

REGULATORY HISTORY FOR PROPOSED RULE AND GUIDANCE

Alternative Physical Security Requirements for Advanced Reactors

10 CFR Parts 50, 52, and 73

NRC-2017-0227

RIN 3150-AK19

Adams Accession No. ML24242A021

August 2024

Regulatory History for Alternative Physical Security Requirements for Advanced Reactors Rulemaking

Accession Number / Federal Register Citation	Title/Description	Document Date	Author	Public Availability
ML17026A474	12/14/2016 Proposed Physical Security Requirements for Advanced Reactor Technologies – NEI White Paper	12/14/2016	NA	Publicly Available
ML17333A524	Draft White Paper on Potential Changes to Physical Security Requirements for Small Modular and Advanced Reactors – Supporting public meeting on December 13, 2017	11/29/2017	NA	Publicly Available
ML17354B266	December 13, 2017 Summary of Public Meeting on Possible Changes to Security Requirements for Advanced Reactors	01/10/2018	Reckley, W.	Publicly Available
ML18052B032	SECY-18-0076: Options for Physical Security for Light-Water Small Modular Reactors and Non-Light-Water Reactors	08/01/2018	Doane, M.	Publicly Available
ML18170A178	SECY-18-0076, Enclosure 1 – Options for Physical for Advanced Reactors Rulemaking Plan	08/01/2018	NA	Publicly Available
ML18324A477	SRM-SECY-18-0076, Enclosure 1, Options and Recommendations for Physical Security for Advanced Reactors – Rulemaking Plan	NA	NA	Publicly Available
ML18324A478	SRM-SECY-18-0076, Options and Recommendation for Physical Security for Advanced Reactors	11/19/2018	Vietti-Cook, A.	Publicly Available
ML19099A009	CA Note - Pending Public Availability of the Draft Regulatory Basis for the Physical Security for Advanced Reactors Rulemaking	06/28/2019	Haney, C.	Publicly Available
ML19099A014	Federal Register Notice - RE: Physical Security for Advanced Reactors; Availability of Regulatory Basis	07/10/2019	Holahan, P.	Publicly Available
ML19099A017	Regulatory Basis for the Physical Security for Advanced Reactors	07/15/2019	NA	Publicly Available

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ML19107A456	SRM-OGC 190122-19 OEDO Ticket Cover Sheet	04/17/2019	Zobler, M.L.	Non-Publicly Available
ML19217A093	8/8/2019 - NRC Presentation for Category 3 Public Meeting RE: Physical Security For Advanced Reactors Regulatory Basis	08/05/2019	Berrios, I.	Publicly Available
ML19220A042	08/08/2019 Public Meeting to Discuss the Regulatory Basis Associated with the Physical Security for Advanced Reactors Rulemaking	08/08/2019	NA	Publicly Available
ML19221B611	Public Meeting Summary of 08082019, Physical Security for Advanced Reactors Rulemaking	08/14/2019	NA	Publicly Available
ML19228A144	Comment (01) of Jordan Lewis on PR-50, 52, 73 - Physical Security for Advanced Reactors	08/14/2019	Lewis, J.	Publicly Available
ML19228A150	Comment (02) of Pia Jensen on PR-50, 52, 73 - Physical Security for Advanced Reactors	08/14/2019	Jensen, P.	Publicly Available
ML19228A159	Comment (03) of Alan Medsker on PR-50, 52, 73 - Physical Security for Advanced Reactors	08/14/2019	Medsker, A.	Publicly Available
ML19228A166	Comment (04) of Pia Jensen on PR-50, 52, 73 - Physical Security for Advanced Reactors	08/15/2019	Jensen, P.	Publicly Available
ML19228A171	Comment (05) of Pia Jensen on PR-50, 52, 73 - Physical Security for Advanced Reactors	08/15/2019	Jensen, P.	Publicly Available
ML19228A180	Regulatory Basis Public Comment Submission from Phillip Hammond on Behalf of NuScale Power LLC on PR-50,	08/15/2019	Hammond, P.	Publicly Available

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	52, 73 – Physical Security for Advanced Reactors			
ML19228A184	Comment (07) of Michael Tschiltz on Behalf of Nuclear Energy Institute on PR-50, 52, 73 - Physical Security for Advanced Reactors	08/15/2019	Tschiltz, M.	Publicly Available
ML19228A186	Comment (08) of Edwin Lyman on PR-50, 52, 73 - Physical Security for Advanced Reactors	08/15/2019	Lyman, E.	Publicly Available
ML19228A192	Comment (09) of Pia Jensen on PR-50, 52, 73 - Physical Security for Advanced Reactors	08/15/2019	Jensen, P.	Publicly Available
ML19340A003	12/12/2019 - NRC Presentation for Category 3 Public Meeting RE: Advanced Reactors Proposed Rule	12/12/2019	Andrukat, D.	Publicly Available
ML19344D035	12/12/2019 Meeting with Nuclear Industry Groups and other Stakeholders on Possible Regulatory Process Improvements for Advanced Reactors Designs, including the Physical Security Proposed Rulemaking	12/20/2019	NA	Publicly Available
ML20029E970	NEI Additional Input for the Rulemaking for Physical Security-Jan 2020	01/10/2020	Austgen, K.	Publicly Available
ML20029E978	NEI Additional Input for the Rulemaking for Physical Security for Advanced Reactors January 2020.	01/10/2020	Austgen, K.	Publicly Available
ML20041E037	DG-5072, Rev 0 RG 5.90, "Guidance for Alternative Physical Security Requirements for Small Modular Reactors and Non-Light-Water Reactors	NA	Reed, B.	Publicly Available
ML20054A703	02/20/2020 Periodic Advanced Reactor Stakeholder meeting	02/20/2020	NA	Publicly Available

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ML20062A528	CA Note 03/27/2020 - Availability of Preliminary Draft Rule Text in Support of an April 2020 Public Meeting on the Alternative Physical Security for Advanced Reactor Proposed Rule (To: Commissioner's Assistants; From C. Haney)	03/27/2020	Haney, C.	Publicly Available
ML20072F620	04/22/2020 - Preliminary Proposed Rule Language in Support a Public Meeting on the Alternative Physical Security for Advanced Reactor Proposed Rule	04/10/2020	Andrukat, D.	Publicly Available
ML20104A307	DRAFT NEI 20-05, "Methodological Approach and Considerations for a Security Assessment to Demonstrate Compliance with the Performance Criteria of 10 CFR 73.55(TBD)."	04/10/2020	Young, D.	Publicly Available
ML20104A308	NEI Email, Dated April 10, 2020, DRAFT A of NEI 20-05	04/10/2020	Young, D.	Publicly Available
ML20107D894	04/22/2020 - Email from D. Young RE: DRAFT B of NEI 20-05, Methodological Approach and Considerations for a Security Assessment to Demonstrate Compliance with the Performance Criteria of 10 CFR 73.55(TBD).	04/13/2020	Young, D.	Publicly Available
ML20111A007	04/22/2020 - NRC Presentation RE: Rulemaking for Alternative Physical Security Requirement for Advanced Reactors	04/20/2020	NA	Publicly Available
ML20112F411	04/22/2020 Notice of Category 3 Public Meeting to Discuss the Preliminary Proposed Rule Text for the Alternative Physical Security Requirements for Advanced Reactors Rulemaking	04/21/2020	NA	Publicly Available

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ML20122A020	Transcript of Public Meeting to Discuss the Preliminary Proposed Rule Text for the Alternative Physical Security Requirements for Advanced Reactors Rulemaking, April 22, 2020, Pages 1-58	04/22/2020	NA	Publicly Available
ML20154K704	NEI Letter from D. True to J. Tappert re: Alternative Physical Security for Advanced Reactor Proposed Rule	05/26/2020	True, D.	Publicly Available
ML20182A154	CA Note 07/29/2020 RE: Path Forward on Proposed Rule for Alternative Physical Security for Advanced Reactors (To: Commissioners' Assistants From: C. Haney)	07/29/2020	Haney, C.	Publicly Available
ML20182A157	Revised Preliminary Proposed Rule Language for Alternative Physical Security for Advance Reactors	08/31/2020	NA	Publicly Available
ML20189A274	April 22, 2020, Public Meeting Summary Category 3 Public Meeting to Discuss the Preliminary Proposed Rule Text for the Alternative Physical Security Requirements for Advanced Reactors Rulemaking	07/6/2020	Andrukat, D.	Publicly Available
ML20212L397	NRC Response Letter from J. Tappert RE: Nuclear Energy Institute Concerns with the Alternative Physical Security Requirements for Advanced Reactors Rulemaking Letter Date May 26, 2020 from D. True	09/17/2020	Coyne, K. Tappert, J.	Publicly Available
ML20223A023	Federal Register Notice - Notice of Availability of Revised Preliminary Proposed Rule Language for Alternative Physical Security for Advanced Reactors Proposed Rule	9/3/2020	Nieh, H.	Publicly Available

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85 FR 56548	Federal Register Notice - Notice of Availability of Revised Preliminary Proposed Rule Language for Alternative Physical Security for Advanced Reactors Proposed Rule	9/14/2020	NRC	Publicly Available
ML20227A006	Memo to H. Nieh, NRR Director from J. Tappert, NMSS REFS Director, RE: Alternative Physical Security Requirements for Advanced Reactors Revised Preliminary Proposed Rule Language Notice of Availability	08/27/2020	Tappert, J.	Non-Publicly Available
ML20302A208	Memorandum for Implementing Strategies for the Use of Risk-Informed and Performance Based Evaluation Techniques and Guidance for Advanced Reactors (NEIMA Sec. 103(a)(2) and NEIMA Sec. 103(a)(3))	12/01/2020	Nieh, H.	Non-Publicly Available
ML21049A029	Letter to NEI from NRC RE: NRC Review of NEI-20-05 "Methodological Approach and Considerations for a Technical Analysis to Demonstrate Compliance with the Performance Criteria of 10 CFR 73.55(a)(7)"	03/02/2021	Coyne, K.	Publicly Available
ML21049A053	Rulemaking: Proposed Rule: Comments on "Methodological Approach and Considerations for a Technical Analysis to Demonstrate Compliance with the Performance Criteria of 10 CFR 73.55(a)(7)"	03/02/2021	NA	Publicly Available
ML21049A057	Rulemaking: Proposed Rule: NRC Markup of NEI-20-05 Draft B Comments on "Methodological Approach and Considerations for a Technical Analysis to	03/02/2021	NA	Publicly Available

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ML21055A828	Demonstrate Compliance with the Performance Criteria of 10 CFR 73.55(a)(7)" EDO Evaluation Plan, Alignment Agreement	NA	Andrukat, D.	Non-Publicly Available
ML21104A109	Rulemaking: Proposed Rule: Advanced Reactor Physical Security – Response Email from D Andrukat to D Young (NEI) – Question on the term “unmitigated” as used in proposed eligibility criterion 10 CFR 73.55(a)(7)(i)(A)	04/13/2021	Andrukat, D.	Publicly Available
ML21106A003	04/21/2021 - Observation Public Meeting - NRC Presentation RE: Alternative Physical Security Requirements for Advanced Reactors	04/21/2021	Andrukat, D.	Publicly Available
ML21137A057	Rulemaking: Proposed Rule: Advanced Reactor Physical Security - Email from Young NEI to Andrukat, May 2021 Draft D of NEI 20-05 Methodological Approach and Considerations for a Tech Analysis to Demonstrate Compliance with the Eligibility Criteria of 73.55(a)(7)	05/14/2021	Young, D.	Publicly Available
ML21175A035	Rulemaking: Proposed Rule: Advanced Reactor Physical Security, Email from D. Young, NEI to D. Andrukat, NRC RE: Follow-up questions on NRC response to NEI question concerning proposed eligibility criterion 10 CFR 73.55(a)(7)(i)(A)	04/15/2021	Young, D.	Publicly Available
ML21175A036	Rulemaking: Proposed Rule: Advanced Reactor Physical Security RE: Email from D. Young, NEI to N. Valliere, D. Andrukat,	04/21/2021	Young, D.	Publicly Available

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ML21175A043	S. Helton, P. Lee, NRC RE: Summary Table Showing Key Attributes for Eligibility Criteria Rulemaking: Proposed Rule: Advanced Reactor Physical Security, Email from D. Young NEI to D. Andrukat, NRC RE: Question on the Term "Unmitigated" as Used in Proposed Eligibility Criterion 10 CFR 73.55(a)(7)(i)(A)	03/22/2021	Young, D.	Publicly Available
ML21175A049	Rulemaking: Proposed Rule: Advanced Reactor Physical Security, Email from NRC to NEI RE: NRC Comments on NEI's Summary Table Showing Key Attributes for Eligibility Criteria	04/28/2021	Andrukat, D.	Publicly Available
ML21175A050	Rulemaking: Proposed Rule: Advanced Reactor Physical Security, Email from D. Young, NEI to D. Andrukat, NRC RE: Discussion of NEI 20-05 "Target Set" Term	05/20/2021	Young, D.	Publicly Available
ML21183A004	04/21/2021 - Summary of Public Meeting RE: Draft Guidance for the Alternative Physical Security Requirements for Advanced Reactors Rulemaking	04/21/2021	Andrukat, D.	Publicly Available
ML21217A099	Rulemaking: Proposed Rule: Extension Request for Advanced Reactor Physical Security	09/08/2021	Andrukat, D.	Non-Publicly Available
ML21218A150	08/17/2021 Alternative Physical Security Requirements for Advanced Reactors Rulemaking: Draft Guidance	08/06/2021	NA	Publicly Available
ML21224A227	08/17/2021 - NRC Presentation RE: Limited-Scope Security Rulemaking Public Meeting/Workshop (Advance Reactor Physical Security Proposed Rule)	08/17/2021	Andrukat, D.	Publicly Available

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ML21252A121	08/17/2021 - Public Meeting Summary RE: Draft Guidance for the Alternative Physical Security Requirements for Advanced Reactors Rulemaking	09/14/2021	Andrukat, D.	Publicly Available
ML21257A231	08/17/2021 - Public Meeting Transcript RE: Alternative Physical Security Requirements for Advanced Reactors Rulemaking: Draft Guidance (Target Sets)	08/17/2021	Andrukat, D.	Publicly Available
ML21257A235	Rulemaking: Proposed Rule: Advanced Reactor Physical Security, Email Exchange Between NRC and NEI RE: Draft Pages From NEI-20-05 Rev. E	08/19/2021	Prasad, S.	Publicly Available
ML21258A321	09/16/2021 - Public Meeting Handout for Alternative Physical Security for Advanced Reactors - Proposed Rule Proposed Eligibility Criteria Breakdown	09/15/2021	Andrukat, D.	Publicly Available
ML21263A010	Proposed Rule: Advanced Reactor Security - Email from NEI (D. Young) ML#'s for previous comments on topics discussed and 2 comments from Public Meeting on 9/17/2021	09/17/2021	Young, D.	Publicly Available
ML21265A267	Proposed Rule: Advanced Reactor Physical Security - Letter to NEI from NRC Transmitting Comments on DRAFT D of NEI 20-05	NA	Young, D.	Publicly Available
ML21265A271	Proposed Rule: Advanced Reactor Physical Security - NRC Comments on DRAFT D of NEI 20-05	NA	Andrukat, D.	Publicly Available
ML21271A136	09/29/2021 - Public Meeting NRC Presentation RE: Rulemaking: Alternative	09/29/2021	Andrukat, D.	Publicly Available

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ML21291A238	Physical Security Requirements for Advanced Reactors 10/19/2021 - NRC Presentation for Public Meeting RE: Rulemaking: Alternative Physical Security Requirements for Advanced Reactors	10/19/2021	Andrukat, D.	Publicly Available
ML21306A365	NEI Letter from D. Young to NRC S. Atack to Cease Work on Draft D of NEI 20-05, "Methodological Approach and Considerations for a Technical Analysis to Demonstrate Compliance with the Eligibility Criteria of 10 CFR 73.55(a)(7)"	10/29/2021	Young, D.	Publicly Available
ML21307A120	NRC Letter to NEI Ceasing Review of Draft D of NEI 20-05	11/24/2021	Young, D.	Publicly Available
ML21334A004	SECY-22-0072: Proposed Rule: Alternative Physical Security Requirements for Advanced Reactors (RIN 3150-AK19)	08/02/2022	Dorman, D.	Publicly Available
ML21334A006	SECY-22-0072: Enclosure 1 - FRN for Proposed Rule: Alternative Physical Security Requirements for Advanced Reactors (RIN 3150-AK19)	08/2/2022	NA	Publicly Available
ML21334A007	SECY-22-0072: Enclosure 3 - Regulatory Analysis for the Proposed Rule: Alternative Physical Security Requirements for Advanced Reactors	NA	NA	Publicly Available
ML21334A008	SECY-22-0072: Enclosure 2 - Draft Environmental Assessment for the Proposed Rule--Advanced Reactor Security Requirements Docket No. NRC-2017-0227	08/2/2022	NA	Publicly Available
ML21334A009	Rulemaking: Proposed Rule: OMB Clearance Supporting Statement for	NA	Andrukat, D. Benney, K.	Publicly Available

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	Advanced Reactor Physical Security for 10 CFR Part 73			
ML21334A011	Rulemaking: Proposed Rule: Congressional Letters for Advanced Reactor Physical Security	NA	NA	Publicly Available
ML21336A003	Rulemaking: Proposed Rule: Physical Security for Advanced Reactors, Preliminary Proposed Rule Language; Notice of Availability - Not for Comment.	NA	Veil, A.	Publicly Available
ML21336A004	Rulemaking: Proposed Rule: Preliminary Proposed Rule Language for Physical Security for Advanced Reactors; Part 50 and Part 73; Redline Strikeout	12/13/2021	Andrukat, D.	Publicly Available
ML21336A510	01/20/2022 Alternative Physical Security Requirements for Advanced Reactors	12/02/2021	NA	Publicly Available
ML21337A376	Rulemaking: Preliminary Proposed Rule Language and Key Guidance Elements SECY-22-0008: Advanced Reactor Program Status	01/31/2022	Veil, A.	Publicly Available
ML21337A378	SECY-22-0008: Enclosure – Non-Light-Water Reactor Implementation Action Plan Progress Summary and Future Plans	01/31/2022	NA	Publicly Available
ML22019A075	01/20/2022 - Public Meeting - NRC Presentation - Rulemaking: Alternative Physical Security Requirements for Advanced Reactors	01/19/2022	Andrukat, D.	Publicly Available
ML22020A009	SECY-22-0072: Enclosure 8 - Estimated Resources for the Alternative Physical Security Requirements for Advanced Reactors Rulemaking	08/02/2022	NA	Non-Publicly Available

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ML22021B529	DG-5071 Revision 2 of RG 5.81 Target Set Identification and Development for Nuclear Power Reactors (OUO-SRI)	NA	NA	Non-Publicly Available
ML22021B584	Comment (10) of Michael Sleigh on behalf of Westinghouse Electric Company on PR-50, 52, 73 - Physical Security for Advanced Reactors.	01/21/2022	Sleigh, M.	Publicly Available
ML22024A063	01/20/2022 – Public Meeting Summary RE: Alternative Physical Security Requirements for Advanced Reactors Rulemaking: Preliminary Proposed Rule Language and Key Guidance Elements	02/18/2022	NA	Publicly Available
ML22024A064	01/20/2022 Transcript of Rulemaking: Alternative Physical Security Requirements for Advanced Reactors Public Meeting, January 20, 2022, Pages 1-72	01/20/2022	NA	Publicly Available
ML22038A004	SECY-22-0072: Enclosure 5 - Alternative Physical Security Requirements for Advanced Reactors Proposed Rule: DIFFERING VIEW; Risks Associated with Allowing Licensees to Rely on Law Enforcement to Interdict and Neutralize the Design Basis Threat of Radiological Sabotage	08/02/2022	Andrukat, D.	Non-Publicly Available
ML22042A677	SECY-22-0072: Enclosure 4 - Alternative Physical Security Requirements for Advanced Reactors Proposed Rule: DIFFERING VIEW	08/02/2022	NA	Publicly Available
ML22045A054	STC-24-045-Proposed Rule - Notice of Request for Comment - Alternative Physical	08/12/2024	Giantelli, A.S.	Publicly Available

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	Security Requirements for Advanced Rulemaking			
ML22097A024	CA Note: Quarterly Update on the Proposed Rule for Alternative Physical Security for Advanced Reactors – April 2022	05/02/2022	Miotla, S.A.	Non-Publicly Available
ML22119A232	LTR-22-0125 Rani Franovich, Senior Policy Advisor, Nuclear Energy Innovation, The Breakthrough Institute and Steven Nesbit, P.E. President, American Nuclear Society, Letter re: Preliminary Proposed Rule Language Part 73 of 10 CFR RIN-3150-AK19, NRC-2017-0227	04/27/2022	Franovich, R. Nesbit, S.	Non-Publicly Available
ML22122A218	Comment (11) of Rani Franovich on Behalf of the Breakthrough Institute on PR-50, 52, 73 - Physical Security for Advanced Reactors.	04/27/2022	Franovich, R.	Publicly Available
ML22131A161	Rulemaking: Proposed Rule: OMB Clearance Supporting Statement for Advanced Reactor Physical Security for 10 CFR Part 50	NA	Andrukat, D. Benney, K.	Publicly Available
ML22131A167	Rulemaking: Proposed Rule: OMB Clearance Supporting Statement for Advanced Reactor Physical Security for 10 CFR Part 52	NA	Andrukat, D. Benney, K.	Publicly Available
ML22159A213	SECY-22-0072: Enclosure 6 - Alternative Physical Security Requirements for Advanced Reactors Proposed Rule: STAFF DISPOSITION OF DIFFERING VIEWS	08/02/2022	NA	Publicly Available
ML22161A919	SECY-22-0072: Enclosure 7 - Alternative Physical Security Requirements for	08/02/2022	NA	Publicly Available

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	Advanced Reactors Proposed Rule: DIFFERING VIEWS			
ML24145A024	VR-SECY-22-0072: Proposed Rule: Alternative Physical Security Requirements for Advanced Reactors (RIN 3150-AK19) (Crowell)	05/09/2024	Crowell, B.R.	Publicly Available
ML24145A025	VR-SECY-22-0072: Proposed Rule: Alternative Physical Security Requirements for Advanced Reactors (RIN 3150-AK19) (Hanson)	07/27/2023	Hanson, C.T.	Publicly Available
ML24145A026	VR-SECY-22-0072: Proposed Rule: Alternative Physical Security Requirements for Advanced Reactors (RIN 3150-AK19) (Wright)	05/23/2024	Wright, D.A.	Publicly Available
ML24145A027	VR-SECY-22-0072: Proposed Rule: Alternative Physical Security Requirements for Advanced Reactors (RIN 3150-AK19) (Caputo)	08/22/2023	Caputo, A.	Publicly Available
ML24170A758	SRM-SECY-22-0072: Proposed Rule: Alternative Physical Security Requirements for Advanced Reactors (RIN 3150-AK19)	06/18/2024	Herrera, T.E.	Publicly Available
ML24170A759	SRM-SECY-22-0072: Enclosure - Suggested Edits to Federal Register Notice	06/18/2024	Herrera, T.E.	Publicly Available

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ML24170A764	VR-SECY-22-0072: Proposed Rule: Alternative Physical Security Requirements for Advanced Reactors (RIN 3150-AK19)	06/18/2024	Herrera, T.E.	Publicly Available
ML24178A370	Proposed Rule: FRN - Alternative Physical Security Requirements for Advanced Reactors (NRC-2017-0227, RIN: 3150-AK19) [SRM Response - Clean]	08/05/2024	Safford, C.M.	Publicly Available
ML24178A371	Proposed Rule: FRN - Alternative Physical Security Requirements for Advanced Reactors (NRC-2017-0227, RIN: 3150-AK19) [SRM Response – REDLINE]	08/09/2024	Safford, C.M	Non-Publicly Available
ML24178A372	Proposed Rule: Draft Regulatory Analysis - Alternative Physical Security Requirements for Advanced Reactors (NRC-2017-0227, RIN: 3150-AK19) [SRM Response - CLEAN]	08/06/2024	NA	Publicly Available
ML24178A373	Proposed Rule: Draft Regulatory Analysis - Alternative Physical Security Requirements for Advanced Reactors (NRC-2017-0227, RIN: 3150-AK19) [SRM Response – REDLINE]	08/06/2024	NA	Non-Publicly Available
ML24178A374	Proposed Rule: Draft Environmental Assessment - Advanced Reactor Security Requirements (NRC-2017-0227, RIN: 3150-AK19) [SRM Response - CLEAN]	08/06/2024	NA	Publicly Available

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ML24178A375	Proposed Rule: Draft Environmental Assessment - Advanced Reactor Security Requirements (NRC-2017-0227, RIN: 3150-AK19) [SRM Response - REDLINE]	08/06/2024	NA	Non-Publicly Available