# UNITED STATES NUCLEAR REGULATORY COMMISSION OFFICE OF NUCLEAR REACTOR REGULATION WASHINGTON, DC 20555-0001

February 10, 2025

NRC INFORMATION NOTICE 2025-01: LESSONS LEARNED WHEN IMPLEMENTING

ASME CODE CASE N-752

#### **ADDRESSEES**

All holders of an operating license or construction permit for a nuclear power reactor under Title 10 of the *Code of Federal Regulations* (10 CFR) Part 50, "Domestic Licensing of Production and Utilization Facilities," and all holders of a power reactor combined license under 10 CFR Part 52, "Licenses, Certifications, and Approvals for Nuclear Plants," that have been authorized by the U.S. Nuclear Regulatory Commission (NRC) to implement American Society of Mechanical Engineers (ASME) *Boiler and Pressure Vessel Code* (BPV Code) Code Case N-752, "Risk-Informed Categorization and Treatment for Repair/Replacement Activities in Class 2 and 3 Systems, Section XI, Division 1," or are considering submittal of a request for NRC authorization to implement ASME Code Case N-752.

#### **PURPOSE**

The NRC is issuing this information notice (IN) to inform licensees and permit holders of recently observed inconsistencies between the language in licensee programs during the implementation of Code Case N-752 and the risk-informed methods the NRC approved to be acceptable to satisfy the requirements of 10 CFR Part 50, Appendix B, "Quality Assurance Criteria for Nuclear Power Plants and Fuel Reprocessing Plants." These inconsistencies could lead to a misinterpretation that the requirements of 10 CFR Part 50, Appendix B, no longer apply to safety-related Class 2 and Class 3 structures, systems, and components (SSCs) that are categorized as low safety significant (LSS) when implementing Code Case N-752. The NRC expects that recipients will review the information for applicability to their operations and consider actions, as appropriate, to prevent similar discrepancies. INs may not impose new requirements, and nothing in this IN should be interpreted to require specific actions and therefore no written response is required.

#### **DESCRIPTION OF CIRCUMSTANCES**

ASME Code Case N-752 describes alternative requirements for repair/replacement activities for ASME BPV Code Class 2 and 3 items that are categorized as LSS when implementing the code case, in lieu of certain requirements in the ASME BPV Code, Section XI, "Rules for Inservice Inspection of Nuclear Power Plant Components," Division 1, "Rules for Inspection and Testing of Components of Light-Water Cooled Plants," as incorporated by 10 CFR 50.55a, "Codes and standards." The NRC has not issued a generic acceptance of Code Case N-752 for implementation at nuclear power plants. Licensees and permit holders may request plant-specific NRC authorization to implement Code Case N-752 in accordance with 10 CFR 50.55a(z), "Alternatives to codes and standards requirements."

Paragraph (a) in 10 CFR 50.54, "Conditions of licenses," specifies conditions for meeting the quality assurance (QA) criteria in Appendix B of 10 CFR Part 50 for each nuclear power plant with an operating license issued under 10 CFR Part 50 or with a combined license issued under 10 CFR Part 52.

Licensees are required to comply with NRC regulations, including the QA requirements of 10 CFR Part 50, Appendix B, for safety-related Class 2 and Class 3 LSS items regardless of the language used in ASME Code Case N-752 or the associated NRC safety evaluations (SEs). Exemptions from NRC regulations may be granted only in response to specific requests for an exemption in accordance with 10 CFR 50.12, "Specific exemptions." In conjunction with its authorization of alternatives under 10 CFR 50.55a(z) to implement ASME Code Case N-752, the NRC has not granted any exemptions in accordance with 10 CFR 50.12 regarding the requirements of 10 CFR Part 50, Appendix B, with respect to design, licensing basis, or regulatory enforcement. As part of implementing ASME Code Case N-752, a licensee or permit holder may make changes to its QA program description (QAPD) in accordance with 10 CFR 50.54, "Conditions of licenses," while maintaining compliance with 10 CFR Part 50, Appendix B.

10 CFR 50.54(a)(4) requires licensees or permit holders to submit proposed changes to the QAPD, including all pages affected by that change, and must be accompanied by a forwarding letter identifying the change, the reason for the change, and the basis for concluding that the revised QAPD continues to satisfy the criteria of 10 CFR Part 50, Appendix B, and the QA program description commitments previously accepted by the NRC.

10 CFR 50.54(a)(3) allows licensees to adopt any QA alternative or exception approved by an NRC SE, provided that the bases of the NRC approval are applicable to the licensee's facility.

10 CFR 50.55a(z) allows licensees to use alternatives to codes and standards requirements incorporated by reference in 10 CFR 50.55a when authorized by the Director, NRC Office of Nuclear Reactor Regulation.

NRC's authorization to use ASME Code Case N-752 in accordance with 10 CFR 50.55a(z) (and/or NRC approval of changes to the QAPD in accordance with 10 CFR 50.54) does not exempt licensees from complying with the QA requirements of 10 CFR Part 50, Appendix B. They approve acceptable risk-informed methods to satisfy the QA requirements of 10 CFR Part 50, Appendix B, for safety-related Class 2 and Class 3 LSS items within the scope of Code Case N-752.

The NRC staff has identified licensees' usage of language in revisions to licensee safety analysis reports, revisions to QAPDs, and other licensee communications that is inconsistent with the regulatory requirement(s). The following examples provide excerpts of inconsistent language that the staff has observed in licensee documentation that incorporated Code Case N-752 (i.e., QAPD LSS component content):

- "exempt from 10 CFR 50, Appendix B"
- "are not required to comply with the quality assurance requirements of 10 CFR 50, Appendix B"
- "requirements of 10 CFR 50 Appendix B do not apply"
- "scope of the SSCs exempted from 10 CFR Part 50 Appendix B"

- "removal of regulatory requirements"
- "Appendix B QA Program would not apply to the Class 2 and 3 LSS Items under their Proposed Code Case N-752 Repair/Replacement Program"
- "ASME Code Case N-752 LSS items are also exempt from ... QA Topical Report (i.e., Appendix B requirements)"

Usage of the above language (or similar) is inconsistent with the requirement to meet 10 CFR Part 50, Appendix B, and could result in a violation of the requirement.

#### **DISCUSSION**

This IN is intended to inform licensees and permit holders of recently observed inconsistencies between language used in documents implementing Code Case N-752 (authorized on a plant-specific basis in accordance with 10 CFR 50.55a(z)) and the overarching requirement to meet 10 CFR Part 50, Appendix B.

With respect to ASME Code Case N-752, the NRC's SE for a licensee's request to change the QAPD typically concludes that there is reasonable assurance that the licensee's QAPD will continue to meet the requirements of Appendix B to 10 CFR Part 50 while implementing ASME Code Case N-752 for the treatment of safety-related Class 2 and Class 3 SSCs identified as LSS. This conclusion endorses the potential removal of these LSS items from the QAPD when licensees or permit holders plan to use risk-informed supplemental processes and procedures that the NRC staff has approved as meeting 10 CFR Part 50, Appendix B, for these LSS items. Removal of the requirement to meet 10 CFR Part 50, Appendix B, or other licensing-basis requirements, would need to be requested and considered under 10 CFR 50.12, or 10 CFR 50.90, "Application for amendment of license, construction permit, or early site permit," not under 10 CFR 50.55a or 10 CFR 50.54.

Furthermore, the NRC staff notes that Code Case N-752 includes a footnote that might appear to exempt Class 2 or Class 3 items categorized as LSS from the QA requirements of ASME BPV Code, Section XI, paragraph IWA-1400(n). Paragraph IWA-1400(n) references certain administrative requirements for meeting either 10 CFR Part 50, Appendix B, or ASME NQA-1, "Quality Assurance Requirements for Nuclear Facility Applications." This footnote, however, does not provide an exemption from the regulations in 10 CFR Part 50, Appendix B. As noted above, licensees are required to comply with NRC regulations, including the QA requirements of 10 CFR Part 50, Appendix B, for safety-related Class 2 and Class 3 LSS items unless an exemption from the 10 CFR Part 50, Appendix B, requirements has been granted in accordance with 10 CFR 50.12.

The NRC's approval of specific changes to the QAPDs related to the implementation of ASME Code Case N-752 allows licensees to apply risk-informed supplemental processes and procedures that the NRC staff has determined to satisfy the requirements in 10 CFR Part 50, Appendix B, given the low safety significance of individual safety-related Class 2 and Class 3 LSS items within the scope of ASME Code Case N-752. The alternate QA methods only apply to the LSS function of an item. Licensees and permit holders are encouraged to consider this information prior to submitting application for, during the application process, and when implementing ASME Code Case N-752.

### **CONTACTS**

This IN does not constitute the imposition of a requirement and does not require a specific written response. Each licensee is responsible for meeting its licensing basis. Please direct any questions about this matter to the technical contact listed below.

/RA/

Russell Felts, Director Division of Reactor Oversight Office of Nuclear Reactor Regulation

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## INFORMATION NOTICE 2025-01, "LESSONS LEARNED WHEN IMPLEMENTING ASME CODE CASE N-752," DATE: February 10, 2025

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| ADAMO ACCOCCION NO.: INCLACEDACT |             |              |           |          |            |                    |
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| DATE                             | 11/20/24    | 2/10/25      | 12/23/24  | 11/26/24 | 12/6/24    | 12/4/24            |
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| NAME                             | JCollins    | KKavanagh    | MMitchell | BBenney  | RFelts     |                    |
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