

**CERTIFICATE OF COMPLIANCE
FOR SPENT FUEL STORAGE CASKS**

The U.S. Nuclear Regulatory Commission is issuing this Certificate of Compliance pursuant to Title 10 of the *Code of Federal Regulations*, Part 72, "Licensing Requirements for Independent Storage of Spent Nuclear Fuel, High-Level Radioactive Waste, and Reactor-Related Greater than Class C Waste" (10 CFR Part 72). This certificate is issued in accordance with 10 CFR 72.238, certifying that the storage design and contents described below meet the applicable safety standards set forth in 10 CFR Part 72, Subpart L, and on the basis of the Final Safety Analysis Report (FSAR) of the cask design. This certificate is conditional upon fulfilling the requirements of 10 CFR Part 72, as applicable, and the conditions specified below.

Certificate No.	Effective Date (Certificate)	Expiration Date	Docket No.	Amendment No.	Amendment Effective Date	Package Identification No.
1014	05/31/00	06/01/20	72-1014	4	01/08/08	USA/72-1014
	Renewed Effective Date	Renewed Expiration Date		Revision No.	Revision Effective Date	
	mm/dd/20XX	mm/dd/20XX		0	N/A	

Issued To: (Name/Address)

Holtec International
Holtec Technology Campus
One Holtec Blvd.
Camden, NJ 08104

Safety Analysis Report Title

Holtec International
Final Safety Analysis Report for the
HI-STORM 100 Cask System

CONDITIONS

This certificate is conditioned upon fulfilling the requirements of 10 CFR Part 72, as applicable, the attached Appendix A (Technical Specifications) and Appendix B (Approved Contents and Design Features), and the conditions specified below:

1. CASK

a. Model No.: HI-STORM 100 Cask System

The HI-STORM 100 Cask System (the cask) consists of the following components: (1) interchangeable multi-purpose canisters (MPCs), which contain the fuel; (2) a storage overpack (HI-STORM), which contains the MPC during storage; and (3) a transfer cask (HI-TRAC), which contains the MPC during loading, unloading and transfer operations. The cask stores up to 32 pressurized water reactor (PWR) fuel assemblies or 68 boiling water reactor (BWR) fuel assemblies.

b. Description

The HI-STORM 100 Cask System is certified as described in the Final Safety Analysis Report (FSAR) and in the U.S. Nuclear Regulatory Commission's (NRC) Safety Evaluation Report (SER) accompanying the Certificate of Compliance. The cask comprises three discrete components: the MPC, the HI-TRAC transfer cask, and the HI-STORM storage overpack.

The MPC is the confinement system for the stored fuel. It is a welded, cylindrical canister with a honeycombed fuel basket, a baseplate, a lid, a closure ring, and the canister shell. It is made entirely of stainless steel except for the neutron absorbers and aluminum heat conduction elements (AHCEs), which are installed in some early-vintage MPCs. The canister shell, baseplate, lid, vent and drain port cover plates, and closure ring are the main confinement boundary components. The honeycombed basket, which is equipped with neutron absorbers, provides criticality control.

Certificate No. 1014
Amendment No. 4
Renewed Yes
Page 2 of 6

**CERTIFICATE OF COMPLIANCE
FOR SPENT FUEL STORAGE CASKS**
Supplemental Sheet

1. b. Description (continued)

There are eight types of MPCs: the MPC-24, MPC-24E, MPC-24EF, MPC-32, MPC-32F, MPC-68, MPC-68F, and MPC-68FF. The number suffix indicates the maximum number of fuel assemblies permitted to be loaded in the MPC. All eight MPC models have the same external diameter.

The HI-TRAC transfer cask provides shielding and structural protection of the MPC during loading, unloading, and movement of the MPC from the spent fuel pool to the storage overpack. The transfer cask is a multi-walled (carbon steel/lead/carbon steel) cylindrical vessel with a neutron shield jacket attached to the exterior. Generically, two HI-TRAC transfer casks are available: the 125 ton-HI-TRAC and the 100 ton HI-TRAC. The weight designation is the maximum weight of a loaded transfer cask during any loading, unloading or transfer operation. The 125 ton HI-TRAC and 100 ton HI-TRAC transfer cask sizes have identical cavity diameters. The 125 ton HI-TRAC transfer cask has thicker shielding and larger outer dimensions than the 100 ton HI-TRAC transfer cask. Additionally, there is a HI-TRAC 100D Version IP1 transfer cask that has a maximum weight of 75 tons. This transfer cask will only be used at Indian Point Unit 1. It is shorter with the same cavity diameter as the other HI-TRAC models. The outside diameter differs due to the HI-TRAC 100D Version IP1's radial shielding thicknesses, which are: 3/4 inches for the inner shell, 2 1/2 inches for the annular lead shield, 3/4 inches for the outer shell, 5 inches for the water jacket, and 3/8 inches for the water jacket shell.

The HI-STORM 100 or 100S storage overpack provides shielding and structural protection of the MPC during storage. The HI-STORM 100S is a variation of the HI-STORM 100 overpack design that includes a modified lid which incorporates the air outlet ducts into the lid, allowing the overpack body to be shortened. The overpack is a heavy-walled steel and concrete, cylindrical vessel. Its side wall consists of plain (un-reinforced) concrete that is enclosed between inner and outer carbon steel shells. The overpack has four air inlets at the bottom and four air outlets at the top to allow air to circulate naturally through the cavity to cool the MPC inside. The inner shell has supports attached to its interior surface to guide the MPC during insertion and removal, provide a medium to absorb impact loads, and allow cooling air to circulate through the overpack. A loaded MPC is stored within the HI-STORM 100 or 100S storage overpack in a vertical orientation. The HI-STORM 100A is a variant of the HI-STORM 100 family and is outfitted with an extended baseplate and gussets to enable the overpack to be anchored to the concrete storage pad in high seismic applications. With the exception of some overpack variants, the HI-STORM 100A applies to both the HI-STORM 100 and HI-STORM 100S overpacks that are classified as the HI-STORM 100A and HI-STORM 100SA, respectively.

2. OPERATING PROCEDURES

Written operating procedures shall be prepared for cask handling, loading, movement, surveillance, and maintenance. The user's site-specific written operating procedures shall be consistent with the technical basis described in Chapter 8 of the FSAR.

3. ACCEPTANCE TESTS AND MAINTENANCE PROGRAM

Written cask acceptance tests and maintenance program shall be prepared consistent with the technical basis described in Chapter 9 of the FSAR.

4. QUALITY ASSURANCE

Activities in the areas of design, purchase, fabrication, assembly, inspection, testing, operation, maintenance, repair, modification of structures, systems and components, and decommissioning that are important to safety shall be conducted in accordance with a Commission-approved quality assurance program which satisfies the applicable requirements of 10 CFR Part 72, Subpart G, and which is established, maintained, and executed with regard to the cask system.

**CERTIFICATE OF COMPLIANCE
FOR SPENT FUEL STORAGE CASKS**
Supplemental Sheet

Certificate No. 1014
Amendment No. 4
Renewed Yes
Page 3 of 6

5. HEAVY LOADS REQUIREMENTS

Each lift of an MPC, a HI-TRAC transfer cask, or any HI-STORM overpack must be made in accordance to the existing heavy loads requirements and procedures of the licensed facility at which the lift is made. A plant-specific regulatory review (under 10 CFR 50.59 or 10 CFR 72.48, if applicable) is required to show operational compliance with existing plant specific heavy loads requirements. Lifting operations outside of structures governed by 10 CFR Part 50 must be in accordance with Section 5.5 of Appendix A and/or Sections 3.4.6 and Section 3.5 of Appendix B to this certificate, as applicable.

6. APPROVED CONTENTS

Contents of the HI-STORM 100 Cask System must meet the fuel specifications given in Appendix B to this certificate.

7. DESIGN FEATURES

Features or characteristics for the site, cask, or ancillary equipment must be in accordance with Appendix B to this certificate.

8. CHANGES TO THE CERTIFICATE OF COMPLIANCE

The holder of this certificate who desires to make changes to the certificate, which includes Appendix A (Technical Specifications) and Appendix B (Approved Contents and Design Features), shall submit an application for amendment of the certificate.

9. SPECIAL REQUIREMENTS FOR FIRST SYSTEMS IN PLACE

The heat transfer characteristics of the cask system will be recorded by temperature measurements for the first HI-STORM Cask Systems (for each thermally unique MPC basket design - MPC-24/24E/24EF, MPC-32/32F, and MPC-68/68F/68FF) placed into service by any user with a heat load equal to or greater than 10 kW. An analysis shall be performed that demonstrates the temperature measurements validate the analytic methods and predicted thermal behavior described in Chapter 4 of the FSAR.

Validation tests shall be performed for each subsequent cask system that has a heat load that exceeds a previously validated heat load by more than 2 kW (e.g., if the initial test was conducted at 10 kW, then no additional testing is needed until the heat load exceeds 12 kW). No additional testing is required for a system after it has been tested at a heat load equal to or greater than 16 kW.

Each first time user of a HI-STORM 100 Cask System Supplemental Cooling System (SCS) that uses components or a system that is not essentially identical to components or a system that has been previously tested, shall measure and record coolant temperatures for the inlet and outlet of cooling provided to the annulus between the HI-TRAC and MPC and the coolant flow rate. The user shall also record the MPC operating pressure and decay heat. An analysis shall be performed, using this information, that validates the thermal methods described in the FSAR which were used to determine the type and amount of supplemental cooling necessary.

Letter reports summarizing the results of each thermal validation test and SCS validation test and analysis shall be submitted to the NRC in accordance with 10 CFR 72.4. Cask users may satisfy these requirements by referencing validation test reports submitted to the NRC by other cask users.

**CERTIFICATE OF COMPLIANCE
FOR SPENT FUEL STORAGE CASKS**
Supplemental Sheet

Certificate No. 1014
Amendment No. 4
Renewed Yes
Page 4 of 6

10. PRE-OPERATIONAL TESTING AND TRAINING EXERCISE

A dry run training exercise of the loading, closure, handling, unloading, and transfer of the HI-STORM 100 Cask System shall be conducted by the licensee prior to the first use of the system to load spent fuel assemblies. The training exercise shall not be conducted with spent fuel in the MPC. The dry run may be performed in an alternate step sequence from the actual procedures, but all steps must be performed. The dry run shall include, but is not limited to the following:

- a. Moving the MPC and the transfer cask into the spent fuel pool or cask loading pool.
- b. Preparation of the HI-STORM 100 Cask System for fuel loading.
- c. Selection and verification of specific fuel assemblies to ensure type conformance.
- d. Loading specific assemblies and placing assemblies into the MPC (using a dummy fuel assembly), including appropriate independent verification.
- e. Remote installation of the MPC lid and removal of the MPC and transfer cask from the spent fuel pool or cask loading pool.
- f. MPC welding, NDE inspections, pressure testing, draining, moisture removal (by vacuum drying or forced helium dehydration, as applicable), and helium backfilling. (A mockup may be used for this dry-run exercise.)
- g. Operation of the Supplemental Cooling System if applicable.
- h. Transfer cask upending/downending on the horizontal transfer trailer or other transfer device, as applicable to the site's cask handling arrangement.
- i. Transfer of the MPC from the transfer cask to the overpack.
- j. Placement of the HI-STORM 100 Cask System at the ISFSI.
- k. HI-STORM 100 Cask System unloading, including flooding MPC cavity, removing MPC lid welds. (A mockup may be used for this dry-run exercise.)

11. When the Supplemental Cooling System is in operation to provide for decay heat removal in accordance with Section 3.1.4 of Appendix A the licensee is exempt from the requirements of 10 CFR 72.236(f).

**CERTIFICATE OF COMPLIANCE
FOR SPENT FUEL STORAGE CASKS**
Supplemental Sheet

Certificate No. 1014
Amendment No. 4
Renewed Yes
Page 5 of 6

12. FSAR UPDATE FOR RENEWED CoC

The CoC holder shall submit an updated FSAR to the Commission, in accordance with 10 CFR 72.4, within 90 days after the effective date of the renewal. The updated FSAR shall reflect the changes resulting from the review and approval of the renewal of the CoC, including the HI-STORM 100 FSAR supplement, as documented in Appendix D of the HI-STORM 100 CoC renewal application, Revision 1, dated April 23, 2021 (Agencywide Documents Access and Management System (ADAMS) Accession No. ML21113A203). The CoC holder shall continue to update the FSAR pursuant to the requirements of 10 CFR 72.248.

13. 10 CFR 72.212 EVALUATIONS FOR CoC USE DURING THE PERIOD OF EXTENDED OPERATION

Any general licensee that initiates spent fuel dry storage operations with the HI-STORM 100 Cask System after the effective date of the renewal of the CoC and any general licensee operating a HI-STORM 100 Cask System as of the effective date of the renewal of the CoC, including those that put additional storage systems into service after that date, shall:

- a. As part of the evaluations required by 10 CFR 72.212(b)(5), include the evaluations related to the terms, conditions, and specifications of this CoC amendment as modified (i.e., changed or added) as a result of the renewal of the CoC.
- b. As part of the document review required by 10 CFR 72.212(b)(6), include a review of the FSAR changes resulting from the renewal of the CoC and the NRC Safety Evaluation Report related to the renewal of the CoC.
- c. Ensure that the evaluations required by 10 CFR 72.212(b)(7) and determinations required by 10 CFR 72.212(b)(8) capture the evaluations and review described in (a.) and (b.) of this CoC condition.
- d. Complete this condition prior to entering the period of extended operation or no later than 365 days after the effective date of the renewal of the CoC, whichever is later.

14. AMENDMENTS AND REVISIONS FOR RENEWED CoC

All future amendments and revisions to this CoC shall include evaluations of the impacts to aging management activities (i.e., time-limited aging analyses and aging management programs) to ensure they remain adequate for any changes to structures, systems, and components within the scope of renewal.

**CERTIFICATE OF COMPLIANCE
FOR SPENT FUEL STORAGE CASKS**
Supplemental SheetCertificate No. 1014
Amendment No. 4
Renewed Yes
Page 6 of 6

15. AUTHORIZATION

The HI-STORM 100 Cask System, which is authorized by this certificate, is hereby approved for use under the general license issued pursuant to 10 CFR 72.210, subject to the conditions specified by 10 CFR 72.212, and the attached Appendix A and Appendix B. The HI-STORM 100 Cask System may be fabricated and used in accordance with any approved amendment to CoC No. 1014 listed in 10 CFR 72.214. Each of the licensed HI-STORM 100 System components (i.e., the MPC, overpack, and transfer cask), if fabricated in accordance with any of the approved CoC Amendments, may be used with one another provided an assessment is performed by the CoC holder that demonstrates design compatibility.

FOR THE U. S. NUCLEAR REGULATORY COMMISSION

Yaira K. Diaz-Sanabria, Chief
Storage and Transportation Licensing Branch
Division of Fuel Management
Office of Nuclear Material Safety
and Safeguards
Washington, DC 20555

Dated:

Attachments:
1. Appendix A
2. Appendix B