



UNITED STATES
NUCLEAR REGULATORY COMMISSION
REGION IV
1600 EAST LAMAR BOULEVARD
ARLINGTON, TEXAS 76011-4511

June 14, 2022

To: Mr. Mike Corbin and Mr. Jason Knust

SUBJECT: DOCUMENT REQUEST LIST FOR BASELINE INSPECTIONS 71130.07 AND
71130.04

- Please provide the information requested below for in-office inspection and review by 07/11/2022. The documents may be provided via email, disc, hard copies, or a combination of the above. When using CERTREC, please send an email to the inspection team when the documents are uploaded.
- DO NOT forward any documents on "flash/thumb drives", as current NRC policies and procedures prohibit using this type of media.
- It is preferable not ship Safeguards Information to the Region IV office. Inspectors can review these types of documents upon arrival at the site.
- Mail documents to:

Attention: James Buchanan / Christopher Jewett
U.S. Nuclear Regulatory Commission
1600 E. Lamar Blvd.
Arlington TX 76011-4125

- To keep the requested information organized, please submit electronic documents using the same number/lettering system as below.
- Any questions, please contact the assigned inspectors for the baseline inspections.

General Folder:

All Security Event Log entries since 06/20/2022.

Any open security related LERs.

Security Department Organizational Charts.

The corrective actions, procedure writing, and Safety and Security Interface procedures.

Current index of all security procedures and lesson plans.

A Word/Text file stating the date and revision of your current Physical Security Plan.

1-Security Training (71130.07):

- A.** Corrective Action summaries related to Security Training from 07/05/2020 to present. Any summaries after 07/11/2022 need to be provided for review after the entrance meeting.
- B.** Provide any Security Training related policies, procedures, Instructions, desk-top guides, job aids, lesson plans, standing orders or any other type of lower tier document.
- C.** A current exhaustive list of all AROs, ASOs, SOs, and Security Trainers, and Watch persons. Include a break down by shift.
- D.** Any audits, program reviews, or self-assessments, etc. associated with the Security Training program since 07/05/2020.
- E.** All courses of fire for all weapons required to support your physical protection programs including any certifications of the courses of fire, and certifications of your firearms instructors.
- F.** Provide a list of equipment watch persons, armed security officers, armed responders, and alarm station operators are trained to use in order to perform their duties, the method and/or manner in which they are trained to use the equipment, and how the security officers demonstrate proficiency/qualification in the use of the equipment.
- G.** Provide a copy of your practical physical fitness test lesson plan and procedure along with how the test includes strenuous activity, physical exertion, levels of stress, and exposure to elements as they pertain to an individual's assigned security duties.
- H.** Provide at least one filled out actual example of the evaluation forms that you use for your OJT program (40hrs), your OJE program (individual officer certification), and your Tactical Course of Fire evaluation (individual officer). This can be the same officer for all examples.
- I.** Procedures or guidance documents use to certify the medical qualifications of security personnel needed to implement the protective strategy.
- J.** Provide all job performance measures (TPEs).
- K.** Provide a schedule of range activities during the inspection.
- L.** Be prepared to support a sample of officers running tactical course, timeline drill, and/or physical fitness test.

//----- LAST ITEM FOR IP 71130.07 -----//

2. Equipment Performance, Testing and Maintenance (71130.04):

- A. Corrective Action summaries related to Security equipment performance, testing, and maintenance from 07/05/2020 to present. Any summaries after 07/11/2022 need to be provided for review after the entrance meeting.
- B. Provide any Security and Maintenance Department Equipment Performance, Testing and Maintenance related policies, procedures, instructions, desk-top guides, job aids, lesson plans, standing orders or any other type of lower tier document for all equipment, devices or barriers that support your physical protection program.
- C. Security related equipment operating procedures, instructions, etc. for all equipment being used by the security force (if these are contained within the procedures in B. you don't need to include them in this folder).
- D. Provide any audits, program reviews, self-assessments, etc. associated with Equipment Performance, Testing and Maintenance.
- E. Please have personnel available to perform general testing, to include but not limited to UPS testing where applicable along with appropriate personnel to open panels, remove microwave heads, card reader testing to include removal of covers, testing of power loss to receive various indicators to the operators at the alarm stations and that non-portable communication equipment remains functional. Provide documentation of your most recent testing regarding the above items.
- F. For the time period listed in 2.A, provide a listing of all firearms maintenance/history activities, both in and out of service, i.e., semi-annual cleaning, firing, repairs, etc. Also, provide documentation that all firearms maintenance (beyond standard cleaning) repairs and modifications are performed by certified armorer(s), including Armorer Certificates for each weapon system used at the site.
- G. Provide any procedures relating to storage, handling, transporting weapons within company property.
- H. Provide documentation of your most recent security/emergency generator battery testing and the loads used during the testing.
- I. Provide documentation regarding new equipment related to security, to include the analysis, acceptance testing, and vendor manuals.
- J. Lighting Surveys conducted in the isolation zones and appropriate exterior areas within the protected area.

//----- LAST ITEM FOR IP 71130.04 -----//

Please be prepared to provide the following items during the inspection onsite:

- Contractor/vendor/manufacture installation documentations, manuals, or special letters regarding any piece of equipment or device that supports your physical protection program.
- Any Compensatory Measures procedures that support your Physical Security Plan, to include walls or buildings that comprise any portion of your PA.
- Analysis conducted of areas where communication may be an issue and your methods to ensure continue communication and that there are no activities impeding alarm station operators, or any locations that any equipment can be over-ridden.
- Be prepared to provide records of testing and maintenance on any generator or other uninterruptable power source that supports your physical protection program. Including transfer of power testing that show IDS and Security Communication continued to function.
- Copies of specific I&C maintenance records if needed.
- Maintenance and testing schedule for IDS, Perimeter Cameras, Vital Areas, Special purpose detector equipment, weapons, etc.