

## Inspection Document Request List – Callaway 2023402 On-Site March 6-10, 2023

Please provide the attached information to the inspection team for in-office inspection and review by February 15, 2023. Items may be provided via email, disc, in hard copy, or a combination. NOTE: **DO NOT** forward any electronic information on “flash/thumb drives” as current NRC procedures prohibit using this type of media. Please properly package and mark any included SGI materials. Thank you in advance for your assistance. If it all possible, please place all documentation in CERTREC.

Send to: Attention: David Holman/Wellington Tejada  
U.S. Nuclear Regulatory Commission  
1600 E. Lamar Blvd.  
Arlington TX 76011-4125

In an effort to keep the requested information organized please submit the information to us using the same lettering system below. For example, all contacts and phone numbers for each the first inspection procedure should be in a file/folder titled 1- A, Applicable organization charts in file/folder 1- B, etc.

General: All Security Event Log entries since **September 17, 2022**. Any open LERs, The CAP procedure, Current index of Security and AA procedures, Safety/Security Interface procedure. State what the date and revision of your current PSP is.

### Access Authorization (71130.01) (dated 01/01/2019)

A Corrective Action summaries related to Access Authorization from **October 4, 2021 to February 10, 2023**. Please provide any summaries after **February 10, 2023** for our review while on site.

B Provide any Access Authorization related policy, procedure, desk-top guide, or any other type of lower tier instruction type document. Include procedures for when and how to use PADS. Include BOP.

C A list of all personnel you received adverse information about through an outside source or who self-reported any type of arrests since **October 8, 2021 to present (February 10, 2023, any after that provide on-site)**

D A list of all personnel denied access for the period of **October 8, 2021 to present (February 10, 2023, any after that provide on-site)**

E A list of any personnel granted access using “name searches” instead of fingerprint submittal for the period of **October 8, 2021 to present (February 10, 2023, any after that provide on-site)**

F A list of all personnel receiving access screening (for the period of **October 8, 2021 to present (February 10, 2023, any after that provide on-site)** for each of the below listed types of background investigations. Please include their organization affiliation, such as: Bechtel, Stone and Webster, etc.

- Initial Access
- Reinstate 31 < 365
- Reinstate Current
- Reinstate < 30 days
- Reinvestigations
- Updated Access

G A list of all personnel currently assigned to your critical group. Please redact all personal information (social security numbers, home addresses, etc.) from these lists.

H Any audits or program reviews associated with the Access Authorization (AA) program since **October 8, 2021**.

### **LAST ITEM FOR 71130.01**

#### **2. Access Control (71130.02) (dated 01/01/2019)**

**General:** Same as General for 1. Above.

- A. Condition Report summaries related to Access Control from **July 13, 2022 to February 10, 2023**. Please provide any summaries after **February 10, 2023** for our review while on site.
- B. Any Access Control policy, procedure, desk-top guide, or any other type of lower tier instruction type document you may use, such as: access termination procedures, lock and key control procedures, and access control and search procedures for personnel, packages and vehicles.
- C. Lock and key control documentation.
- D. Security shift roster for each shift/team.
- E. Security Program Reviews, audits, or self-assessments which address Access Control from **July 13, 2022 to February 10, 2023**. Please provide any summaries after **February 10, 2023** for our review while on site.

### **LAST ITEM FOR 71130.02**

#### **3. Performance Indicator (PIV) 71151 (dated 07/01/2020)**

- A. For the previous 8 quarters. Include all documentation sent to the NRC on security performance indicators and all data that supports your PI calculations.
- B. IDS and CCTV Normalization Factor calculations for previous 8 quarters.
- C. Calculations for Performance Indicators for previous 8 quarters.
- D. Graphic representing the IDS zone and CCTV locations to verify quantities.

### **LAST ITEM FOR SECTION 3 OF REQUEST**

**4. Security Plan Changes (71130.09) (effective date 01/01/2020)**

**Please be prepared to provide the following items during the onsite inspection:**

- A. The latest version of the Physical Security Plan.
- B. The security plan revisions utilized **July 13, 2022** (last baseline inspection).
- C. All security plan changes that have been submitted to the NRC since **July 13, 2022**.
- D. Procedures associated with plan changes since **July 13, 2022**.
- E. Training material (i.e. lesson plans, briefing materials, computer-based training) developed to provide training to personnel responsible for implementing the plan change(s) since **July 13, 2022**.

**LAST ITEM FOR SECTION 4 OF REQUEST**

**Additional Information upon arrival:**

Following arrival on site the inspectors would like to discuss support for items that typically impact normal operations:

- Access Control/Search Equipment Testing
- Times and Days for Warehouse Package Search; Vehicle Search; Personnel Search
- SGI related topics

Completed PA Entry Logs

Completed VA Entry Logs

Job Aids if applicable

SGI Procedure

SGI Access list outside of Security (Roster)

Escort Responder Log (Emergency)

Unfavorable termination since **July 13, 2022**

Any sealed & locked container(s) for access to security keys outside of security group  
(Operations, etc.)