

**This document identifies all NRC positions required to file a financial disclosure report.**

**All positions on this list are also covered by the NRC's Prohibited Securities Rule (5 C.F.R. § 5801.102), except where specifically stated otherwise. See the explanatory notes accompanying each office for more information on Prohibited Securities coverage.**

**I. Positions Meeting Criteria for Filing a Public Financial Disclosure Report (OGE Form 278e)**

- Commissioners
- The Inspector General
- Members of the Senior Executive Service (SES)
- Senior Level Service (SLS) Employees
- Full-Time Administrative Judges (Legal or Technical)
- Commissioner Assistants (excluding administrative or support positions)
- All Special Government Employees (SGEs) paid at a rate above GG-15 who work more than 60 days during a 365-day period

**II. Positions Meeting Criteria for Filing a Confidential Financial Disclosure Report (OGE Form 450)**

**Special Government Employees**

Any SGE not required to file an OGE Form 278e must file an OGE Form 450, unless the Designated Agency Ethics Official determines that a particular SGE or class of SGEs should be exempted from this requirement.

*Note: SGEs are not subject to the Prohibited Securities Rule, with the exception of (1) ACRS members and (2) part-time ASLBP judges.*

**Offices Reporting to the Commission**

**Office of Congressional Affairs (OCA)**

- None

**Office of Commission Appellate Adjudication (OCAA)**

- Attorneys

**Office of the Chief Financial Officer (OCFO)**

- Deputy Budget Director
- Deputy Comptroller
- All GG-15 positions  
(continued on next page)

**OCFO** (*continued*)

- The following positions at GG-14 and below:
  - Senior Budget Analyst, LFPT
  - Budget Analyst, LFPT
  - Senior Accountant, LFPT
  - Team Leaders
- Level II or III Contracting Officer Representatives (CORs) (including backup/alternate CORs) with active awards valued at \$1 million or more (aggregate amount of all awards)

*Note: Employees who are financial disclosure filers solely because they are Level II or III CORs, and do not meet any other criteria for filing, are not subject to the Prohibited Securities Rule.*

**Office of the General Counsel (OGC)**

- Attorneys
- All other GG-14 and GG-15 positions

**Office of the Inspector General (OIG)**

- All GG-14 and GG-15 positions
- Investigators

**Office of International Programs (OIP)**

- All GG-15 positions
- International Policy Analysts
- Licensing Officers
- Licensing Assistants
- All Level II or III Contracting Officer Representatives (CORs) (including backup/alternate CORs) with active awards valued at \$1 million or more (aggregate amount of all awards)

*Note: Employees who are financial disclosure filers solely because they are Level II or III CORs, and do not meet any other criteria for filing, are not subject to the Prohibited Securities Rule.*

**Office of Public Affairs (OPA)**

- None

**Office of the Secretary of the Commission (SECY)**

- Assistant for Rulemakings and Adjudications

## **Committees and Boards**

### **Advisory Committee on Reactor Safeguards (ACRS)**

- All GG-14 and GG-15 positions

### **Atomic Safety and Licensing Board Panel (ASLBP)**

- Law Clerks
- All GG-14 and GG-15 positions
- Level II or III Contracting Officer Representatives (CORs) (including backup/alternate CORs) with active awards valued at \$1 million or more (aggregate amount of all awards)

*Note: Employees who are financial disclosure filers solely because they are Level II or III CORs, and do not meet any other criteria for filing, are not subject to the Prohibited Securities Rule.*

## **Offices Reporting to the Executive Director for Operations**

### **Office of the Executive Director for Operations (OEDO)**

- All GG-14 and GG-15 positions

### **Office of Administration (ADM)**

- All GG-15 positions
- Team Leaders
- Warranted Contracting Officers
- Level II or III Contracting Officer Representatives (CORs) (including backup/alternate CORs) with active awards valued at \$1 million or more (aggregate amount of all awards)

*Note: GG-level employees in ADM are not subject to the Prohibited Securities Rule.*

### **Office of the Chief Human Capital Officer (OCHCO)**

- All GG-15 positions
- Team Leaders
- Level II or III Contracting Officer Representatives (CORs) (including backup/alternate CORs) with active awards valued at \$1 million or more (aggregate amount of all awards)

*Note: GG-level employees in OCHCO are not subject to the Prohibited Securities Rule.*

### **Office of the Chief Information Officer (OCIO)**

- All GG-15 positions
- Team Leaders
- Level II or III Contracting Officer Representatives (CORs) (including backup/alternate CORs) with active awards valued at \$1 million or more (aggregate amount of all awards)

*Note: GG-level employees in OCIO are not subject to the Prohibited Securities Rule.*

### **Office of Enforcement (OE)**

- All GG-14 and GG-15 positions

### **Office of Investigations (OI)**

- All GG-14 and GG-15 positions
- Investigators

### **Office of Nuclear Material Safety and Safeguards (NMSS)**

- All GG-14 and GG-15 positions
- Level II or III Contracting Officer Representatives (CORs) (including backup/alternate CORs) with active awards valued at \$1 million or more (aggregate amount of all awards)

*Note: GG-level employees within Program Management, Policy Development, and Analysis (PMDA) or Division of Resource Management and Administration (DRMA) are not subject to the Prohibited Securities Rule. Employees who are financial disclosure filers solely because they are Level II or III CORs, and do not meet any other criteria for filing, are not subject to the Prohibited Securities Rule.*

### **Office of Nuclear Reactor Regulation (NRR)**

- All GG-14 and GG-15 positions
- Level II or III Contracting Officer Representatives (CORs) (including backup/alternate CORs) with active awards valued at \$1 million or more (aggregate amount of all awards)

*Note: GG-level employees within Program Management, Policy Development, and Analysis (PMDA) or Division of Resource Management and Administration (DRMA) are not subject to the Prohibited Securities Rule. Employees who are financial disclosure filers solely because they are Level II or III CORs, and do not meet any other criteria for filing, are not subject to the Prohibited Securities Rule.*

## **Office of Nuclear Security and Incident Response (NSIR)**

- All GG-14 and GG-15 positions
- Level II or III Contracting Officer Representatives (CORs) (including backup/alternate CORs) with active awards valued at \$1 million or more (aggregate amount of all awards)

*Note: GG-level employees within Program Management, Policy Development, and Analysis (PMDA) or Division of Resource Management and Administration (DRMA) are not subject to the Prohibited Securities Rule. Employees who are financial disclosure filers solely because they are Level II or III CORs, and do not meet any other criteria for filing, are not subject to the Prohibited Securities Rule.*

## **Office of Nuclear Regulatory Research (RES)**

- All GG-14 and GG-15 positions
- Level II or III Contracting Officer Representatives (CORs) (including backup/alternate CORs) with active awards valued at \$1 million or more (aggregate amount of all awards)

*Note: GG-level employees within Program Management, Policy Development, and Analysis (PMDA) or Division of Resource Management and Administration (DRMA) are not subject to the Prohibited Securities Rule. Employees who are financial disclosure filers solely because they are Level II or III CORs, and do not meet any other criteria for filing, are not subject to the Prohibited Securities Rule.*

## **Office of Small Business and Civil Rights (SBCR)**

- All GG-15 positions
- The following positions at GG-14 and below
  - Senior Small Business Specialist
  - Senior Civil Rights Specialist
  - Technical Assistant
  - Administrative Program Specialist (Purchase Card Holder)
  - Level II or III Contracting Officer Representatives (CORs) (including backup/alternate CORs) with active awards valued at \$1 million or more (aggregate amount of all awards)

*Note: GG-level employees in SBCR are not subject to the Prohibited Securities Rule.*

## **Regions**

### **Office of the Regional Administrator (ORA)**

- All GG-14 and GG-15 positions
- The following positions at GG-13 and below
  - Allegations/Enforcement Specialist
  - Technical Assistant

### **Division of Construction Oversight (DCO)**

- All GG-14 and GG-15 positions
- The following positions at GG-13 and below:
  - Resident Inspector
  - Technical Assistant

### **Division of Fuel Facility Inspection (DFFI)**

- All GG-14 and GG-15 positions
- The following positions at GG-13 and below:
  - Resident Inspector
  - Technical Assistant

### **Division of Resource Management (DRMA)**

- Branch Chief, Financial Resources
- Branch Chief, Information Resources
- Budget Analyst
- Budget/Management Analyst for Contracts, Procurement, and Travel
- Director
- Procurement Agent
- Purchasing Agent
- Technical Assistant
- Team Leader, Administrative Management Team
- Team Leader, Financial Resource Management
- Team Leader, Information Technology Team
- Level II or III Contracting Officer Representatives (CORs) (including backup/alternate CORs) with active awards valued at \$1 million or more (aggregate amount of all awards)

*Note: GG-level employees within Program Management, Policy Development, and Analysis (PMDA) or Division of Resource Management and Administration (DRMA) are not subject to the Prohibited Securities Rule. Employees who are financial disclosure filers solely because they are Level II or III CORs, and do not meet any other criteria for filing, are not subject to the Prohibited Securities Rule.*

**Regions** *(continued)*

**Division of Reactor Projects (DRP)**

- All GG-14 and GG-15 positions
- The following positions at GG-13 and below:
  - Resident Inspector
  - Technical Assistant

**Division of Reactor Safety (DRS)**

- All GG-14 and GG-15 positions

**Division of Operating Reactor Safety (DORS)**

- All GG-14 and GG-15 positions
- Resident Inspector

**Division of Radiological Safety and Security (DRSS)**

- All GG-14 and GG-15 positions
- The following positions at GG-13 and below:
  - Health Physicist (Licensing)
  - Technical Assistant