
INSPECTION MANUAL CHAPTER 2201 APPENDIX C

GENERIC, SPECIAL, AND INFREQUENT INSPECTIONS

Effective Date: February 9, 2022

2201-01 OBJECTIVES AND PHILOSOPHY OF APPENDIX C INSPECTIONS

Appendix C inspections for the security inspection program are designed to support the U.S. Nuclear Regulatory Commission's (NRC's) goals of maintaining safety, enhancing public confidence, improving the effectiveness, efficiency and realism of the regulatory process, reducing unnecessary regulatory burden, and promoting the common defense and security.

2201-02 APPLICABILITY

The generic, special, and infrequently performed inspections in Table 1 to this appendix apply to security under the safeguards strategic performance area of the Reactor Oversight Process for operating power reactors and are to be conducted by region-based or headquarter-based inspectors.

Note: This appendix lists security inspection program procedures that are implemented infrequently for special situations. The inspections listed in Table 1 are to be performed only when authorized by the **Regional Administrator** after a review and assessment of security events or conditions, or to fulfill the NRC's obligations under domestic interagency memoranda of understanding, or because of national or international security considerations. **To provide for adequate documentation of agency business consistent with 36 CFR 1222.22, the Regional Administrator's authorization shall be annotated in an Official Agency Record that either approves or documents any inspection implemented using this appendix.** These inspections are not part of the baseline or supplemental inspection program elements.

2201-03 RESOURCES

The resource estimate for the inspections listed in Table 1 may vary considerably due to current threat conditions, complexity of facility issues, and the thoroughness of the licensees' own evaluations and proposed corrective actions. The Office of Nuclear Security and Incident Response will fund all effort associated with security-related generic, special, or infrequent inspections.

2201-04 DOCUMENTATION

- a. The inspection report written for generic, special, or infrequent inspections should contain the NRC’s assessment for each inspection requirement that is included within the scope of the inspection. Inspection documentation is pursuant to Inspection Manual Chapter (IMC) 0611, “Power Reactor Inspection Reports.”
- b. Security information designation guidance will be adhered to for all inspection reports, temporary instructions, orders, etc. that contain or have the potential to contain safeguards or other sensitive security-related information. These documents shall be marked and controlled in accordance with the most recent version of Volume 12 “Security,” of the NRC’s management directives, or the agency’s guidance for sensitive unclassified non-safeguards information, as necessary.

2201-05 SIGNIFICANCE DETERMINATION

In addition to other considerations, the determination of significance for findings at commercial power reactors will use the general guidance in IMC 0609, Appendix E, “Security Significance Determination Process,” to assure consistency and predictability.

Table 1: Inspection Procedures for Generic, Special, and Infrequently Performed Activities

Number	Inspection Procedure Title
36100	Inspection of 10 CFR Part 21 and Programs for Reporting Defects and Noncompliance
40001	Resolution of Employee Concerns
71150	Discrepant or Unreported Performance Indicator Data
85420	Inspection of IAEA Safeguards for Inspectors at Power Reactors
92709	Contingency Plans for Licensee Strikes or Lockouts
92711	Implementation of Licensee Contingency Plans During a Strike/Lockout
92712	Resumption of Normal Operations After a Strike
93800	Augmented Inspection Team
93812	Special Inspection

END

Attachment 1: Revision History for IMC 2201, Appendix C

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Commitment Tracking Number	Accession Number Issue Date Change Notice	Description of Change	Description of Training Required and Completion Date	Comment Resolution and Closed Feedback Form Accession Number (Pre-Decisional, Non-Public Information)
N/A	ML040680543 02/19/04 CN 04-004	Initial issuance.	N/A	
N/A	ML081440368 09/08/09 CN 09-021	This document has been revised to remove references to programs other than operating power reactor, and update terminology and format.	N/A	ML091380274
N/A	ML13234A523 09/22/15 CN 15-017	This IMC was revised to reflect minor administrative changes.	N/A	ML15209A613
N/A	ML18031B014 08/20/18 CN 18-026	The revision of this IMC was administrative in nature, a reference to IP 71150, "Discrepant or Unreported Performance indicator Data," was added to this document as this reference was previously misplaced within IMC 2201, Appendix A. During this administrative revision, a complete SUNSI review was conducted in which the staff concluded that this document should be de-controlled. Consistent with the staff's SUNSI determination, this document has been de-controlled and the SUNSI markings have been removed.	N/A	ML18032A038
N/A	ML20205L472 08/07/20 CN 20-037	This revision of this IMC adds IP 92707.	N/A	N/A
N/A	ML21050A385 04/22/21 CN 21-019	This revision removes IP 92707 from this IMC due to moving it to IMC 2201, Appendix A.	N/A	N/A
N/A	ML22021B566 02/09/22 CN 22-003	Added requirement to document the Regional Administrators authorization for conducting IMC 2201 App C inspections for consistency with IMC 2515.	N/A	N/A