



## **MEMORANDUM**

**DATE:** May 6, 2024

**TO:** Raymond V. Furstenau  
Acting Executive Director for Operations

**FROM:** Hruta Virkar, CPA /*RA*/  
Assistant Inspector General for Audits & Evaluations

**SUBJECT:** STATUS OF RECOMMENDATIONS: AUDIT OF THE U.S. NUCLEAR REGULATORY COMMISSION'S IMPLEMENTATION OF THE FEDERAL INFORMATION SECURITY MODERNIZATION ACT OF 2014 FOR FISCAL YEAR 2023, REGION II: ATLANTA, GEORGIA (OIG-24-A-04)

**REFERENCE:** ACTING CHIEF INFORMATION OFFICER, OFFICE OF THE CHIEF INFORMATION OFFICER, MEMORANDUM DATED MARCH 5, 2024

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendation as discussed in the agency's response dated March 5, 2024. Based on this response, recommendation 2 is now closed. Recommendation 1 remains open and resolved. Please provide an updated status of the open, resolved recommendation by August 2, 2024.

If you have any questions or concerns, please call me at 301.415.1982 or Michael Blair, Team Leader, at 301.415.8399.

Attachment:  
As stated

cc: J. Martin, Acting ADO  
T. Govan, Acting DADO  
J. Jolicoeur, OEDO  
OIG Liaison Resource  
EDO ACS Distribution

**Audit Report**  
**AUDIT OF THE U.S. NUCLEAR REGULATORY COMMISSION'S**  
**IMPLEMENTATION OF THE FEDERAL INFORMATION SECURITY**  
**MODERNIZATION ACT OF 2014 FOR FISCAL YEAR 2023**  
**REGION II: ATLANTA, GEORGIA**  
**Status of Recommendations**  
**(OIG-24-A-04)**

Recommendation 1: We recommend NRC management define and implement a process to conduct reviews and removal of unnecessary badged access for its Regions.

Agency Response

Dated February 29, 2024: The U.S. Nuclear Regulatory Commission (NRC) already has an effective process in place to conduct reviews and removal of unnecessary badged access at Headquarters, Regional Offices, and the Technical Training Center. Specifically, as described in MD 12.1, NRC Facility Security Program: “The NRC access control system is managed and maintained by DFS. It is used to ensure that only authorized individuals are granted physical access. Access lists (a list of individuals with authorized access) are required for administratively controlled, limited access, and security-controlled areas and must be reviewed and approved by the room’s designated owner (i.e., the Access Reviewing Official) at least annually.” An assessment of access needs is conducted with every badge renewal. The NRC recommends closure of this item.

OIG Analysis: The OIG will close this recommendation after reviewing and confirming the evidence provided by NRC’s management of the defined and implemented process for removing unnecessary badged access for its Headquarters, Regional Offices, and Technical Training Center. This recommendation remains open and resolved.

**Status:** Open: Resolved.

**Audit Report**  
**AUDIT OF THE U.S. NUCLEAR REGULATORY COMMISSION'S**  
**IMPLEMENTATION OF THE FEDERAL INFORMATION SECURITY**  
**MODERNIZATION ACT OF 2014 FOR FISCAL YEAR 2023**  
**REGION II: ATLANTA, GEORGIA**  
**Status of Recommendations**  
**(OIG-24-A-04)**

Recommendation 2: We recommend NRC management remediate the Region II identified vulnerabilities in accordance with NRC's defined timeframes and document risk acceptances with mitigating controls for vulnerabilities that cannot be remediated within the defined timeframes.

Agency Response

Dated February 29, 2024: The NRC reviewed and remediated the Region II identified vulnerabilities in accordance with the NRC's defined timeframes and documented risk acceptances with mitigating controls for vulnerabilities that cannot be remediated within the defined timeframes. The NRC recommends closure of this item.

OIG Analysis:

The OIG confirmed the review and remediation for Region II, which identified vulnerabilities in accordance with the NRC's defined timeframes and documented risk acceptances with mitigating controls for vulnerabilities that cannot be remediated within the defined timeframes. This recommendation is now closed.

**Status:** Closed.