



Reciprocity Applicant Inspection Evaluation & Processing Checklist

Licensee Name:	
License Type:	
NRC / AS License Number / Issuing Entity:	
Application Type: <input type="checkbox"/> Initial <input type="checkbox"/> Renewal	Fee Paid: <input type="checkbox"/> Yes <input type="checkbox"/> No
Notes from License Verification by Issuing Entity: Request at least the following: <ul style="list-style-type: none">• License expiration date and amendment number on the current license?• When was the licensee last inspected? Were there violations identified on the inspection? If so, what were the violations and were they corrected?• Are there any unresolved allegations against the licensee?• Have there been any recent or on-going events associated with the licensee?	

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Determination of Risk Score – Risk Score = (Consequence x Likelihood)

<i>Step 1 - Determine Potential Consequence</i>			
<i>Licensee Program Code</i>	<i>10 CFR Part 37</i>	<i>Proximity to General Public</i>	<i>Consequence Score (select the highest from all three categories)</i>
Priority 1 or 2	Cat 1 Quantity	Work in areas accessible to general public	High (5)
Priority 3	Cat 2 Quantity	Fixed facilities with ability to limit access	Medium (3)
Priority 4 or 5	Cat 3 or below	Remote areas or areas with established access controls	Low (1)

<i>Step 2 - Determine Likelihood</i>				
<i>Licensee Inspection History (Issuing Entity and CT)</i>	<i>Scope of Work</i>	<i>Other factors</i>	<i>NMP Oversight (CT or Issuing Entity)</i>	<i>Likelihood Score (select the highest)</i>
Serious Violations in recent inspection (for example a Severity Level I, II, or III)	Activity, Repair or Modification involving Source or shield (e.g., exchange, recovery, etc.)	<ul style="list-style-type: none"> Reactive inspection based on on-going event Review of an allegation, as it relates to the work being conducted under reciprocity 	Last Inspection ≥ 5 years	High (5)
Minor (Severity Level IV or NOVs)	<ul style="list-style-type: none"> Repair or Modification not intended to involve source Work creating airborne activity Radiography Source removal in shield 	<ul style="list-style-type: none"> New or unique technology Extended duration (i.e., length of work/storage or number of visits in a calendar year) 	Last Inspection ≥ 3 years but < 5 years	Medium (3)
Clear Inspection	Activities that do not directly affect sources of any size or directly affect sources (e.g., in-field use of portable moisture density gauges, support for materials licensees' decommissioning activities)		Last Inspection < 3 years	Low (1)

Risk Score = Step 1 x Step 2 = _____

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RISK SCORE PRIORITY MATRIX		Consequences Score		
		Low (1)	Medium (3)	High (5)
Likelihood Score	High (5)	Low (5)* Inspection not required	Medium (15)* Inspect	High (25) – Inspect
	Medium (3)	Very Low (3)* Inspection not Warranted	Low (9)* Inspection not required	Medium Risk (15)* Inspect
	Low (1)	Very Low (1)* Inspection not Warranted	Very Low (3)* Inspection not Warranted	Low (5)* Inspection not required

*Supervisor discretion may be indicated for abnormal work or other considerations leading to a determination to inspect low or very low risk score licensees. Additionally, supervisor discretion may be applied to remove a licensee from inspection due to staffing / resource availability.

Is the licensee a candidate for inspection based upon Risk Score or Supervisor Discretion?

Yes No

Risk Score = _____

Inspection Evaluation Notes:

Once a licensee has been inspected by CT under reciprocity in the calendar year, each new jobsite will be evaluated for a significant change in typical scope of work or material use. For similar job types, inspections will only be performed on a licensee once per calendar year.

CT Reciprocity Issued: Yes No

CT Reciprocity Inspection Required: Yes No

CT Reciprocity License Number (WBL):

Application Processor:

Signature:

Approved By:
(SRCP or designee):

Signature:



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