

# San Onofre 3

## 4Q/2010 Plant Inspection Findings

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### Initiating Events

**Significance:** G Jul 16, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

#### **Failure to Define Authorities and Responsibilities of Work Process Area Operator**

Green. The inspectors identified a non-cited violation of Technical Specification 5.5.1.1 for failure to provide a written procedure to define authorities and responsibilities of all work process area operators. Specifically, on July 13, 2010, the work process area had an additional operator, identified on the watchbill as the "CRC" (Control Room Coordinator), who performed activities normally performed by the Work Process Supervisor, including providing oversight for pre-job briefs and authorizing start of tasks without receiving a turnover and formally accepting the position of Work Process Supervisor. The licensee documented this violation in Nuclear Notification 201014984, and its short term corrective actions included required reading and coaching to instruct Work Process Supervisors not to delegate their authority to authorize work without a formal turnover. Southern California Edison will also add guidance procedures SO123-0-A-1 and SO123-0-A-2 "Conduct of Operations".

The inspectors concluded that the finding was more than minor because it could be reasonably viewed as a precursor to a significant event. Specifically, lack of a procedure to define the roles, responsibilities, and authorities of all personnel who may simultaneously hold work process area authority may lead to inadequate coordination of concurrent work and inadvertent authorization of multiple activities that could cause a plant transient or reactor trip. The finding is associated with the Initiating Events cornerstone. Using NRC Inspection Manual 0609, Attachment 0609.04, "Phase 1-Initial Screening and Characterization of Findings", the inspectors determined the finding to have very low safety significance because it did not contribute to both the likelihood of a reactor trip and the likelihood that mitigation equipment or functions would not be available. The inspectors determined the finding has a crosscutting aspect in the area of human performance associated with decision-making because the licensee did not make safety-significant decisions using a systematic process, including formally defining the authority and roles for decisions affecting nuclear safety [H.1(a)]. (Section 4OA2)

Inspection Report# : [2010010](#) (*pdf*)

**Significance:** G Jun 17, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

#### **Control room operators failure to adhere to conduct of operations procedural requirements**

The inspectors identified a noncited violation of Technical Specification 5.5.1.1.a involving the failure of control room operators to follow San Onofre Procedure SO123-0-A1, "Conduct of Operations." These included failures to: implement alarm response procedure place-keeping, announce alarms to the control room supervisor, stop conversations when an alarm annunciated and cleared, perform three-way communication during pre-job briefing, review the summarize, anticipate, foresee, evaluate and review questions during a pre-job brief, review the prerequisites of a procedure prior to use, and remain cognitive of the re-activity change evolution by a control room supervisor. This issue was entered into the licensee's corrective action program as Nuclear Notification 200871332, and operations management immediately began actions to institute a recovery plan to improve operator performance.

The finding was more than minor because it was associated with the Initiating Events Cornerstone attribute of human performance, and it affected the associated cornerstone objective to limit the likelihood of those events that upset plant stability and that challenge critical safety functions during shutdown, as well as during power operations. Using the Inspection Manual Chapter 0609, "Significance Determination Process," Phase 1 Worksheet, the inspectors concluded that the transient initiator did not contribute to both the likelihood of a reactor trip and to the likelihood that mitigation equipment or functions would not be available. As a result, the issue was of very low safety significance (Green). The finding has a crosscutting aspect in the area of human performance associated with the work practices because the

licensee did not ensure supervisory and management oversight of work activities. [H.4(c)]

Inspection Report# : [2010006](#) (pdf)

**Significance:**  Jun 17, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

**Failure to secure loose items in the electrical switchyard**

The inspectors identified a noncited violation of Technical Specification 5.5.1.1.a involving the failure to follow procedural guidance of SO123 XX-11, "Switchyard Work Performance." Specifically, the inspectors identified temporary equipment stored in the switchyard that was not tethered or otherwise secured in accordance with the procedure. The licensee entered a notification in its corrective action program as Nuclear Notification 200870138, and removed or secured the items.

This finding is more than minor because it impacts the protection against the external factors attribute of the Initiating Events Cornerstone objective to limit the likelihood of those events that upset plant stability and challenge critical safety functions during shutdown and power operations. Using the Inspection Manual Chapter 0609 "Significance Determination Process," Phase 1 Worksheet, the inspectors determined that the finding was of very low safety significance (Green) because it did not contribute to both the likelihood of a reactor trip and the likelihood that mitigation equipment or functions would not be available. This finding also has a human performance crosscutting aspect associated with the work control component in that personnel failed to appropriately plan work activities involving job site conditions which may impact plant structures, systems and components. [H.3(a)]

Inspection Report# : [2010006](#) (pdf)

**Significance:**  Apr 28, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

**Improper Risk Assessment and Management for Emergent Work**

The inspectors identified a noncited violation of 10 CFR 50.65(a)(4) for the failure of operations and planning personnel to appropriately characterize the potential impact of work activities on plant systems and to implement appropriate risk mitigating actions. Specifically, on April 28, 2010, operations personnel failed to identify mussel mitigation in the Unit 2 intake structure as having high nuclear risk in the associated work instruction, resulting in inadequate risk management actions being performed by operations personnel. The licensee's immediate corrective actions included ensuring appropriate actions were taken and adequate communications were in place to mitigate the risk during future mussel mitigation efforts. This issue was entered into the licensee's corrective action program as Nuclear Notification NN 200937859.

The performance deficiency is more than minor because it affected the protection against external factors attribute of the Initiating Events Cornerstone objective to limit the likelihood of those events that upset plant stability during power operations, and is therefore a finding. Using Manual Chapter 0609, Appendix K, "Maintenance Risk Assessment and Risk Management Significance Determination Process," the finding is determined to have very low safety significance because the performance deficiency involved only inadequate risk management actions and not failure to assess risk, incremental core damage probability resulting from this work activity was less than 1E-6, and the incremental large early release probability was less than 1E-7. This finding has a crosscutting aspect in the area of human performance associated with the component of resources because the licensee failed to ensure that procedures were adequate to support nuclear safety, including complete, accurate, and up-to-date work packages [H.2(c)].

Inspection Report# : [2010003](#) (pdf)

**Significance:**  Apr 28, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

### **Failure to Define the Control Room as Required by Technical Specifications**

The inspectors identified a noncited violation of Technical Specification 5.1.3 for the failure of licensee management to appropriately define the Control Room Area as depicted in the Licensee Controlled Specifications. Specifically, prior to June 2010, licensee personnel were not specific in the definition of the control room in work instructions and procedures such that, when personnel were directed by procedure to contact the control room, the expectation of station management in most cases was that workers would instead contact the work process area, which is outside the boundaries of the control room as defined in the Licensee Controlled Specifications and other plant procedures. The licensee initiated Nuclear Notification NN 200972596 to evaluate this issue and identify corrective actions.

The performance deficiency is more than minor because if left uncorrected, it would have the potential to lead to a more significant safety concern, and is therefore a finding. Specifically, changes to critical plant parameters monitored in the control room may not be appropriately anticipated by control room operators; this may lead to misdiagnosis of plant conditions by control room operators. The finding is associated with the Initiating Events Cornerstone. Using Manual Chapter 0609, "Significance Determination Process," Phase 1 Worksheets, the finding is determined to have very low safety significance because the finding does not contribute to both the likelihood of a reactor trip and the likelihood that mitigation equipment or functions will not be available. This finding has a crosscutting aspect in the area of human performance associated with the component of work practices because the licensee failed to define and effectively communicate expectations regarding procedural compliance such that personnel follow procedures [H.4 (b)].

Inspection Report# : [2010003](#) (pdf)

**Significance:**  Jan 22, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

#### **Failure to Follow a Level 1 Quality Assurance Program Affecting Human Performance Procedure**

The inspectors identified a non-cited violation of 10 CFR Part 50, Appendix B, Criterion V, "Instructions, Procedures, and Drawings," for the failure of training personnel to ensure activities affecting quality shall be prescribed by documented instructions, procedures, or drawings, of a type appropriate to the circumstances and shall be accomplished in accordance with these instructions, procedures, or drawings. Specifically, between September 27, 2009 and November 17, 2009, training personnel failed to follow Level 1 Quality Assurance Program Affecting Procedure SO123 XXI-1.11.23, "Human Performance Training Program Description," Revision 0, to ensure workers received human performance training before hands-on work was performed in the plant, which resulted in over 80 employees not receiving human performance training and contributed to at least two human performance events. This finding was entered into the licensee's corrective action program as Nuclear Notification 200670169.

The finding is greater than minor because, if left uncorrected, the failure to follow procedures to provide human performance training, would have the potential to lead to more significant safety concerns as is evidenced by the two human performance events that occurred by untrained individuals. This finding is associated with the Initiating Events Cornerstone. Using Manual Chapter 0609.04, "Phase 1 – Initial Screening and Characterization of Findings," the finding is determined to have very low safety significance because the finding did not contribute to both the likelihood of a reactor trip and the likelihood that mitigation equipment or functions would not be available. The finding has a cross-cutting aspect in the area of problem identification and resolution associated with the corrective action program because the licensee failed to take appropriate corrective actions to address safety issues and adverse trends in a timely manner, commensurate with their safety significance and complexity [P.1(d)].

Inspection Report# : [2009009](#) (pdf)

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## **Mitigating Systems**

**Significance:**  Dec 31, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

**Failure to Appropriately Classify Conditions Adverse to Quality for Significance**

Between September 23 and November 15, 2010, the inspectors identified two examples of a noncited violation of 10 CFR Part 50, Appendix B, Criterion V, "Instructions, Procedures, and Drawings," for the failure of licensee personnel to follow the requirements of corrective action program procedures for nuclear notification significance screening. Specifically, licensee personnel failed to follow Procedure SO123-XV-50.CAP-2, "SONGS Nuclear Notification Screening," Revision 7, to properly screen for significance, conditions that result in non-routine reporting to the NRC and Critical A component failures. In response to the inspectors' question, the licensee initiated Nuclear Notifications NNs 201122165 and 201203374 to perform appropriate evaluations of the corrective action programmatic issues.

The performance deficiency is more than minor because, if left uncorrected, it would have the potential to lead to a more significant safety concern by not evaluating problems commensurate with their safety significance, such that the resolutions address the causes and extent of conditions, and is therefore a finding. The finding is associated with the Mitigating Systems Cornerstone and affects the cornerstone objective of ensuring the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences. Using the Manual Chapter 0609, "Significance Determination Process," Phase 1 Worksheets, the finding was determined to have very low safety significance because the finding: (1) was not a design or qualification issue confirmed not to result in a loss of operability or functionality; (2) did not represent an actual loss of safety function of the system or train; (3) did not result in the loss of one or more trains of nontechnical specification equipment; and (4) did not screen as potentially risk significant due to a seismic, flooding, or severe weather initiating event. The finding was determined to have a crosscutting aspect in the area of problem identification and resolution, associated with the corrective action program, in that the licensee failed to thoroughly evaluate problems such that the resolutions address causes and extent of conditions, and failed to properly classify, prioritize, and evaluate for operability and reportability conditions adverse to quality [P.1(c)] (Section 40A2).

Inspection Report# : [2010005](#) (pdf)

**Significance:**  Aug 16, 2010

Identified By: NRC

Item Type: VIO Violation

**Failure to Ensure At Least One Train of Equipment Necessary to Achieve Hot Shutdown Conditions Is Free of Fire Damage**

Green. The team identified a cited violation of License Condition 2.C(14), "Fire Protection," for failure to correct a noncompliance. Specifically, Inspection Report 05000361;362/2007008 documented a noncompliance involving the failure to ensure that at least one train of safe shutdown equipment would remain free from fire damage in each fire area. The NRC exercised discretion not to cite this violation at that time because the licensee met the criteria described in Enforcement Guidance Memorandum 98 002, Revision 2, and Supplement 2 to that revision. Enforcement Guidance Memorandum 07-004 superseded Enforcement Guidance Memorandum 98-002 and required licensees to complete corrective actions for noncompliances related to post-fire operator manual actions by March 6, 2009. This violation is being cited due to the failure to complete corrective actions and restore compliance within the required time. This finding was entered into the licensee's corrective action program as Notification NN 200940265.

The failure to promptly restore adequate fire protection and/or separation of required safe shutdown systems was a performance deficiency. This performance deficiency was more than minor because it was associated with the protection against external factors (fire) attribute of the Mitigating Systems Cornerstone and adversely affected the cornerstone objective of ensuring the availability, reliability, and capability of systems that respond to initiating events in order to prevent undesirable consequences. Because the violation involved multiple fire areas, the team could not evaluate this issue using Phase 2 of Inspection Manual Chapter 0609, Appendix F, and a Phase 3 significance determination process risk assessment was performed by a senior reactor analyst. The finding was determined to have very low risk significance (Green), with a delta-CDF of 3.2E-8/yr, because of a combination of the availability of long recovery times for feasible operator manual actions and low-probability fire damage scenarios in the nine fire areas with fire sources which could potentially damage cables of required safe shutdown components. This finding involved a cross-cutting aspect in the decision-making component in the human performance area because the licensee failed to make a risk-significant decision using a systematic process when considering the scheduling of corrective actions [H.1 (a)]

**Significance:**  Jul 12, 2010

Identified By: Self-Revealing

Item Type: NCV NonCited Violation

**Failure to Promptly Identify and Correct a Condition Adverse to Quality Associated with Safety-related Emergency Ventilation Fans**

A self-revealing noncited violation of 10 CFR Part 50, Appendix B, Criterion XVI, "Corrective Action," was identified for the licensee's failure to promptly identify and correct a condition adverse to quality associated with safety-related emergency ventilation fans. Specifically, the licensee did not adequately identify a degrading material condition on the emergency ventilation fan nose cones that resulted in failure of the emergency diesel generator train B vaneaxial fan on July 12, 2010. The licensee's apparent cause evaluation developed corrective actions to periodically replace safety-related emergency ventilation fans at a 12 year interval. This issue was entered into the licensee's corrective action program as Nuclear Notifications NNs 201009885 and 201088409.

The performance deficiency is more than minor and is therefore a finding because it is associated with the equipment performance attribute of the Mitigating Systems Cornerstone and affects the cornerstone objective of ensuring the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences. Using the Manual Chapter 0609, "Significance Determination Process," Phase 1 Worksheets, the finding was determined to have very low safety significance because the finding: (1) is not a design or qualification issue confirmed not to result in a loss of operability or functionality; (2) did not represent an actual loss of safety function of the system or train; (3) did not result in the loss of one or more trains of nontechnical specification equipment; and (4) did not screen as potentially risk significant due to a seismic, flooding, or severe weather imitating event. Since the inadequate corrective actions were developed in 2003 and the licensee's corrective action program has improved with respect to extent of condition reviews, the inspectors determined that this finding was not reflective of current performance, and therefore, did not have a crosscutting aspect associated with it (Section 1R15).

Inspection Report# : [2010004](#) (pdf)

**Significance:**  Jun 25, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

**Failure to Provide Training Mandated by a Root Cause Evaluation**

The inspectors identified a noncited violation of 10 CFR Part 50, Appendix B, Criterion XVI, "Corrective Action," for failure of electrical maintenance management personnel to adequately ensure that training was provided to electrical maintenance workers on techniques to prevent loose electrical connections. This training was a required action as described in root cause evaluation RCE 050601315 written in response to a June 2005 failure of an emergency diesel generator surveillance test due to a loose electrical connection in an emergency supply fan for the Unit 3 train B emergency diesel generator. The licensee entered this finding into their corrective action program as Nuclear Notifications NNs 200986184 and 200992291.

The failure of electrical maintenance management personnel to adequately implement corrective actions as prescribed by a root cause evaluation was a performance deficiency. The performance deficiency is more than minor and is therefore a finding because it is associated with the human performance attribute of the Mitigating Systems Cornerstone and affects the cornerstone objective of ensuring availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences. Using the Manual Chapter 0609, "Significance Determination Process," Phase 1 Worksheets, the finding was determined to have very low safety significance because the finding: (1) was not a design or qualification issue confirmed not to result in a loss of operability or functionality; (2) did not represent an actual loss of safety function of the system or train; (3) did not result in the loss of one or more trains of nontechnical specification equipment; and (4) did not screen as potentially risk significant due to a seismic, flooding, or severe weather initiating event. Since this finding is associated with a 2005 root cause evaluation, that required training as part of the corrective action followup and there have been changes to the licensee's corrective action program, the inspectors determined that this was not reflective of current performance and therefore did not have a crosscutting aspect associated with it (Section 4OA2).

Inspection Report# : [2010004](#) (pdf)

**Significance:**  Jun 17, 2010

Identified By: NRC

Item Type: VIO Violation

**Failure to maintain written procedures covered in Regulatory Guide 1.33**

The inspectors identified a cited violation of Technical Specification 5.5.1.1.a, involving the failure to maintain adequate written procedures. Specifically, as of April 23, 2010, the licensee's controls over its backlog of procedure change requests associated with plant modifications were inadequate to prevent licensee personnel from using outdated procedures with known technical errors in the plant. The performance deficiency of failing to control the backlog of procedure changes, such that procedures with known technical errors were in use in the plant were previously identified by the NRC on two occasions and were documented as noncited violations 05000361; 05000362/2009003 09 and 2009009-02. Because the licensee failed to restore compliance within a reasonable time after the previous noncited violations were identified, this violation is being cited in a Notice of Violation in accordance with Section VI.a.1 of the NRC's Enforcement Policy. This finding was entered into the licensee's corrective action program as Nuclear Notification 200888919. The licensee's corrective action included immediate actions to administratively suspend these procedures until they could be revised and to evaluate changes needed to its program to prevent recurrence.

The failure to maintain procedures covered by Regulatory Guide 1.33 is a performance deficiency. The finding is of more than minor significance because, if left uncorrected, the failure to maintain and control procedures would have the potential to lead to a more significant safety concern. Using Inspection Manual Chapter 0609, Phase 1, "Initial Screening and Characterization of Findings," the finding was determined to have a very low safety significance because the finding did not result in a loss of system safety function, an actual loss of safety function of a single train for greater than its technical specification allowed outage time, or screen as potentially risk significant due to a seismic, flooding, or severe weather initiating event. The finding has a crosscutting aspect in the area of problem identification and resolution associated with the corrective action program component, because problems were not thoroughly evaluated, such that the resolutions addressed the causes and extents of condition. This includes properly classifying and prioritizing conditions adverse to quality. [P.1(c)]

Inspection Report# : [2010006](#) (pdf)

**Significance:**  Jun 17, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

**Failure to establish goals and monitor for Auxiliary Feedwater trains**

Two examples of a noncited violation of 10 CFR 50.65(a)(1) were identified involving the failure to monitor the unavailability time associated with equipment failures which were maintenance induced. The first example involved maintenance inadvertently bending the fuse holder contacts such that there was a loose connection on the power supply on the turbine-driven auxiliary feedwater pump resulting in its failure. The second example involved the failure to perform maintenance associated with a condensate storage tank isolation valve resulting in its failure during in-service testing. In both cases, if the licensee had assessed the unavailability time due to the maintenance induced failures, the systems would have exceeded the 10 CFR 50.65(a)(2) monitoring criteria, necessitating the systems to be placed in 10 CFR 50.65(a)(1) goal setting. The licensee's corrective actions included evaluating its procedures to prevent recurrence, and re-evaluating these systems to determine the impact of accounting for unavailable time.

This finding is more than minor because it affects the equipment performance attribute of the Mitigating Systems Cornerstone per Inspection Manual Chapter 612, Appendix B. Using Inspection Manual Chapter 0609, Phase 1, "Initial Screening and Characterization of Findings," the inspectors determined the finding to be of very low safety significance (Green) because they did not represent the loss of a system safety function and did not screen as potentially risk significant due to a seismic, flooding, or severe weather initiating event. The cause of the finding was determined to have a crosscutting aspect in the area of human performance. Specifically, personnel failed to use a formal decision making process to determine how to count unavailable hours for the maintenance rule. [H.1(a)]

Inspection Report# : [2010006](#) (pdf)

**Significance:**  Jun 17, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

**Failure to identify and correct the use of deficient relays.**

The inspectors identified a noncited violation of 10 CFR Part 50, Appendix B, Criterion XVI, "Corrective Action," in that, from October 2008 to April 2010, the licensee failed to promptly identify and correct potentially degraded motor-driven relays in safety-related systems and components. Specifically, after identifying a degraded relay affecting an emergency diesel generator, the licensee replaced all similar relays in the other diesel generators but failed to evaluate the use of these potentially degraded relays in other safety-related systems. The licensee entered this issue into the corrective action program as Nuclear Notification 200146292, and developed a plan to replace the 62 degraded relays that were installed in other safety-related equipment.

This finding was more than minor because it impacted the equipment performance attribute of the Mitigating Systems Cornerstone objective to ensure the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences. Using Inspection Manual Chapter 0609.04, Phase 1, "Initial Screening and Characterization of Findings," the inspectors determined the finding to be of very low safety significance (Green) because it did not represent the loss of a system safety function and did not screen as potentially risk significant due to a seismic, flooding, or severe weather initiating event. This finding has a crosscutting aspect in the area of human performance associated with the decision-making component, in that the licensee did not use conservative assumptions in making decisions about the extent of condition [H.1(b)]

Inspection Report# : [2010006](#) (pdf)

**Significance:**  Jun 17, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

**Failure to maintain design basis information**

The inspectors identified a noncited violation of 10 CFR Part 50, Appendix B, Criterion III, "Design Control," involving the failure to translate nonconservative errors in calculations and procedures identified during review of external operating experiences. The first example involved the sizing calculation for the condensate storage tank failing to account for effects of auxiliary feedwater pump heat during recirculation. The second example involved the failure to update procedural guidance concerning the adverse effects of placing the low pressure safety injection system into operation following use of the residual heat removal system in the shutdown cooling mode of operation above 200°F. This issue was entered into the licensee's corrective action program as Nuclear Notification 200886265. The licensee initiated actions to correct its procedure and calculation for each instance.

The finding is of more than minor significance because it adversely affects the design control attribute of the mitigating systems cornerstone objective. Using Inspection Manual Chapter 0609.04, Phase 1, "Initial Screening and Characterization of Findings," the finding was determined to have a very low safety significance (Green) because the finding did not result in a loss of system safety function, an actual loss of safety function of a single train for greater than its technical specification allowed outage time, or screen as potentially risk significant due to a seismic, flooding, or severe weather initiating event. The finding has a crosscutting aspect in the area of problem identification and resolution associated with the operating experience component because the licensee failed to implement and institutionalize operating experience information, including vendor recommendations, through changes to plant processes, procedures, equipment, and training programs. [P.2(b)]

Inspection Report# : [2010006](#) (pdf)

**Significance:**  May 31, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

**Unavailability Time for Component Cooling Water Incorrectly Counted**

The inspectors identified a noncited violation of 10 CFR 50.65(a)(1) and 50.65(a)(2) for the failure of engineering personnel to demonstrate that the performance or condition of the Unit 3 component cooling water system had been effectively controlled through the performance of appropriate preventive maintenance and did not monitor against licensee-established goals. Specifically, as of May 31, 2010, engineering personnel failed to identify and properly account for at least 47 hours of component cooling water heat exchanger unavailability when considering whether the performance of the Unit 3 component cooling water train A had been effectively controlled through maintenance.

These 47 hours of unavailability, when combined with other train unavailability over the previous 12 months, demonstrate that the performance or condition of this structure, system, or component was not being effectively controlled through the performance of appropriate preventive maintenance and, as a result, that goal setting and monitoring was required. Licensee personnel initiated a notification to evaluate how component cooling water train unavailability is counted. This issue was entered into the licensee's corrective action program as Nuclear Notification NN 200961310.

The performance deficiency is more than minor because it affected the equipment performance attribute of the Mitigating Systems Cornerstone objective to ensure the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences, and is therefore a finding. Using Manual Chapter 0609, "Significance Determination Process," Phase 1 Worksheets, the finding is determined to have very low safety significance because the finding: (1) is not a design or qualification deficiency confirmed not to result in a loss of operability or functionality; (2) did not represent an actual loss of safety function of the system or train; (3) did not result in the loss of one or more trains of non-technical specification equipment; and (4) did not screen as potentially risk significant due to a seismic, flooding, or severe weather initiating event. This finding has a crosscutting aspect in the area of human performance associated with the component of decision making because the engineering personnel failed to demonstrate that nuclear safety was an overriding priority through the use of conservative assumptions in decision making and adopting a requirement to demonstrate that a proposed action is safe in order to proceed rather than a requirement to demonstrate that it is unsafe in order to disapprove the action [H.1(b)].

Inspection Report# : [2010003](#) (*pdf*)

**Significance:**  May 19, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

#### **Inadequate Operability Determination for Safety-Related Concrete Cracks**

The inspectors identified a noncited violation of 10 CFR Part 50 Appendix B, Criterion V, "Instructions, Procedures, and Drawings," for the failure of operations and engineering personnel to follow station procedures to determine the operability of a degraded structure, system, or component. Specifically, on May 19, 2010, the operability determination performed to determine the operability of degraded safety-related concrete in the Unit 3 intake structure was not accomplished in accordance with Procedure SO123-XV-52, "Functionality Assessments and Operability Determinations," Revision 17. After the inadequate operability determination was identified by the inspectors, operations and engineering personnel re-evaluated the conditions. This issue was entered into the licensee's corrective action program as Nuclear Notification NN 200957926.

The performance deficiency is more than minor because if left uncorrected, it would have the potential to lead to a more significant safety concern, and is therefore a finding. Specifically, the continued failure of operations personnel to perform adequate operability determinations could result in an inoperable structure, system, or component not being recognized and addressed in a timely manner. The finding is associated with the Mitigating Systems Cornerstone. Using Manual Chapter 0609, "Significance Determination Process," Phase 1 Worksheets, the finding is determined to have very low safety significance because the finding: (1) is not a design or qualification issue confirmed not to result in a loss of operability or functionality; (2) did not represent an actual loss of safety function of the system or train; (3) did not result in the loss of one or more trains of non-technical specification equipment; and (4) did not screen as potentially risk significant due to a seismic, flooding, or severe weather initiating event. This finding has a crosscutting aspect in the area of human performance associated with the component of decision making because the licensee failed to demonstrate that nuclear safety was an overriding priority through the use of conservative assumptions in decision making and adopting a requirement to demonstrate that a proposed action is safe in order to proceed rather than a requirement to demonstrate that it is unsafe in order to disapprove the action [H.1(b)].

Inspection Report# : [2010003](#) (*pdf*)

**Significance:**  May 18, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

#### **Inappropriate Control of Operator Aids**

The inspectors identified a noncited violation of Technical Specification 5.5.1.1 for the failure of operations personnel

to follow Procedure SO123-0-A1, "Conduct of Operations," Revision 27, to appropriately control operator aids. Specifically, between March 30 and May 18, 2010, the inspectors identified several operator aids that were not controlled per the requirements of Procedure SO123-0-A1, Section 6.10, "Operator Aids." Operations personnel implemented the controls required by Procedure SO123 0 A1 for the operator aids identified by the inspectors, and performed an extent of condition review to identify and correct additional operator aids. This issue was entered into the licensee's corrective action program as Nuclear Notification NN 200856079.

The performance deficiency is more than minor because it is associated with the procedure quality attribute of the Mitigating Systems Cornerstone and affects the associated cornerstone objective to ensure the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences, and is therefore a finding. Using the Manual Chapter 0609, "Significance Determination Process," Phase 1 Worksheets, the finding is determined to have very low safety significance because the finding: (1) is not a design or qualification issue confirmed not to result in a loss of operability or functionality; (2) did not represent an actual loss of safety function of the system or train; (3) did not result in the loss of one or more trains of nontechnical specification equipment; and (4) did not screen as potentially risk significant due to a seismic, flooding, or severe weather initiating event. This finding has a crosscutting aspect in the area of problem identification and resolution associated with the component of corrective action program because operations personnel failed to implement a corrective action program with a low threshold for identifying issues [P.1(a)].

Inspection Report# : [2010003](#) (pdf)

**Significance:**  Jan 22, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

#### **Failure to Maintain Written Procedures Covered in Regulatory Guide 1.33**

The inspectors identified a non-cited violation of Technical Specification 5.5.1, "Procedures," for the failure of procedure writer personnel to maintain written procedures covered in Regulatory Guide 1.33. Specifically, from initial plant startup of Units 2 and 3 to November 2009, no process requirement or procedure existed to identify procedures that required technical changes so that those procedures could be suspended or put an administrative hold until the required changes were made. This resulted in a quality controlled procedure requiring technical changes available to use on a safety-related system without flagging the required changes. This finding was entered into the licensee's corrective action program as Nuclear Notification 200671179.

The finding is greater than minor because, if left uncorrected, the failure to maintain and control procedures would have the potential to lead to a more significant safety concern by having technically inaccurate procedures being used on safety-related systems. This finding is associated with the Mitigating Systems Cornerstone. Using Manual Chapter 0609.04, "Phase 1 – Initial Screening and Characterization of Findings," the finding was determined to have a very low safety significance because the finding did not result in a loss of a system safety function, an actual loss of safety function of a single train for greater than its technical specification allowed outage time, or screen as potentially risk significant due to a seismic, flooding, or severe weather initiating event. The finding has a cross-cutting aspect in the area of problem identification and resolution associated with the corrective action program because problems were not thoroughly evaluated such that the resolutions addressed the causes and extent of conditions. This includes properly classifying and prioritizing conditions adverse to quality [P.1(c)].

Inspection Report# : [2009009](#) (pdf)

**Significance:**  Jan 21, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

#### **Failure to Appropriately Scope Auxiliary Feedwater Pump Trench Eductors in the Maintenance Rule Monitoring Program**

The inspectors identified a noncited violation of 10 CFR 50.65(b)(2)(ii) for the licensee's failure to appropriately scope the steam driven auxiliary feedwater pump trench eductor in the maintenance rule monitoring program. Specifically, from the inception of the facilities monitoring program through March 2010, the licensee failed to properly scope the steam driven auxiliary feedwater pump trench educator. The eductors prevent water from accumulating in the trench because water in contact with the pump's steam supply piping would cause condensation

of the steam in the pipe. Condensation would cause the turbine to over speed, which would render the pump incapable of performing its specified safety function. This issue was entered into the licensee's corrective action program as Nuclear Notification NN 200765185.

The finding is greater than minor because it is associated with the equipment performance attribute of the Mitigating Systems Cornerstone and directly affected the cornerstone objective of ensuring the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences. Using the Manual Chapter 0609, "Significance Determination Process," Phase 1 Worksheets, the finding is determined to have very low safety significance because the finding: (1) is not a design or qualification issue confirmed not to result in a loss of operability or functionality; (2) did not represent an actual loss of safety function of the system or train; (3) did not result in the loss of one or more trains of nontechnical specification equipment; and (4) did not screen as potentially risk significant due to a seismic, flooding, or severe weather initiating event. The inspectors determined that since the scoping of the systems had occurred more than 2 years in the past, and the opportunity to reevaluate system scoping had not occurred recently, that the finding did not represent current plant performance and therefore did not have a crosscutting aspect associated with it.

Inspection Report# : [2010002](#) (pdf)

**Significance:**  Jan 21, 2010

Identified By: Self-Revealing

Item Type: NCV NonCited Violation

### **Failure of Schedule 10S Piping**

A self-revealing noncited violation of 10 CFR Part 50, Appendix B, Criterion XVI, "Corrective Actions," was identified for the licensee's failure to determine the cause and take corrective actions to preclude repetition of a significant condition adverse to quality associated with repeated leakage of safety-related piping. Specifically, from 1985 through June 2008, the licensee failed to determine the cause of the numerous failures of the Schedule 10S piping and did not take corrective actions to preclude repetition of additional piping leaks. In January 2010, the licensee initiated a root cause evaluation and developed an extensive inspection and repair plan. This issue was entered into the licensee's corrective action program as Nuclear Notification NN 200753741.

The performance deficiency is more than minor because it is associated with the equipment performance attribute of the Mitigating Systems Cornerstone and affects the associated cornerstone objective to ensure the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences, and is therefore a finding. Using Manual Chapter 0609, "Significance Determination Process," Phase 1 Worksheets, the finding is determined to have very low safety significance because the finding: (1) is not a design or qualification issue confirmed not to result in a loss of operability or functionality; (2) did not represent an actual loss of safety function of the system or train; (3) did not result in the loss of one or more trains of nontechnical specification equipment; and (4) did not screen as potentially risk significant due to a seismic, flooding, or severe weather initiating event. Since the most recent opportunity to identify and correct this condition was in June 2008, and the licensee has instituted numerous corrective actions to address this issue, the inspectors determined that this was not reflective of current performance and therefore did not have a crosscutting aspect associated with it.

Inspection Report# : [2010003](#) (pdf)

**Significance:**  Jan 04, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

### **Failure to Enter Conditions Adverse to Quality into the Corrective Action Program**

The inspectors identified a noncited violation of 10 CFR Part 50, Appendix B, Criterion V, "Instructions, Procedures, and Drawings," for the failure of licensee personnel to follow procedure SO123-XV-50.CAP-1, "Writing Nuclear Notifications for Problem Identification and Resolution," Revision 2, and enter conditions adverse to quality into the corrective action program. Specifically, between January 4 and March 14, 2010, the inspectors identified multiple instances, including two programs, where licensee personnel were aware of the existence of conditions adverse to quality, but failed to appropriately enter them into the corrective action program without being prompted by the inspectors. This issue was entered into the licensee's corrective action program as Nuclear Notifications NNs 200778816 and 200780926.

The finding is greater than minor because it was similar to more than minor example 3.j in NRC Manual Chapter

0612, Appendix E, “Examples of Minor Issues,” in that programmatic deficiencies were identified associated with this issue that would have the potential to lead to more significant safety concerns if left uncorrected. Specifically, contractor and licensee personnel’s failure to enter conditions adverse to quality into the station corrective action program could result in the licensee’s failure to recognize that risk significant equipment is in a degraded or nonconforming condition, and as such, may not be able to perform its specified safety function. The finding is associated with the Mitigating Systems Cornerstone. Using the Manual Chapter 0609, “Significance Determination Process,” Phase 1 Worksheets, the finding is determined to have very low safety significance because the finding: (1) is not a design or qualification issue confirmed not to result in a loss of operability or functionality; (2) did not represent an actual loss of safety function of the system or train; (3) did not result in the loss of one or more trains of non-technical specification equipment; and (4) did not screen as potentially risk significant due to a seismic, flooding, or severe weather initiating event. This finding has a crosscutting aspect in the area of problem identification and resolution associated with the corrective action program because the licensee failed to implement a corrective action program with a low threshold for identifying issues. This also includes identifying such issues completely, accurately, and in a timely manner commensurate with their safety significance [P.1(a)].

Inspection Report# : [2010002](#) (*pdf*)

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## Barrier Integrity

**Significance:** G Nov 24, 2010

Identified By: Self-Revealing

Item Type: NCV NonCited Violation

### **Inadequate Control of Foreign Material over the Spent Fuel Pool during Surveillance Testing**

A self-revealing noncited violation of 10 CFR Part 50, Appendix B, Criterion V, “Instructions, Procedures, and Drawings,” was identified for the failure of licensee personnel to follow procedures associated with foreign material exclusion controls, which resulted in a failure to positively control a load beam over the spent fuel pool. Specifically, on November 24, 2010, the licensee failed to implement appropriate foreign material exclusion controls for maintaining positive control on a load beam over the spent fuel pool which dropped and caused damage to the fuel assembly storage rack.

This performance deficiency was determined to be more than minor and therefore a finding because if left uncorrected, it would have the potential to lead to a more significant safety concern. Specifically, the load beam could have fallen and damaged fuel assemblies stored in the spent fuel pool. The finding is associated with the Barrier Integrity Cornerstone and affects the cornerstone objective to provide reasonable assurance that physical design barriers protect the public from radionuclide releases caused by accidents or events. Manual Chapter 0609, “Significance Determination Process,” Phase 1 Worksheets, the finding was determined to have very low safety significance because the finding: (1) does not result in loss of cooling to the spent fuel pool, whereby operator or equipment failures could preclude restoration of cooling prior to pool boiling; (2) does not result from fuel handling errors that caused damage to fuel clad integrity or a dropped assembly; and (3) do not result in a loss of spent fuel pool inventory greater than ten percent of spent fuel pool volume. The finding has a crosscutting aspect in the area of human performance, associated with the decision-making component, because the licensee failed use conservative assumptions in decision making when performing the spent fuel pool refueling machine surveillance test over the spent fuel pool [H.1(b)] (Section 4OA3).

Inspection Report# : [2010005](#) (*pdf*)

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## Emergency Preparedness

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## Occupational Radiation Safety

**Significance:** **G** Nov 16, 2010

Identified By: Self-Revealing

Item Type: NCV NonCited Violation

**Failure to Follow Procedure for Modifying Work Clearance Applications**

A self-revealing noncited violation of Technical Specification 5.5.1.1 was identified for the failure of operations personnel to follow Procedure SO123-XX-5.1, "Work Clearance Management Issue, Release, and Tagging Modification," Revision 22, to prepare and verify an adequate tagging boundary. Specifically, operations personnel implemented a change to the work clearance that subjected workers to hazards from contaminated water stored in the refueling water storage tank, without validating assumptions and drawings to determine the correct tank level was adequate as a tagging boundary. Consequently, on November 17, 2010, during implementation of Work Clearance Document WCD 30016930, an estimated 14,200 gallons of contaminated water drained into an area where people were working and resulted in a personnel contamination event.

The performance deficiency is determined to be more than minor because it is associated with the plant facilities/equipment attribute of the Occupational Radiation Safety Cornerstone and affects the associated cornerstone objective to ensure the adequate protection of the worker health and safety from exposure to radiation from radioactive material during routine nuclear reactor operation, and is therefore a finding. Using Manual Chapter 0609, "Significance Determination Process," Appendix C, the finding is determined to have very low safety significance because the finding: (1) is not related to ALARA; (2) does not involve an overexposure; (3) did not constitute a substantial potential for overexposure; and (4) did not involve a situation where the licensee's ability to assess dose was compromised. The finding was determined to have a crosscutting aspect in the area of human performance, associated with the decision making component, because operations personnel failed to use conservative assumptions and formally validate and verify plant conditions and associated tagging boundaries [H.1(b)] (Section 40A3).

Inspection Report# : [2010005](#) (*pdf*)

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## Public Radiation Safety

**Significance:** **G** Jun 17, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

**Failure to establish component cooling water radiation monitoring procedures.**

The inspectors identified a noncited violation of Technical Specification 5.5.1.1.a, "Scope," involving the failure to establish procedures for component cooling water system alignments such that leakage of radionuclides to the environment would be monitored during all operational alignments of component cooling water. Specifically, radiation monitors could be aligned to only one train of component cooling water at a time and the licensee's procedures had no provision for monitoring the second train when both trains were in-service. This finding was entered into the licensee's corrective action program as Nuclear Notification 200871387, and actions were implemented to require periodic grab sampling of the train which was not being monitored.

The inspectors determined that this finding was more than minor because this issue impacted the Public Radiation Protection Cornerstone and its objective to ensure adequate protection of public health and safety from exposure to radioactive materials released into the public domain as a result of routine civilian nuclear reactor operation. Specifically, the radiation monitors for component cooling water were not sufficient to ensure adequate release measurements. The inspectors evaluated the significance of this finding using Phase 1 of Inspection Manual Chapter 0609.04 and determined that the finding screened to Inspection Manual Chapter 0609, Appendix D, "Public Radiation Safety Significance Determination Process." The inspectors evaluated the significance of this finding using Inspection Manual Chapter 0609, Appendix D, and determined that the finding was of very low safety significance (Green) because dose did not exceed Appendix I criteria. This finding was determined to have a crosscutting aspect in the area of problem identification and resolution associated with the corrective action program in that the plant operators did not have a low threshold for identifying deficiencies in procedures. [P.1(a)]

Inspection Report# : [2010006](#) (*pdf*)

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## Physical Protection

Although the NRC is actively overseeing the Security cornerstone, the Commission has decided that certain findings pertaining to security cornerstone will not be publicly available to ensure that potentially useful information is not provided to a possible adversary. Therefore, the [cover letters](#) to security inspection reports may be viewed.

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## Miscellaneous

**Significance:** N/A Jun 17, 2010

Identified By: NRC

Item Type: FIN Finding

**San Onofre Nuclear Generating Station Biennial PI&R Inspection Summary.**

The inspectors reviewed approximately 300 condition reports, work orders, engineering evaluations, root and apparent cause evaluations, and other supporting documentation to determine if problems were being properly identified, characterized, and entered into the corrective action program for evaluation and resolution. The inspectors reviewed a sample of system health reports, self-assessments, trending reports and metrics, and various other documents related to the corrective action program.

When compared with the findings from the previous inspection conducted in September 2008, the findings from this inspection indicate that the corrective action program effectiveness has declined. As previously discussed in the past five NRC assessment letters, the licensee's ability to thoroughly evaluate problems such that the resolutions effectively address the causes and extent of conditions is of concern. The licensee's efforts to reverse the trend of substantive crosscutting issues in both the human performance and problem identification and resolution areas have not shown to be effective.

Additionally, the inspection identified a number of issues that the licensee's staff had previous opportunities to identify. The inspectors noted that even after issues were discussed with the licensees' staff, thorough evaluations were not consistently completed. We noted examples were the evaluations for deficient components failed to fully address the component safety functions for all applicable design basis accident scenarios.

The inspectors determined that the licensee adequately evaluated industry operating experience for relevance to the facility, and entered applicable items in the corrective action program. The inspectors noted that operating experience was considered in cause evaluations. The inspectors noted that following the review of operating experience the licensee failed to consistently incorporate the knowledge into procedural guidance and design calculations.

In February 2010, the inspectors found that several work groups at San Onofre did not feel free to raise safety concerns

without fear of retaliation. This was documented in NRC Inspection Report 050000361; 05000362/2009009 dated March 2, 2010, and in the NRC's Chilling Effect Letter dated March 2, 2010.

Inspection Report# : [2010006](#) (*pdf*)

**Significance:** SL-IV Jun 17, 2010

Identified By: NRC

Item Type: NCV NonCited Violation

**Failure to report conditions that could have prevented fulfillment of safety function.**

The inspectors identified a Severity Level IV noncited violation of 10 CFR 50.73, "Licensee Event Report System," in which the licensee failed to submit a licensee event report within 60 days following discovery of an event meeting the reportability criteria. On January 26, 2010, the valve which isolates nonseismic piping from condensate storage tank T-120 failed its in-service test when the hand wheel stem snapped after a leveraging device was used in an attempt to close the valve. This isolation valve, 2HV5715, must be closed within 90 minutes of an operating basis earthquake in

order to prevent the loss of condensate storage tank T-120 water inventory from a line break in the nonseismic portion of the condensate system. The failure of this valve resulted in a condition prohibited by Technical Specification 3.7.6 and therefore was reportable. This finding was entered into the licensee's corrective action program as Nuclear Notification 200888616, and the licensee was taking actions to send a licensee event report to the NRC for this event.

The inspectors determined that traditional enforcement was applicable to this issue because the NRC's regulatory ability was affected. Specifically, the NRC relies on the licensee to identify and report conditions or events meeting the criteria specified in regulations in order to perform its regulatory function. The inspectors determined that this finding was not suitable for evaluation using the significance determination process, and as such, was evaluated in accordance with the NRC Enforcement Policy. The finding was reviewed by NRC management, and because the violation was determined to be of very low safety significance, was not repetitive or willful, and was entered into the corrective action program, this violation is being treated as a Severity Level IV noncited violation consistent with the NRC Enforcement Policy. This finding was determined to have a crosscutting aspect in the area of human performance in the decision-making component in that the licensee did not make safety-significant decision using a systematic process, especially when faced with uncertainty. [H.1(a)] (Section 40A2.5d)  
Inspection Report# : [2010006](#) (*pdf*)

**Significance:**  Jun 17, 2010

Identified By: NRC

Item Type: FIN Finding

**Failure to meet action plan for substantive crosscutting issues**

The inspectors identified a Green finding associated with the licensee's failure to meet the actions described to the NRC in letters dated April 21, 2009, and October 29 and 30, 2009, addressing corrective actions to improve site performance in the areas of human performance and problem identification and resolution. Specifically, 16 actions were not implemented on time and a number of actions were modified from what was previously described, all prior to informing the NRC. These findings were documented in Nuclear Notification 200848923.

The inspectors determined that the licensee's failure to perform actions as documented in its plan to the NRC was more than minor because if left uncorrected could result in a more significant safety concern. Using Inspection Manual Chapter 0609, Appendix M, this finding was reviewed by NRC management and was determined to be of very low safety significance (Green). This finding has a crosscutting aspect in the area of human performance in the work practices component because the licensee did not ensure management oversight of work activities. [H.4(c)] (Section 40A2.5m)

Inspection Report# : [2010006](#) (*pdf*)

Last modified : March 03, 2011