



January 19, 2022

MEMORANDUM TO: Daniel H. Dorman
Executive Director for Operations

FROM: Eric Rivera */RA/*
Acting Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF THE U.S.
NUCLEAR REGULATORY COMMISSION'S GRANTS
PRE- AWARD AND AWARD PROCESSES (OIG-21-A-11)

REFERENCE: DIRECTOR, OFFICE OF ADMINISTRATION,
MEMORANDUM DATED JANUARY 13, 2022

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated January 13, 2022. Based on this response, recommendations one and two are closed. Recommendations three and four were closed previously. Therefore, all recommendations for this audit are closed.

If you have any questions or concerns, please call me at (301) 415-7032 or Terri Cooper, Team Leader, at (301) 415-5965.

Attachment: As stated

cc: S. Miotla, OEDO
J. Jolicoeur, OEDO
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Audit Report

AUDIT OF THE U.S. NUCLEAR REGULATORY COMMISSION'S GRANTS PRE-AWARD AND AWARD PROCESSES

OIG-21-A-11

Status of Recommendations

<u>Recommendation 1:</u>	Revise agency policies to require: A. A review of applicants' geographic diversity, diversity in technical disciplines, prior award performance issues, number of prior NRC awards, and current unexpended grant funds, include the NRC staff responsible for the review, and B. A review of the NRC's suspended Automated Standard Application for Payments account list to determine applicants' performance histories, including the NRC staff responsible for the review.
Agency Response Dated January 13, 2022:	Completed. The Office of Administration issued interim guidance to Management Directive 11.6, Financial Assistance Program, on January 7, 2022 (ADAMS. ML22005A024) that requires 1. a review of applicants' geographic diversity, diversity in technical disciplines, prior award performance issues, number of prior NRC awards, and current unexpended grant funds, and 2. a review of the NRC's suspended Automated Standard Application for Payments account list to determine applicants' performance histories. The interim guidance includes the NRC staff responsible for these reviews.
OIG Analysis:	The corrective actions meet the intent of the recommendation. OIG reviewed the interim guidance and determined that it requires a review of applicants' geographic diversity, diversity in technical disciplines, prior award performance issues, number of prior NRC awards, and current unexpended grant funds. It also includes the NRC staff responsible for the review, and requires a review of NRC's ASAP account list to determine applicants' performance histories. This recommendation is therefore closed.
Status:	Closed.

Audit Report

AUDIT OF THE U.S. NUCLEAR REGULATORY COMMISSION'S GRANTS PRE-AWARD AND AWARD PROCESSES

OIG-21-A-11

Status of Recommendations

Recommendation 2: Develop agency guidance for when to use additional and specific conditions into grant agreements for awardees that have prior inconsistent application of grant requirements.

Agency Response Dated
January 13, 2022:

Completed. The Office of Administration issued interim guidance to Management Directive 11.6, Financial Assistance Program, on January 7, 2022 (ADAMS ML22005A024) that provides guidance for when to use additional and specific conditions into grant agreements for awardees that have prior inconsistent application of grant requirements.

OIG Analysis:

The corrective action meets the intent of the recommendation. OIG reviewed the interim guidance and determined that it provides guidance for when to use additional and specific conditions into grant agreements for awardees that have prior inconsistent application of grant requirements. This recommendation is therefore closed.

Status:

Closed.