EDO Principal Correspondence Control

FROM: DUE: 05/01/12   EDO CONTROL: G20120102
                                                       DOC DT: 02/16/12
                                                    FINAL REPLY:
Stephen D. Dingbaum, OIG

TO:

    Borchardt, EDO

FOR SIGNATURE OF :   ** GRN **   CRC NO:

    Sheron, RES

DESC: ROUTING:

    Status of Recommendations: Audit of the Committee   Borchardt
    to Reveiw Generic Requirements (OIG-09-A-06)   Weber
    (EDATS: OEDO-2012-0087)   Virgilio
                                                         Ash
                                                         Mamish
                                                         OGC/GC
DATE: 02/16/12   Arildsen, OEDO

ASSIGNED TO: CONTACT:
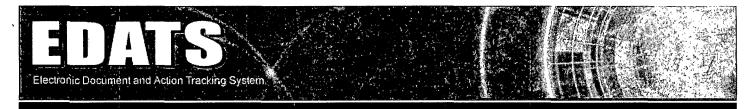
    RES   Sheron

SPECIAL INSTRUCTIONS OR REMARKS:

    Please provide a complete response to Stephen D.
    Dingbaum, OIG addressing the resolved
    recommendation. Be sure to include the target
    completion date and identify the current
    point-of-contact. Forward final memo via email
    (Forward as Attachment) to Judy Gordon, cc: Stephen
    Dingbaum, Steven Zane and RidsEdoMailCenter by May
    1, 2012. If applicable, be sure to attach in email
    any Enclosures to the response. See additional
    instructions attached.

Template: EDO-001   E-RIDS:EDO-01

EDATS Number: OEDO-2012-0087   **Source:** OEDO

General Information

Assigned To: RES   **OEDO Due Date:** 5/1/2012 11:00 PM

Other Assignees: .   **SECY Due Date:** NONE

Subject: Status of Recommendations: Audit of the Committee to Review Generic Requirements (OIG-09-A-06)

Description:

CC Routing: NONE

ADAMS Accession Numbers -   Incoming: NONE   **Response/Package:** NONE

Other Information

Cross Reference Number: G20120102, OIG-09-A-06   **Staff Initiated:** NO

Related Task: **Recurring Item:** NO

File Routing: EDATS   **Agency Lesson Learned:** NO

                                              OEDO Monthly Report Item: NO

Process Information

Action Type: Memo   **Priority:** Medium

                                                  Sensitivity: None

Signature Level: RES   **Urgency:** NO

Approval Level: No Approval Required

OEDO Concurrence: NO

OCM Concurrence: NO

OCA Concurrence: NO

Special Instructions: Please provide a complete response to Stephen D. Dingbaum, OIG addressing the resolved recommendation. Be sure to include the target completion date and identify the current point-of-contact. Forward final memo via email (Forward as Attachment) to Judy Gordon, cc: Stephen Dingbaum, Steven Zane and RidsEdoMailCenter by May 1, 2012. If applicable, be sure to attach in email any Enclosures to the response. See additional instructions attached.

Document Information

Originator Name: Stephen D. Dingbaum   **Date of Incoming:** 2/16/2012

Originating Organization: OIG   **Document Received by OEDO Date:** 2/16/2012

Addressee: R. W. Borchardt, EDO   **Date Response Requested by Originator:** 5/1/2012

Incoming Task Received: Memo

**UNITED STATES
NUCLEAR REGULATORY COMMISSION**
WASHINGTON, D.C. 20555-0001

February 16, 2012

MEMORANDUM TO: R. William Borchardt
                   Executive Director for Operations

FROM: Stephen D. Dingbaum   /RA/
                   Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATION: AUDIT OF THE
                   COMMITTEE TO REVIEW GENERIC
                   REQUIREMENTS (OIG-09-A-06)

REFERENCE: CHAIRMAN, COMMITTEE TO REVIEW GENERIC
                   REQUIREMENTS, MEMORANDUM DATED
                   DECEMBER 22, 2011

Attached is the Office of the Inspector General's analysis and status of
recommendation 1 as discussed in the agency's response dated December 22,
2011. Based on the response, recommendation 1 remains resolved.
Recommendation 2 was previously closed. Please provide an updated status on
recommendation 1 by May 1, 2012.

If you have questions or concerns, please call me at 415-5915 or RK Wild, Team
Leader, at 415-5948.

Attachment: As stated

cc: N. Mamish, OEDO
      K. Brock, OEDO
      J. Arildsen, OEDO
      C. Jaegers, OEDO

EDO --G20120102

Audit Report

AUDIT OF THE COMMITTEE TO REVIEW GENERIC REQUIREMENTS

OIG-09-A-06

Status of Recommendation

Recommendation 1:

Develop, document, implement, and communicate an agencywide process for reviewing backfit issues to ensure that generic backfits are appropriately justified based on NRC regulations and policy.

Agency Response Dated December 22, 2011:

On November 15, 2011, the Committee to Review Generic Requirements (CRGR) provided a draft final Management Directive (MD) 8.4, "Management of Facility-specific Backfitting and Information Collection" to the Office of Administration (ADM) for its final edits and formatting. At this point, the responsibilities of the CRGR with regards to the issuance of the revised MD 8.4 are completed. ADM now has the lead for issuance of the final MD.

Further, the CRGR has been in contact with the lead in the Office of Human Resources Division of Human Resources Training and Development (HRTD) regarding moving forward with the web-based Backfit Training Program. The HRTD division has the lead in developing the backfit training program, and they have taken steps to engage all the relevant stakeholders and subject matter experts (e.g., the Office of the General Counsel backfit expert) to ensure proper coordination and identification of the resources needed to establish the backfit training program.

AUDIT OF THE COMMITTEE TO REVIEW GENERIC REQUIREMENTS

OIG-09-A-06

Status of Recommendation

Recommendation 1 (continued):

Initial plans are to launch the web-based training program using the agency iLearn platform. The program will be graded from beginner to advanced and will include a periodic refresher training component. The tentative release date for the draft iLearn training program is January 2012.

OIG Analysis: The current update continues to meet the intent of the original recommendation. However, OIG is concerned that the agency's proposed methods to communicate an agencywide process for reviewing backfit issues—namely, issuance of a revised MD 8.4 and the launching of a web-based training program— have not been completed. Not only did NRC miss its estimated January 2012 implementation date for the iLearn training program, the agency has failed to offer new estimated completion dates for the proposed methods. OIG will close the recommendation once we receive and review the final version of MD 8.4 and after reviewing the new backfit training programs to ensure implementation of the recommendation's intent.

Status: Resolved.

Additional Instructions to Action Offices/Regions on Responding to OIG Requests for Status Updates for OIG Report Recommendations

The action Offices/Region should provide a memorandum addressed to the Assistant Inspector General for Audits (AIGA) signed by the Office Director or Regional Administrator. The response should provide the status of each recommendation in the associated OIG audit report.

The memorandum should provide updated status for the OIG report recommendations by the date specified. An extension will not be granted since the memorandum is requesting a status of the recommendation(s), not the completion of the recommendation(s).

Please ensure the updated status memorandum addresses all "resolved" and "unresolved" recommendations and include for each recommendation separately:

    (a) the recommendation by number, repeating its text verbatim,
    (b) a response that describes under each recommendation the action(s) needed for closure,
    (c) the target completion date for the action(s), and
    (d) the identification of the current point-of-contact.

When appropriate, ensure applicable document(s) to support OIG closure of recommendation(s) are attached as Enclosures and/or provide an ADAMS accession number with viewer rights. Be sure to include the audit number, for example: OIG-11-A-01, and task number(s) to the case reference field in the ADAMS profile.

Forward the memorandum to OIG via email, as described in the Special Instructions or Remarks in the associated action item ticket.

Please note that status update memoranda that are in response to publically available OIG audit report recommendations should be profiled in ADAMS to be publically available, and the memorandum should not be marked to the contrary.