



**UNITED STATES**  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE  
INSPECTOR GENERAL**

February 17, 2012

MEMORANDUM TO: R. William Borchardt  
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S  
AGREEMENT STATE PROGRAM (OIG-09-A-08)

REFERENCE: DIRECTOR, OFFICE OF FEDERAL AND STATE  
MATERIALS AND ENVIRONMENTAL MANAGEMENT  
PROGRAMS, MEMORANDUM DATED JANUARY 26, 2012

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in the agency's response dated January 26, 2012. Based on this response, recommendation 4 is now closed. Recommendations 1, 2, 3, and 5 were previously closed. All recommendations to this report are now closed.

If you have questions or concerns, please call me at 415-5915 or Sherri Miotla, Team Leader, at 415-5914.

Attachment: As stated

cc: N. Mamish, OEDO  
K. Brock, OEDO  
J. Arildsen, OEDO  
C. Jaegers, OEDO

## **Audit Report**

### **AUDIT OF NRC'S AGREEMENT STATE PROGRAM**

**OIG-09-A-08**

#### **Status of Recommendations**

Recommendation 4: Develop a standardized data collection process that can be used as the basis of an information sharing tool on a national level.

Agency Response Dated  
January 26, 2011:

To address the recommendation, in April 2010, the U.S. Nuclear Regulatory Commission (NRC) issued a questionnaire to Agreement States in order to obtain input on the willingness to share certain information; estimates of the annual burden to share the information; and the legality of sharing the information from the State perspective (RCPD 10-006, ML100750102). NRC staff analyzed the data. Based on the responses received from the Agreement States, NRC staff concluded that a voluntary, password protected database on the Office of Federal and State Materials and Environmental Management Programs public website that includes data involving bans, revocations, suspensions, and denials was the most suitable option due to several States' laws.

On June 16, 2011, NRC staff issued a letter to the Agreement States seeking voluntary information to build a national enforcement database (RCPD-11-009, ML111380479). With the data received from the Agreement States, the database was built and tested. On November 8, 2011, the database was made available for Agreement State and NRC use (RCPD-11-019, National Enforcement Database Web Site Now Available To Registered Users, ML113040320). As previously mentioned, NRC cannot require Agreement States to provide this information. However, NRC will strongly encourage all Agreement States to contribute to this effort and share valuable information that could assist fellow regulators in making informed decisions. The staff has completed the planned actions with regard to Recommendation 4.

## **Audit Report**

### **AUDIT OF NRC'S AGREEMENT STATE PROGRAM**

**OIG-09-A-08**

#### **Status of Recommendations**

##### Recommendation 4 (continued):

OIG Analysis: The agency's implementation and availability of the National Enforcement Database Web Site satisfies the intent of this recommendation. Therefore, recommendation 4 is now closed.

**Status:** Closed.