



UNITED STATES
NUCLEAR REGULATORY COMMISSION
REGION II
245 PEACHTREE CENTER AVENUE N.E., SUITE 1200
ATLANTA, GEORGIA 30303-1200

March 2, 2022

Mr. Robert T. Simril
Site Vice President
Duke Energy Carolinas, LLC
Catawba Nuclear Station
4800 Concord Road
York, SC 29745-9635

SUBJECT: ANNUAL ASSESSMENT LETTER FOR CATAWBA NUCLEAR STATION UNITS 1
AND 2 (REPORT 05000413/2021006 AND 05000414/2021006)

Dear Mr. Simril:

The NRC has completed its end-of-cycle performance assessment of Catawba Nuclear Station, Units 1 and 2, reviewing performance indicators (PIs), inspection results, and enforcement actions from January 1, 2021 through December 31, 2021. This letter informs you of the NRC's assessment of your facility during this period and its plans for future inspections at your facility. The NRC concluded that overall performance at your facility preserved public health and safety. The baseline inspection program was completed at your facility as defined in Inspection Manual Chapter 2515, "Light-Water Reactor Inspection Program - Operations Phase."

The NRC determined the performance at Catawba Nuclear Station, Units 1 and 2, during the most recent quarter was within the Licensee Response Column, the highest performance category of the NRC's Reactor Oversight Process (ROP) Action Matrix, because all inspection findings had very low safety significance (i.e., Green), and all PIs were within the expected range (i.e., Green). Therefore, the NRC plans to conduct ROP baseline inspections at your facility.

The enclosed inspection plan lists the inspections scheduled through December 31, 2023. The NRC provides the inspection plan to allow for the resolution of any scheduling conflicts and personnel availability issues. Routine inspections performed by resident inspectors are not included in the inspection plan. The inspections listed during the last twelve months of the inspection plan are tentative and may be revised. The NRC will contact you as soon as possible to discuss changes to the inspection plan should circumstances warrant any changes.

In response to the COVID-19 public health emergency (PHE), the NRC adjusted inspection plans and schedules in order to safeguard the health and safety of both NRC and licensee staff while still effectively implementing the ROP. While the PHE continues, overall conditions throughout the country support performance of our inspection and oversight activities to return to normal onsite presence by our resident and region-based inspectors and completion of nominal baseline inspection samples. We continue to monitor site-specific conditions and as discussed in our November 2, 2021, memo, "Implementation of Inspection Programs Following Re-Entry from the Public Health Emergency for the Reactor Safety Program" (ML21295A302),

it may be necessary to alter how and when we perform our inspection and oversight activities as we balance the importance of protecting the health and safety of our staff with the need to conduct effective oversight that supports the NRC's critical safety mission. For inspections requiring extensive coordination with offsite organizations, such as evaluated emergency preparedness exercises, NRC guidance and frequently asked questions for security and emergency preparedness can be found here: <https://www.nrc.gov/about-nrc/covid-19/security-ep/>. Similarly, the NRC has developed guidance if force-on-force inspections cannot be completed as scheduled due to an emergency, such as the COVID-19 PHE. These changes help ensure the health and safety of both NRC and licensee staff while maintaining the NRC's important safety and security mission during the COVID-19 PHE. The attached inspection plan is accurate on the date of issuance but remains subject to change based on approval of potential exemption requests or other changes needed due to changing conditions in the COVID-19 PHE. NRC staff will contact your appropriate regulatory affairs staff in order to coordinate inspection planning and scheduling.

The following special and infrequent inspection will also be performed: IP 71003, Post-Approval Site Inspection for License Renewal (Phase II).

In accordance with Title 10 of the *Code of Federal Regulations* (10 CFR) 2.390 of the NRC's "Rules of Practice," a copy of this letter will be available electronically for public inspection in the NRC Public Document Room or from the Publicly Available Records (PARS) component of NRC's document system (ADAMS). ADAMS is accessible from the NRC Web site at <http://www.nrc.gov/reading-rm/adams.html> (the Public Electronic Reading Room).

Please contact me at 404-997-4575 with any questions you have regarding this letter.

Sincerely,

Eric J. Stamm, Chief
Reactor Projects Branch 1
Division of Reactor Projects

Docket Nos.: 50-413, 50-414
License Nos.: NPF-35, NPF-52

Enclosure:
Catawba Inspection/Activity Plan
(03/01/2022 - 12/31/2023)

cc: Distribution via ListServ

SUBJECT: ANNUAL ASSESSMENT LETTER FOR CATAWBA NUCLEAR STATION UNITS 1 AND 2 (REPORT 05000413/2021006 AND 05000414/2021006) DATED March 2, 2022

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Site Inspection Activity Plan

07200045 - Catawba (ISFSI)

03/01/2022 - 12/31/2023

Unit	Start	End	Activity	CAC	Title	Staff Count
OPERATION OF AN ISFSI						2
	6/27/2022	7/1/2022	IP 60855	001001	Operation Of An ISFSI	

Site Inspection Activity Plan

Catawba

03/01/2022 - 12/31/2023

Unit	Start	End	Activity	CAC	Title	Staff Count
DESIGN BASES ASSURANCE INSPECTION (PROGRAMS)						3
1,2	5/23/2022	5/27/2022	IP 71111.21N.02	001645	Design-Basis Capability of Power-Operated Valves Under 10 CFR 50.55a Requirements	
1,2	6/6/2022	6/10/2022	IP 71111.21N.02	001645	Design-Basis Capability of Power-Operated Valves Under 10 CFR 50.55a Requirements	
TRIENNIAL HEAT SINK PERFORMANCE						1
1,2	7/25/2022	7/29/2022	IP 71111.07SIX	000700	Heat Exchanger/Sink Performance	
1,2	7/25/2022	7/29/2022	IP 71111.07T	000700	Heat Exchanger/Sink Performance	
CATAWBA REQUAL INSPECTION						2
1,2	7/25/2022	7/29/2022	IP 71111.11B	000704	Licensed Operator Requalification Program and Licensed Operator Performance	
EMERGENCY PREPAREDNESS EXERCISE INSPECTION						5
1,2	8/15/2022	8/19/2022	IP 71114.01	000716	Exercise Evaluation	
1,2	8/15/2022	8/19/2022	IP 71114.04	000719	Emergency Action Level and Emergency Plan Changes	
1,2	8/15/2022	8/19/2022	IP 71151	001397	Performance Indicator Verification	
UNIT 2 IN-SERVICE INSPECTION +SG						1
2	9/12/2022	9/23/2022	IP 71111.08P	000702	Inservice Inspection Activities (PWR)	
RP OCCUPATIONAL RAD SAFETY BASELINE INSPECTION						3
1,2	9/12/2022	9/16/2022	IP 71124.01	000725	Radiological Hazard Assessment and Exposure Controls	
1,2	9/12/2022	9/16/2022	IP 71124.03	000727	In-Plant Airborne Radioactivity Control and Mitigation	
1,2	9/12/2022	9/16/2022	IP 71124.04	000728	Occupational Dose Assessment	
1,2	9/12/2022	9/16/2022	IP 71124.05	000729	Radiation Monitoring Instrumentation	
1,2	9/26/2022	9/30/2022	IP 71124.01	000725	Radiological Hazard Assessment and Exposure Controls	
1,2	9/26/2022	9/30/2022	IP 71124.03	000727	In-Plant Airborne Radioactivity Control and Mitigation	
1,2	9/26/2022	9/30/2022	IP 71124.04	000728	Occupational Dose Assessment	
1,2	9/26/2022	9/30/2022	IP 71124.05	000729	Radiation Monitoring Instrumentation	
SECURITY BASELINE INSPECTION						1
1,2	10/17/2022	10/21/2022	IP 71130.02	000734	Access Control	
1,2	10/17/2022	10/21/2022	IP 71130.09	001656	Security Plan Changes	
1,2	10/17/2022	10/21/2022	IP 71151	001338	Performance Indicator Verification	
CYBER SECURITY INSPECTION						2
1,2	10/24/2022	10/28/2022	IP 71130.10	000741	Cybersecurity	
PROBLEM IDENTIFICATION AND RESOLUTION INSPECTION						4
1,2	10/31/2022	11/4/2022	IP 71152B	000747	Problem Identification and Resolution	
1,2	11/14/2022	11/18/2022	IP 71152B	000747	Problem Identification and Resolution	

Site Inspection Activity Plan

EVALUATIONS OF CHANGES, TEST AND EXPERIMENTS INSPECTION						3
1,2	1/30/2023	2/3/2023	IP 71111.17T	000709	Evaluations of Changes, Tests, and Experiments	
SECURITY BASELINE INSPECTION						2
1,2	2/13/2023	2/17/2023	IP 71130.04	000736	Equipment Performance, Testing, and Maintenance	
1,2	2/13/2023	2/17/2023	IP 71130.07	000739	Security Training	
CATAWBA INITIAL LICENSE EXAM						3
1,2	1/23/2023	1/27/2023	OV	000956	FB-OR-ONSITE VALIDATION OF INITIAL LICENSE EXAMINATION (OV)	
1,2	2/27/2023	3/10/2023	EXAD	000500	FB-OR-INITIAL LICENSE EXAM ADMINISTRATION (EXAD)	
UNIT 1 IN-SERVICE INSPECTION +SG						2
1	4/10/2023	4/14/2023	IP 71111.08P	000702	Inservice Inspection Activities (PWR)	
RP OCCUPATIONAL AND TRANSPORTATION RADIATION SAFETY BASELINE						2
1,2	4/10/2023	4/14/2023	IP 71124.01	000725	Radiological Hazard Assessment and Exposure Controls	
1,2	4/10/2023	4/14/2023	IP 71124.08	000732	Radioactive Solid Waste Processing & Radioactive Material Handling, Storage, & Transportation	
NFPA 805 TRIENNIAL FIRE PROTECTION INSPECTION						4
1,2	6/19/2023	6/23/2023	IP 71111.21N.05	001646	Fire Protection Team Inspection (FPTI)	
1,2	7/10/2023	7/14/2023	IP 71111.21N.05	001646	Fire Protection Team Inspection (FPTI)	
POST-APPROVAL LICENSE RENEWAL PHASE 2						6
1,2	8/7/2023	8/11/2023	IP 71003	000687	Post-Approval Site Inspection for License Renewal	
1,2	8/21/2023	8/25/2023	IP 71003	000687	Post-Approval Site Inspection for License Renewal	
EMERGENCY PREPAREDNESS PROGRAM INSPECTION						2
1,2	9/11/2023	9/15/2023	IP 71114.02	000717	Alert and Notification System Testing	
1,2	9/11/2023	9/15/2023	IP 71114.03	000718	Emergency Response Organization Staffing and Augmentation System	
1,2	9/11/2023	9/15/2023	IP 71114.04	000719	Emergency Action Level and Emergency Plan Changes	
1,2	9/11/2023	9/15/2023	IP 71114.05	000720	Maintenance of Emergency Preparedness	
1,2	9/11/2023	9/15/2023	IP 71151	001397	Performance Indicator Verification	
SECURITY BASELINE INSPECTION						3
1,2	10/16/2023	10/20/2023	IP 71130.02	000734	Access Control	
1,2	10/16/2023	10/20/2023	IP 71130.05	000737	Protective Strategy Evaluation	
1,2	10/16/2023	10/20/2023	IP 71130.09	001656	Security Plan Changes	
1,2	10/16/2023	10/20/2023	IP 71151	001338	Performance Indicator Verification	