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Protecting People and the Environment

Enhancements to the NRC Allegation Program

Technical Session: NRC/Industry Response to Security Inattentiveness Concerns

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Overview

- Background
- Lessons Learned
- Allegation Program Enhancements

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BACKGROUND

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Background

- Allegations are an important source of information – Program is robust
  - NRC continually assesses program effectiveness
- Event
  - March 2007 allegation that security officers were sleeping on duty
  - September 2007

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LESSONS LEARNED

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Event Review

- Event Review and Recommendations
  - Management reviews
  - Inspector General review
  - Senate Hearing - February 28, 2008
- Commission approved recommendations
- Issuance of Interim Guidance
- Met with External Stakeholders

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Lessons Learned

- Additional information not pursued with alleger
- Limited detail provided to licensee
- Scope of security inspection not modified based on allegation
- Licensee evaluation and NRC assessment not thorough

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PROGRAM ENHANCEMENTS

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Interfacing with the Alleger

- Contact each alleger, even if no further contact is requested:
  - To encourage continued involvement
  - To obtain additional information
  - To allow better understanding of ID protection concerns
  - To relay NRC's intent to engage licensee, if applicable

- Closure Documentation
  - NRC assessment of licensee evaluation
  - NRC evaluation and conclusions

- Alleger Feedback
  - Senior management review

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Interfacing with the Licensee

- Document evaluation scope and evaluator qualifications
- Document basis for interview size and interview question content
- Dialogue between licensee and NRC when initiating evaluation to ensure understanding of:
  - Scope of concerns
  - Staff expectations for follow-up

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NRC Process Tools

- Process Worksheet
  - To help determine whether NRC should request licensee evaluation, inspect, or both
- Evaluation Checklist
  - To ensure a more structured, consistent review of licensee evaluation
- Inspector Knowledge of Open Allegations
  - Residents informed of all open allegations
  - Other inspectors informed, as appropriate

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Next Steps

- Revisit interim guidance based on public comments received

- Finalize enhancements in policy documents

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