

RIC 2006 Session T2F Allegations Alternative Dispute Resolution

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Pilot Program - Post Investigation Alternative Dispute Resolution

OVERVIEW

- Alternative Dispute Resolution (ADR) as a Pilot Program at NRC
- Region I experience with ADR
 - ADR Effectiveness
 - ADR Efficiency
 - ADR and Safety
 - ADR and Openness
- The Future of ADR/Next Steps

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ALTERNATIVE DISPUTE RESOLUTION AS A PILOT PROGRAM AT THE NRC

- Four Occasions for Public Comment (2001-2004)
- Eight Public Stakeholder Meetings and Workshops (2001-2004)
- Three Related Staff Requirements Memoranda (2002-2004)
- Two ADR Program NUREG Brochures
- Frequently Asked Questions Site
- [Http://www.nrc.gov/what-we-do/regulatory/enforcement/adr.html](http://www.nrc.gov/what-we-do/regulatory/enforcement/adr.html)

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**ADR SESSIONS HAVE BEEN EFFECTIVE
IN THAT AGREEMENTS WERE REACHED
IN ALL REGION I CASES**

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**ADR IS GENERALLY EFFICIENT
BECAUSE FEWER NRC RESOURCES
WERE UTILIZED IN ADR THAN WOULD
HAVE BEEN UTILIZED IN THE
TRADITIONAL ENFORCEMENT PROCESS**

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ADR PROMOTES SAFETY BASED ON ADDED COMMITMENTS BY LICENSEES THAT WOULD NOT NORMALLY BE IMPOSED IN THE TRADITIONAL ENFORCEMENT PROCESS

- Professional Venues to Create Added Awareness
- Peer to Peer Forums
- Constructive Coaching Role by Individuals

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THE OPENNESS OF THE ADR PROCESS, FOR NON-SECURITY MATTERS, IS SUPPORTED BY PLACING THE AGREED UPON ACTIONS AND THE CONFIRMATORY ORDERS IN ADAMS, NORMALLY ANNOUNCING THE AGREEMENT VIA A PRESS RELEASE, AND PUBLISHING THE CONFIRMATORY ORDER IN THE FEDERAL REGISTER

- Public Forum Used to Communicate Issues

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THE FUTURE OF ADR/NEXT STEPS

- Evaluate Pilot Program
- Final Recommendation to the Commission