

Security Event Notifications & Reports

July 31, 2025



Presented by NEI

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History



- *Enhanced Weapons, Security Event Notifications, and Firearms Background Checks* Final Rule published March 14, 2023
- Effective April 13, 2023
- March 2023, issued Regulatory Guides:
 - 5.62, Revision 2, Physical Security Event Notification, Reports, and Records
 - 5.86, Revision 0, Enhanced Weapons Authority, Preemption Authority, and Firearms Background Checks
 - 5.87, Revision 0, Suspicious Activity Reports
- EGM-23-001 Interim Guidance for Dispositioning Violations – December 2023

History

- Compliance date January 8, 2024
- 2024 issued Regulatory Guides:
 - 5.62, Revision 3 (September)
 - 5.86, Revision 1 (April)
 - 5.87, Revision 1 (May)
- Industry compliance March 9, 2025 (TVA July 7, 2025)
- EGM-23-001, Revision 1, Interim Guidance for Dispositioning Violations - May 2025



Open Questions



- Timeline for NUREG–1304, “Reporting of Safeguards Events” update/revision
- Generic Letter 1991-03, “Reporting of Safeguards Events,” status
- Backfit evaluation of standalone ISFSIs included in final rule
- Suspicious Activity Reporting structure – HOO/HOC training materials

Clarification – Alignment of examples in RG 5.62 with requirements of 73.1200(c)(i)-(iii)

73.1200(c)(1)(i)-(iii) – One-hour notifications

RG 5.62 Rev 3 page 28:

- Examples (1) – (8) provides examples of significant physical security events for 1-hour notifications
- (1) – (5) clear correlation
- (6) – (8) more closely align with:
 - ◆ 1210(f)
 - ◆ 1200(e)(1)(vii)
 - ◆ Or if compensated ≠ no notification

Clarification – Notification requirements of attempted access into a PA, VA, MAA or CAA



73.1200(e)(1):

- (i) The actual access of an unauthorized person into a facility's protected area (PA), vital area (VA), material access area (MAA), or controlled access area (CAA);
- (ii) The attempted access of an unauthorized person into a PA, VA, MAA, or CAA;

RG 5.62 Rev 3 page 35:

- Tailgating (PA, VA, MAA, CAA) ≠ notification, (record under 1210(f))
- Attempted access to PA - RG silent
- Attempted access to OCA ≠ notification

Clarification – Notifications required to NRC when LLEA is notified



73.1200(e)(2):

- An **event** related to the implementation of security program for which a notification was made to local, State, or Federal law enforcement officials provided that the event does not otherwise require a notification under paragraphs (a) through (h) of this section.
 - ◆ Does **event** = definition on page 46 of RG 5.62 Rev 3?
 - “Security event” definition new, only found in RG.
 - ◆ Does **event** include more than the “big E” events identified in a site’s physical security plan?

Clarification –

Notifications for lost badges inside PA



73.1200(g)(1):

- Each licensee subject to the provisions of ... must notify the NRC ... within 8 hours after time of discovery of the following facility security program failures involving

RG 5.63 Rev 3 page 32:

- Physical security events affecting facilities that must be reported within 8 hours... Examples of such physical security events and related clarifications include, but are not limited to:
 - ◆ (5) improper or inadequate supervision of access-control equipment
 - ◆ Note: does not include improper or inadequate supervision of access control equipment (e.g., fabricated badges) that are deactivated

Questions/Discussion

