



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
REGION IV  
1600 EAST LAMAR BOULEVARD  
ARLINGTON, TEXAS 76011-4511

November 08, 2023

David Dillman, Radiation Safety Officer  
Horrocks LLC  
2162 West Grove Parkway, Suite 400  
Pleasant Grove, UT 84062

SUBJECT: RESPONSE TO NRC INSPECTION REPORT 030-38731/2023-001 AND  
NOTICE OF VIOLATION

Dear David Dillman:

On October 10, 2023, the U.S. Nuclear Regulatory Commission (NRC) cited Horrocks LLC, for one Severity Level IV violation involving the failure to obtain the NRC's consent in writing prior to the completion of an indirect transfer of control associated with NRC radioactive materials license number 43-35140-01. NRC Inspection Report 030-38731/2023-001 required a written reply within 30 days (NRC Agencywide Documents Access and Management System (ADAMS) Accession No. ML23283A127). In a letter dated October 27, 2023, you provided a reply to the Notice (ADAMS Accession No. ML23305A034), which resulted in the issuance of corrected copy to Amendment No. 7 to NRC License No. 43-35140-01 to add commitments made for completion of corrective actions to the tie-down list.

After reviewing the information provided in your reply to the Notice, we have concluded that your completed and planned actions appear to adequately address the regulatory noncompliance described in the Notice. We may review your implementation of these corrective actions during a future inspection to determine whether full compliance has been restored and whether compliance will be maintained.

In accordance with 10 CFR 2.390 of the NRC's "Agency Rules of Practice and Procedures," a copy of this letter will be made available electronically for public inspection in the NRC Public Document Room or from the NRC's ADAMS, accessible from the NRC Web site at <http://www.nrc.gov/reading-rm/adams.html>.

Sincerely,

A handwritten signature in black ink, appearing to read "CFOllef", written over a horizontal line.

Signed by O'Keefe, Cornelius  
on 11/08/23

Neil O'Keefe, Chief  
Materials Licensing Branch  
Division of Radiological Safety and Security

Docket No. 030-38731  
License No. 43-35140-01  
Control No. 636907

RESPONSE DATED NRC INSPECTION REPORT 030-38731/2023-001 AND NOTICE OF VIOLATION – DATED NOVEMBER 08, 2023

**DISTRIBUTION:**

NO'Keefe, DRSS

RJTorres, DRSS/MLB

JKatanic, DRSS/MIB

JThompson, DRSS/MIB

ADAMS ACCESSION NUMBER: **ML23305A307**

SUNSI Review:

ADAMS:

Non-Publicly Available

Non-Sensitive

Keyword:

By: RJT

Yes  No

Publicly Available

Sensitive

OFFICE	HP:DRSS/MLB	BC:DRSS/MLB			
NAME	RJTorres	NO'Keefe			
SIGNATURE	/RA/	/RA/			
DATE	11/07/2023	11/08/2023			

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