

I. NRC Positions Requiring the Filing of a Public Financial Disclosure Report (OGE Form 278e)¹

- Commissioners
- The Inspector General
- Members of the Senior Executive Service (SES)
- Senior Level Service (SLS) Employees
- Full-Time Administrative Judges (Legal or Technical)
- Commissioner Assistants (excluding administrative or support positions)
- All Special Government Employees (SGEs) paid at a rate above GG-15 who work more than 60 days during a 365-day period

II. NRC Positions Requiring the Filing of a Confidential Financial Disclosure Report (OGE Form 450)²

- All Level II or Level III Contracting Officer Representatives
- All SGEs not required to file an OGE Form 278e (unless the Designated Agency Ethics Official determines that a particular SGE or class of SGE is exempted from this requirement)
- Employees in the following offices:

Offices Reporting to the Commission	
Advisory Committee on Reactor Safeguards (ACRS)	▪ All GG-14 and GG-15 positions
Atomic Safety and Licensing Board Panel (ASLBP)	▪ All GG-14 and GG-15 positions ▪ Law Clerks
Office of Commission Appellate Adjudication (OCAA)	▪ Attorneys
Office of Congressional Affairs (OCA)	None
Office of the Chief Financial Officer (OCFO)	▪ All GG-15 positions ▪ Senior Budget Analyst, License Fee Policy Team ▪ Budget Analyst, License Fee Policy Team ▪ Senior Accountant, License Fee Policy Team ▪ Warranted Contracting Officers

¹ The criteria for public filers are established in [5 C.F.R. § 2634.202](#).

² The criteria for confidential filers are established in [5 C.F.R. § 2646.904](#).

<i>(continued)</i>	
Office of the General Counsel (OGC)	▪ Attorneys ▪ All other GG-14 and GG-15 positions
Office of the Inspector General (OIG)	▪ All GG-14 and GG-15 positions ▪ Investigators
Office of International Programs (OIP)	▪ All GG-15 positions ▪ International Policy Analysts ▪ Licensing Officers ▪ Licensing Assistants
Office of Public Affairs (OPA)	None
Office of the Secretary of the Commission (SECY)	Assistant for Rulemakings and Adjudications

Offices Reporting to the Executive Director for Operations	
Office of Advanced Reactors (OAR)	▪ All GG-14 and GG-15 positions
Office of the Chief Human Capital Officer (OCHCO)	▪ All GG-15 positions ▪ Team Leaders
Office of the Chief Information Officer (OCIO)	▪ All GG-15 positions ▪ Team Leaders
Office of the Chief Nuclear Reactor Inspector (CNRI)	▪ All GG-14 and GG-15 positions ▪ Allegations & Enforcement Specialists ▪ Investigators ▪ Resident Inspectors ▪ Technical Assistants
Office of the Executive Director for Operations (OEDO)	▪ All GG-14 and GG-15 positions
Office of Nuclear Material Safety and Safeguards (NMSS)	▪ All GG-14 and GG-15 positions ▪ Health Physicists (Licensing)
Office of Nuclear Reactor Regulation (NRR)	▪ All GG-14 and GG-15 positions
Office of Nuclear Regulatory Research	▪ All GG-14 and GG-15 positions
Office of Small Business and Civil Rights (SBCR)	▪ All GG-15 positions ▪ Senior Small Business Specialist ▪ Senior Civil Rights Specialist ▪ Technical Assistant

III. Positions Meeting Criteria for NRC Prohibited Securities Rule³

All NRC positions identified above are also subject to the NRC's prohibited securities rule, **with the following exclusions:**

- GG-level employees in the following offices are excluded:
 - OCIO
 - OCHCO
 - SBCR
- GG-level employees in OCFO are excluded, other than those in the License Fee Policy Team
- GG-level employees in RES, Division of Technical Training, are excluded
- SGEs are excluded, other than ACRS members and ASLBP judges
- Employees who are financial disclosure filers solely because they are Level II or III CORs, and who do not meet any other criteria for filing, are excluded

³ The criteria for coverage under the NRC's prohibited securities rule are established in [5 C.F.R. § 5801.102\(c\)](#).