncertainties." We would add
18 things, but, as Jeff indicated, when we review things,
19 generally we're looking for things that haven't been
20 considered or have been left out.

21 MR. WHIPPLE: Okay. Now that helps.

22 MR. RYAN: Bob Bernero had a comment?

23 MR. BERNERO: Yes. I just want to add to
24 this dialogue that what I'm hearing is a classic
25 problem in nuclear licensing involving the NRC. The

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1 applicants for a license are chronically looking for
2 a prescriptive formula, "Tell me what I need to do so
3 I can do it and you'll therefore give me a license."
4 And the staff is chronically trying to give a
5 description, an approach, but the responsibility for
6 the logic and the supporting programs is the
7 applicant's. And that's an extremely -- it's a common
8 problem, and it's especially a problem with DOE
9 because it's not used to being licensed.

10 MR. RYAN: You know, if I could add, Bob,
11 a couple of times I heard items like, "be on the same
12 page," and it strikes me too that there's a need for
13 a dictionary in this iterative process. We talk about
14 barriers and different context and with different
15 subtlety of meaning but maybe even general meaning,
16 and the process that Jeff spoke about about an
17 iterative process or a negotiation or we've got three
18 revisions to this plan in front of us, one in hand,
19 two coming. How does that factor into how we get down
20 the road?

21 MR. BERNERO: Can I answer that before
22 Tim?

23 MR. RYAN: Sure. Please.

24 MR. BERNERO: I bridle at the use of the
25 word, "iterative," to describe something like a

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1 negotiation. The iterative process is something that
2 the applicant for the license does. It's a safety
3 analysis, everything. That's iterative and it's
4 review is iterative, but they're independent; it's not
5 negotiated.

6 MR. RYAN: I'll accept your friendly
7 amendment.

8 MR. McCARTIN: Just one addition to that.
9 Certainly, my view of the rationale for the pre-
10 licensing interactions we have with the Department
11 that many of the meetings, obviously, are all open to
12 the public, it allows this dialogue so that the
13 applicant understands what we're expecting to see in
14 a license application so we have the information that
15 we believe we need to review the license application.
16 And I think that dialogue occurs through that. It's
17 useful for the stakeholders that can see this dialogue
18 and get a better understanding of the process. But I
19 mean it's -- for this first-of-a-kind facility, I
20 think it is useful.

21 MR. GARRICK: This whole issue of
22 classification of something that's safety or non-
23 safety related reminds me of the analog we used to use
24 in PRA of the rocks in the pond example. You have a
25 pond that has a lot of rocks sticking out and when you

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1 remove the biggest rock the pond level goes down a
2 level and some more rocks surface, and finally you
3 remove enough rocks that they're small enough now that
4 the surface doesn't change and therefore I'm not
5 interested in the gravel pebbles and what have you.
6 And that's what the performance assessment is supposed
7 to give you. The answer to the question of whether or
8 not it's safety important is whether or not it makes
9 any difference to the bottom line.

10 And if you have a competently prepared
11 performance assessment, you should have a road map for
12 that. You should have the information you need to say
13 that, "I'm not going to measure or worry about this
14 particular rock because no matter what I do with it it
15 doesn't change the performance, it doesn't change the
16 lake level." And I just don't quite understand what
17 all of this fuss is about because if we have any
18 confidence in our analysis at all, we have an inherent
19 mechanism for classifying whether it's safety
20 important or not, whether we need the barrier or not,
21 whether it contributes to performance or not.

22 MR. FRISHMAN: John, it's not only whether
23 or not, it has a time factor as well, and I'm thinking
24 about one parameter in particular because I think it
25 sort or raises this question that I think Tim's

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1 response was at least interesting, that, to paraphrase
2 it, if you, DOE, don't think it's important, don't put
3 it in, and if you do think it's important, be prepared
4 to defend it and prepared to go through the analysis
5 of alternatives and so on. Well, one that's sort of
6 in that hang area right now, and has been sort of all
7 along, is matrix diffusion where it's been in and out
8 performance assessment a lot on DOE's side, it's of
9 relative unimportance in the NRC model, and it's been
10 relatively stably unimportant in the NRC model. But
11 that's one that doesn't necessarily go directly to the
12 bottom line, it goes indirectly to the bottom line.
13 It doesn't really either show up there or not, it's
14 when it shows up, so that becomes sort of a separate
15 regulatory issue. I remember years ago when the
16 Department decided to take no credit for it because
17 they estimated that it was only worth between five and
18 ten percent of performance. Now, in the last couple
19 years, there's been sort of an upswing, and the
20 question with matrix diffusion is can you really prove
21 it up.

22 So the Department's decision, at least in
23 my view, is do they throw it out and not claim
24 anything or do they try to prove it up and have to go
25 through what they consider to be an overly onerous

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1 process? And Chris might say why is the NRC making it
2 so onerous when it's such a small thing? And so I
3 think ultimately it comes back to maybe Tim's good
4 advice here, and that's if it's not worth a lot to you
5 as an applicant and you don't want to have to go
6 through what you may have to claim as onerous later,
7 don't claim it in the first place.

8 MR. RYAN: Richard?

9 MR. PARIZEK: Yes. Parizek, Board.
10 There's another value to it, however, even if it's
11 hard to prove to the satisfaction of NRC, and that
12 would be the safety case. Seems to me you have to put
13 together all of the logic that leads you to believe
14 that the TSPA analysis is credible, knowing there are
15 a lot of problems with TSPA results, right? So why
16 isn't that maybe one of the add-ons you get by going
17 through the safety case and the logic behind it, which
18 you can see value or see credit but you can't quite
19 put a number on it. Still get credit for it. Don't
20 throw it out, in other words.

21 MR. KESSLER: I'd like to get back to
22 John's point about, well, if it's risk important, it's
23 in, if it's not risk important, it should be out.
24 What I was trying to say earlier was that there seems
25 to be two measures of risk importance that we've heard

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1 the past two days. One is overall risk importance,
2 and DOE has been making the argument that there's only
3 so many things that if you basically -- I think
4 they're doing -- when they look at risk importance,
5 they're doing one-off analyses, saying you take a
6 barrier out and if we don't see much change, then
7 maybe that's not so important as taking other barriers
8 out.

9 Then we see what I think is a completely
10 different yet insightful approach, EPRIS' done both,
11 which is putting a barrier in. I think that's what we
12 heard from Tim this morning, which is this idea that
13 if you have alluvium KDs that range from here to here,
14 well, suddenly you can get delay times for certain
15 radionuclides that become important relative to either
16 10,000 years or relative to the half-life at the
17 particular radionuclide. They're two very different
18 measures of importance, and in my mind they result in
19 two potentially very different weightings of your
20 whole program and not just performance confirmation.
21 My concern is that they're both claiming risk
22 importance but from doing different kinds of analyses
23 and looking at things differently. One is using a lot
24 of weight on overall performance and the other is
25 looking at barriers. It has a lot to do with how many

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1 barriers you even want to carry along.

2 MR. RYAN: Comment? Richard, maybe I
3 could ask you to give us your thoughts on that.

4 MR. PARIZEK: Okay. First of all, I want
5 to thank this group for being included in the
6 discussion. It's a very important topic, in my
7 opinion. I also want to indicate that I'm speaking as
8 a private member, citizen, a Penn Stater in this case
9 rather than as a Board member, although Dan Bullen is
10 here as a Board member and also Dave Diodaro is the
11 staff member, so we could have room to chat about this
12 in more detail, any points in more detail. Dan's not
13 known to be quiet. He can't sit very long without
14 having something useful to say.

15 MR. BULLEN: I thought I was just here to
16 watch you.

17 MR. PARIZEK: I know, I know. I
18 introduced you so that you would not hide in the
19 background there.

20 I had a couple of bullets and whether that
21 slide comes up or not is not too critical, but I want
22 to, first of all, compliment DOE for its efforts it's
23 made really to date in developing this confirmation
24 testing thought process. We've been kind of waiting
25 to see it, or I've been waiting to see it for quite a

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1 while, and now we start to see the detail at a level
2 to which it's been carried, and I think that's
3 extremely important. And to have the discussions that
4 we've been having should be helpful to DOE and also to
5 bring some understanding between what expectations
6 there are for NRC versus DOE and bring closure on some
7 of these items.

8 There's I think some very valuable lessons
9 we learned at WIPP and fortunately with Wendell here
10 and others some of that has been captured. But there
11 is a real program there, and some things will be
12 included in confirmation testing, some things were
13 not. There's an opportunity to kind of understand how
14 that program worked and why those decisions were made
15 to include or not include certain testing efforts.

16 There's a lot to be said about what we
17 need to know about a site and about the
18 characteristics of the site. We heard, for instance,
19 why mess around with weather, I mean why do you make
20 yourself responsible to measure weather issues? And
21 it was raised a point that maybe you'd understand
22 infiltration and maybe you'd understand something that
23 was happening underground because you were measuring
24 the weather. And, surely, to make that as part of a
25 compliant responsibility raises an interesting point.

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1 Save the money and not get caught with it as -- or
2 WIPP got caught, I guess, in some of the gas testing
3 that they have to do in waste packages.

4 So then you go back and say what other
5 things are in the program risk that we saw, those
6 items that seem to be included as maybe confirmation
7 testing requirements, such as the joint fracture
8 measurements that were to be taken. An awful lot of
9 measurements to be taken but what are you going to do
10 with the data, unless you're going to say, "If I find
11 nine joints per meter, maybe I shouldn't put a waste
12 package there." I mean what are we going to do with
13 it unless you say we now correlate that as a fast
14 pathway possibility that has consequence. We have to
15 know why would you make those measurements, because
16 that could be a tedious thing unless there's some
17 indirect ways of doing it.

18 As far as the weather monitoring, there is
19 some reason maybe to do that purely on a scientific
20 basis and understanding, basically, processes at work
21 in the desert. So that's a fourth reason to do
22 monitoring. A fifth one is just to make the public go
23 away, although the public's not dumb in this regard,
24 so it's compliance monitoring, it's done because of
25 law, but you're not going to fool the public any more

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1 to say we monitor. The public wants to know what are
2 you going to monitor, why, what does it tell us about
3 it? But for science understanding, what do you know
4 about weather and weather changes? What's the whole
5 racine? What's the whole racine climate, for
6 instance, in the TSPA model that you assume? And then
7 we look at the whole racine, we go out in the Death
8 Valley area, we go out and look at the Mohabi River
9 drainage basin and we see in 10,000 years four major
10 lake level stands in lakes that were more than just
11 trivial, not just rains in the San Bernadino Mountains
12 that gave you still stands of water for months or
13 perhaps a year but substantial lake level stands that
14 probably a lot of water got there in the desert. And
15 then we have three or four or five periods of alluvial
16 fan development which really requires big triggering
17 mechanisms to flush sediment down to generate fans.
18 So there's something about this weather story and
19 about monitoring that might then say, "I'd better
20 start looking underground because maybe this is a time
21 when fast paths will kick in and this may have
22 something to do with repository behavior. But, again,
23 not necessarily because you're prescribing it but
24 rather to understand the science of the processes that
25 are involved.

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1 And then there's been funding in three
2 different areas. The site characterization effort,
3 there's an awful lot of work being done, and then
4 there's a short listing of what really seems to be the
5 critical path, things that really matter in studies,
6 right? Go back ten years ago and see what the program
7 was doing. And as funding got tighter and as we
8 became more focused, we see very direct efforts to try
9 to deal with those parts of the system that mattered
10 or contributed somehow to performance.

11 On the other hand, after SR, it seems like
12 that money was sort of disappearing and getting hard
13 to sustain the effort on the unfinished business.
14 Take for instance the testing -- you know, the
15 hydrological testing. You can't do it because the
16 state engineers says, "Well, if you know the site's
17 suitable, why run these tests?" So it's holding up
18 certain aspects of the testing program, right, that's
19 really harmful to the progress being made.

20 Now with the science and technology
21 initiative -- and, boy, for those of us who didn't get
22 the results that we wanted to get in terms of
23 improving confidence under site characterization
24 think, oh, good, there will be a science and
25 engineering initiative. Maybe some further answers

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1 will be raised as part of that process, and that's not
2 considered fair because maybe the money won't be there
3 and maybe the people who pick and choose what's
4 important may not include some of the things that some
5 of us might be important. So it's kind of a crap
6 shoot whether it will get done.

7 Then they had the confirmation testing
8 thing. Oh, good, all the things we didn't do so far
9 could be done there, and we've already been told
10 that's dumping it in the basket, but, hey, from a
11 science understanding point of view and confidence
12 building point of view, some of us wouldn't care where
13 the money came from as long as it got done. And so
14 I'm worried that as you bounce this ball back and
15 forth, maybe some of these things won't get done.
16 Some of the unresolved issues may fall between the
17 cracks. This should be in that program, they might be
18 in that program, may never be in any program, in which
19 case it just sort of weakens the importance of the
20 study.

21 This is again why an oversight --
22 independent oversight's useful. The pig farm analogy
23 yesterday says you get so used to the odors that you
24 don't even notice them anymore, right? And the idea
25 is to be able to look at the program and decide

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1 whether something is an aspersion from the average,
2 right? We're watching paint dry and that's not too
3 much fun, and after 5,000 waivers you probably won't
4 know after all what's going on unless you have some
5 independent check on yourself.

6 Then there's a TSPA impact on decision
7 making, what goes in confirmation testing, the one-on,
8 one-off and the various analyses that have been run,
9 and some things dropped out. And the things that
10 dropped out may have dropped out for reasons that
11 maybe the processes that were being understood weren't
12 adequately understood or the data to support them
13 wasn't too well understood. So if they dropped out,
14 they better not disappear if they're really important.
15 Somebody has to think about it for a minute, which
16 ones did we leave out? Like colloids. Did you study
17 colloids as a source term? Yes, that seems to be on
18 the list. There will be tons of colloids. In the
19 shield shafts there's going to be tons of colloids in
20 the waste package and in the waste drum, and it isn't
21 whether you're going to have colloids, the question is
22 will they move through the unsaturated zone and ever
23 get to the water table? Even once they get down,
24 something gets down, then you'll have new colloids.

25 But when you look at the secondary

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1 minerals in millions of years of history of that
2 Mountain, the only thing that might have been called
3 colloids is some of the silicious materials that are
4 part of the secondary minerals. So I don't know if
5 these particles and things that you say -- that's a
6 particle that got trapped in the lithophysal cavities
7 or in the secondary joints and prove that there was
8 colloidal transport through the unsaturated zone,
9 other than up near the land surface somewhere. So
10 there's an example there of way in which you might
11 spend time looking at aspects of the programs that are
12 quite important and not necessarily leave them out.

13 Then there's the confirmation testing
14 synergies. There was a young intern yesterday that I
15 don't see here today who brought up some question
16 about interactive terms, but take, for instance, the
17 test plan to look at the aeromag anomalies. There
18 some aeromag anomalies, and according to the scale at
19 which you scan the area with overflights that were
20 done in 1999, reports by the USGS, certain anomalies
21 didn't show up. And then the Center people went out
22 and did ground-based work and said, "God, here some
23 anomalies jumping right out of the area," according to
24 the resolution that you get from that method of
25 testing. So we knew there flights of plan for 2004,

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1 as we understand, looking for possible aeromag
2 anomalies, and EM surveys would be part of that
3 process.

4 And there's at least a commitment to grow
5 maybe eight drill holes minimum at sites which have a
6 high probability of volcanic and age, date and so on.
7 And I would argue that just to drill the hole and
8 backfill the hole and walk away from it and say, yes,
9 it was an aeromag thing, no, it wasn't, this is what
10 it's age, there's more to be gained from it, which the
11 program as a whole has a lot at stake. How thick was
12 the overburden, was there buried ashes in there that
13 could give you a rate of sediment accumulation, is
14 there paleosols present because that might sandwich
15 flow, and transport within the saturated alluvium
16 could be very important items to add on as value
17 added.

18 And there ought to be a monitoring well.
19 I would go to Chris and others' program and say, "Hey,
20 from a science and engineering point of view, for very
21 inexpensive play at this point, stick a damn casing
22 down the hole and use that as one level measuring
23 point, as a data point for chemistry, isotopic
24 studies. Because like, for instance, in some of the
25 drilling areas, like in the Crater of Flat there's only

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1 three holes out there in that huge area, and it might
2 make a big difference of what the pathways of water
3 flow are. And the pathways of water flow are
4 something that you can test even though you might not
5 wait around for the radionuclides to break through to
6 the accessible environment, but nevertheless you could
7 say the flow field hasn't been changed. It will go
8 south-southeastward, it will get in alluvium, and
9 these new holes support that argument. So I think
10 there's some value to that kind of a thought process.

11 And then there's a natural-engineered
12 analog example. You know, the Teton Dam, I guess it's
13 up there, is an example of thing that failed. You
14 know, the engineering part was an Earth-filled dam,
15 and the Earth-filled dam was made of wind-blown dust.
16 It had a filter core, it had ripped up, and much of
17 the dam was still there. It was designed to withstand
18 the intentional use of that dam. And so the
19 engineered barriers were great, the geology was for
20 salts and it had fractures and it was somewhat
21 permeable, but remediation could include grouting near
22 where the soil met the Dam and so on. And between the
23 geology, which was good, and the engineered part,
24 which was good, put it together the Dam failed. So
25 this is a question of what are the actions that might

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1 occur when you take them out, which is pretty good,
2 and the engineered barriers, which have some
3 uncertainties with them but are pretty good, put them
4 together and now you create a near field environment
5 which is hard to really quantify, and it seems like a
6 lot of the metals behavior, so it comes back to this
7 near field environment. So we'd say this analog has
8 a value to us of making sure that when we combine the
9 geology of the Mountain and the engineering of the
10 Mountain that we don't have some surprises in between
11 that slip through the crack.

12 So under confirmation testing, I don't see
13 too many connections between interactive processes.
14 I see individual items listed, but I don't see that
15 interaction thing brought out to deal with this sort
16 of a through process. So I think Yucca Mountain has
17 to be cautious about it. And you know that there's
18 going to be thermal, mechanical, hydrological kind of
19 interaction things which are damn complicated.

20 And then we heard Debbie Barr say, well,
21 take corrective actions should significant variances
22 arise. Well, okay, for seismic stability, maybe you
23 better backfill, maybe for volcanism that's the only
24 best choice in order to protect some waste packages,
25 maybe to prevent rock fall damage that's what you can

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1 do. But you can't just list that, that that's what
2 you can do, you have to say what was the consequence
3 of using backfill, because that changes the end drift
4 environment, and all the behavior of the waste
5 packages change, I guess, if you backfill it, right?
6 And it's sort of like Chernobyl. I think the
7 Chernobyl disaster teaches us something. They tried
8 to put the fire out, but trying to put it out they
9 dumped all sorts of debris on it which made the
10 particles that were released worse than they would
11 have been if they hadn't tried to put it out. But
12 there was no contingency plan in the event you had a
13 fire what you should do, what you shouldn't do. So it
14 was a sort of Band-aid that blew up on the program in
15 terms of particles generated and where they drifted
16 and the size and all the rest of it.

17 And, finally, there's one other point on
18 the engineering testing concepts. When you look at
19 the European programs, a lot of effort's been put into
20 testing the waste package, the seals. I'm going to
21 weld it and demonstrate you can weld it. It didn't
22 work as good that way as maybe some other way, so
23 there's a very advanced program of putting waste
24 packages in place, trying to pull them out to show you
25 could retrieve them, all the things that we show on

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1 paper but the program is not yet done. And so there's
2 a lot of work to be done, and maybe that's not
3 critical to do all this before license application but
4 somewhere along the line you have to spend a lot of
5 time developing the remote handling device to put the
6 waste package. They don't crawl over rocks if rocks
7 should fall and so on. You know, all the bits and
8 pieces of the hardware that it's going to really take
9 to do this job.

10 So the program shouldn't be misled by the
11 effort that that's going to take even though there's
12 a lot of design work that's going on right now. But
13 until you build the prototypes and try them out, you
14 really don't know how all of this is going to turn out
15 in the long run. I think we're in for some surprises,
16 some delays, but the program is innovative and it's
17 going to be fun to watch. So that's sort of some
18 highlights.

19 MR. RYAN: Okay. Thank you. Reactions?
20 Comments?

21 MR. BERNERO: Yes, especially on the
22 interactive processes and other things. It sounds
23 like the Performance Confirmation Program model really
24 has to be somewhat broader for the basis to be the
25 total system performance assessment. It can't just be

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1 barriers or important barriers. And it seems like it
2 would have to also reflect on important models, you
3 know, measuring the weather or local climate effects
4 to test important models and interactive processes.
5 And what we heard in the last day and a half is much
6 more, I think, based on -- both from the staff and
7 DOE, much more based on barriers, on barrier analysis,
8 and the dispute or discussion more on is it an
9 important barrier or not an important barrier, is it
10 a require barrier or not a required barrier? And I
11 think that's a source of concern in my mind too.

12 MR. PARIZEK: Or how to define a barrier
13 and what the cutoff should be. When it's only two
14 percent benefit do we ignore it? My gut reaction is
15 you retain them all in one way or another, because you
16 don't really know how the metal is really going to pan
17 out. Somewhere along the line you may find out
18 there's something drastically wrong or maybe now have
19 second thoughts about it, and you're going to use all
20 these other barriers if you can. But that's not
21 necessarily up to DOE to prove their value, but I
22 think you ought to think through the ones you're going
23 to drop off the table that may actually provide more
24 benefit than they're getting credit for right now.

25 MR. BERNERO: I would say that the

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1 decision is more a positive decision: What shall the
2 program pursue in performance confirmation testing?
3 Obviously, I think the important barriers should be
4 tested. The unimportant barriers may not be. They
5 may be set aside but important models, performance
6 assessment models may call for resurrecting. You
7 know, matrix diffusion, I don't know if it's right or
8 wrong, but it could call for a revision of the
9 Performance Confirmation Program to pick up on those
10 barriers. But I think the key thing is to test models
11 and the performance assessment, the Performance
12 Confirmation Program, the entire safety analysis has
13 to be a living system, has to be a living document,
14 learning and incorporating that learning and changing
15 accordingly.

16 MR. RYAN: Other comments on Richard's
17 observations? Staff, comments? Wendell, perhaps we
18 could go to you and hear your summary.

19 MR. WEART: All right. I don't know
20 whether to say I'm pleased at the opportunity to be
21 here or not.

22 (Laughter.)

23 MR. WEART: I'm sort of like some of the
24 speakers. I have had relatively little connection
25 with Yucca Mountain over the past, and I suspect the

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1 reason I'm here is because of my WIPP experience, of
2 which I've had also more than perhaps I could enjoy.

3 (Laughter.)

4 MR. WEART: But I will give you the
5 benefit of some of my thoughts that I jotted down as
6 I heard the presentations and some thoughts based upon
7 my association with WIPP over the years.

8 I sort of start with, as some other people
9 have done, about what is your basic definition of
10 performance confirmation, and what do those words
11 really imply to the people who listen to those words?
12 Well, I think it is important in any program to look
13 at those things that have formed an important basis of
14 your performance assessments, of your TSPA, but I
15 don't think that's quite all you want to do. I think
16 you need to look beyond trying to measure those things
17 which can confirm that performance to make sure that
18 you look broadly enough to find any holes or voids or
19 differences in models or assumptions that may surround
20 those models and techniques that you believe to be
21 correct. Because usually our surprises come in
22 findings things that we didn't expect, and performance
23 confirmation as a tool ought to be broad enough to
24 look for those kinds of things.

25 I know from my experience in working for

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1 DOE for over 40 years that there's a tendency in
2 things like this where milestones are important, where
3 the project is important to try and be comprehensive
4 and all-inclusive because not being so in a regulatory
5 environment can result in substantial delays,
6 additional cost if you have to go back and remedy
7 omissions. On the other hand, I think there is a
8 problem that sometimes more is done than is really
9 necessary. And I would hope that meetings like this
10 might get DOE and NRC to seeing things a little closer
11 to each other's viewpoints, and maybe instead of being
12 super conservative by putting in almost everything you
13 can think of to do performance confirmation on, you
14 can work out, as we've heard quite a bit of discussion
15 about here, selecting those barriers which are really
16 important, selecting those things which really are the
17 major impactors on safety, on total safety, and look
18 at those. And perhaps on NRC's side, if you find that
19 there are things that aren't there, finding perhaps a
20 smoother way to get DOE to implement those omissions
21 back into the program so it doesn't result in a big
22 delay. I don't know if that's possible in the
23 regulatory environment in which you work, but I'd like
24 to think that there are ways that that could be done.

25 Along the lines of doing too much, it's

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1 not just too many barriers analyzed, it's also, as
2 we've heard, promising to do things or implying that
3 you can do things that you in fact may not be able to
4 do. I've seen my share of that on WIPP, and we've
5 learned to regret it. I think that there may be a
6 place to initiate those kinds of programs but maybe
7 it's not in performance confirmation. Maybe it's in
8 long-term science and technology programs or some
9 other place, unless you're really certain that you
10 have the technology you need to do the things you
11 promise you're going to do.

12 We've heard about avoiding using PC --
13 maybe I shouldn't use PC, that has another
14 connotation, political correctness -- maybe I should,
15 maybe they're the same thing. But I would hope we
16 don't use it as a shopping basket, that we be
17 discriminating and we select carefully those things
18 which we think are really important to confirm.

19 I would hope and I'm sure that DOE has
20 thought about prioritizing their PC Program within the
21 plan that will come out, because, frankly, I'd be
22 surprised if they find they get the funding to do
23 everything that's in that plan. And if they don't get
24 the funding, there must be some things that are more
25 important to them than others, and I hope that they're

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1 thinking about that in advance, because I predict that
2 will be one of the things that happens. Because this
3 is a program that's going to be long enough that early
4 on there may be intense interest and there may be
5 funding for it, but as times goes on you'll find that
6 interest flags, funding flags, and it will be a
7 continuous struggle to do the program, to implement
8 the program that you now think is important and
9 perhaps even necessary.

10 Just a word about using conservative
11 bounding arguments. It's often appealing and appears
12 attractive to do this if you think there's relatively
13 little harm or adverse consequence in doing it. But
14 I've found from my experience in WIPP that sometimes
15 even though that's what you think at the moment, in
16 programs that go on for a long time, you may find that
17 in the end that turns out not being the case and that
18 you can be hurt by the fact that you've now locked in
19 these conservatisms which it's very hard to get rid of
20 after the fact. So don't adopt them, don't adopt
21 these conservative bounds and limits unless it really
22 is necessary to do. So if you can't get the data or
23 if you can get it by taking a little more time, I
24 would urge you to think carefully about doing that.

25 One of the things that we have on WIPP

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1 that you don't have in quite the same way on Yucca
2 Mountain is this five-year recertification, although
3 NRC can, of course, and will look at the programs
4 continuously to see if there's anything of
5 significance that must be reexamined. This five-year
6 recertification and perhaps the way the Yucca Mountain
7 program develops can be a two-edged sword because
8 there have been some people who suggested that if you
9 don't learn anything new, you have very little to do
10 in recertification. Therefore, don't look for any
11 further understanding, any new information, because
12 you might not like the information you find out.
13 Well, of course, none of us would do that here, but I
14 just point out that that is a possible 180 degree
15 effect that could occur. I think that's enough for
16 now.

17 MR. RYAN: Thank you, Wendell. Reactions
18 to Wendell's comments?

19 MR. HORNBERGER: Mike, can I say
20 something?

21 MR. RYAN: Yes, please. Have at it,
22 George.

23 MR. HORNBERGER: In listening to both
24 Richard's comments and Wendell's, I think that for me
25 I would like to make a distinction that I don't think

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1 that performance confirmation should be completed with
2 a scientific research program. I think that
3 scientific research forward looking, what the NRC
4 terms anticipatory research, is certainly necessary.
5 We want to have programs that are forward looking, but
6 to me performance confirmation should be directed at
7 the support, if it turns out that way, for a judgment
8 on reasonable expectation.

9 I know I think I disagreed with Chris at
10 the beginning where he said that he didn't like the
11 word, "confirmation." I think that it's a perfectly
12 appropriate word. Confirmation to me is just the
13 flipside of Popper's falsification anyway, because if
14 you read Popper, the first chapter is that if you go
15 out and your hypothesis is that there are only black
16 swans, then in fact every black swan that you observe
17 is, as Popper puts it, an increase in various
18 millitude, which is sort of confirmation. And it is
19 true that it's the other way around with white swans.
20 You go to Australia and your first observation of a
21 black swan, this is Popper's point, is falsification.
22 So that in a Performance Confirmation Program, one
23 would hope that you would design your measurements to
24 be the most -- how to say it -- to stress the system
25 as much as possible; that is, you would like to make

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1 the measurements that would show variances as soon as
2 one would see them.

3 So I don't see that performance
4 confirmation is at odds with the scientific method at
5 all, but I do see it as separate from an absolute
6 passion that people have for complete scientific
7 understanding. I don't think that it's fair to put
8 that burden on a Performance Confirmation Program.

9 MR. GARRICK: I think that it's important
10 too to realize that a good treatment of uncertainty
11 gives us a mechanism for accounting for the fact that
12 we don't know as much as perhaps we'd like to know,
13 and I think that we haven't seen as much uncertainty
14 analysis done as we'd like, but we've seen lots of
15 progress being made in that regard. And it just
16 strikes me that if in fact a contribution is
17 considered against the performance measures in view of
18 its complete -- your complete state of knowledge about
19 it, that has to be a very good measure.

20 And, also, I'm not sure I understand this
21 distinction between the safety case and the TSPA. My
22 view on the TSPA is that anything you can think of
23 that's going to affect the performance of the
24 repository, by definition, has to be a part of the
25 TSPA. If you can think of something and do it offline

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1 and consider it important, then, clearly, it should be
2 graded into the performance assessment. And I would
3 hope that's in fact that is the way that it's done.
4 And if there's a better way, then of course we should
5 do that, but I haven't seen that yet, what's a good
6 alternative to performance assessment. I've certainly
7 seen great opportunity for improving the performance
8 assessment, but I think the focus ought to be on that,
9 on how to make the performance assessment such that,
10 as the regulations say, that it's kind of the primary
11 basis for establishing the technical conclusions about
12 the repository.

13 MR. RYAN: Reaction? Another comment?

14 DR. WEINER: I love being able to ask
15 Wendell questions. Was there anything in the WIPP
16 recertification program that I guess you're now going
17 through that spoke to this question of important
18 things to look at -- important barriers versus less
19 important barriers, things important to safety or less
20 important to safety or not important to safety, or are
21 the two programs, the WIPP recertification and the
22 performance confirmation, are they so different that
23 you can't draw a parallel?

24 MR. WEART: I'm not terribly well-
25 acquainted with the recertification efforts, but it's

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1 my understanding that the things that are being looked
2 at now through a performance assessment, and it is a
3 total redo of the performance assessment, really
4 incorporates things that came about because of
5 changes, design changes, operational changes to WIPP
6 and not because of any new scientific data on barriers
7 that was discovered or proposed.

8 MR. WHIPPLE: Yes. I want to comment
9 briefly on Wendell and John's point about avoiding
10 bounding analyses and trying to be as fully realistic
11 as one can be. Of course, in principle, I support
12 that idea, but I also -- I guess I have more
13 experience with regulation on the small scale with a
14 county water district or an air board on the EPA side
15 of the house where I must admit the regulators find
16 enormous comfort in having been handed a bounding
17 analysis chose compliance with margin. There's little
18 chance of that coming around and biting them, and I
19 think it's similarly true with a nine million page
20 license application to the NRC.

21 One of the aspects of a fully realistic
22 analysis is it represents best understanding, best
23 estimates with a kind of a 50-50 chance of being wrong
24 in the non-conservative direction, and I think that
25 tends to be unacceptable in a politically charged,

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1 politically visible licensing process. And I think
2 that as desirable as it would be to have a fully risk-
3 informed approach through the licensing process, I
4 think that would be a very risky strategy for an
5 applicant to take. I mean I think there is
6 intellectual merit in a risk-informed approach, but I
7 think the political reality of a licensing approach is
8 the burden is on the applicant to prove that
9 everything they say is either true or wrong in the
10 safe direction, and I don't see that being fully
11 compatible with being realistic and risk-informed.

12 MR. RYAN: Yes, Bob?

13 MR. BERNERO: Yes. I'd like to react to
14 that a bit in light of the history at the NRC. As
15 John Garrick certainly knows, in the NRC, in its
16 approach to a probabilistic risk analysis for reactor
17 plants, there was a concerted effort to be realistic,
18 but as I used to say then, to approach realism from
19 the conservative side of the field. You know, there
20 was -- you know, simplification. If you lose the
21 conditions for adequate core cooling, you assume the
22 core melted right away. You didn't try to
23 mechanistically go through things.

24 There was a very important reason why that
25 could be done in a regulatory environment. The NRC

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1 consciously avoided regulating with a safety goal. It
2 described a safety goal, one-tenth of one percent
3 increment of background risk, et cetera, but did not
4 regulate to the safety goal. It was intended for
5 retrospective use of performance assessments, or PRAs,
6 that were as realistic as they could be made.

7 The big difference here in the high-level
8 waste is the fundamental basis of the regulation is to
9 regulate with the performance assessment. It's not a
10 safety goal, it's a condition of acceptability. And
11 of course the results that have been seen in so many
12 performance assessments now are their compliance with
13 margin. And the real question is trying to understand
14 that margin, trying to understand what confidence you
15 can have in those results and trying to understand
16 barriers that right now may not be very important, but
17 if the principal barrier of the package, et cetera,
18 fails, they become very important. So I think there's
19 a fundamental difference in NRC history in that
20 regard.

21 MR. RYAN: Steve and then Wendell.

22 MR. FRISHMAN: Just to follow that, I've
23 kind of anticipated, Bob, that you were going to
24 explain it that way, and I think that's a fair
25 explanation. And if any of us just care to remember

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1 from even a few years back the number of people who
2 suggested that performance assessment should not be
3 used as a compliance tool, and I think we're now
4 beginning to see some of the wisdom in that. And I
5 think from things that people all around the table
6 have said or implied over the last couple days, the
7 thing that we're really facing is using performance
8 assessment really in two different ways.

9 There are those of us, and I think
10 strongly suggested by Richard a few minutes ago, where
11 the performance assessment should be an exposure of
12 what you know, and I think that's probably where John
13 has been coming from for years and why he says
14 everything you know ought to go into it and what you
15 don't know you ought to be able to accurately
16 characterize as you don't know and to quantify what
17 you don't know.

18 So then on the other hand, we have a
19 performance assessment that has to be used for
20 compliance because that's what the rule says. And my
21 point earlier about if you don't want to take credit
22 for it, don't use it, and that's sort of anti-
23 intellectual in a performance assessment, but it's not
24 in the compliance assessment. So I don't know the
25 regulatory, mechanistic, administrative way out of it,

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1 but there may be the need to sort of develop an
2 expectation that there's going to be two kinds of
3 performance assessments done, and one of them is going
4 to be meeting the need that is also required by the
5 rule to demonstrate what you know, and the other one
6 to be a bare bones show us that it complies based on
7 our assessment of your demonstration of what you know.

8 And I think this is something that Abe
9 VanLuke at DOE has pushed for a long time and I
10 finally saw the results of his goal or having worked
11 up the performance assessment for dummies. And I went
12 through most of the disk on that and it's pretty
13 interesting, and it's certainly not sufficient for
14 regulatory purposes but the framework might be in
15 terms of show us how it complies and then on another
16 nine million pages show us how you know what you just
17 told us.

18 MR. RYAN: Wendell?

19 MR. WEART: I just wanted to elaborate a
20 little bit so that people don't misunderstand what I
21 said about not using bounds when you don't need to.
22 I think there are occasions when appropriate use of
23 bounding assumptions is justified, but there are also
24 examples in my experience where you assume something
25 that you thought was conservative, for instance, the

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1 permeability of salt. We thought we would be
2 conservative based on some very early measurements
3 made in the surface and adopted the permeability of
4 salt that was relatively high. Later on when we
5 started to get underground, we found that the
6 permeability was in fact much less. Well, you'd think
7 permeability being much less would be in a
8 conservative direction. Except due to gas generation,
9 we found out that low permeability was bad for us.

10 So you can't always judge in which
11 direction conservatism exists. And unless you're
12 smart enough to have thought of everything in advance
13 and say, "I'm never going to have any surprises," then
14 perhaps you're okay. But that's all I'm saying is if
15 you don't have to rely on bounding, don't, but there
16 are times when perhaps it's all right. But it can
17 come back to haunt you.

18 DR. WEINER: Most of what I wanted to say
19 Wendell just said. I'd just like to add that when you
20 use a conservative consequence and couple it with
21 probabilities, which is what performance assessment
22 does, you can get yourself in a lot of trouble,
23 because the people who read this decouple those two.
24 And we have just seen wonderful examples of that in
25 the transportation area.

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1 And they will say, well, look, you say
2 that such-and-such a result, because the probability
3 of such-and-such an event is small. But when you
4 decouple that, look at what happens. And you -- so
5 there has to be some kind of tradeoff between a
6 bounding -- you know, the obvious advantages of a
7 bounding value, and what's going to happen to that
8 when you put it into a probabilistic framework.

9 MEMBER RYAN: Bob, maybe we could turn to
10 your summary.

11 MR. BERNERO: Okay. As is evident from my
12 remarks already, I remind the audience that my remarks
13 will reflect a certain bias based on my years of
14 experience in NRC licensing of all kinds, and also on
15 personal experience in the development of the high-
16 level waste program here at NRC.

17 I tend to view this subject and this
18 discussion in the last two days as a license
19 applicant, DOE, presenting and talking about what they
20 would offer to meet the regulations to a regulator --
21 the NRC. That's the fundamental character of it.
22 That's the way I perceive it.

23 And so my first remarks are, what did I
24 hear from the applicant? And one of the most
25 important things I heard, and I think it is

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1 particularly important for Yucca Mountain, is who
2 spoke? Debbie Barr is DOE. Her affiliation is the
3 Office of License Application and Strategy, and
4 basically to me that's the applicant's safety analysis
5 seat.

6 It's that arm of the applicant that files
7 the application and maintains it. And that's
8 extremely important, that she did not -- she
9 represents the applicant, and she is not a contractor.

10 This is not to demean the competence of
11 Karen Jenni or Jim Blink. They are contractors to the
12 applicant, and they gave excellent presentations. But
13 I think it's very important that the initiative, the
14 responsibility, remain in DOE hands.

15 Now, what did they say? One of the most
16 important concerns I perceived, it's actually Debbie
17 Barr's overview presentation, page 3. You may
18 remember all of the gold circles, and the root circle
19 is the NRC-specified tests. And it's a plant of many
20 flowers.

21 And you come up and there's this swooping
22 dotted line to performance confirmation right up at
23 the top middle. And my concern is that of the many
24 specified activities and required activities, this is
25 a niche. And it's a niche that's characterized -- I

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1 made in my notes -- that Jim Blink answered my
2 question said, "Performance confirmation begins with
3 the assumption that the system is installed as
4 designed." That's just one example of assumptions
5 that could be difficult or wrong or would change,
6 because the design may well change.

7 My own opinion is when you start to go
8 through even the mock installation of waste by remote
9 means, of setting up waste package, inverts, the
10 railroad tracks, and the waste package, and the
11 canopy, a lot of mechanical designs are going to
12 change. Those drifts are hot cells with no back door
13 and no front door.

14 And I think a lot of simple operational
15 problems may lead to the change of the design, the
16 implementability of the design, and my concern is
17 fundamentally is this niche of performance
18 confirmation, is it coordinated with these other
19 things on a valid basis? It is based on the TSPA, and
20 I agree with that, because that's its fundamental
21 purpose.

22 But we've already had some discussion of,
23 well, what about these loose ends? There are
24 barriers, and a multiple barrier approach is required
25 for this, and certainly one has to have a performance

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1 assessment technique to evaluate the effectiveness of
2 barriers.

3 But we get into questions about, how about
4 important models? How about research? Is that
5 research and development that would explore alternate
6 models, different models, ways to challenge the
7 existing model? Would their interactive processes
8 that Dick Parizek mentioned, coupled processes, are
9 they adequately tested or evaluated? And, if so,
10 where?

11 And so my fundamental concern is that the
12 DOE License Application and Strategy Office must have
13 a really good system of coordinating all of these
14 niches on that chart, along with the performance
15 confirmation.

16 Now, the decision analysis for selecting
17 the portfolio, I found that decision analysis process
18 difficult to track but clear. I thought that was very
19 well done. I think it's a logical process, clearly
20 tracked, and I think the result is reasonable.
21 However, I stumble somewhat on the characterization of
22 the portfolios A through K, skipping some of the
23 letters for whatever reason.

24 That characterization of portfolio A as
25 the minimum needed to satisfy the regulator, at least

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1 that's the way I interpreted it, that wouldn't be
2 right, because that would be the minimum necessary.
3 It would an applicant for a license saying, "I know
4 all I have to do is tell them this, and that's enough
5 to satisfy them." And that's not what I think is
6 right.

7 Rather, I interpreted the end product,
8 which I made notes as portfolio C plus, with some
9 additions, that to me came across as the best judgment
10 of the applicant. That it is our responsibility, DOE,
11 to come up with the right performance confirmation.
12 This is how we selected it, this is what we selected,
13 and that's how we're going to satisfy the regulatory
14 requirement. And NRC would review that.

15 And that sounds right to me. I think
16 that's the right way to choose it.

17 If I understand Karen Jenni and Jim Blink
18 clearly, that is what they did. They actually -- you
19 know, getting aside the cost-benefit issues, they
20 actually developed for DOE the best applicant's
21 opinion, the best applicant's judgment, for what is
22 needed. And so, to me, I'm satisfied with that
23 selection.

24 Obviously, as time goes on, some things
25 will fall off, some things will go on. There will be

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1 changes.

2 For the path forward that Debbie Barr
3 presented, what is needed, the one problem I had at
4 the time of the presentation, further thought makes it
5 somewhat less -- that in Rev. 3, not yet in hand,
6 there was discussion of developing bounds. You know,
7 what constitutes exceedance of the expected behavior
8 of the parameter.

9 There was a little too much flavor of
10 compliance reporting, as if the performance
11 confirmation program, someone with a hat that says
12 "Performance Confirmation Program," is reporting only
13 on those tests and calls up NRC and says, "We just
14 exceeded the rainfall standard," or whatever it is.

15 I don't think they intend that. I hope
16 they don't intend that. What is important is that
17 performance confirmation standards of exceedance,
18 bases for reporting, are part of the safety analysis
19 maintenance. Performance confirmation testing, any
20 other kind of testing, feeds into the maintenance of
21 the safety case, and the maintenance of the safety
22 case hinges on the total -- a living total system
23 performance assessment.

24 Now, the last documented version of it may
25 not be fully up to date with this data, but the key

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1 evaluation is: does this significantly affect the
2 performance assessment and such?

3 So I think if care is taken, the path
4 forward is a promising one. And I suspect, or hope
5 even, that in the spring of '04 we will see a rational
6 integrated approach to that kind of setting of
7 compliance reporting, documentation. And, of course,
8 NRC already in the regulations, as I understand it,
9 has routine reporting something like every two years
10 of all, you know, the important documentations, kind
11 of refreshing milestones.

12 And there will be licensing systems if you
13 have a showstopper, you know, to have urgent
14 reporting. But the important thing is the urgent
15 reporting comes through the license safety analysis,
16 maintenance, and responsibility. It's DOE's
17 responsibility and that should work out in the
18 license.

19 Then, I have only a few remarks on what I
20 heard from the NRC staff. Having lived through that
21 kind of activity for years, the NRC, especially here
22 in performance assessment, is trying to be, a) an
23 independent -- a competent independent reviewer, and,
24 secondly, to illustrate for DOE what ought to be
25 exposed or expounded by the applicant for a license.

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1 And the NRC avoids, and should avoid,
2 overly prescriptive regulation -- in other words,
3 telling you, "Here is exactly what the performance
4 confirmation program should consist of." That's
5 wrong. They shouldn't do it.

6 They shouldn't give DOE an exactly
7 prescriptive description of what the performance
8 assessment should be. But NRC should be developing
9 alternative models of their own. They should be
10 giving descriptive analyses to say what the
11 performance confirmation ought to be.

12 So I found them encouraging to the
13 applicant and not -- I think they were trying to avoid
14 being prescriptive. I think there might be some
15 further use of the generic material that Tom Nicholson
16 presented. That is basically, you could see from the
17 slides in the nature of the work, it's basically for
18 almost retrospective evaluation of DOE sites with
19 waste tanks and licensee sites with piles of waste
20 that, by hook or by crook, got in that configuration.

21 But the general principles that were in
22 his summary I thought were very good, you know, to
23 apply a risk significance, to have conscious awareness
24 of being sure of your models, and reaching some kind
25 of useful conclusion.

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1 That's all I --

2 MEMBER RYAN: Any reaction? Comment?

3 Yes, Ruth.

4 DR. WEINER: I've been consistently
5 puzzled by the notion that the minimum amount that you
6 need to meet licensing criteria are not enough. If
7 they're not enough, what is enough? And do you then
8 define what's enough? And whose responsibility is it?
9 And if what you see is the minimum isn't enough, maybe
10 that shouldn't be the minimum.

11 It's a concept that -- it has come up over
12 and over again, and it came up on the whip. And it's
13 a concept I find very confusing, so I wish you'd
14 expand on it.

15 MR. BERNERO: Well, I would just comment
16 that a favorite example I use of that is if you go to
17 the NRC regulations on the power reactors -- you know,
18 just reactor regulations -- you will find extensive
19 technical requirements. You will find extensive
20 requirements for quality assurance programs and
21 training and all sorts of things.

22 You won't find a word about being a member
23 of the Institute of Nuclear Power Operations. Not a
24 word. But if a new reactor owner came up tomorrow and
25 presented a bullet-proof application for a reactor

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1 license, and said, "There's only one difference. We
2 don't intend to pay the money to join INPO."

3 I don't think they would docket the
4 application, because the real requirement for INPO
5 isn't an explicit INPO membership. It is an approach
6 to management responsibility to say, "This is what I
7 need to do. I understand your grounds and bounds for
8 compliance. But it is my responsibility, and this --
9 I will take that responsibility. And I will add to
10 those minimum requirements as I see fit."

11 CHAIRMAN GARRICK: Ruth, I want to comment
12 on this one, because it's one of my favorite topics.

13 (Laughter.)

14 I think that there's a couple of points
15 here that need to be made. One is that the regulator
16 is never the expert on the system being licensed that
17 the operator-owner is. Never. No matter how many
18 regulations, no matter how many lawyers they have,
19 they do not know the system as well as the owner-
20 operator-designer-builder, or whomever.

21 And I want the perspective to be that the
22 most expert group in the world on that system is
23 completely satisfied that that is a safe system. I
24 don't even want them to think compliance. I want them
25 to think totally from the standpoint that it's safe,

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1 and then let the licensing people worry about whether
2 they've complied with the regulations.

3 That should be a secondary issue. The
4 first issue should not be that we're in compliance.
5 The first issue should be that we are safe.

6 The other thing is that the regulations
7 are full of words that are misleading, words like
8 safety-related equipment. This concept was manifested
9 in wholesale fashion in the reactor business. And
10 what we found out when we started doing risk
11 assessments was that a lot of the safety-related
12 systems were not particularly safety-related.

13 A lot of the systems that were not
14 classified safety-related were extremely critical to
15 safety, like support systems. Support systems were
16 relatively weakly addressed in the regulations, and
17 yet they, in many respects, dominated the risk of
18 nuclear powerplants. So that's kind of a gross
19 comment to why the regulations -- why the state of
20 mind should not be just to meet the regulations.

21 MEMBER RYAN: Milt?

22 MEMBER LEVENSON: Well, I guess my comment
23 is similar but quite different than John's in a way.
24 I once resigned from the Safety Advisory Committee to
25 a utility that I will not identify when the new

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1 management decided to convert it to a compliance
2 committee.

3 And compliance never assures safety. The
4 owner or the licensee is absolutely responsible for
5 safety. But that's a completely different issue than
6 what you submit for the license application, because
7 I think John and I agree that what -- your interaction
8 with NRC never assures safety. It's not enough to.

9 So why you have to provide everything --
10 I mean, there's all kinds of things that reactor
11 operators do to assure safety, above and beyond the
12 minimum. So I think I agree with you that there's a
13 serious question as to why the license application,
14 which is a compliance, not a safety, thing, needs to
15 go beyond.

16 Bob, let me ask you a question about your
17 statement of INPO. Suppose Congress, in its infinite
18 wisdom, decided that our nuclear submarines need to be
19 licensed. The Navy decided to not join INPO.

20 (Laughter.)

21 Would you not docket their application?

22 (Laughter.)

23 MR. BERNERO: No. Clearly -- and I'm sure
24 you're aware that the nuclear submarines for many,
25 many years have been reviewed by the NRC, you know, by

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1 advisor or something like that, an advisory role.

2 No, the important thing is is the
3 regulations cannot be so prescriptive as to have
4 specific solutions to problems. As John says, they're
5 not expert, but they can require a competent, quality
6 assurance program.

7 I remember vividly I signed a letter
8 July 31, 1989, to the Yucca Mountain Program that
9 said, "This won't wash. Your site characterization
10 plan is -- we have two objections to it. You don't
11 have an adequate QA program, and you don't have an
12 adequate design control process."

13 We did not tell them what those processes
14 had to be. We just said what you have doesn't cut the
15 mustard. And so the regulator can't pose as the
16 expert, but the regulator can say, "You don't meet the
17 standards or evidence. You don't show evidence of
18 sufficient safety or competence in an area."

19 MEMBER LEVENSON: But that's in -- that's
20 a little bit in conflict to your previous statement
21 that even though there is no regulation requiring INPO
22 membership, that you wouldn't even docket a case if
23 they weren't a member. But I think you are saying
24 what a lot of people have accused the staff of doing,
25 of indirectly specifying exactly how to do it. I

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1 could come in with a management system equal to
2 INPO's, and you wouldn't accept it.

3 MR. BERNERO: Milt, I remember -- there
4 are diplomatic ways to handle issues like this without
5 flogging them through a formal review and licensing
6 process. I remember many years ago a plant that you
7 now know as Hope Creek was going to be on New Bold
8 Island in the middle of the Delaware River.

9 And we were doing the environmental impact
10 statement on that, and the population and many issues
11 were so bad that it just looked like that we wouldn't
12 be able to go through to a successful conclusion. And
13 the applicant was informed that, if you change your
14 site, we'll put you first in line to suffer minimum
15 licensing delay. And that's exactly what happened.

16 And today, if you go to Salem, New Jersey,
17 you will see a boiling water reactor with a concrete
18 containment.

19 MEMBER LEVENSON: Well, from Hope Creek
20 we'll go to Ruth Weiner, and then I want to ask John
21 Kessler to make his summary remarks.

22 DR. WEINER: I just wanted to very briefly
23 say thank you. This really clears it up for me. And
24 if I was confused about -- well, it really does. If
25 I was confused about the difference between meaning --

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1 between compliance and safety, I imagine that this
2 confusion -- a lot of the members of the public are
3 also confused.

4 And I would encourage whoever does this to
5 make that distinction very clear, because from the
6 naive public perception we perceive the regulator as
7 guaranteeing safety. And that's not just NRC. I
8 mean, we do it with EPA also, and with the state
9 regulations.

10 And if there is a difference, and the
11 difference has been very well explained by the three
12 of you, I think it's important to make that difference
13 clear in public communications.

14 MEMBER RYAN: Chris, Sher. We've got two
15 hands in the air. I'll take another --

16 MR. WHIPPLE: I do want to weigh in on
17 this, because I think we may have a common mode
18 failure here in that --

19 (Laughter.)

20 -- Bob's and John's and Milt's background
21 are all as experienced reactor guys, and there are
22 other schools of thought. And particularly, there are
23 very different cultures. And to my way of thinking,
24 a high-level waste repository is physically and
25 operationally a lot more like a RCRA landfill or a

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1 low-level waste site or some other EPA-oriented
2 contaminated site.

3 And EPA culture and approach is that if
4 you comply, you comply. If the dose limit is 10, and
5 you go to EPA and convince them that the performance
6 is eight, you pass. If the dose limit of NRC is 10,
7 and you convince them that you're at one, they'll give
8 you 63 more things to do. And those are cultural
9 differences in the history of the organizations.

10 Okay. But it's not necessarily that one
11 works better than the other. I think EPA does their
12 job pretty well, too.

13 MR. PARIZEK: Debbie Barr, are you a
14 member, or have you ever been a member, or do you
15 intend to become a member of INPO?

16 (Laughter.)

17 MEMBER RYAN: Sher.

18 MR. BAHADUR: Ruth, this conversation
19 which we've heard just now may have cleared your
20 misunderstanding quite a bit, but it has totally
21 confused me.

22 (Laughter.)

23 The NRC staff -- my thinking has been that
24 the NRC's mission is to protect public health and
25 safety. And NRC does it by promulgating regulations,

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1 making sure those regulations are in compliance by a
2 licensee. And if a licensee does that, then that
3 provides adequate protection for the public health and
4 safety.

5 My understanding was, having met the
6 compliance, having done the compliance, the licensee
7 would continue to do things to further the safety of
8 their license facilities, because there is a concept
9 called ALARA. It is reasonably achievable, and it is
10 the ALARA principle for which a licensee continues to
11 do a lot more than what is just needed for compliance.

12 MEMBER RYAN: Bob, and then Milt, and then
13 we'll move on.

14 MR. BERNERO: Okay. I just want to add
15 that I agree with Chris Whipple on the fact that this
16 is a different culture. And if you go through the
17 history of waste management regulation, what you find
18 -- that the performance assessments are indeed of a
19 nature that compliance is sufficient.

20 And ALARA doesn't really play a role, in
21 fact, in the license termination rule. NRC even
22 virtually concluded that if you get down to this level
23 you are inherently ALARA. It's very difficult to
24 apply the ALARA in waste management.

25 But nevertheless, in the analysis of the

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1 high-level waste repository, you have both the
2 compliance aspect and the question of realism, because
3 if you simply act as compliance you lose any sense of
4 margin and you risk having unfounded confidence in a
5 conservatism that may not be right.

6 So there needs to be a marriage of realism
7 and compliance. But you're right that in waste
8 disposal, you know, it's compliance.

9 MEMBER RYAN: Milt?

10 MEMBER LEVENSON: Yes. Chris, in response
11 to your note, the ACNW is on record with a letter to
12 the Commission of its concern of the fact that an
13 awful lot of reactor culture has been carried over
14 into the original draft of the Yucca Mountain Review
15 Plan before it was revised. So I think we're fairly
16 sensitive to that issue.

17 But, Ruth, in response to your question,
18 there is safety and there is safety. I guess the way
19 I divide it is that compliance, as far as I -- my own
20 personal viewpoint, compliance with the regulations
21 and reactors assures public safety. It does nothing
22 to assure safety of the plant and necessarily the
23 employees, and my concern was that that was the major
24 difference where I was involved -- is that compliance
25 for public safety is not enough to assure your

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1 investment.

2 MEMBER RYAN: Let me just make one
3 comment, John, before you finish. And I'm offering a
4 perspective as a former licensee. And I'm aligned
5 more with Sher's summary. You know, I think the ALARA
6 principle is something that is in place. There is a
7 basic requirement to sort of get you into the game,
8 whatever that licensed game is that you're involved
9 in. And then, there's an evolutionary process to, in
10 a general way, continue to improve.

11 And I think that's part of the culture
12 we're thinking about, and I think to me in performance
13 confirmation and in Yucca Mountain how you get to that
14 "continue to improve" is -- you're improving knowledge
15 base perhaps rather than practice, or maybe a little
16 bit of both. But there's a shift from a facility
17 where you can do stuff differently to a facility where
18 you've already made that commitment up front.

19 So that's -- it's a great discussion, and
20 there's lots of views there on that. And I think if
21 we digest that and think about it, something positive
22 will come out of it.

23 What I'd like to do is finish with John's
24 summary and comments before we break, so that we have
25 continuity with all six panelists giving their

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1 comments. We'll take a short break. Then I would ask
2 the NRC staff who are here to react to the panel
3 discussion, with the idea of, how does what they've
4 heard -- you know, how would you reflect on your
5 review of the DOE performance confirmation plan? And
6 how has this working group influenced you, affected
7 you, or changed what you thought coming in, or
8 enhanced what you thought coming in?

9 So maybe you can give that some thought
10 between now and 20 minutes, and offer us your
11 reactions as well.

12 So without further ado, John, please give
13 us your 10 minute or so summary.

14 MR. KESSLER: Well, I'll keep it less than
15 10 minutes --

16 MEMBER RYAN: Thank you.

17 MR. KESSLER: -- since I've already had
18 chances to say a lot of the things I wanted to say.

19 I guess just to respond to two things I've
20 heard in the last little bit is there is discussion
21 about analogies back to reactors, which I think is
22 appropriate in some regard, and back to, you know,
23 experience with EPA and RCRA sites and CERCLA, and
24 things like that.

25 We have no history with NRC and any kind

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1 of high-level waste disposal regulation here. There
2 is no INPO. There is no prior EPA experience. There
3 is no prior reactor experience per se. I think it's
4 probably okay for there to be a bit more guidance from
5 NRC, given that this is the first one out of the
6 starting block.

7 I'm not saying a lot more specification.
8 One of the things I've been harping on is some sort of
9 clarification of the relative importance of doing --
10 supporting the barriers versus just supporting the
11 overall performance criteria. I think that would be
12 a reasonable thing to do.

13 Just the fact that there has to be more
14 discussion, and don't leave it entirely up to the
15 applicant without some discussion. I think that from
16 the presentations we had yesterday, I think that
17 Debbie -- well, all three of their presentations were
18 quite good in the sense that they're trying to pick
19 their way through a bunch of very general statements
20 in Part 63 about overall performance criteria and very
21 general words about what constitutes a barrier and
22 some general words about what is performance
23 confirmation.

24 They're trying to pick the right balance
25 between what barriers do we support, which -- you

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1 know, which are the major barriers, and how much do we
2 emphasize those, what level of detail we go into. The
3 C plus -- I don't know, maybe it's the right balance.
4 Maybe it's too much. Who knows? But I think that
5 some feedback from NRC is warranted, given our lack of
6 history, no INPO, no nothing.

7 I've always supported the idea that we
8 should try to, even with the combination of expert
9 judgment and our best shot at evidence-based
10 information, come up with what we think is a -- the
11 most realistic performance assessment that we can do.

12 My understanding is that, you know, for
13 reactor PRAs that was what was done. They'd start
14 with the best estimate to figure out which was the
15 most important aspects of performance they wanted to
16 go after. Then, they'd jump back into Part 50, more
17 prescriptive approaches, to go from there.

18 So perhaps what DOE needs to back up and
19 do is add a little bit more on the realistic side to
20 at least provide some insight on how much margin there
21 is that they're providing in their compliance-based
22 assessment.

23 One thing that George brought up last,
24 although it's been brought up by several of us in the
25 past two days, is George made a comment -- I'm not

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1 sure I'm quoting you right here, George. You said
2 that the performance confirmation program should be
3 used in part to determine reasonable expectation.

4 And I think this goes to something that
5 Steve made in his comments, and that I made yesterday,
6 too, which is, you know, what is that role of
7 performance confirmation? Steve had a very different
8 view from what I understood, which is that -- set
9 performance confirmation aside. It's extra fluff.

10 You need to have a core set of data that
11 you use, and that's what you determine reasonable
12 expectation. And the performance confirmation is
13 something more than that. It's just we're not quite
14 sure what.

15 I'd actually like for there to be some
16 discussion about how much you need to know now and
17 what is the role of performance confirmation in terms
18 of its role in setting reasonable expectation for DOE
19 to obtain a license to proceed into construction.

20 MEMBER RYAN: Maybe that's something the
21 NRC will offer thought on after you come back.

22 MR. KESSLER: Yes, okay.

23 MEMBER RYAN: And then, Steve, I wanted to
24 just add to John's comment, if I may. I thought your
25 comment along those lines was in the context of

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1 recognizing the construction authorization, sort of a
2 jumping off point, or, you know, that was the approval
3 to dispose, and that you saw performance confirmation
4 after that decision was made as being kind of
5 something in addition to rather than condition of.

6 MR. FRISHMAN: Yes, that's exactly what I
7 was saying.

8 MEMBER RYAN: Okay. I just want to make
9 sure I understood his summary of your comment.

10 MR. FRISHMAN: Yes.

11 MEMBER RYAN: Thank you.

12 MR. KESSLER: That's it.

13 MEMBER RYAN: Okay. Any initial reactions
14 to John? Yes, please.

15 MR. PARIZEK: Dick Parizek, the Board
16 again. On the basis of what John was saying in terms
17 of trying to get to the end point in a more efficient
18 way, I would turn back to Wendell and ask, Wendell,
19 would it have been -- what would you have -- would you
20 have been better served if you had some guidance from
21 EPA earlier? He's the only other guy in town that
22 went through this process, not quite the same process,
23 but it -- so can you offer us any insight as to
24 whether you had guidance that would have helped you
25 out?

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1 MR. WEART: I think we were fortunate.
2 See, EPA was learning how to do this. They had never
3 been through it. They weren't handicapped -- wrong
4 word. They weren't laboring under having licensed a
5 lot of nuclear reactors and trying to license a
6 repository the same way.

7 So they were trying to learn how to do
8 this. And, consequently, we had lots and lots of
9 interactive meetings, workshops where we could trade
10 back and forth. They heard our ideas. They gave us
11 their ideas. And we did get a lot of input from them
12 as to when we finally got into the official permitting
13 stage, we then provided what we called a draft permit,
14 which allowed them to look at what we had done and
15 tell us whether we hit the mark or not, and they were
16 very helpful in interacting with us in that way.

17 MR. PARIZEK: So why isn't this a similar
18 process saying, well, since NRC has never given
19 license for high-level repository, this is sort of
20 what you're saying, John, maybe to get this dialogue
21 going and to make -- to streamline it some more. All
22 right? It's not collusion. It's trying to be
23 efficient with the use of everybody's time and getting
24 to the end point.

25 MR. FRISHMAN: Well, I think it's going to

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1 be a very difficult situation if you have the
2 applicant and the regulator essentially negotiating
3 the meaning of the regulation. And it's a case that
4 I don't think has real precedent, and also is one that
5 certainly invites a lot more of the kind of trouble
6 that you know I raise all the time. And I wouldn't be
7 alone in it either.

8 But I think the discussion that goes on
9 now that -- in terms of the technical exchanges is --
10 it's a matter of record. People understand the ground
11 rules of those discussions. People understand that
12 nothing there carries forward to a -- the necessity
13 for anything defensible once you get into a time when
14 a license application has become docketed.

15 To do the informal negotiation prior --
16 and sort of everybody, or the regulator and the
17 applicant, developing their positions with a little
18 wink at each other, so that once you get to licensing
19 then at least we understand what we're talking about
20 is, you know, antithetical to any type of an
21 accountable regulatory system. I just can't see it.

22 There is one advantage in the use of these
23 technical exchanges that I don't think has been fully
24 exercised that could be fully exercised. And that's
25 that most of the people responsible for Part 63, and

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1 many who at least are well aware of the conceptual
2 thinking and the actual development of Part 60, are
3 still around, or at least there are people in the
4 agency who knew what they were thinking.

5 And I think that can be used maybe to some
6 benefit within the process of technical exchanges, but
7 at the same time the idea of the regulator and the
8 applicant sitting down and deciding what the
9 regulation means is, you know, beyond anything that I
10 could see would remain under anything other than
11 ultimately judicial control.

12 MR. KESSLER: There seems to be plenty of
13 precedent for the regulator and the applicant to be
14 sitting down on a generic basis. There's all kinds of
15 reg guides I know, and I'm more familiar with Parts 71
16 and 72 on storage and transportation.

17 And there is all kinds of very
18 quantitative, specific interim staff guidance that
19 grew out of technical discussions in publicly-noticed
20 meetings where the applicants and the regulator sits
21 down and talks about a technical detail, and it winds
22 up with things like specific guidance on you should
23 not exceed 400 degrees Celsius when you're trying to
24 draw your assemblies before you put them in storage.

25 Lots of details, and it's all about

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1 quantitative descriptions of what the overall safety
2 requirements are that are in Parts 71 and 72. Happens
3 all the time, and it's done in public meetings with
4 that kind of level of discussion.

5 MEMBER RYAN: It's time for a break.
6 Before we do break, though, what I'd like to do is
7 come back and offer to NRC a chance to react and
8 reflect on what they heard and how this is affecting
9 their thinking.

10 And I'd also like to ask Debbie and your
11 team, if you have any summary reaction or comments
12 you'd like to make, we'd welcome that as part of our
13 summary, and then members will certainly offer their
14 final comments along with panel members, and we'll
15 move on to the public comment phase, hopefully pretty
16 close to schedule.

17 It's now 3:30. I'd like to ask everybody
18 to be seated and ready to go at 20 minutes of 4:00.
19 Thank you.

20 (Whereupon, the proceedings in the
21 foregoing matter went off the record at
22 3:28 p.m. and went back on the record at
23 3:42 p.m.)

24 MEMBER RYAN: If we could take our seats
25 and reconvene, please. We'll proceed by having some

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1 reactions and thoughts from, first, the NRC and then
2 from Debbie Barr and her team. And then I'll ask each
3 panel member to give a couple minutes of maybe summary
4 key thoughts and comments, ACNW key thoughts and
5 comments in summary, and then we'll proceed into the
6 public comment period. I've had one request for
7 comment from the public -- actually, two now that I've
8 been made aware of. So we'll have those comments and
9 any additional ones and proceed from there.

10 So without further ado, Tim, let me turn
11 it to your --

12 MR. McCARTIN: Thank you, Dr. Ryan. I
13 just want to make a couple of quick points, and then
14 a few other staff members will have some brief
15 comments also.

16 First, getting back to Steve's comment
17 about the regulation and negotiating it, number one,
18 we don't negotiate the regulations with licensees.

19 Now, we try to write the regulations as
20 clear as we can. We also have statements of
21 consideration that precede the regulation to try to
22 explain the staff's intent. However, there are areas
23 where people sometimes find the regulations confusing
24 or not quite clear of the intent. And certainly in
25 the discussions we have with the Department, as well

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1 as any licensees, other stakeholders, we discuss
2 openly the intent of those regulations. We would
3 continue those discussions with the Department.

4 I'll say one of the examples -- does our
5 regulation require them to confirm every barrier? No.
6 There's nothing in there that says -- there's the word
7 "practicable." There are other things that have to be
8 considered as appropriate, so you don't have to
9 confirm every barrier.

10 However, there can come times where people
11 have a conflict with a regulation, and generally the
12 staff -- the technical staff do not interpret the
13 regulation. That's up to OGC, our Office of General
14 Counsel. And if people have a disagreement of our --
15 what we believe is an interpretation of the rule, that
16 ultimately one can go to OGC to get the
17 interpretation. So that's open.

18 Getting more to what we've presented, I
19 think we've benefitted from making the presentation,
20 hearing the different comments and views. I think in
21 terms of our approach to risk informing, we think that
22 sort of gets you to the end point of looking at the
23 evidence and possibly getting to what kind of things
24 you might confirm.

25 As that evolves, once again, I think at

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1 every meeting we try to present risk information. We
2 learn the importance of communicating what's meant and
3 being as clear as we can. The objective is to have
4 some transparent picture of how you have the risk
5 insights going down to the evidence. We think that
6 will be helpful. It continues to evolve, and we take
7 away from the meeting the importance of doing that.

8 We will continue the discussions. I know
9 John Kessler is hoping for the continued discussions
10 between NRC and DOE. We will continue those. We have
11 been discussing with DOE many items, and certainly
12 when we get Rev. 2 of the performance confirmation
13 plan, having reviewed that, we would continue
14 discussion with the Department of Energy in a public
15 technical exchange, giving our views of what we think
16 needs to be in a performance confirmation program for
17 our review.

18 And we will look forward to having those
19 meetings. And, clearly, the discussions we've heard
20 today point to the -- I would agree that we need to
21 have continued discussion for all stakeholders.

22 And Jeff Pohle had a comment or two.

23 MR. POHLE: Originally, I had one, and now
24 I have two. I personally am still not convinced that
25 this topic of weighting barriers and confirming every

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1 barrier, that there's really anything there. We may
2 be just creating something out of nothing.

3 I still have an uneasy feeling about that.
4 I think when Tim did his risk insights analysis, I
5 don't think he walked into that analysis with defined
6 barriers and sought to find what's important for each
7 one. I think the analyses yield conclusions as to
8 what parameters, etcetera, rose to the top as being
9 important. And after the fact, one can choose to
10 assign them barriers or not.

11 I have a very uncomfortable feeling that
12 we may be creating something out of nothing.

13 My second comment, which is -- really hits
14 home, since I'm one of the few people who has been
15 trying to think through the management aspects of
16 performance confirmation. I really appreciate Bob
17 Bernero's insight. Safety analysis maintenance is a
18 new term to me. I've learned something that I can
19 take away with me and research out.

20 I think it should be helpful to us, and
21 it's something for DOE to keep in mind when they start
22 getting into those aspects of program management and
23 Rev. 3 of their performance confirmation plan. I
24 think there's something here.

25 A concern of mine is that we not end up

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1 with something that would tie the program in knots.
2 And if it rained a tenth of an inch yesterday, we have
3 to crank up the operations center and go into some
4 incredible response cycle on this. And I think Bob's
5 insight is helpful, so I wanted to thank him for that.

6 With that, I'll pass on to Larry Campbell
7 for our -- I guess our closing remarks.

8 MR. McCARTIN: I did start with barriers.

9 MR. PEARCY: I want to thank everyone for
10 their comments on the research presentation -- in
11 particular, Steve Frishman's comment on QA/QC. We'll
12 entertain that question with management.

13 Chris Whipple's question on long-term
14 monitoring, we'll certainly go back and look at that
15 further.

16 Dick Parizek's comments on the evolving
17 technologies and reliability -- that's extremely
18 important. We'll think about that and talk to our
19 contractors.

20 With regard to John Garrick's question, we
21 will inform the ACNW staff, Neil in particular, and
22 Mike Lee, as we select those test cases for the
23 testing of our integrated strategy.

24 And finally, Mike Ryan's question on data
25 management analysis -- is there appropriate time

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1 periods to do that analysis, and how you let that
2 evolve. We'll entertain that question also.

3 So thank you for your comments.

4 MR. CAMPBELL: I'm Larry Campbell. First,
5 I want to say I really appreciate all of the efforts
6 that went into this workshop. A lot of people
7 traveled long distance. A lot of preparation -- I can
8 tell very good preparation -- went into some of the
9 presentations.

10 And being somewhat new in this project,
11 compared to the others at this table, I've been
12 involved with four years, I would say some of them
13 have been involved 18 years. I learned a lot today,
14 and I hope everyone else is leaving with something
15 very useful. I gained insights from DOE, from the
16 stakeholders, and from the staff. So I know I'm
17 learning a lot here.

18 I thought this was very productive, a lot
19 of good information, a lot of good thoughts, and a lot
20 of good discussions.

21 I would encourage everyone -- the term
22 "dictionary" came up. There was use of safety,
23 safety-related, important to safety, important to
24 waste isolation. I would encourage everybody to look
25 at the rule. There is a dictionary. For the purposes

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1 of preclosure, it is important to waste isolation.
2 That's defined in the rule. Important to safety more
3 or less applies to preclosure.

4 That's the only closing thought I would
5 have is just to encourage everybody to look at the
6 rule. There was some discussion on minimum
7 requirements. Staff's expectations are in the review
8 plan, which is now issued. The review plan, of
9 course, is about an inch and a half thick. The rule
10 is a few pages long, so that might help some people
11 with determining what's minimum.

12 But with that, I just want to say I've
13 been here for two days and have -- I know I learned a
14 lot, and it shows a lot of good planning and a lot of
15 good effort went into this. And, again, I appreciate
16 -- I do appreciate having the opportunity to be here.

17 MEMBER RYAN: Thank you very much.

18 Let me turn to Debbie Barr and her team.

19 MS. BARR: I don't have any specific
20 comments on the discussion that occurred during the
21 panel here, although if anybody has got an INPO
22 application form that would be very helpful.

23 (Laughter.)

24 But I did want to say that we very much
25 appreciated the opportunity to come out here and meet

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1 with you about this. This has been incredibly
2 valuable to us, and we've gained a lot of insights
3 into the thought processes. We've heard a lot of very
4 good discussion that we will then take home with us
5 and work to improve the program.

6 We've gained some better insights into
7 some of the thought process that occurred in the
8 development of the text and the rule, and we've also
9 learned a lot from some of the things that you've said
10 as far as the panel members and the ACNW as far as
11 your thoughts on the meaning of those.

12 So I think we have definitely gained from
13 this, and we welcome the opportunity to come out. And
14 we thank you for inviting us out to talk about this.

15 MEMBER RYAN: Thank you very much.

16 Let me start in reverse order with panel
17 members. John, do you have any closing key thoughts?

18 MR. KESSLER: Nothing more.

19 MEMBER RYAN: Okay. Bob Bernero?

20 MR. BERNERO: No. I think it was a useful
21 workshop, but I don't have anything to add.

22 MEMBER RYAN: Okay. Wendell?

23 MR. WEART: I'd like to echo Bob's
24 comments. I found it very interesting on my part,
25 particularly as someone who is a little more remote

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1 from both NRC and Yucca Mountain. Valuable meeting I
2 thought.

3 MEMBER RYAN: Thank you. Richard?

4 MR. PARIZEK: I found it extremely helpful
5 to me. And I'm looking forward to seeing the
6 confirmation testing plan, and then following its
7 evolution, because I think based on today's meeting
8 there's bound to be adjustments made. And what those
9 adjustments are we won't know; we'll just see what
10 comes out. But that won't be the end of it either.
11 Probably it will evolve.

12 It was very helpful to sort of see the
13 licensing mentality of you folks -- again, how you
14 think about it differently perhaps than science-
15 oriented people who are on another end of the puzzle.
16 And so I appreciate that insight.

17 MEMBER RYAN: Thank you. Steve?

18 MR. FRISHMAN: I, too, am interesting in
19 seeing this Rev. 2 come out. And my guess is that
20 some of what has been discussed here will be reflected
21 in Rev 3, and I think it's probably important that it
22 is.

23 Overall, I get the sense that -- or maybe
24 at least I'm filtering it into my thinking -- that
25 Rev. 3 should reflect some pretty hard thinking on

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1 what is needed to be done rather than just trying to
2 fill as many boxes as possible. And so I think
3 there's some value in that.

4 And getting a very tight look on a --
5 maybe a better interpretation of what the purpose of
6 performance confirmation might be rather than just
7 putting a shotgun pattern on the wall and see, you
8 know -- seeing how much of it actually ultimately has
9 to be carried out, because I think a few people have
10 mentioned here -- and I didn't earlier, because it had
11 been said, but I think it needs to be said again --
12 and that's that if there is a construction
13 authorization, there isn't going to be any money for
14 anything other than build and load.

15 MEMBER RYAN: Chris?

16 MR. WHIPPLE: Mike, let me congratulate
17 you and Neil on a well-organized and well-run meeting.
18 I learned a lot in a day and a half, not the least of
19 which was that there actually could be a downside to
20 having too many important to safety barriers.

21 That hadn't occurred to me before the
22 meeting, and I think the clarity with which the staff
23 and the DOE and the contractors explained their
24 thinking and positions will help both of them with
25 their next iteration. So I think this is very

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1 constructive.

2 I also think Steve's comments helped me
3 think through what sorts of activities belong in
4 performance conformation and which belong elsewhere --
5 S&T or the base program towards the license
6 application. And I think those distinctions are
7 clearer than they were before the meeting.

8 MEMBER RYAN: Ruth?

9 DR. WEINER: I want to thank the panel for
10 taking the trouble to get these presentations together
11 -- I thought they were really wonderful -- and DOE and
12 NRC staff as well. And they have provided me with
13 what I hope is the beginning of a great education.

14 Thank you.

15 MEMBER RYAN: Member comments. George?

16 MEMBER HORNBERGER: I don't think I've
17 ever been part of this much of a lovefest before.

18 (Laughter.)

19 It scares me when I agree so much with
20 Steve Frishman.

21 (Laughter.)

22 I do have a couple of comments that I
23 wanted to make. And, basically, they are just some
24 observations on what I've heard, to give my take on
25 several things. First of all, I don't think that

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1 performance confirmation should be taken to be part of
2 the -- any judgment that might be made about
3 reasonable expectation. I don't think that that's the
4 role of performance confirmation, and I -- that's
5 certainly not my take. I hope it's not anyone else's
6 take either.

7 I do see performance confirmation as an
8 ongoing program in the sense that you want to expand
9 your evidence base. I don't think that it would be
10 sensible for us to, if, in fact, there is a
11 construction authorization, to say, "Fine. We won't
12 collect any more data." That would be stupidity, I
13 think. It's sensible to collect information
14 throughout the active period.

15 I think that our expectation, by the name
16 of the program, is that if there is a judgment of
17 reasonable expectation that the performance
18 confirmation results will support that, will confirm
19 it.

20 But there will be surprises, as everyone
21 said, and we also have to maintain enough flexibility
22 in the system to accommodate changes that need to be
23 made. And I think that we have heard that the NRC
24 staff, and DOE I hope, are committed to such a
25 program.

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1 It's clear that DOE -- their
2 responsibility is to define the program, and I think
3 -- I certainly hope -- I think that the committee
4 would urge the NRC staff to stick with their risk
5 insights as a basis for judging what parts of
6 performance confirmation make sense.

7 I happen to agree that it's not their job
8 to say, "Oh, don't bother doing that," if DOE comes in
9 with a plan. So DOE certainly has to define the plan.

10 Finally, I do want to say that in my
11 estimation I don't think that performance confirmation
12 is in any way, shape, or form a safety issue. So I
13 think that to a certain extent that might have been a
14 red herring when we dragged that out, to say, "Well,
15 we have to define the program to ensure safety."

16 Anticipation is that by complying with the
17 regulation, I think as Sher said, that it would be --
18 assure a safe repository.

19 Like everyone else, I found it to be a
20 very interesting workshop, and I look forward to --
21 I'm really, really grateful that the DOE shared their
22 information with us. It's very important for us to
23 know how this is shaping up. It's a lot to think
24 about.

25 MEMBER LEVENSON: Most all the nice things

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1 have already been said, and I've not been known to
2 focus on nice things.

3 No, I think it was an unusually good
4 workshop in that I don't think it's a love-in. I
5 think it's an indication that this is not a
6 contentious issue. Almost everybody agrees this needs
7 to be done and needs to be done properly.

8 I think I'd like to second what George
9 said and add one thing, and that is that I don't think
10 performance confirmation should be part of confirming
11 expectations. On the other hand, it should not be a
12 basic R&D program. I think it's a narrowly-defined
13 thing that we need to identify what really needs to be
14 done, how well does it need to be done, and that
15 includes precision, accuracy, frequency, length of
16 time, can it be done, can it be done as well as it
17 needs to be done.

18 And that maybe in the end it consists of
19 two sets of things. One is the minimum set to comply
20 with regulations, and, secondly, just based on reactor
21 experience, information useful for operation,
22 maintenance, and operational safety. That can be
23 somewhat different.

24 I gather that there's really no
25 disagreement that that would be the basis for this.

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1 CHAIRMAN GARRICK: I have about 10 bullets
2 here, but I'm only going to talk about two of them.
3 I'm saving eight of them for when we discuss the
4 letter a little later. But I would like to say a
5 couple of things.

6 One is that this is another reminder that
7 what we're engaged in here is a learning process.
8 We've never built a facility like this before. We've
9 never done performance analysis quite like this
10 before. We have developed guidance documents without
11 having the direct experience of what we're dealing
12 with before. And it's obvious every time we go
13 through one of these kind of activities, working group
14 sessions, we are once again reminded how much of a
15 learning process it is.

16 There's one aspect of the performance
17 confirmation that intrigues me a great deal, and we
18 had some discussion about it. And the decision
19 analysis activity sort of touched on it -- that's of
20 great interest to me -- and that's the way in which
21 we're going to monitor, if you wish, our growth of
22 knowledge as a result of the performance confirmation
23 exercise.

24 Ideally, what you'd like to think is that
25 we are in agreement on a few important performance

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1 indicators, and that we set up some sort of a tracking
2 system of those indicators such that we can see, as we
3 analyze the data from our performance confirmation
4 program, just exactly what the growth in our knowledge
5 is.

6 My vision of it, of course, would be some
7 sort of a Bayesian-based system against a set of
8 performance indicators about which we would express
9 our uncertainties, and we would see how those
10 indicators move from left to right or right to left as
11 well as see how the spreads on the probability
12 distributions that indicate our uncertainty about the
13 indicator changes with time.

14 I think that would be an impressive way to
15 monitor just exactly what we're getting out of this
16 system, and then, at the same time, we'd have it in a
17 form such that we would be able to ask the performance
18 assessment how this is affecting our most current
19 thinking about the actual performance.

20 The one thing that did come out of the
21 workshop -- and my final comment -- is I think that --
22 and I was delighted to see this, because we've made a
23 few speeches about this. I think that this discussion
24 about what we've come to call a compliance performance
25 assessment, and a state of knowledge -- if you wish --

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1 performance assessment, was very healthy and very good
2 and is important, because I think many of us believe
3 that what we really have so far is more of a
4 compliance performance assessment than a state of
5 knowledge performance assessment. And I think it's
6 important for us to recognize that.

7 This is wrapped up in a lot of issues,
8 because part of the Part 63 is prescriptive,
9 particularly with respect to the dose model and the
10 biological uptake and the dilution factors, and what
11 have you. And just how much these kind of
12 prescriptive components of Part 63 are masking a truly
13 performance assessment output is something I'm quite
14 interested in.

15 And I don't think we've got very good
16 resolution of that yet, but it is something I think
17 that the performance confirmation program could make
18 an important contribution to.

19 Thank you.

20 MEMBER RYAN: Just a couple of additional
21 comments. I appreciate, Larry Campbell, your
22 comments, and your entire team's effort today to
23 participate, as well as Debbie Barr and your entire
24 team. It was a very good exchange.

25 I won't repeat what others have said, but

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1 I think it's very important that we're not at the end
2 of a process; we're kind of in the beginning stages --
3 Rev. 1, on to Rev. 2, and on to Rev. 3 -- and this
4 kind of exchange I think -- I agree with John -- is
5 very healthy to make it better over those two
6 revisions in a formal way.

7 A couple of key questions that came out to
8 me about, what is in the performance confirmation
9 plan? Let me focus on that. I come back to my two
10 questions. What does the performance confirmation
11 data that's going to be collected add to questions of
12 safety? And what information is obtained that
13 enhances understanding of system performance?

14 And while it's not a safety determination
15 for safety's sake, it does add to that question and
16 enhance it. So I would be thinking about all this
17 list of items that will be evaluated in that way and
18 how they add.

19 I think another aspect that has become a
20 little clear to me is that this is a program that will
21 live for quite some time. It won't be this year or
22 next year. It's going to be ongoing for the life of
23 the facility, up to closure I guess. And how you get
24 information and migrate it over time is as important
25 as how you're going to analyze it when you collect it

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1 that year or the next year.

2 So we have to figure out ways to make sure
3 that all of that stays visible and is part of the
4 living history of how things move along.

5 I'll save some other thoughts for the
6 closing comments. But at this point, I'd like to turn
7 to our two requests for comments from the audience,
8 and invite any other comments.

9 Judy?

10 MS. TREICHEL: Judy Treichel, Nevada
11 Nuclear Waste Task Force. If you're worried about a
12 continuing love-in, you can put away the Prozac,
13 George, because --

14 (Laughter.)

15 -- it's over now.

16 (Laughter.)

17 There is really a lot of water over the
18 dam at this point. And I think it was clear to see,
19 in the way I think you went completely around the
20 circle at least twice, about what is performance
21 confirmation. And it became everything and nothing
22 and back to a lot of other things.

23 But it should have been there, and it
24 should have been sort of defined and kind of
25 understood at the time that there was a site

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1 characterization plan. And it should have been out
2 there and on the table, so that people like you, the
3 rest of NRC, other oversight agencies, the public, the
4 state, could have said, "No, I think this little item
5 should go over into this box." "No, I think that
6 should probably be over there."

7 And it should have all been clearly
8 defined, rather than at this stage of the game kind of
9 having all of these balls up in the air and trying to
10 figure out which plate they should land on and how
11 they should stay there, because now everything is
12 screaming toward the license application, and I think
13 it shows more than anything else that the site
14 recommendation was incredibly premature. And as I
15 said, that's water over the dam.

16 And part of the flood that went with that
17 water was your sufficiency letter, which I think was
18 also premature, and these kinds of things should have
19 all been settled out well before that happened, but
20 you can't pull it back.

21 So there is no clear picture of exactly
22 what the performance confirmation plan is, and I think
23 that the discussion at the end was good about the fact
24 that it should be separated out. It shouldn't be part
25 of the essential work that didn't get done.

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1 When somebody ran in and drew a line and
2 said site characterization is over, and there were
3 things left to do, that that won't be considered
4 performance confirmation, because my real fear -- and
5 I'm entitled to have it, since I -- I was a part of it
6 for probably two years, is that you wind up getting
7 the license application, and you get a new form of
8 closing/pending.

9 And it means there are issues that needed
10 to be solved that were essential for licensing, and
11 they wind up being part of this future performance
12 confirmation program. So, therefore, I know that the
13 same term won't be used, because that wound up being
14 very troublesome. But there would be something like
15 that, and you can't have these things that just trail
16 on.

17 And so that's been my real big fear, is
18 that there would be something that wasn't in the
19 license application, there didn't seem to be an
20 appetite to not docket or to turn it down, or to
21 really be tough on this thing. So a new kind of
22 category was created, and that's just -- it just can't
23 happen that way.

24 In the discussion about safety and who
25 plays what role, and John Garrick talked about the

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1 owner-operator-designer being the real safety expert,
2 well, you can't sell that in Nevada. It's different
3 when you're building a big project.

4 Even if that project is real dangerous and
5 the community wants it, and they've made this
6 decision, that, yes, something can go wrong, yes, we
7 could have a kid killed, but, you know, all in all
8 it's probably something we want to do. That is not
9 the case.

10 This is a forced project on an unwilling
11 host. These are people who do not like the idea of
12 being the host for the repository, and they really
13 don't like DOE. And they -- whenever you've been out
14 there -- I know that you've been out to Nevada, you've
15 had public comment, and you've had people rail about
16 what went on during testing. It has nothing to do
17 with Yucca Mountain. It has nothing to do with now.
18 But that's the headset. These people killed us once;
19 we're silly if we let them do it again.

20 And we have been told for years and years
21 and years and years, you don't have to like DOE, you
22 don't have to trust DOE, because you've got NRC. And
23 NRC is going to come in here -- I know you don't know
24 them. NRC is going to show up. They will only
25 license this thing if it's absolutely safe, and NRC

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1 will take charge of your safety, your health, and your
2 well being.

3 So be clear about that. That's what has
4 been told, and that's what their expectations are.
5 And you've got people, you know, who are very nervous
6 and really in a bad position right now. So we don't
7 want to see compromises. You already know the lay of
8 the land in Nevada. But don't let this thing become
9 some sort of an excuse.

10 I'm eager to see what performance
11 confirmation winds up being myself. But I don't want
12 it to be something that just hangs over everybody's
13 head.

14 Thank you.

15 MEMBER RYAN: Yes.

16 MR. ELZEFTAWY: Can you hear me?

17 MEMBER RYAN: Yes.

18 MR. ELZEFTAWY: I guess you can. Again,
19 Atef Elzeftawy. I have one point. I think I'd like
20 to clarify something I did as a representative of
21 Paiute, and then I'll switch hat as a public. I have
22 two other points I think I'd like to make.

23 The first one, for the Paiute one, when I
24 raised the \$100 bill or the \$1 bill, I intended to
25 clarify to you that performance confirmation should

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1 not be defined as who is Jew or who is not a Jew.
2 Maybe you don't have that background. The fundamental
3 Jewish people, since the Roman times and until today,
4 they are still arguing about who is a Jew and who is
5 not a Jew.

6 All you have to do is just to go to the
7 Middle East, and then you'll find out how lively the
8 discussion is. That's 2,000 years. That should not
9 be the performance confirmation or this program. It's
10 somewhere less than 2,000 years to get it done.

11 The \$1 bill or the \$100 bill, they have
12 something in common. Number one, almost everybody
13 knows what the \$1 bill is and what the \$100 bill is.
14 So the performance confirmation program needs to be
15 simple but so beautiful to the public for the people
16 to have confidence that this program is on track and
17 it's applicable. We, as a scientist, can talk up
18 here, but the people down here who have just a little
19 bit common sense, and which is not very common these
20 days, need to understand the simplicity of it.

21 Albert Einstein said his theory was simple
22 and beautiful, and it was, and it still is. So I
23 think your goal should be striving for specific
24 points. You can discuss it to the nth degree. The
25 Department of Energy has the responsibility of

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1 developing, designing the program.

2 The NRC has the responsibility of looking
3 at it here and there. But I think you need to come to
4 a focal point, and the focal point is as you focus the
5 light that comes to a point, you need to come and that
6 point of my chairman was make it simple,
7 understandable, to most people. And if you don't make
8 it simple and understandable to most people, it's
9 going to be like, "Draft me some report."

10 A long time ago came with risk assessment,
11 but you know what? The chairman of NRC, after 9/11,
12 said, "We couldn't imagine that some people can get on
13 an airplane and hit the Towers." And if they had hit
14 a nuclear powerplant, I think we would have been a
15 little bit having more problem.

16 That's her comment. So I'll switch it to
17 my public comment.

18 I think my public comment is as a person
19 who has left the program on a daily basis in 1990, and
20 then now I just saw a couple of things during the last
21 year or year and a half. It reminds me of the goal
22 saying, "The more the things change, or they seem,
23 it's" -- how does it go? I forgot it. The more
24 things change, the more they stay the same.

25 And it seems to me that we are back again

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1 into the discussion of 1982, '83, '84, when I joined
2 the NRC. We are still more or less standing still.
3 How much progress have we made? The Department of
4 Energy may spend about \$2- or \$3 billion, which we
5 spend now in less than three weeks. What do we have
6 to show for it?

7 I think you need to look at that point.
8 You need to make it public, because this is a public
9 program.

10 One of the things you need to do -- hold
11 more meetings in Las Vegas. I don't think anybody in
12 Las Vegas or in the State of Nevada will come up with
13 \$3,000 in his pocket to come here to attend your
14 meeting and stand here and give you the public
15 opinion.

16 I think you need to address that point,
17 and you need to address it really seriously. Hold
18 many, many, many meetings, as many as you can, not in
19 the NRC building, and not over there. Come to the
20 public over there, and you don't have to worry about
21 even security. Just go over there and hold your
22 public meeting, and in the process you will lose \$10
23 or so gambling. So that's good for Las Vegas, to make
24 it humorous.

25 One thing I think I'd like to see most of

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1 you, as a technical person -- I like the lady here --
2 we're all Type A people I think. I might be triple A.
3 But I think it's so nice to have that simplicity of
4 the heart and the humbleness of the attitude of
5 saying, "Well, I really don't know this. I'm here.
6 I'd like to learn."

7 It took the Department of Energy more than
8 11 or 10 years to say, "Oh, yes, there is a fracture
9 flow in Yucca Mountain." It took the Nuclear Waste
10 Technical Review Board, with my dear friend the late
11 Pat Domenico, more than eight or nine years until they
12 got it down in the report.

13 Well, sometimes seeing is believing. You
14 need to go over there and see what Mother Nature is
15 giving you and telling you, and then you will be able
16 to comprehend and understand the reality of the place.
17 This is a very big, important program to the nation,
18 and I think it's -- a lot of responsibility is placed
19 on you guys, Department of Energy, the NRC. I always
20 think about you guys, ACRS -- but the ACNW, I think I
21 need to get that.

22 And also, it's going to have a whole lot
23 of political heat on the Commission. Some day they're
24 going to have to vote. And just like the President of
25 the United States said, "Well, in 10 minutes, okay,

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1 Yucca Mountain can go." It's when the DOE give him
2 the information.

3 So there's going to be a very tough
4 political situation -- decision to make, hard decision
5 to make. But I think you are laying down the ground
6 rules and the ground information that is going to be
7 used by the people and the Congress and others.

8 Thank you for the privilege of being here.
9 And I want to say good-bye again, so best wishes for
10 you, and I will see you sometime soon.

11 Thanks.

12 MR. BULLEN: I'm Dan Bullen, and I'm from
13 Iowa State University. I'm not wearing the Nuclear
14 Waste Technical Review Board hat. I'm also not used
15 to getting the last word here, so it should be kind of
16 interesting.

17 First, I'd like to offer my compliments to
18 the ACNW and to your staff for organizing a great
19 meeting. I think this was a very worthwhile endeavor,
20 and it also had multiple lines of input. You had the
21 input from the state, the input from the utilities,
22 and John Kessler, and you had the input from the
23 interested parties, and I think that's very important.

24 When we have meetings at the Nuclear Waste
25 Technical Review Board, we find that that's a very

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1 valuable experience also, and I wanted to give you a
2 compliment on that. I also wanted to point out the
3 timeliness of the performance confirmation meeting.
4 I think it's a very important part to look at.

5 Right now, maybe the state thinks it
6 should have been done prior to site recommendation,
7 but it is a very important part of the license
8 application process. And so to know what's going to
9 be in the performance confirmation is extremely
10 important.

11 I want to talk a little bit about the
12 importance of the dialogue and the communication that
13 happened here, and maybe the semantics are very
14 important. I know that there's a dictionary
15 associated with the rulemaking, that you can go take
16 a look at the meaning of the words. But even people
17 who work with this daily don't necessarily know the
18 difference between compliance and a safety case.

19 And compliance means you've met the letter
20 of the law or the rule. But the safety case, as I've
21 learned as being a member of the Nuclear Waste
22 Technical Review Board, is much more than just a TSPA.
23 And I want to reiterate some things that the Board has
24 said, specifically with respect to things like
25 multiple lines of evidence and the actual analogs, and

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1 how they tie into the safety case.

2 Now, John mentioned that if we knew all of
3 this, we should be able to get it into the TSPA or the
4 performance analysis. And maybe that's true, but it's
5 something with respect to confidence-building that you
6 have when you understand the sort of physics of what's
7 going on.

8 And I really like the idea of the basic
9 understanding versus the detailed analysis. If you've
10 got something that's maybe the simplified TSPA, that's
11 the little disk that Steve Frishman has a copy of, and
12 my students have a copy of, that you can see the
13 response of sliding the slider bars around.

14 That's one thing that gives a little bit
15 of confidence, as opposed to a 27,000-line or 27,000-
16 note code of gold sim that no one can understand,
17 because if you make a simple change you're not sure
18 that that change is indeed conservative. So the basic
19 understanding is important.

20 Now, along those lines, I also want to
21 state one last thing, and that is I'm very interested
22 in seeing Rev. 2 of the performance confirmation plan,
23 and Rev. 3, and understanding the weighting factors,
24 because I think those are all very important aspects
25 to how the decision-making process was done.

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1 And I think it's going to be an ongoing
2 process, and I actually look forward to being a public
3 participant in future workshops, if you so choose to
4 have them, because I think these are very valuable.

5 Thank you very much.

6 MEMBER RYAN: Thank you very much. Any
7 other comments anybody wishes to make?

8 I'd like to close by saying, first of all,
9 thanks to each and every participant over the last two
10 days, members of the panel, members from the staff of
11 the NRC, members of DOE and your contractor staff,
12 summer interns at the NRC, and everybody else who had
13 valuable and important comments to make during the
14 meeting, members of the public, and members of the
15 ACNW. I think it has been a really excellent workshop
16 and that we've explored an ongoing topic.

17 As was just pointed out, Rev. 2 and Rev. 3
18 are in front of us rather than behind us, and
19 hopefully this collective discussion will have
20 positive impacts on Rev. 2 and on Rev. 3 of the
21 performance confirmation plan and how it ultimately
22 moves forward into the license application.

23 So with that, I would close the working
24 group session, and turn the gavel back over to the
25 ACNW chair.

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1 MEMBER HORNBERGER: Thank you. I think
2 the first action that I would like to take as chair is
3 we haven't done any applauding during this working
4 group session. I think Mike Ryan and Neil Coleman and
5 the staff that put this working group session together
6 deserve a little bit of an applause.

7 (Applause.)

8 All right. Well, I think what we're going
9 to do is this ends the period of the day where we need
10 a recorder, and we're going to take a five-minute
11 break and move into the more laborious part of our
12 assignment as a committee. The committee will be
13 talking a little bit about our report on the working
14 group session, but this is officially the closure of
15 the working group session. Five-minute recess.

16 (Whereupon, at 4:25 p.m., the proceedings
17 in the foregoing matter went off the
18 record.)

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