



Notice of Warning

Licensee Name & Number:		
Inspection Site Address:		
Instructions: Complete Evaluation of Notice of Warning Criteria Below. If all answers are “No” the licensee is eligible to receive a “Notice of Warning” per 22a-6s. Complete and provide page 2 of this Attachment to the licensee/registrant. If any questions require the answer “Yes” a formal Notice of Violation or other Escalated Enforcement must be issued.		
Notice of Warning Criteria (22a-6s)	Evaluation	Comments
Was the violation committed intentionally?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Did this violation enable the violator to avoid costs either by a reduction in cost or by gaining a competitive advantage?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Is this violation a repeat violation or is committed by a violator with an environmental compliance history determined to require more serious enforcement action?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Is this violation unable to be corrected within 30 calendar days or can a plan for compliance not be developed within 30 calendar days?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Are there several potentially minor violations detected in the course of an inspection or review the totality of which is more serious?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Other Comments / Evaluation:		



Notice of Warning

Licensee Name & Number:	
Inspection Site Address(es):	
Notice of Warning Regulation Citation and Description of Minor Violation:	
Inspector Notes:	
The inspector must certify the following have been completed during the closeout meeting: <input type="checkbox"/> describe the violation to the licensee including the date(s) of occurrence; <input type="checkbox"/> specify alternatives the violator may consider to correct the violation; <input type="checkbox"/> provide a projected time frame for correcting the violation; and <input type="checkbox"/> advise the violator of its responsibilities under Section 22a-6s.	
Inspector Name:	Signature:
	Date:
Within thirty calendar days of receipt of this notice, such violator shall certify to the commissioner in writing that (1) the minor violation has been corrected, (2) measures to assure that such violation will not recur have been implemented to the extent action cannot be taken to correct the specific violation identified in the notice, (3) action to correct the violation will be taken according to a specified schedule to the extent action has not been taken to correct the violation, or (4) no such violation occurred or that the notice is inaccurate.	
Licensee Representative Name / Title:	Signature:
	Date: