




UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

April 28, 2022

MEMORANDUM TO: Eric Rivera
Acting Assistant Inspector General for Audits
Office of the Inspector General

FROM: Andrea D. Veil, Director  King, Michael signing on behalf
Office of Nuclear Reactor Regulation of Veil, Andrea
on 04/28/22

SUBJECT: STATUS OF RECOMMENDATIONS FOR THE AUDIT OF THE
NRC'S NUCLEAR POWER REACTOR INSPECTION ISSUE
SCREENING (OIG-21-A-07)

The purpose of this memorandum is to provide the Office of the Inspector General (OIG) with a status on the recommendations in OIG-21-A-07, "Audit of the NRC's Nuclear Power Reactor Inspection Issue Screening" (Agencywide Documents Access and Management System (ADAMS) Accession No. [ML21089A011](#)).

Recommendation 1:

Clarify guidance for inputting inspection results into the RPS that involves TE actions, such as escalated enforcement action, notices of violation, and licensee identified violations, etc.

Staff Response:

As stated in the original response to the audit, the staff agreed with recommendation and will enhance guidance in Inspection Manual Chapter (IMC) 0306, "Planning, Scheduling, Tracking and Reporting of the Reactor Oversight Process" ([ML20302A345](#)). The enhancement will consist of a new appendix, informed by inputs from the Regions, that more clearly illustrates the sequence and management of inputs for the Reactor Program System (RPS). To ensure the accuracy of the appendix, the staff waited until the RPS quality assurance updates (stemming from Recommendation 3) were completed before drafting the guidance. The staff plans to complete the IMC 0306 appendix by September 30, 2022. The point of contact for this recommendation is Manuel Crespo, NRR/DRO, 301-415-0298.

Recommendation 3:

Improve quality assurance processes implemented in 2021 to identify and fix RPS data entry reporting errors

CONTACT: Thomas Hipschman, NRR/DRO
301-415-1169

Staff Response:

As stated in the original response, the staff agreed with the recommendation and began enhancing the RPS by implementing strategic automatic data entry systems (primarily for date tracking fields) as well as instituting additional validation checks to ensure specific RPS entries properly adhere to guidance. The validation checks primarily consisted of eliminating non-applicable options for inspection results, which also streamlined the data entry process. The staff completed this action on April 1, 2022, with the release of RPS version 4.83.1 in production. The point of contact for this recommendation is Manuel Crespo, NRR/DRO, 301-415-0298.

Recommendation 4:

Conduct periodic training regarding RPS data input

Staff Response:

As stated in the original response, the staff agreed with the recommendation and has captured the periodic training requirement as a responsibility for DRO in IMC 0306, "Planning Scheduling, Tracking, and Reporting of the Reactor Oversight Process (ROP)." The staff continually conducts targeted training on the use of RPS on an as needed basis via one-on-one training sessions or training sessions with staff during counterpart meetings. The staff's actions for this recommendation will be considered complete following any necessary training sessions for staff following the issuance of improved guidance in the IMC 0306 appendix (Recommendation 1) and implementation of the additional application controls enhancement (Recommendation 3). The staff's planned completion date for this recommendation is September 30, 2022. The point of contact for this recommendation is Manuel Crespo, NRR/DRO, 301-415-0298.

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