



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

October 31, 2023

MEMORANDUM TO: Carrie Safford, Deputy Director
Division of Fuel Management
Office of Nuclear Material Safety
and Safeguards

FROM: Yen-Ju Chen, Senior Project Manager
Storage and Transportation Licensing Branch
Division of Fuel Management
Office of Nuclear Material Safety
and Safeguards

SUBJECT: SUMMARY OF AUGUST 29, 2023, PRE-APPLICATION PUBLIC
MEETING WITH HOLTEC INTERNATIONAL TO DISCUSS
CERTIFICATE OF COMPLIANCE NO. 1040 FOR THE
HI-STORM UMAX AMENDMENT NO. 3, RESUBMITTAL

A handwritten signature in black ink, appearing to read "Yen-Ju Chen".

Signed by Chen, Yen-Ju
on 10/31/23

On August 29, 2023, staff from the Division of Fuel Management (DFM) held a pre-application public meeting with representatives from Holtec International (Holtec). The purpose of this meeting was to discuss Holtec's planned resubmittal of an application for the certificate of compliance no. 1040 for the HI-STORM UMAX Amendment No. 3.

The meeting was noticed on August 1, 2023 (Agencywide Documents Access and Management System [ADAMS] Accession No. ML23213A223). A portion of this meeting was closed to discuss proprietary information. Two members of the public attended this meeting. The attendees list for both the public and closed portions of this meeting is included in Enclosure 1. The presentation slides for the public portion of the meeting (ML23234A265) were available on the U.S. Nuclear Regulatory Commission (NRC) public meeting website. The non-public proprietary slides are in ADAMS (ML23241A988).

In the opening remarks, Shana Helton, Director of DFM, stated that the staff would follow the smart licensing process described in the DFM division instruction LIC-FM-1, Overview and Expectations of the Certification and Licensing Process (ML22130A659). The staff's review will focus on higher-risk areas and will risk inform the regulatory decisions. Shana Helton also requested Holtec to note the differences from the previous submittal in the resubmittal application to facilitate the review.

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During the public portion of the meeting, Holtec representatives discussed the areas where Holtec considers that issues have been resolved and the areas that need to be addressed. The staff stated that, during the previous review, the staff may not have had additional questions in certain areas; however, no regulatory decision was made. The NRC will review and make the decision based on the merit of each application. During this review, the staff plans to utilize the Risk Tool (ML20324A110) during the acceptance review and will confirm the application is the same for areas where the staff did not have additional questions. The staff also noted that the staff may have started preparing a preliminary safety evaluation report for the previous review; nevertheless, the staff may identify new questions during this review.

During the closed meeting, the staff and Holtec representatives discussed the details of Holtec's proposed approach to address open, structural, and criticality questions.

There was no public comment.

Docket No. 72-1040

CAC No. 001028

EPID No. L-2023-LRM-0056

Enclosures:

1. Meeting Attendee List
2. Public Handout
3. Proprietary Handout

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OFFICE	NMSS/DFM	NMSS/DFM	NMSS/DFM	NMSS/DFM	NMSS/DFM
NAME	DAtkinson for YChen	CBajwa	YKim	EGoldfeiz	ELove
DATE	09/22/2023	09/22/2023	09/25/2023	09/25/2023	09/25/2023
OFFICE	NMSS/DFM	NMSS/DFM	NMSS/DFM	NMSS/DFM	NMSS/DFM
NAME	JSmith	DForsyth	JGoodridge for WWheatley	DMarcano	TBoyce
DATE	09/25/2023	09/26/2023	10/02/2023	10/02/2023	10/11/2023
OFFICE	NMSS/DFM	NMSS/DFM	NMSS/DFM	NMSS/DFM	
NAME	DJohnson	ARivera-Varona	YDiaz-Sanabria	YChen	
DATE	10/11/2023	10/31/2023	10/31/2023	10/31/2023	

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Meeting Attendee List

Name	Affiliation
Deion Atkinson	NRC
Chris Bajwa	NRC
Tom Boyce	NRC
Yen-Ju Chen	NRC
Yaira Diaz-Sanabria	NRC
Daniel Forsyth	NRC
Eliezer Goldfeiz	NRC
Shana Helton	NRC
Christian Jacobs	NRC
Dante Johnson	NRC
Yong Kim	NRC
Earl Love	NRC
Damaris Marcano*	NRC
Carrie Safford	NRC
Jen Scro	NRC
Jeremy Smith	NRC
Alexis Sotomayor-Rivera	NRC
Antonio Rigato	PNNL
Stefan Anton	Holtec
Chuck Bullard	Holtec
Jean Fleming	Holtec
Vadym Makodym	Holtec
Kimberly Manzione	Holtec
Carlyn Greene*	SpentFUEL and StoreFUEL
Glenn Mathues*	Orano TN

* Only attended the public portion of the meeting.