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A Proposed Path Forward for Transportation of High- Assay Low-Enriched Uranium

Josh Jarrell

September 27, 2018



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A Proposed Path Forward for Transportation of High-Assay Low-Enriched Uranium

Josh Jarrell

September 2018

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<http://www.inl.gov>

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Office of Nuclear Energy
Under DOE Idaho Operations Office
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SUMMARY

Many of the advanced reactors currently being designed will use high-assay low-enriched uranium (HALEU) as the reactor fuel. HALEU is fuel that is enriched to 5–20% uranium-235. With the change to higher enriched material, the industry will have new challenges regarding the development and regulatory approval of enrichment and fuel fabrication facilities and suitable transportation packages to support the economic use of HALEU materials. One area of concern relates to ensuring sub-criticality of the material during transportation as identified by the Nuclear Energy Institute (NEI). To evaluate the relevant work, expertise, and industry perspectives on HALEU, a workshop was organized to share relevant experience and insights into HALEU transportation, handling, and management.

At the workshop, held August 30 and 31, 2018, NEI and industry provided the following recommendations to the Department of Energy (DOE) and the national lab complex.

- DOE and the lab complex should communicate and educate the Nuclear Regulatory Commission (NRC) on criticality issues related to HALEU.
- Idaho National Laboratory (INL) should support work needed to certify package design(s) for the transportation of HALEU.
 - An amendment of the Certificate of Compliance of an existing package could be used for the shipment of commercial quantities.
 - DOE could provide funding to package designer(s) for analysis and engineering work for a package to be submitted to NRC for approval.
- INL should provide the expected amount of impurities (either a specific number or a range) that will be present in recycled naval fuel.
- In the longer term, DOE and the lab complex should increase the availability of criticality benchmark data to further reduce conservatism in package design.

In addition, a couple of key takeaways were identified, including the following:

- Although the labs can provide additional criticality experiments, industry has enough data to license facilities, overpacks, and cylinders. Validation from additional critical experiments to establish less uncertainty in the benchmarks will be helpful.
- A collective effort from industry is needed to express consistency on how much information exists or is needed related to criticality.

Based on interactions with industry, DOE, and national laboratories, large-volume transportation of fresh HALEU appears to be feasible from a criticality perspective. Specifically, an initial review of applicable criticality benchmark

experiments identified numerous applicable experiments. However, a more thorough review of a realistic transportation package design is suggested. Therefore, the following next steps are proposed:

1. Evaluate a large-volume package with uranium dioxide enriched to 20%. The GNF-A NPC package was specifically proposed as a potentially viable option.
2. Based on the results of (1), determine if additional package designs and fuel material should be evaluated.
3. Based on the results of (1), determine if additional criticality experiments would be beneficial to improve the margins for criticality due to uncertainties.
4. Determine DOE transportation needs (packages sizes, shielding requirements, handling/operational requirements, and timing of availability) related to HALEU.
5. Continue to interface with NEI and interested industry companies to determine the appropriate time to engage the NRC.

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ACRONYMS

DOE	Department of Energy
HALEU	high-assay low-enriched uranium
HEU	highly-enriched uranium
INL	Idaho National Laboratory
LEU	low-enriched uranium
NE	Office of Nuclear Energy
NEI	Nuclear Energy Institute
NRC	Nuclear Regulatory Commission
ORNL	Oak Ridge National Laboratory

A Proposed Path Forward for Transportation of High-Assay Low-Enriched Uranium

1. INTRODUCTION

Many of the advanced reactors currently being designed will use high-assay low-enriched uranium (HALEU) as the reactor fuel [NEI 2018b]. HALEU is fuel that is enriched to 5–20% uranium-235. With the change to higher enriched material, the industry will face new challenges regarding the development and regulatory approval of enrichment and fuel fabrication facilities and suitable transportation packages to support the economic use of HALEU materials. One area of concern relates to ensuring sub-criticality of the material during transportation as identified by the Nuclear Energy Institute (NEI) [NEI 2018a].

To evaluate the relevant work, expertise, and industry perspectives on HALEU, a workshop was organized to share relevant experience and insights into HALEU transportation, handling, and management.

2. AUGUST 2018 WORKSHOP

The Idaho National Laboratory (INL)/NEI Invitation-Only Technical Workshop on Transportation of High-Assay Low-Enriched Uranium was hosted at NEI in Washington, D.C. August 30 and 31, 2018. It brought together a range of industry participants, national laboratories, and Department of Energy (DOE) representatives. The primary objective of this workshop was to advise DOE on the gaps related to transportation of HALEU and licensing support activities. The fundamental goal was to ensure that transportation and handling of HALEU at associated fuel cycle facilities does not delay the deployment of advanced reactors. A summary of the workshop is included in Appendix A. Of particular interest are the recommendations from NEI and industry participants for DOE/INL:

- DOE and the lab complex should communicate and educate the NRC on criticality issues related to HALEU.
- INL should support work needed to certify package design for the transportation of HALEU.
 - An amendment of the COC of an existing package could be used for the shipment of commercial quantities.
 - DOE could provide funding to package designer(s) for analysis and engineering work for a package to be submitted to NRC for approval.
- INL should provide the expected amount of impurities (either a specific number or a range) that will be present in recycled naval fuel.
- In the longer term, DOE and the lab complex should increase the availability of criticality benchmark data (i.e., by performing, sponsoring, or data mining additional criticality benchmarks) to further reduce conservatism in package design.

3. PACKAGE DESIGNS

Current package designs are generally divided into two groups: (1) large packages designed for less than 5% enriched material or (2) smaller packages designed for up to 100% enriched material. For example, 2,277 kg of UF₆ currently can be transported in Type 30B packages at up to 5% enrichment (as illustrated in Figure 1), while only 24.9 kg of UF₆ can be transported in Type 5A/B packages at up to 100% enrichment [ANSI 2012].



Figure 1. Example of Model 30B UF6 Cylinder [Appendix A].

Another example is the DOE-certified ES-3100 package, which has been design to hold 24 kg of UO₂ as illustrated in Figure 2.



Figure 2. ES-3100 contents [Appendix A].

For fresh fuel packaging, GNF has a package, the GNF-A NPC, currently designed to move 5% enriched UO₂, U₃O₈, UO_x, and other uranium materials as shown in Figure 3.

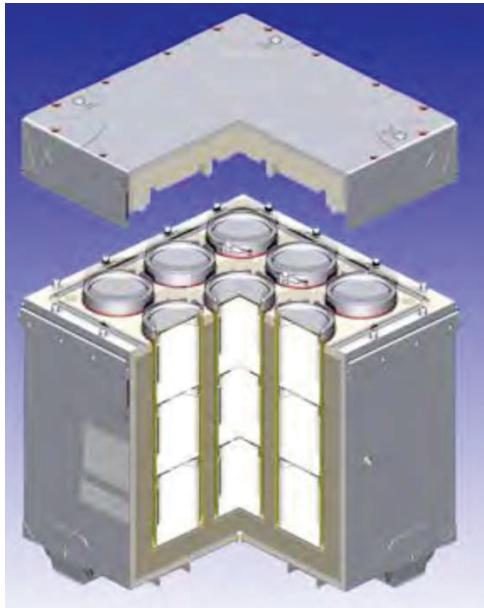


Figure 3. GNF-A NPC package [Appendix A].

In addition, Daher-TLI is in the process of developing a package based on the 30B package. It could accommodate 20% enriched UF_6 and is called the 30B-20. It is being developed with a goal to transport up to 1,600 kg of UF_6 enriched to 20% as illustrated in Figure 4.

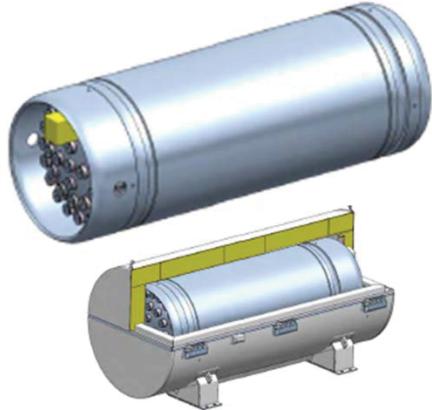


Figure 4. DAHER-TLI conceptual design of the 30B-20 cylinder for UF_6 [Appendix A].

4. APPLICABILITY OF CRITICAL EXPERIMENTS

To date, there have been over 5,000 approved International Criticality Safety Benchmark Evaluation Project (ICSBEP) criticality benchmarks, though most uranium experiments are done with less than 5% enriched or greater than 20% enriched material. This potential lack of experiments in the 5–20% enriched range may increase the needed conservatism in package design. As such, Oak Ridge National Laboratory (ORNL) performed a set of initial analyses to explore the number of applicable experiments and found 376 ICSBEP experiments using uranium with 5–25% enrichment.

The applicability of experiments is not solely dependent on enrichment, but must also take materials, configuration, and design into account. To determine how similar the application and the critical

experiment models are, sensitivity/uncertainty tools in the TSUNAMI/SCALE software package were used to compare each application/experiment pair. This approach produced a correlation coefficient (ck) for each application pair, which ranged from 0 to 1. A high ck value (near 1) for an application pair indicates that both models have similar sensitivities to the same nuclear data and, consequently, should have similar biases. Conversely, a low ck value (near 0) indicates that the two systems differ significantly and may have significantly different biases.

For the initial analysis, the ES-4100 package was evaluated with 20% enriched UF_6 . This package allows 1 kg of U-235 in each of the four containment vessels and has a B_4C poison element in the central location as illustrated in Figure 5.

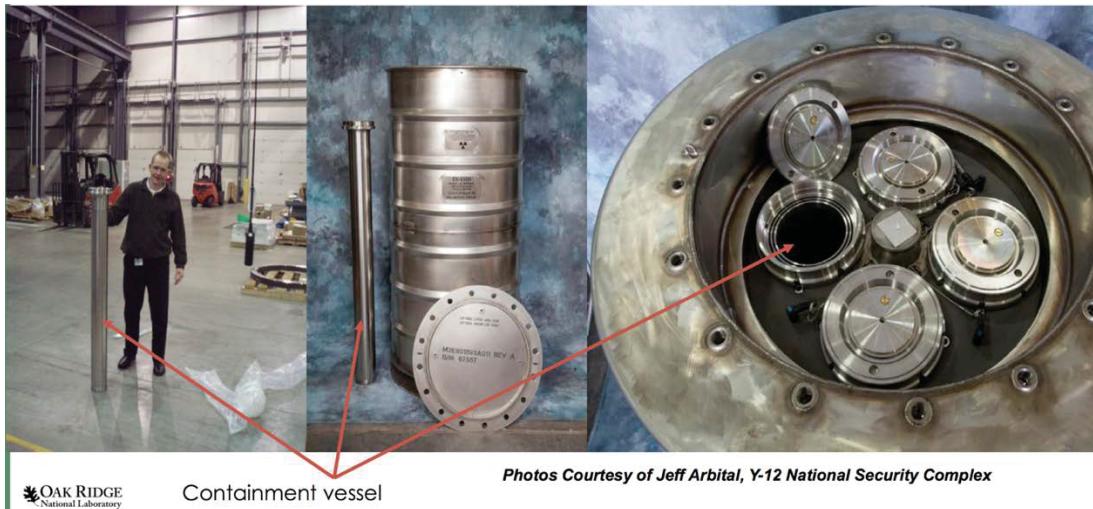


Figure 5. ES-4100 package [Appendix A].

The initial results indicated a large number of applicable experiments as illustrated in Figure 6.

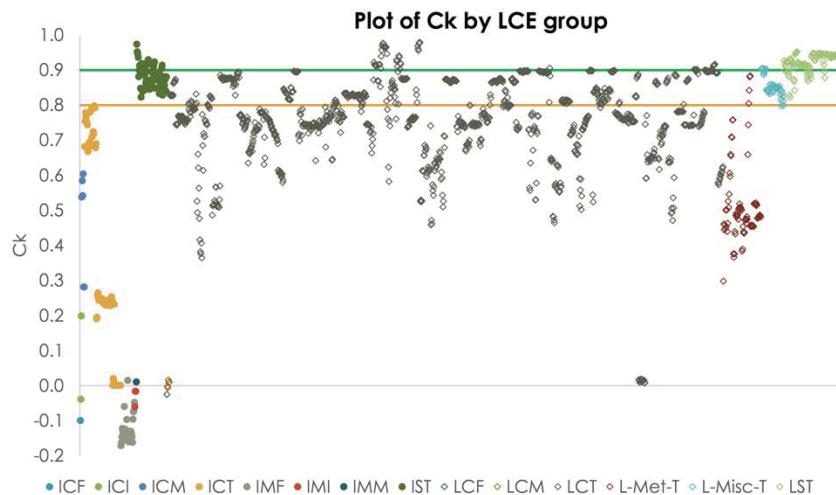


Figure 6. Plot of ck when comparing criticality experiments with the ES-4100 package with 20% enriched UF_6 [Appendix A].

Of the 1,584 evaluated experiments, 173 had ck above 0.9 and 698 had ck above 0.8. Therefore, initial results imply that a significant number of experiments will be applicable to a transportation package with HALEU. However, there are some questions that will need to be confirmed, including:

- Do larger-volume packages with more reactive configurations have similar numbers of applicable benchmarks?
- Do other fuel forms (e.g., UO_2 , U_3O_8 , TRISO-based fuels, and metallic fuels) have similar numbers of applicable benchmarks?
- For larger packages, what are the biases and uncertainties due to nuclear data?

5. RECOMMENDATIONS

Based on communication with industry, DOE, and national laboratories, large-volume transportation of fresh HALEU appears to be feasible from a criticality perspective. Specifically, an initial review of applicable criticality benchmark experiments identified numerous applicable experiments. In fact, the handling requirements driven by the material characteristics may be a more pressing concern than the transportation issues. However, for completeness, a more thorough review of a realistic transportation package design is suggested. Therefore, the following next steps related to the transportation of HALEU are proposed:

1. Evaluate a large-volume package with uranium dioxide enriched to 20%. The GNF-A NPC package was specifically proposed as a potentially viable option.
2. Based on the results of (1), determine if additional package designs and fuel material should be evaluated.
3. Based on the results of (1), determine if additional criticality experiments would be beneficial to improve the margins for criticality due to uncertainties.
4. Determine DOE transportation needs (package sizes, shielding requirements, handling/operational requirements, and timing of availability) related to HALEU.
5. Continue to interface with NEI and interested industry companies to determine the appropriate time to engage the NRC.

6. REFERENCES

- ANSI 2012 *ANSI N14.1: Packaging of Uranium Hexafluoride for Transport*, American National Standards Institute, 2012.
- NEI 2018a *Addressing the Challenges with Establishing the Infrastructure for the front-end of the Fuel Cycle for Advanced Reactors*, NEI White Paper, January 2018.
<https://www.nei.org/CorporateSite/media/filefolder/resources/reports-and-briefs/white-paper-advanced-fuel-cycle-infrastructure-201801.pdf>
- NEI 2018b *NEI February 22, 2018 Statement on HALEU*
<https://www.nei.org/news/2018/revamp-of-fuel-industry-support-advanced-reactors>

Appendix A

Meeting Summary

SUBJECT: INL-NEI Invitation-Only Technical Workshop on Transportation of High Assay Low-Enriched Uranium

ORGANIZER: INL and NEI

AUTHOR: Gordon Petersen (INL)

DATE: August 30th and August 31st

PURPOSE: The primary objective of this workshop will be to advise DOE on the gaps related to transportation of HALEU and licensing support activities. The goal is to ensure that transportation and handling of HALEU at associated fuel cycle facilities does not delay the ability of advanced reactors to be deployed.

OVERVIEW: The meeting started with lunch provided by NEI. Everett Redmond from NEI then began the meeting by announcing safety procedures and letting all the attendees introduce themselves. He then went over the mission statement of the NEI Fuels Task Force and the letter sent to Secretary Perry by NEI specifying the amount of HALEU needed over the next ten years. Josh Jarrell from INL took over and introduced the goals of the meeting and reiterated some of the questions Everett proposed. Over the next day, presentations were given by industry, national laboratories, and the NRC. Each presentation concluded with time to ask questions and have discussions. The first day concluded with a discussion in preparation for the NRC visit led by Nima Ashkeboussi. The second day was led off with a presentation from the NRC followed by discussion. Next the labs and industry continued presenting topics related to the capabilities and needs related to HALEU management. The second day concluded with a DOE perspective given by John Herczeg, industry/NEI recommendations for DOE led by Nima, and a wrap up of action items led by Josh. The following notes provide a short overview of the presentations given.

Industry provided information from an enrichment, licensing, and transportation perspective:

1. Capabilities exist for enrichment up to 20% (Melissa Mann/URENCO)
 - a. Imperative to develop fuel cycle with consortium (fabricators, convertors, enrichers, reactor operators, transporters, etc.) approach for licensing framework
 - b. Questions remain concerning transforming Cat III facility into Cat II facility and transportation off site
 - c. Suggests engaging NRC and ANSI/ASTM standards now
2. Experience in licensing facilities with enrichments greater than 5.0 wt.% U²³⁵ and have transportation packages that can be amended for HALEU (Lon Paulson/GNF)
 - a. GNFA Wilmington fuel fabrication facility
 - b. Model RAJ-II Type B fissile package will require SAR update to transport HALEU
 - c. Model NPC Type A fissile package will require SAR update to transport HALEU
 - d. Licensing a new package takes 42 weeks minimum for NRC review, but start to finish takes ~5 years
3. Packages for shipping 20% enriched materials (Andy Langston/DAHER-TLI)
 - a. Majority of DOE 20% enriched fuel shipped in drum type packages (Versa-Pac)
 - b. Currently Versa-Pac is under NRC amendment application for 1S/2S cylinder
 - c. 30B cylinder design up to 20% UF₆ enrichment currently under development
 - i. 1600 kg
 - ii. 30B-20 can be operated and handled in same way as 30B cylinder

- iii. Licensing overpack and cylinder with French, German, and NRC.
- d. Package for 5B/A cylinders under development
 - i. VP-55XL is an enhanced version of the TLI's NRC approved VP-55
- 4. Licensing transport overpacks and packages with NRC (Rick Migliore/TN Americas)
 - a. Little concern in ability to license/certify package
 - b. Industry is not in position to create criticality benchmarks
 - c. More concerned with licensing and packaging on the SNF side after the fuel is removed from the reactor

The labs presented on the following capabilities:

- 1. Nuclear Data and Benchmarking Program (Brad Rearden/ORNL)
 - a. High uncertainties in cross sections with-in intermediate and high energy ranges
 - b. Cross cutting program can support the needs of advance reactors
 - i. Use correlation coefficients in trending analyses to determine cross section sensitivities
 - ii. Perform gap analyses for non LWRs
 - c. Mine existing experiments to determine similarities
- 2. INL could bridge material gap for 10 years (Monica Regalbuto/INL)
 - a. Naval reactor fuel, EBR-II, and ZPPR plates can be available for downblending
 - b. Issues may exist with uncertainties and dose of U-234
- 3. Nuclear Criticality Safety Program (Doug Bowen/ORNL)
 - a. National Criticality Experiments Research Center (NCERC) best for 20% enrichment experiments
 - b. Experiments are expensive and time consuming to setup and perform
 - i. Cost → \$425k-\$2.1M
 - ii. Time frame → 24-54 months
- 4. Validation discussion (John Scaglione/ORNL)
 - a. Some techniques do not need experiments but can instead use physics-based solution
 - b. Criticality validation process for ES-4100 package
 - i. Requires detailed knowledge of the application system
 - ii. Used similarity assessment to find how similar experiments were to target (C_k value)
 - iii. Over 175 relevant experiments with C_k over 0.9 and just under 700 with C_k over 0.8, when considering HALEU UF₆ in the ES-4100 package. Therefore, optimism that experiments exist to defend future package designs for HALEU transport.

The NRC's also gave a short presentation followed by a discussion (Drew Barto/NRC)

- 1. Stressed the lack of information from >5% x <19.75% enrichment
- 2. Explained difficulty in changing existing regulation, especially regarding moderator exclusion for >5% enriched UF₆.
- 3. Gave timeline for expected review
 - a. Complete entire process from day of acceptance of application to certifying in 7.4 months for 80% of transportation reviews and 2 years for all transportation reviews

ACTION ITEMS/IMPORTANT TAKE-AWAYS

- 1. DOE is committed to transportation of material regardless of form, and NEI will be the focal point for prioritization of different strategies.
- 2. Although the labs can provide additional criticality experiments, industry has enough data to license facilities, overpacks, and cylinders. Validation to find more critical experiments to establish less uncertainty in the benchmarks will be helpful.

3. A collective effort from industry is needed to express consistency on how much information exists or is needed in regards to criticality.
4. NEI will change HALEU white paper concerning criticality.
5. NRC needs to validate methodology is applicable at >5% enriched.
6. NRC already has group that meets bi-weekly concerning HALEU.
 - a. It will be very difficult and time-consuming to change NRC regulations

INDUSTRIES REQUEST FOR NEI, DOE, LAB COMPLEXES

1. DOE and the lab complex should communicate and educate the NRC on criticality issues related to HALEU.
2. INL should support work needed to certify package design for the transportation of HALEU.
 - a. Suggest amending the COC of an existing package used for the shipment of commercial quantities.
 - b. Suggest DOE provide funding to package designer(s) for analysis and engineering work for a package to be submitted to NRC for approval.
3. INL should provide specific, or a range, on the expected impurities that will be present in recycled naval fuel.
4. In the longer term, DOE and the lab complex should increase the availability of criticality benchmark data (i.e., by performing, sponsoring, or data mining additional criticality benchmarks) to further reduce conservatism in package design.

ATTACHMENTS

- Part I: Agenda
- Part II: Attendee List
- Part III: Presentations

Agenda**INL-NEI Technical Workshop on Transportation of High Assay Low-Enriched Uranium
August 30-31, 2018**

**Nuclear Energy Institute,
1201 F Street NW, Suite 1100
Washington, DC 20004
Room Clean Air A-B**

August 30

12:00 pm	Lunch / Introductions / Goals of Meeting – Josh Jarrell, INL and Everett Redmond, NEI
1:00 pm	Validation and role of critical experiments and nuclear data – Brad Rearden, ORNL
1:45 pm	Potential for material recovery and form/dose/isotope concerns – Monica Regalbuto, INL
2:15 pm	Potential for enrichment up to 20% - Melissa Mann, URENCO
2:45 pm	Break
3:00 pm	Evaluation of HALEU fabrication issues – Lon Paulson, GEH/GNF
3:30 pm	HALEU UF6 transportation issues – Andy Langston, DAHER-TLI
4:00 pm	Cask supplier perspective – Rick Migliore, TN Americas
4:15 pm	Criticality sensitivity analysis – Brad Rearden, ORNL
4:45 pm	Discussion and preparation for NRC Visit – Nima Ashkeboussi, NEI
5:15 pm	Adjourn

August 31

8:30 am	NRC perspective – Drew Barto, NRC
9:30 am	Break
9:40 am	Criticality facilities and cost and process for new criticality experiments – Doug Bowen (ORNL)
10:10 am	Validation discussion: How important are material forms to establishing applicability of criticality experiments? Is there “common ground”? If not, what forms should be the focus? – John Scaglione, ORNL
10:30 am	Example validation process: How important is the application model (e.g., transportation package design, fuel form, and materials) and how are the criticality experiments used to establish appropriate bias and uncertainty? – John Scaglione, ORNL
11:00 am	DOE perspective – John Herczeg, DOE
11:10 am	Industry/NEI Needs and Recommendations for DOE – Nima Ashkeboussi, NEI
11:40 am	Wrap up /Action Items – Josh Jarrell, INL
12:00 pm	Adjourn

Part II

HALEU Tech Workshop

Thursday Aug. 30 and 31, 2018
12:00PM- 5:00PM 8:00AM-1:00PM

Last Name	First Name	Company
Blanton	Paul	SRNL
Bowen	Doug	ORNL
Bowers	Harlan	X-Energy
Caponiti	Alice	DOE
Carmichael	Ben	Southern Nuclear
Cummings	Kris	Curtiss Wright
Duskas	Andrea	DOE
Gillespie	Mary	DOE
Gresham	Jim	Westinghouse
Griffith	Andy	DOE
Hackett	Micah	Kairos
Herczeg	John	DOE
Jarrell	Josh	INL
Kliewer	Rod	Framatome
Knauf	Florie	Centrus
Krich	Rod	X-Energy
Kucukboyaci	Vefa	Westinghouse
Lane	Carol	X-Energy
Langston	Andy	Daher-TLI
Lell	Richard	ANL
Lutchenkov	Dmitiri	MPR
Mann	Melissa	URENCO
Migliore	Rick	Orano
Moss-Herman	Cheryl	DOE
Pappano	Pete	X-Energy
Paulson	Lon	GNF
Petersen	Gordon	INL
Rearden	Brad	ORNL
Regalbuto	Monica	INL
Scaglione	John	ORNL
Schilthelm	Steve	BWXT
Scott	C. Tyler	Westinghouse
Stucker	Dave	Westinghouse
Tweardy	Matt	NNSA
Waud	Brian	NNSA
Welling	Craig	DOE

Part III



HALEU WORKSHOP

Everett Redmond, Ph.D.
Nuclear Energy Institute



NUCLEAR ENERGY INSTITUTE

NEI FUELS TASK FORCE

- **Mission:** Lead industry efforts in identifying and resolving regulatory and policy issues for the development of the nuclear fuel supply chain for advanced reactors with an emphasis on challenges related to the utilization of high assay low enriched uranium.



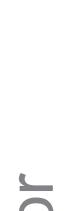
INDUSTRY NEEDS

- Values in MTU
- Current fleet uses about 2000 MTU/year
- Letter to Secretary Perry July 5, 2018
- Data from eight companies
- Not all ARs or advanced fuels need HALEU

Year	Total	Cumulative
2018	0.026	0.026
2019	1.506	1.532
2020	2.21	3.7
2021	4.2	7.9
2022	3.7	11.6
2023	18.8	30.4
2024	10.3	40.7
2025	12.4	53.1
2026	57.4	110.5
2027	73.6	184.1
2028	108.1	292.2
2029	111.8	404.0
2030	185.5	589.5



QUESTIONS TO CONSIDER

- Will the fuel cycle process be similar to current fleet?
Mining  Conversion  Enrich  Fab  Reactor
- What differences might exist – material form, etc.?
- Should the task force engage publicly with NRC on the issues from this workshop?
- What other topics should the task force tackle?



Transportation of HALEU Workshop – Introduction and Background

Josh Jarrell

Used Fuel Relationship Manager, INL

Josh.Jarrell@inl.gov

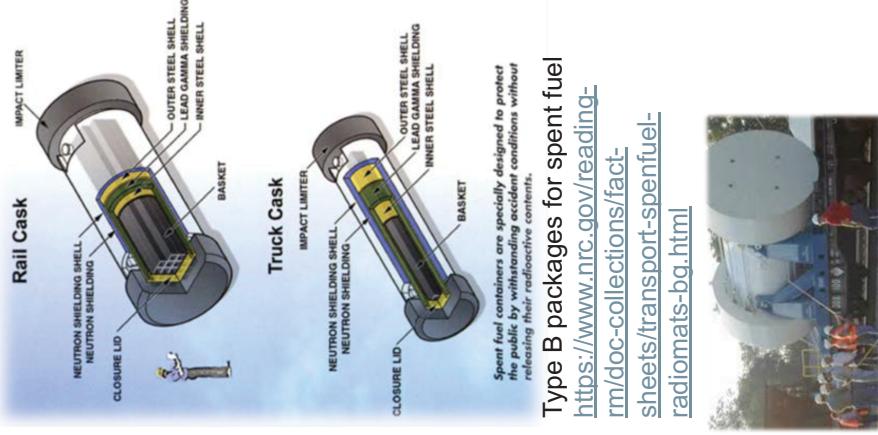
208-526-1614

www.inl.gov



Purpose of this workshop

- Develop and collect industry input and recommendations for future HALEU transportation needs
 - Avoid transportation delaying deployment of advanced reactors/fuels
- Focus is on large volume shipments of materials
 - Criticality is expected to be most challenging design aspect
 - Applicable to handling and storage of material at other facilities
- INL will be providing a “path forward” report to DOE by the end of September
 - Recommendations from this workshop will be included in this report



Spent fuel containers are specially designed to protect the public by withstanding accident conditions without releasing their radioactive contents.
Type B packages for spent fuel
<https://www.nrc.gov/reading-m/doc-collections/factsheets/transport-spentfuel-radiomats-bg.html>

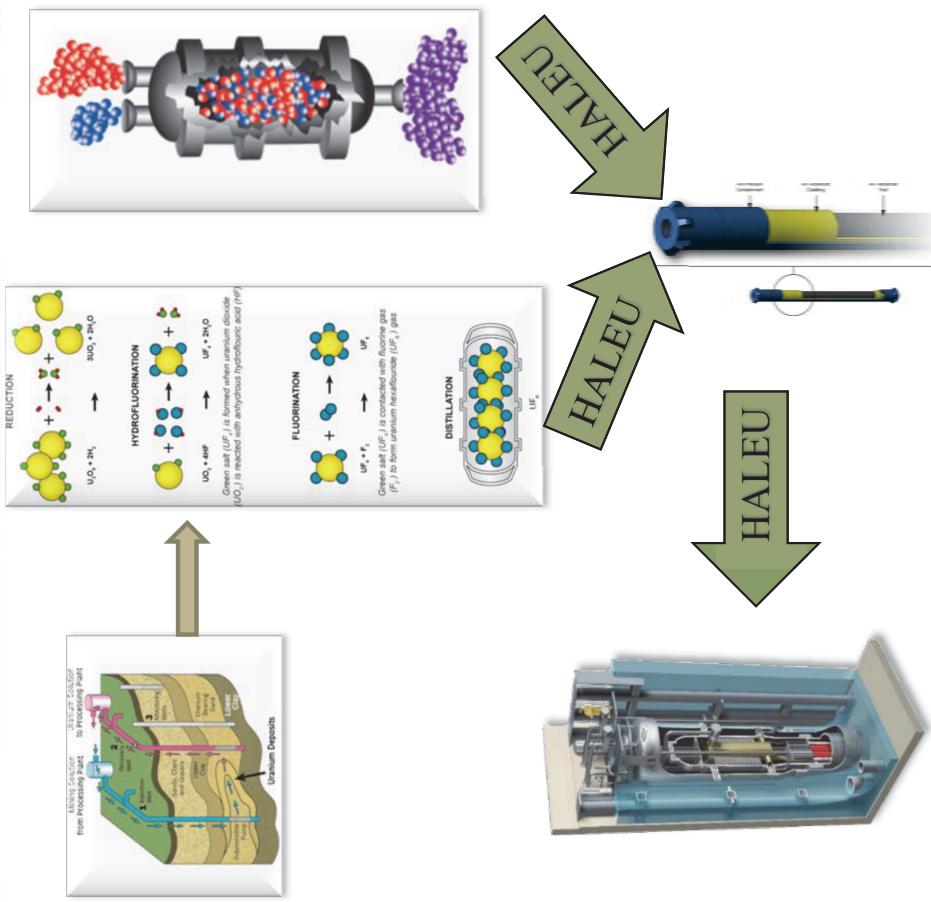
Agenda



August 30			August 31		
12:00 pm	Lunch / Introductions / Goals of Meeting – Josh Jarrell, INL and Everett Redmond, NEI		8:30 am	NRC perspective – Drew Barto, NRC	
1:00 pm	Validation and role of critical experiments and nuclear data – Brad Rearden, ORNL		9:30 am	Break	
1:45 pm	Potential for material recovery and form/dose/isotope concerns – Monica Regalbuto, INL		9:40 am	Criticality facilities and cost and process for new criticality experiments – Doug Bowen (ORNL)	
2:15 pm	Potential for enrichment up to 20% - Melissa Mann, URENCO		10:10 am	Validation discussion: How important are material forms to establishing applicability of criticality experiments? Is there “common ground”? If not, what forms should be the focus? – John Scaglione, ORNL	
2:45 pm	Break		10:30 am	Example validation process: How important is the application model (e.g., transportation package design, fuel form, and materials) and how are the criticality experiments used to establish appropriate bias and uncertainty? – John Scaglione, ORNL	
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4:00 pm	Cask supplier perspective – Rick Migliore, TN Americas		11:40 am	Wrap up /Action Items –Josh Jarrell, INL	
4:15 pm	Criticality sensitivity analysis – Brad Rearden, ORNL				
4:45 pm	Discussion and preparation for NRC Visit – Nima Ashkeboussi, NEI				
5:15 pm	Adjourn		12:00 pm	Adjourn	

Fuel Cycle Infrastructure for Advanced Reactors

- High assay low enriched uranium (HALEU) feed stock
 - Develop a domestic capability to enrich Uranium between 5% and 20%
 - Downblending current and/or recovered HEU in the federal complex
- HALEU Fuel Fabrication
 - Multiple fuel form options (metallic, oxide, nitride, etc.)



Large volume shipments are anticipated

- By 2023, almost 20 MTUs of HALEU may be needed (NEI July 5, 2018 letter to Secretary of Energy)
 - Current UF₆ package (5A or 5B) hold ~24.9 kg of UF₆ or ~16.8 kg of 20% enriched uranium
 - Current UF₆ packages for 5% enriched (30B) hold ~2277 kg of UF₆
 - DOE certified package (ES-3100) holds ~24 kg of UO₂ or ~21 kg of 20% enriched uranium
- 20 MTUs of UF₆ HALEU would require:
 - ~1191 5A package shipments
 - ~13 30B packages shipments
 - assuming 20% enriched was allowable
- 20 MTUs of UO₂ HALEU would require:
 - ~953 ES-3100 package shipments

Table 1 - Standard UF₆ Cylinder Data

Model Number	Nominal Diameter (in)	Material of Construction	Minimum Volume Ft ³	Approximate Tare Weight (Without Valve Protector) (lb)	Maximum Enrichment Wt% 235U	Maximum Fill Limit (lb UF ₆)
1S	1.5	Nickel or Nickel-copper alloy ^a	0.0053	4.2	100	1.0 ^b
2S	3.5	Nickel or Nickel-copper alloy ^a	0.0254	4.2	100	4.9 ^b
5A	5	Nickel or Nickel-copper alloy ^a	0.284	55	100	54.9 ^b
5B	5	Nickel	0.284	55	100	54.9 ^b
8A	8	Nickel or Nickel-copper alloy	1.319	120	12.5	255 ^b
12A ^c	12	Nickel	2.38	185	5	460 ^b
12B	12	Nickel or Nickel-copper alloy ^a	2.38	185	5	460 ^b
30B ^d	30	Steel	26	1400	5 ^e	5020 ^b
48A ^f	48	Steel	108.9	4500	4.5 ^g	21030 ^b
48X	48	Steel	108.9	4500	4.5 ^g	21030 ^b
48F ⁱ	48	Steel	140	5200	4.5 ^g	27030 ^b
48Y	48	Steel	142.7	5200	4.5 ^g	27560 ^b
48T ^j	48	Steel	107.2	2450	1	20700 ^b
48O ^k	48	Steel	135	2650	1	26707 ^b
48OM ^l	48	Steel	140	3050	1	27930 ^b
48OM ^m	48	Steel	135	2650	1	26707 ^b
48FY ⁿ	48	Steel	140	3250	1	27330 ^b
48G	48	Steel	139	2650	1	26840 ^b

^a For example, Monel or the equivalent.
^b Fill limits are based on 250°F maximum UF₆ temperature and 100 psi maximum internal pressure for all cylinders and 100% minimum UF₆ purity. These operating limits apply to UF₆ with a minimum purity ratio of 99.5%. More restrictive measures are required if additional impurities are present. This maximum temperature shall not be exceeded. It should be noted that initial cylinder heating may result in localized pressures above a normal UF₆ vapor pressure. This may be evidenced by an audible bumping similar to a water hammer.

^c This cylinder is presently in service. New procurement should be model 12B.

^d This cylinder replaces the Model-30A cylinder which has a fill limit of 4850 pounds.

^e This cylinder maximum permissible fill is 0.1 wt% 235U.

^f Cylinder 48A and 48Y are identical to 48X and 48Y, respectively, except that the volumes are not certified.

^g This cylinder is similar to design to the 48D in that their design conditions are based on 100 psig at 235°F. The allowable fill limit for 235UF₆ is 21030 lb. The allowable fill limit for 235U is 27030 lb.

^h Fill limits are based on 250°F maximum UF₆ temperature and minimum UF₆ purity of 99.5%. The allowable fill limit for 235UF₆ with a minimum UF₆ purity of 99.5% may be higher but shall not result in a cylinder collapse or less than 5% when heated to the cylinder design temperature of 235°F based on the actual certified volume.



Figure 1. ES-3100 Container

ES-3100 Container
 Deployment and Operation of the ES-3100 Type
 B Shipping Container, PV/P2006-ICPV-T-11, July
 2006
<https://www.osti.gov/servlets/purl/974250>

Consider these questions:

- 1.** What form(s) of HALEU should be considered in this scope (e.g., UF₆, oxide, metal)?
 - How important are the forms to additional criticality experiments? Is there “common ground” regardless of HALEU form?
 - What are the potential material pathways and transportation needs of (a) sources to (b) finished forms that need to be scoped out? E.g., HALEU UF₆ transported to a fuel fabrication facility, converted to metal fuel, which is subsequently transported to reactor site.

- 2.** For a given form, what does an economic transportation package design look like? Truck cask?
Rail cask? Amount of material? Construction materials? Absorber materials?
 - Are there licensed/certified packages that are suitable (domestic and international packages)?
 - Are there licensed/certified/designed packages that could be the basis for an economic HALEU package?

Consider these questions:

- 3.** Are the current criticality **benchmark** experiments sufficient to justify certification of packages and licensing of facilities by the NRC?
 - If so, how much conservatism in the calculations do the current experiments cause? Can we quantify the volume-reduction / cost implications of this conservatism?
 - If not, what experiments should be proposed?
 - Can the existing critical experiment facilities perform the necessary benchmarks?
 - What changes to the existing safety bases need to be made, how long will that take, and how long is it good for?
- 4.** What are the roles and responsibilities of the nuclear utilities, fuel fabricators, reactor developers, transportation package vendors, DOE, and the DOE laboratory complex?



Validation and Role of Critical Experiments and Nuclear Data

Presented by:
Bradley T. Rearden, Ph.D.
National Technical Director
Nuclear Data and Benchmarking Program

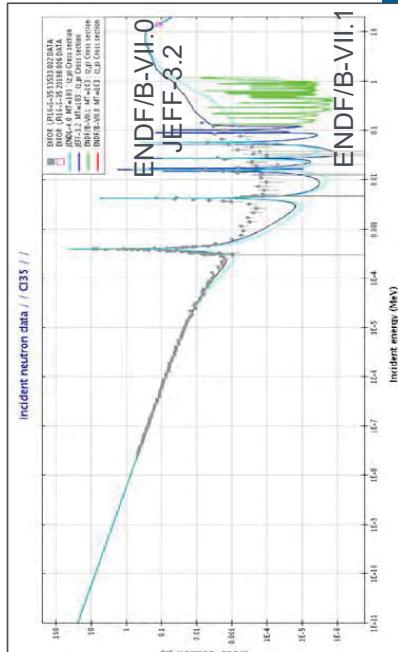
Presented to:
Technical Workshop on Transportation of High Assay Low-Enriched Uranium
August 30-31, 2018
Nuclear Energy Institute
August 30, 2018

Nuclear Data and Benchmarking Program



Office of
Nuclear Energy

- New Nuclear Energy Enabling Technology (NEET) Crosscutting Program
 - Partner with industry, NRC, and other programs to:
 - Identify priority needs for nuclear data and benchmarking
 - Perform new data measurements and evaluations
 - Support integral experiments and handbooks
 - Participate in application benchmark studies



<p style="text-align: center;">PROGRAM ANNOUNCEMENT TO DOE NATIONAL LABORATORIES</p> 	<p>U. S. Department of Energy Office of Science Nuclear Physics</p>	<p>Nuclear Data Interagency Working Group / Research Program DOE/National Laboratory Announcement Number: LAN-17-1783 Advertisement Type: Initial</p>
	<p>Issue Date: Letter of Intent Due Date: Incentive/Disincentive Date: Application Due Date:</p>	<p>04/26/2017 65/12/2017 at 5 PM Eastern Time A Letter of Intent is required. 65/26/2017 at 5 PM Eastern Time 67/27/2017 at 5 PM Eastern Time</p>

Abbreviated advanced reactor technology matrix (1/2)

Reactor Type	Companies Red = NRC Priority	Licensing action expected	Fuel / Enrichment	Thermal spectrum	Fast Spectrum	Coolant	Radial core expansion	Flowing Fuel	Fuel Form	Control elements
HPR	Oklo	2019	~20%	✓		Sodium heat pipes	✓		Metallic Castings	External drums
	Westinghouse (eVinci)	2019	19.75%	Thermal/Epithermal		Sodium heat pipes (dual condenser)			Oxide	External drums
SFR	TerraPower (TWR)		~20%	✓		Sodium	✓		Metallic Rods	Internal rods
	GE PRISM		~20%	✓		Sodium	✓		Metallic Rods	Internal rods
LFR	Westinghouse		15-20%	✓		Lead	✓		Oxide/Nitride	Internal rods
	X-energy (Xe-100)	2020s	15.5%	✓		Helium		Pebbles	TRISO	External rods
HTGR	Areva (SC-HTGR)		~20%	✓		Helium			TRISO	Internal rods
	Kairos	2020s	~17%	✓		FLiBe		Pebbles	TRISO	External rods

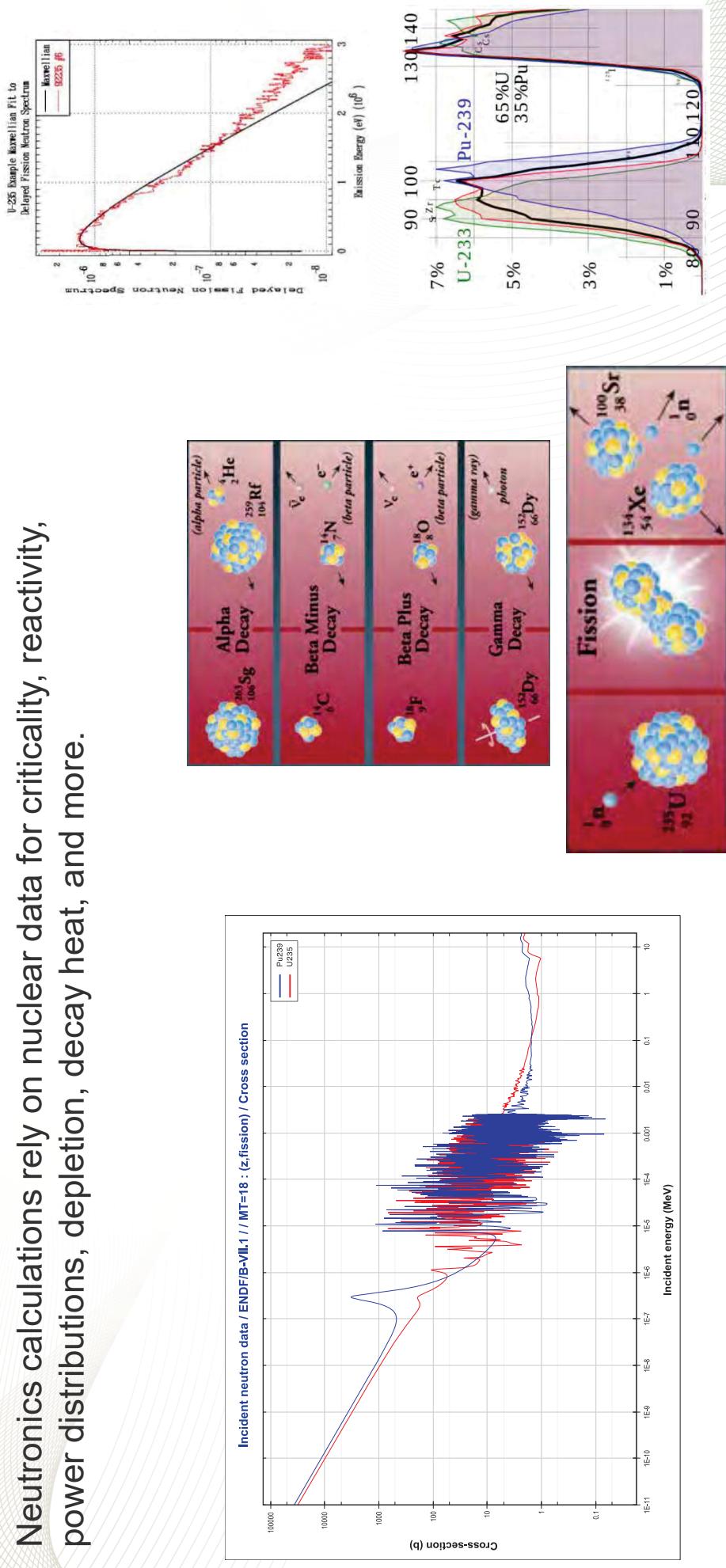
Abbreviated advanced reactor technology matrix (2/2)

Reactor Type	Companies Red = NRC Priority	Licensing action expected	Fuel / Enrichment	Thermal spectrum	Fast Spectrum	Coolant	Radial core expansion	Flowing Fuel	Fuel Form	Control elements
Terrestrial Energy (IMSR)	2019	~5%	✓			Proprietary		Salt	Molten Salt	Internal rod
Transatomic	2020s	~5%		Thermal/ Epithermal		FLiBe		Salt	Molten Salt	Internal ZrH moderating rods
TerraPower (MCFR)	2020s	~20%			✓	Chloride salt		Salt	Molten Salt	External rods?
Elysium		~20%			✓	Chloride salt		Salt	Molten Salt	
FLiBe Energy		Thorium	✓		FLiBe			Salt	Molten Salt	Internal rods

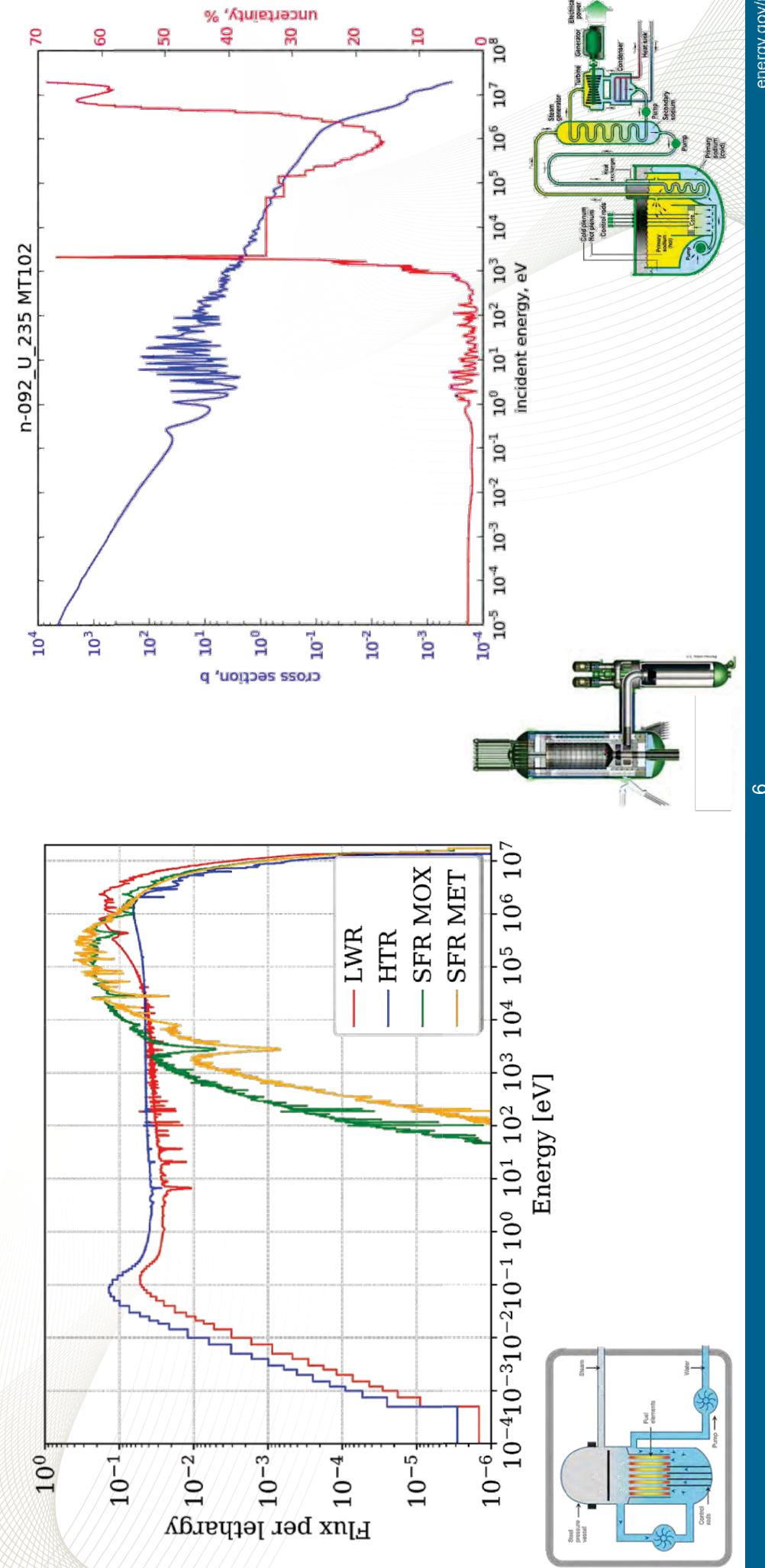
Send updates to Brad - reardenb@ornl.gov

Nuclear data is of fundamental importance in nuclear science and engineering

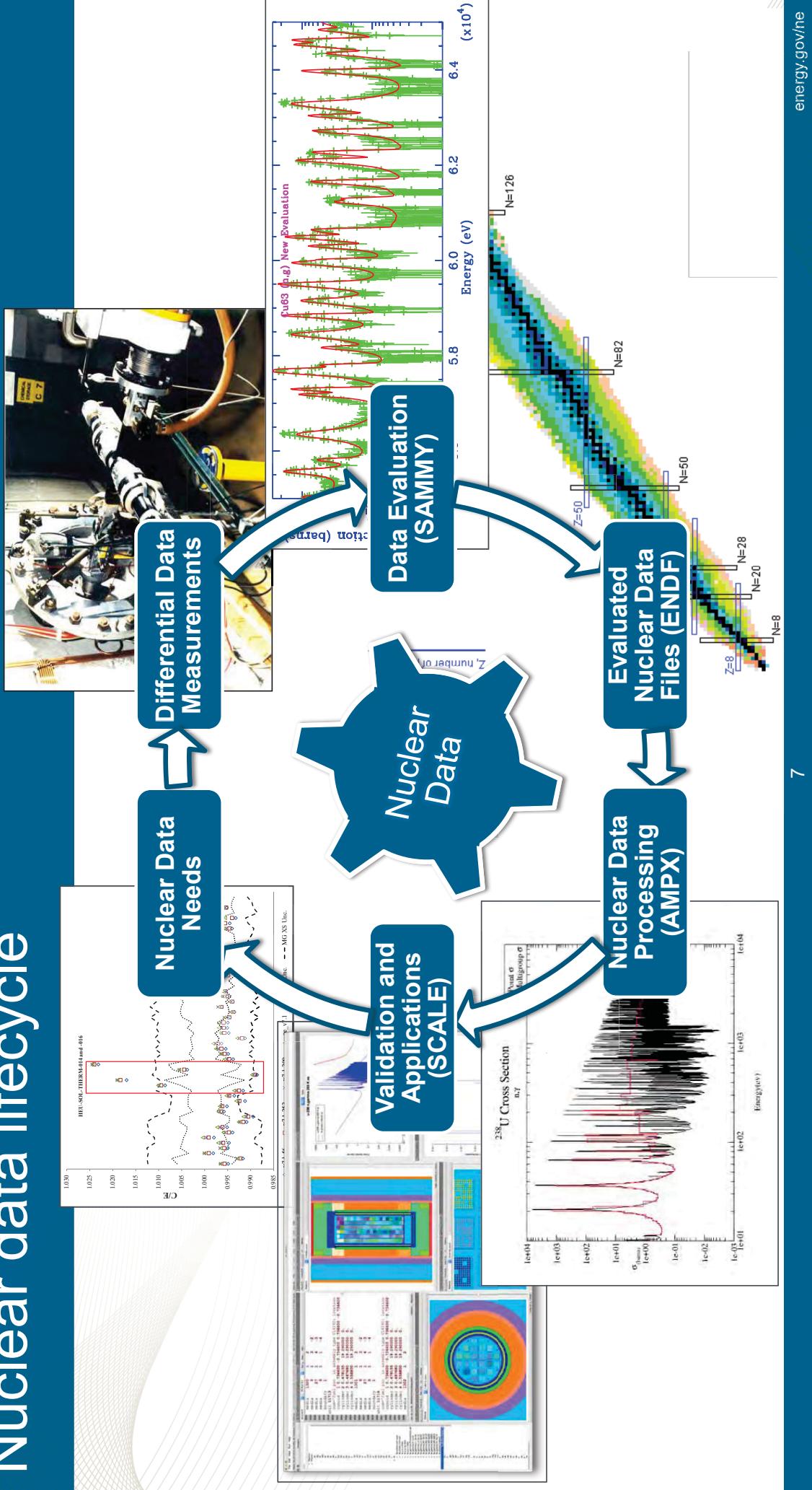
Neutronics calculations rely on nuclear data for criticality, reactivity, power distributions, depletion, decay heat, and more.



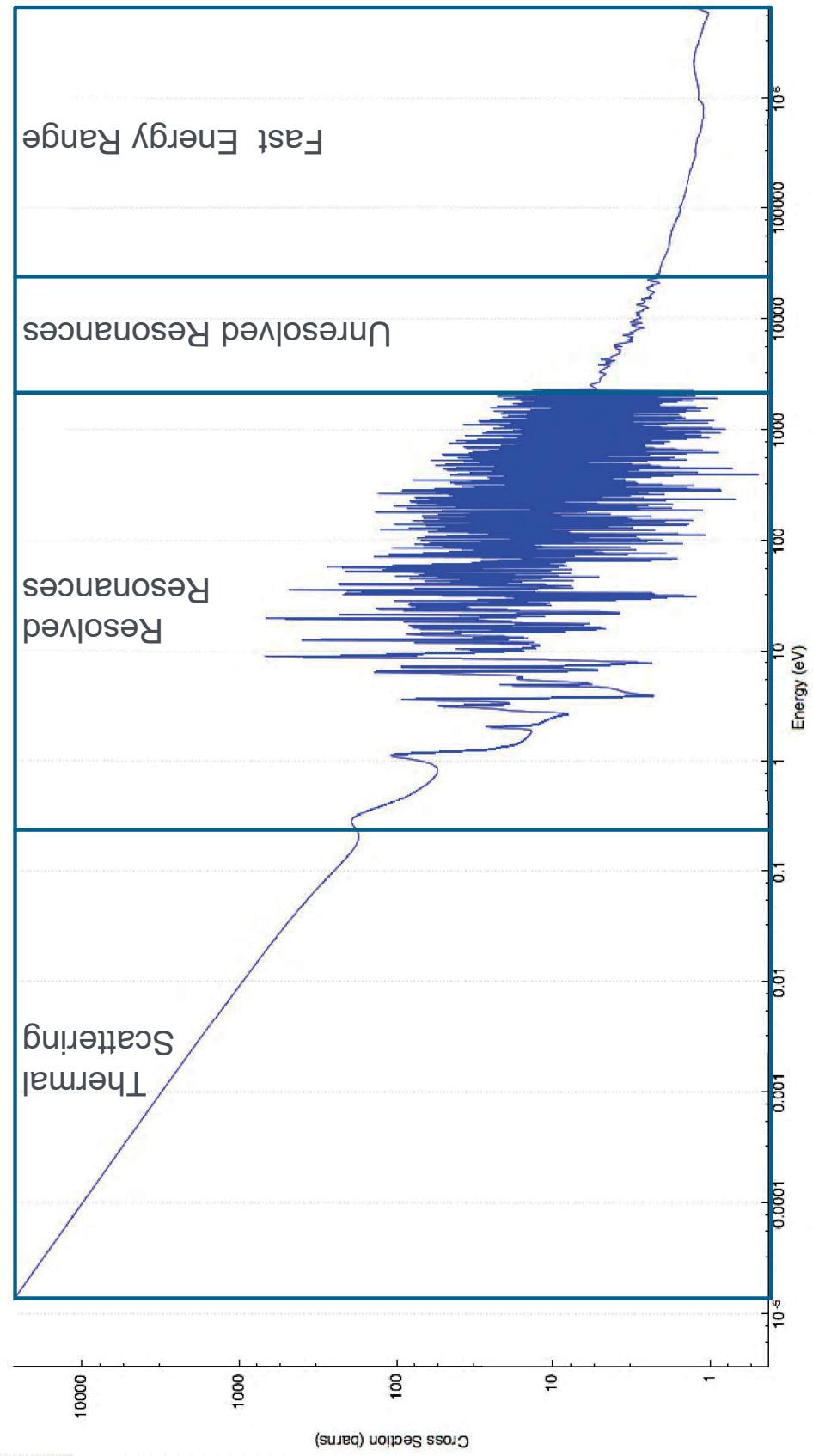
Different reactor designs have different nuclear data needs



Nuclear data lifecycle



Cross section components:
Typically generated separately, then combined for distribution

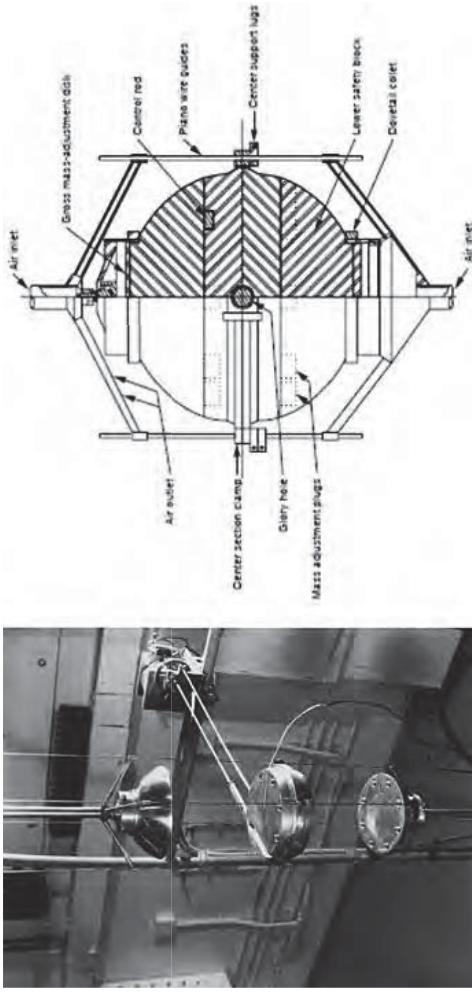


How are these “general purpose” libraries generated?

- A specific program (DOE-SC, NNSA/NCSNP, NNSA/NA-22, DOD, international participant) funds an update in a nuclear data evaluation
 - New differential physics experiments
 - Data processing
 - Comparison to and optimization with applications in their interest
- National Nuclear Data Center - Cross Section Evaluation Working Group (CSEWG)
 - Updates are exchanged through a beta repository for ENDF and reviewed by a global team
 - Meets twice annually, with participation from IAEA, OECD/NEA, and others to review proposed updates
 - If changes benefit, or do not disrupt, applications of interest to these teams, the new evaluation is approved
- Until now, no official representation for Nuclear Energy applications

Compensating Errors in the Jezebel K_{eff}

- Eric Bauge* reported on an analysis where components of the Bruyères-le-Châtelet (BRC) ^{239}Pu evaluation were replaced with those from ENDF/B-VII.1. At each step in the replacement process, K_{eff} of the Jezebel critical assembly was computed. While both the BRC and ENDF/B-VII.1 give the same K_{eff} for Jezebel, they do so for very different reasons. This replacement study shows how different parts of the evaluation substantially shift the reactivity of Jezebel. We do not know if either evaluation is “correct” but both get the “correct” answer.



We do not know if either evaluation is “correct” but both get the “correct” answer.

* E. Bauge et al., Eur. Phys. J. A (2012) 48: 113

Generation of Cu evaluation for ENDF/B-VIII.0

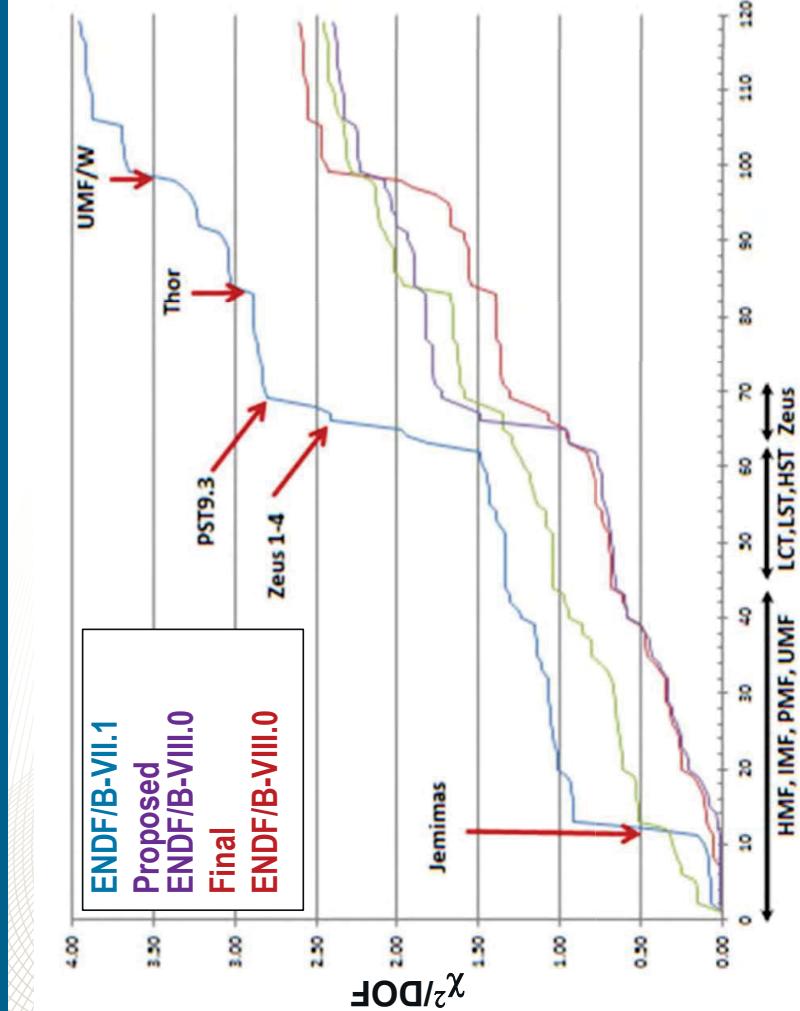
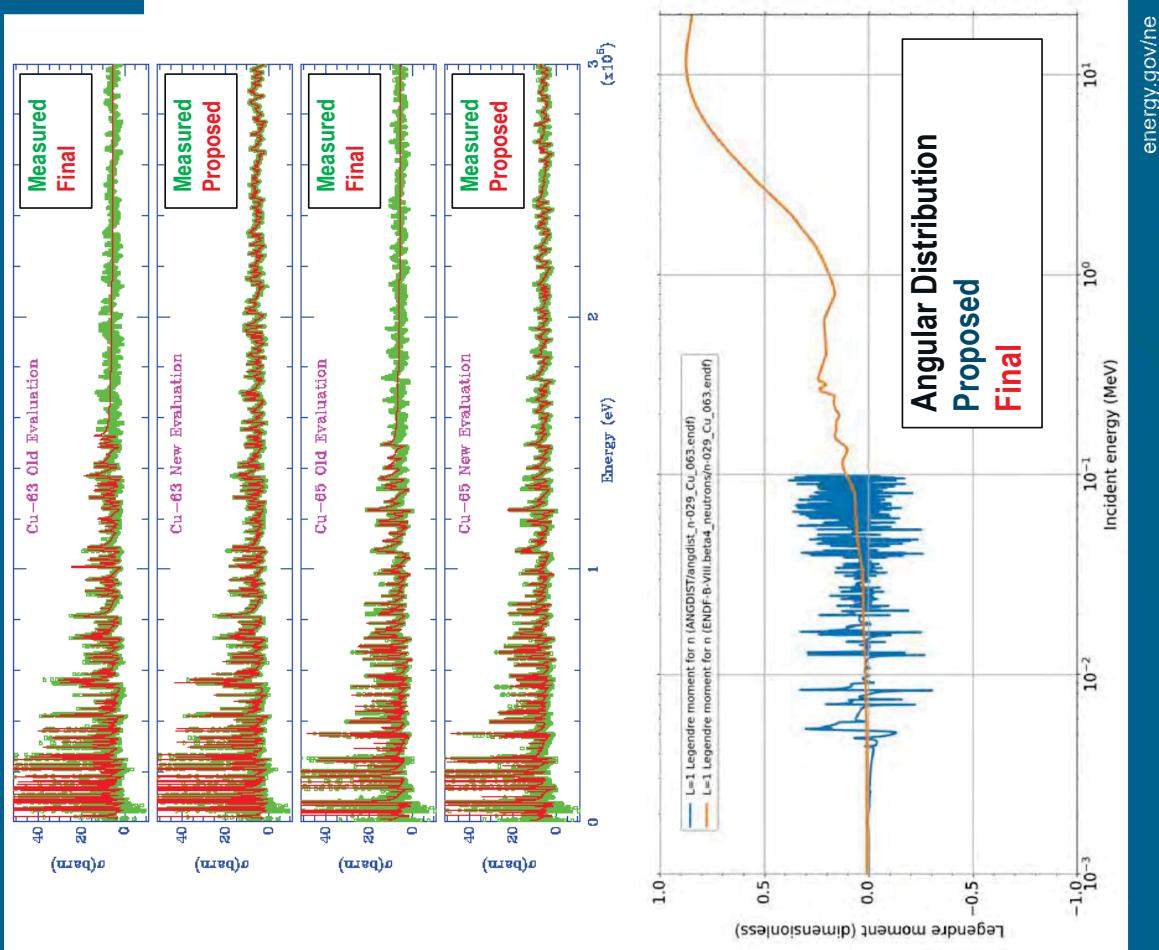


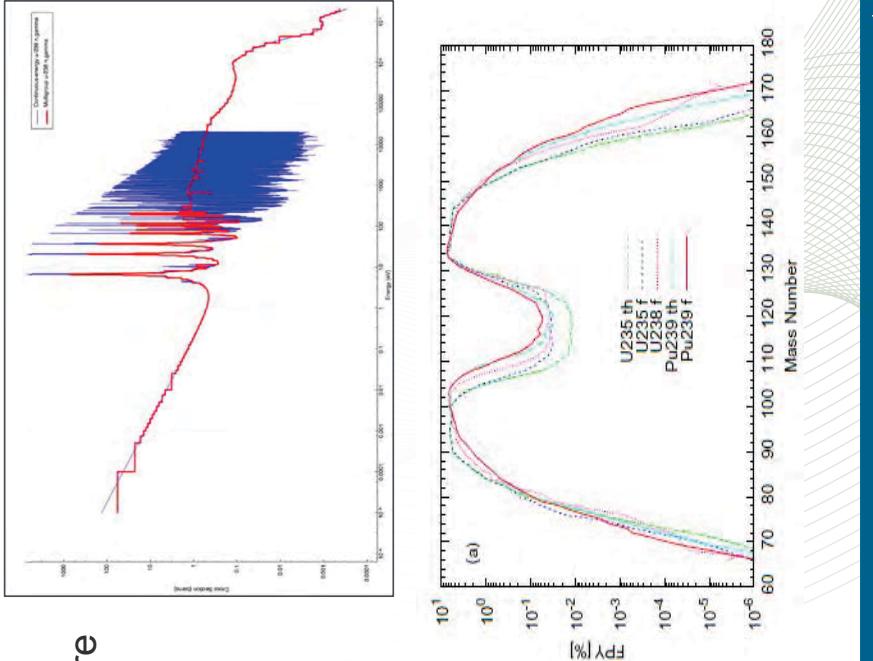
Figure 1: Cumulative χ^2/DoF for the LANL suite of 119 benchmarks with different libraries.

V. Sobes - ORNL

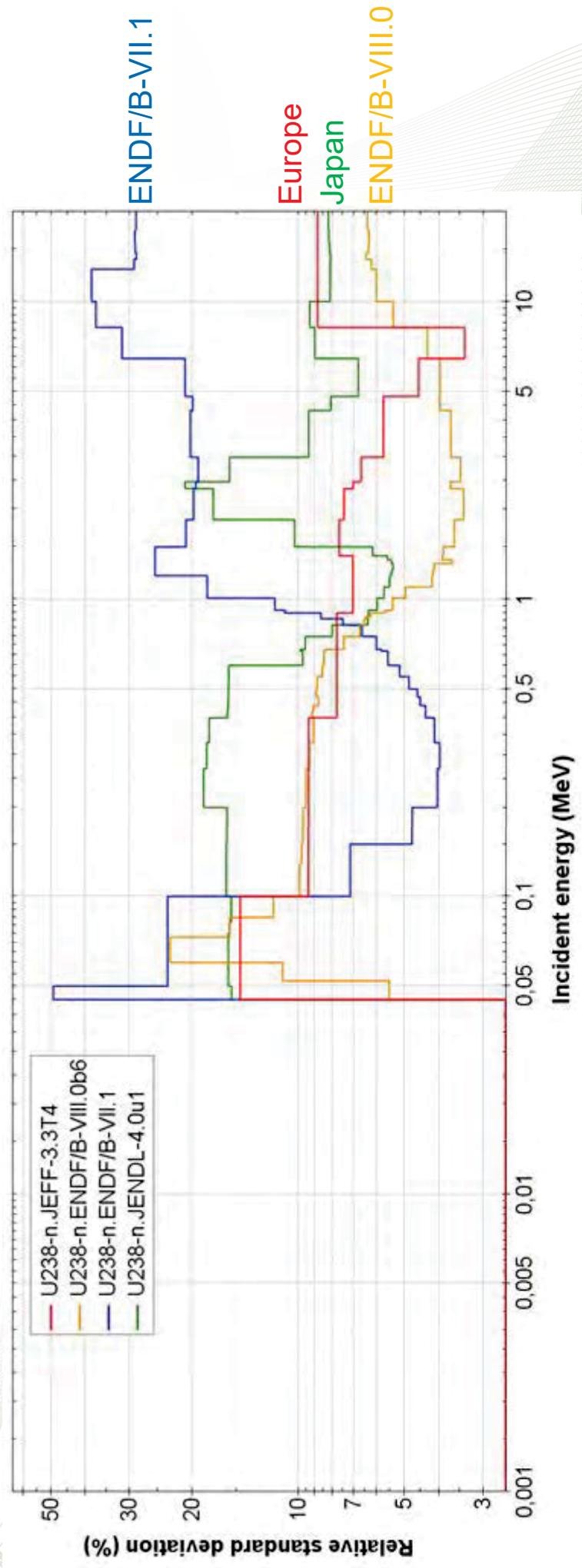


Nuclear data for activation, depletion, and decay

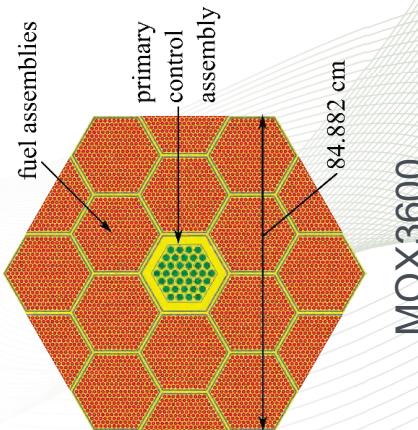
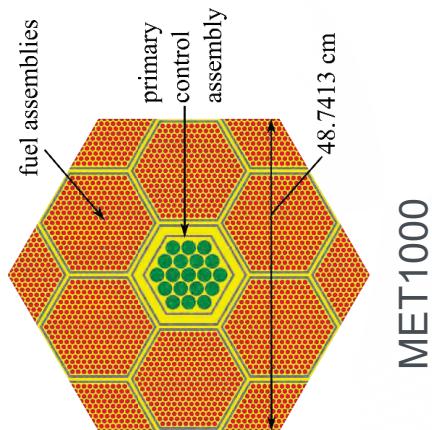
- Decay data
 - ENDF/B-VII.1
 - Natural isotopic abundances (NIST database)
 - ICRP 72 inhalation dose coefficients, EPA Report 12 on external exposure
- Neutron reaction cross section data
 - JEFF 3.1/A special purpose activation file
 - ENDF/B-VII.0, -VII.1
- Fission product yields: ENDF/B-VII.0
- Photon emission line-energy data
 - Evaluated Nuclear Structure Data Files (ENSDF)
 - ENDF/B-VII.1
- Neutron emission libraries
 - SOURCES 4C code
 - Spontaneous fission decay and delayed neutron data
 - Alpha stopping powers, (α, n) cross sections, excitation levels



^{238}U inelastic scattering cross section uncertainty differences between international libraries



OECD Nuclear Energy Agency Uncertainty Analysis in Modeling sodium fast reactor study with ENDF/B-VII.1 uncertainties



CE TSUNAMI: nominal values and uncertainties

	MET1000		MOX3600	
	nominal	uncertainty	nominal	uncertainty
Eigenvalue	1.0841(1)	1.49(1)%	1.0771(1)	1.52(1)%
CR worth	12081(11) pcm	2.81(1)%	4973(11) pcm	2.67(1)%

CE TSUNAMI: Top 3 contributors

	MET1000		MOX3600	
Eigenvalue	CR worth	Eigenvalue	CR worth	
U-238 inel.	U-238 inel.	U-238 inel.	U-238 inel.	
Fe-56 inel.	Fe-56 inel.	U-238 cap.	Na-23 el.	
Na-23 el.	Na-23 el.	Pu-239 cap.	U-238 chi	

Recent nuclear data developments of interest to the advanced reactor community

Changes in graphite data

ENDF/B-VII.0 (2006) to ENDF/B-VII.1 (2011)

- Capture cross section increased from 3.36 mb to 3.86 mb: $\sim 1,000$ pcm

HTTR loading	ENDF-VII.0 C/E	ENDF-VII.1 C/E
Initial criticality	1.0165	1.0011
Full core	1.0097	1.0015

ENDF/B-VIII.0 (2018)

- New evaluations for thermal scatter based on molecular dynamics models from North Carolina State
- Includes data for crystalline and reactor-processed graphite



HTTR Configuration	ENDF-VII.1 C/E	ENDF-VIII.0 C/E
First core	1.00267	1.00582



HTR-10
Benchmark

Ideal Graphite
Density = 2.25 g/cm³

A. Hawari
NC State

16

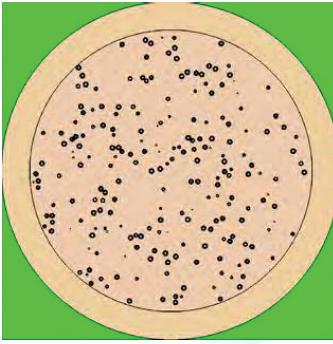
HTR-10 pebble: KENO-VI eigenvalue comparison

Library	Code	Xs lib	k_{∞}	Δk (pcm)
ENDF/B-VII.1	KENO	CE	1.6770(4)	(ref)
ENDF/B-VIII.0	KENO	CE	1.6722(4)	-438(57)

Note: The 1σ statistical uncertainties are given in parentheses.

Replace individual nuclides in ENDF/B-VII.1 calculation by ENDF/B-VIII.0 data:

Basis: ENDF 7.1	Δk to all ENDF 7.1 (pcm)
But: graphite from ENDF 8.0	-7
But: ^{235}U from ENDF 8.0	-702
But: ^{238}U from ENDF 8.0	239
All ENDF 8.0	-438

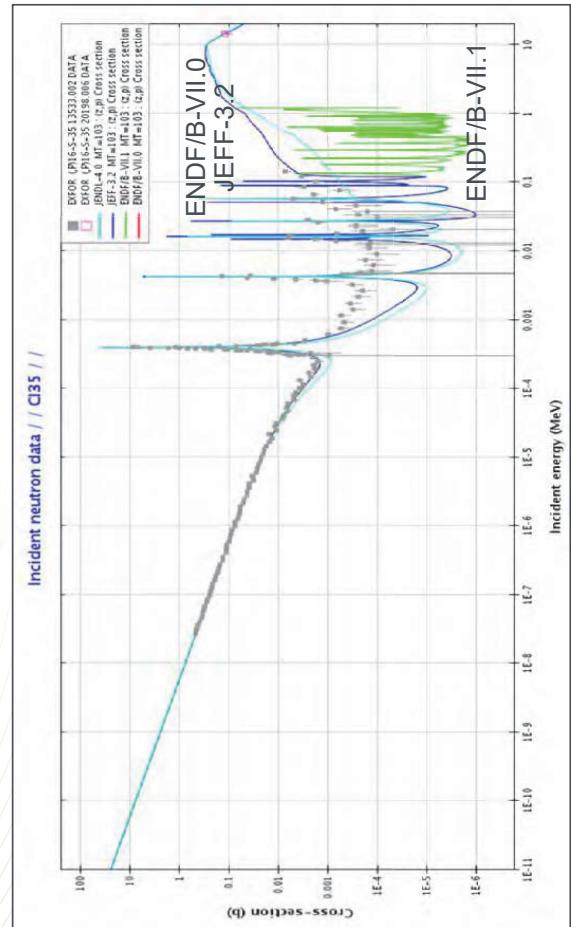


HTR-10 fuel pebble

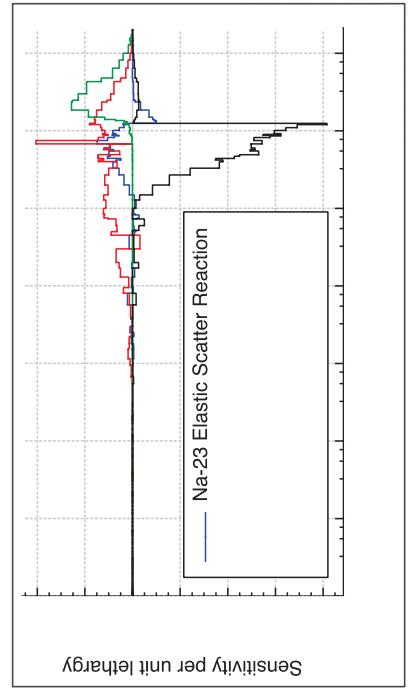
- Differences between ENDF/B-VII.0 and VII.1: carbon capture
- Differences between ENDF/B-VII.1 and VIII.0: ^{235}U and ^{238}U

Changes in $^{35}\text{Cl}(\text{n},\text{p})$ cross section from ENDF/B-VII.0 to VII.1

Data Library	K_{eff}
ENDF/B-VII.0	1.02993 ± 0.00002
ENDF/B-VII.1	1.04924 ± 0.00002



No data for FLiBe / FLiNaK thermal scattering



Reaction	Sensitivity
Cl-35 (n,p) Capture Reaction	-0.958
Pu-239 Nu-bar	0.603
U-238 Nu-bar	0.281
Na-23 Elastic Scatter Reaction	0.114

Validation of methods and nuclear data for advanced applications

International benchmark evaluation projects

- Programmatic support for US leadership of the following projects:

- International Criticality Safety Benchmark Evaluation Project (ICSBEP)
- International Reactor Physics Benchmark Evaluation Project (IRPhEP)



ICSBEP

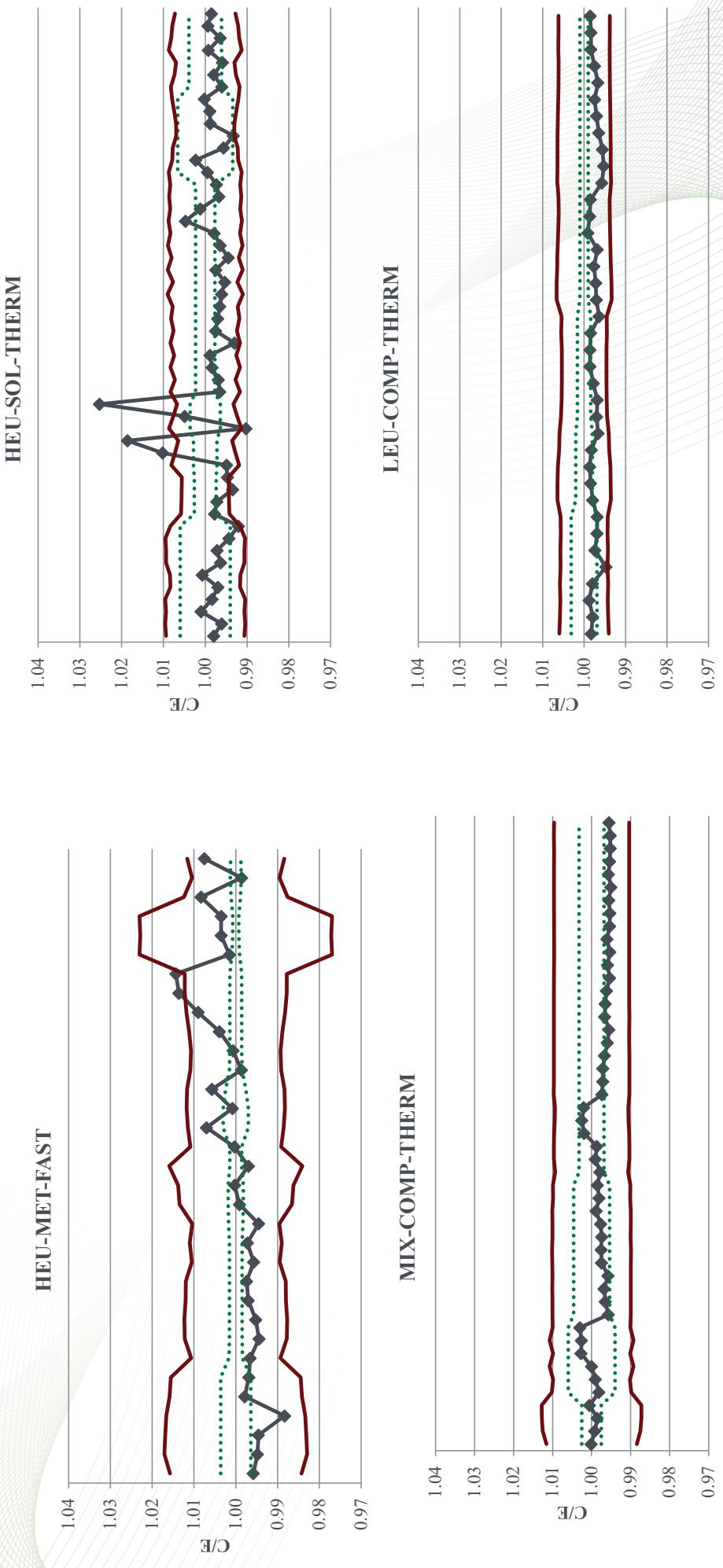
- 22 contributing Countries
- ~69,000 pages
- >5,000 approved benchmarks
- 21 contributing countries
- 50 reactor facilities
- 147 approved benchmarks

20

energy.gov/he

Computational bias for critical benchmarks

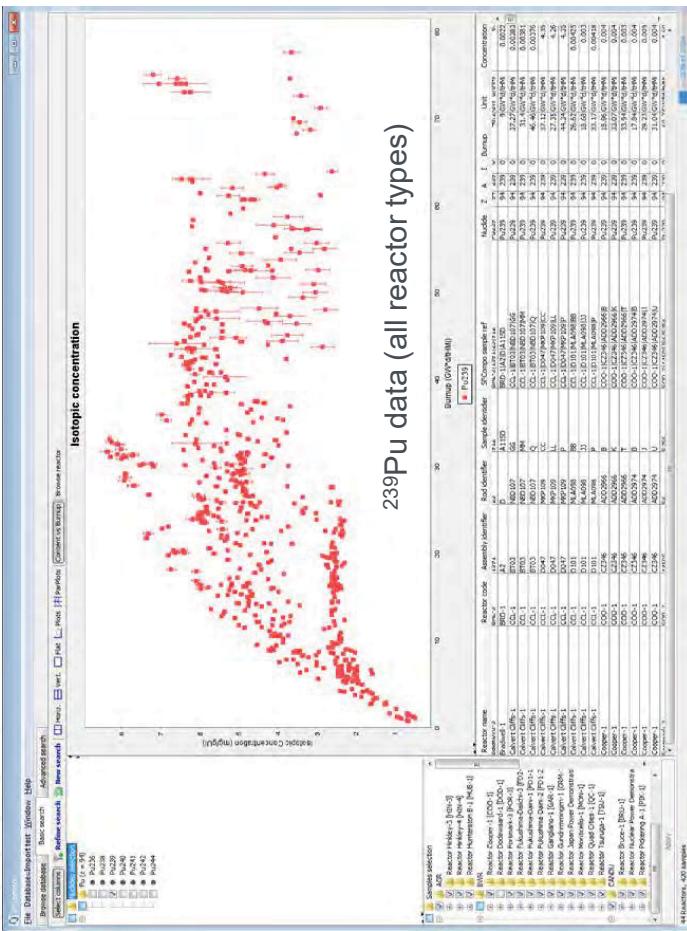
◆ Computational Bias
--- Experimental Uncertainty
— Cross-section Uncertainty



International Spent Nuclear Fuel Database SFCOMPO 2.0 provides a central repository of destructive assay data

Modern database of measured fuel compositions was expanded as part of a multi-year international collaboration. ORNL has coordinated this effort through the OECD/NEA Expert Group on Assay Data for Spent Fuel since 2009.

<http://www.oecd-nea.org/sfcampo/>



- Databases maintained by OECD Nuclear Energy Agency Data Bank include:
 - ICSBEP (Criticality safety database)
 - IRPhEP (Reactor physics database)
 - SFCOMPO (Spent fuel composition and decay heat database)**

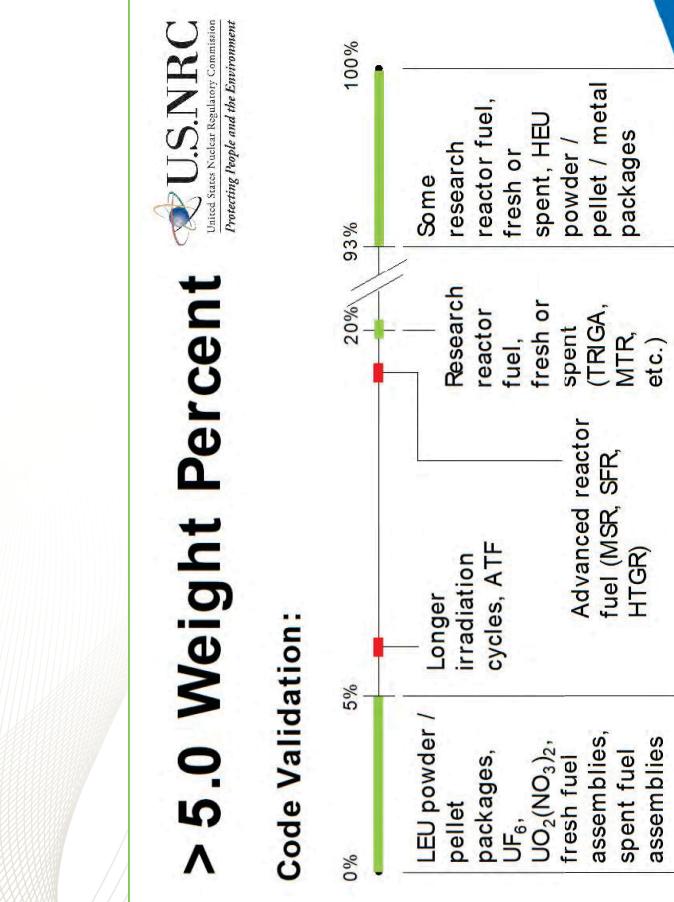
- Data for PWR, BWR, AGR, MAGNOX, CANDU, RBMK, VVER-440, VVER-1000 fuels
- 44 reactors, 118 assemblies, 91 isotopes important to fuel cycle safety and WM
- 750 samples > 22,000 measurements

- Data essential for code validation and uncertainty analysis, integral nuclear data testing -- Energy and Security applications



$5\% < \text{Hi-assay LEU} < 20\%$

NRC/NMSS perspectives on high assay fuel



Part 71/72 Interface

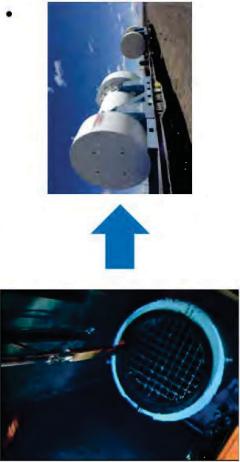
High-Capacity PWR Cask Criticality Safety Criteria:

Storage:

- < 5.0% Initial enrichment
- Minimum soluble boron during loading

Transportation:

- < 5.0% Initial enrichment
- > 45 GWd/MTU burnup
- Cooling time
- Limits on irradiation parameters:
 - Soluble boron
 - Specific power
 - Moderator temp.
 - Fuel temp.



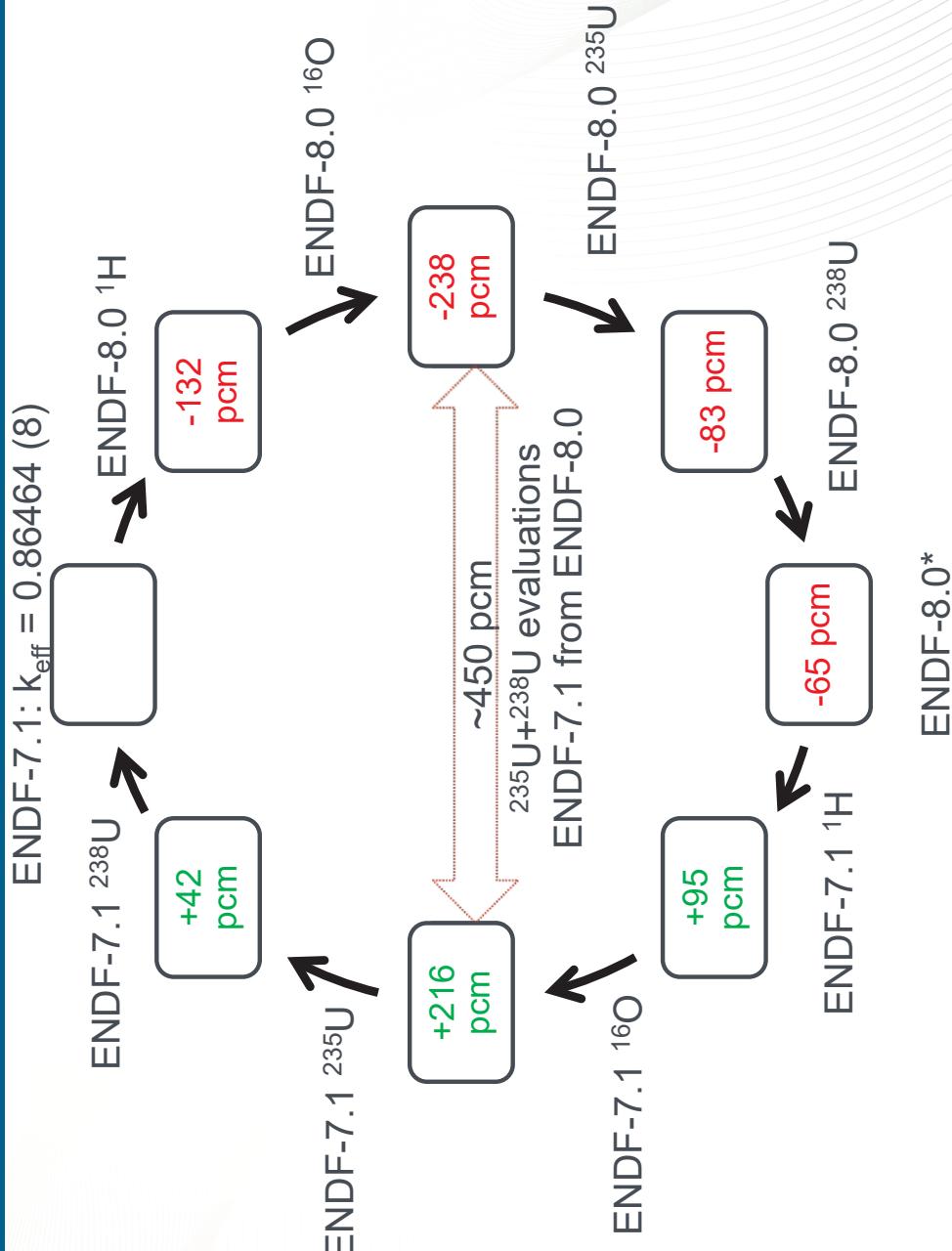
Example criticality validation process using the ES-4100 package



Containment vessel

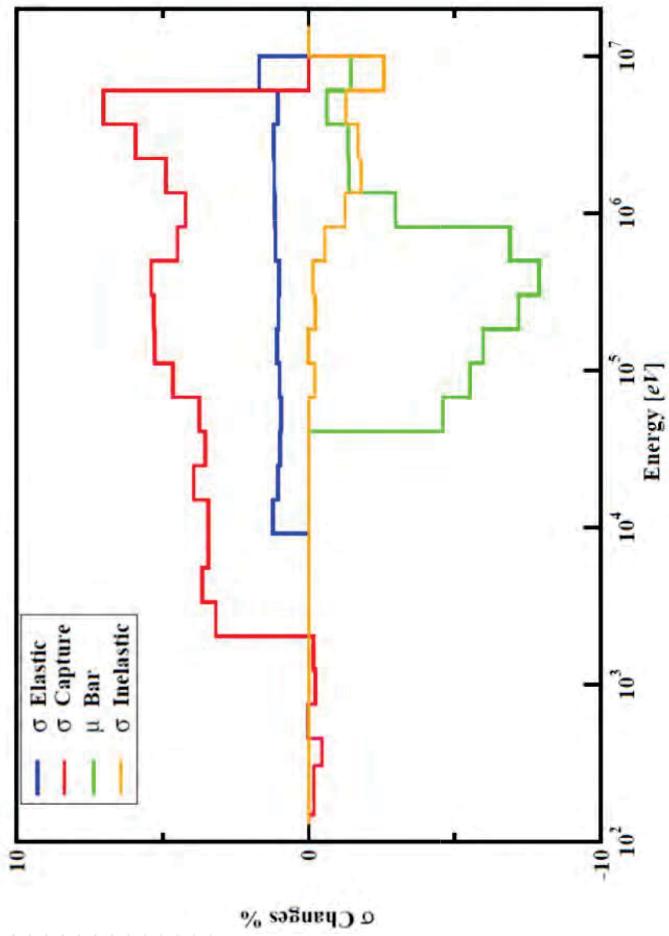
Photos Courtesy of Jeff Orbital
Y-12 National Security Complex
energy.gov/ne

ES-4100 w/ 20 w/o UF₆ study: Counteracting errors in ENDF/B-VII.1 – ENDF/B-VIII.0

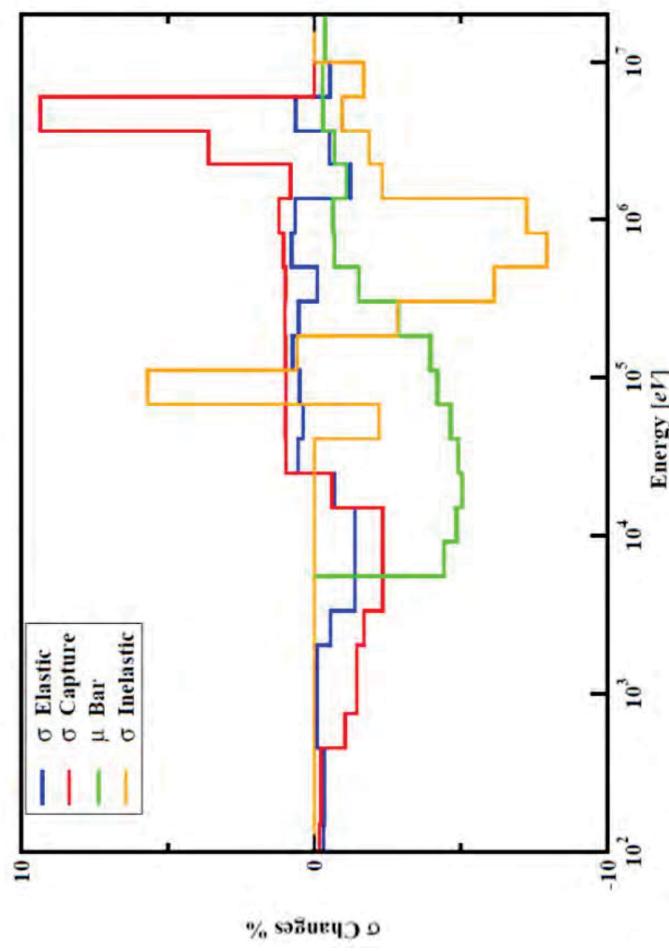


Cross section changes ENDF/B-VII.1 – ENDF/B-VIII.0 OECD/NEA SG-46

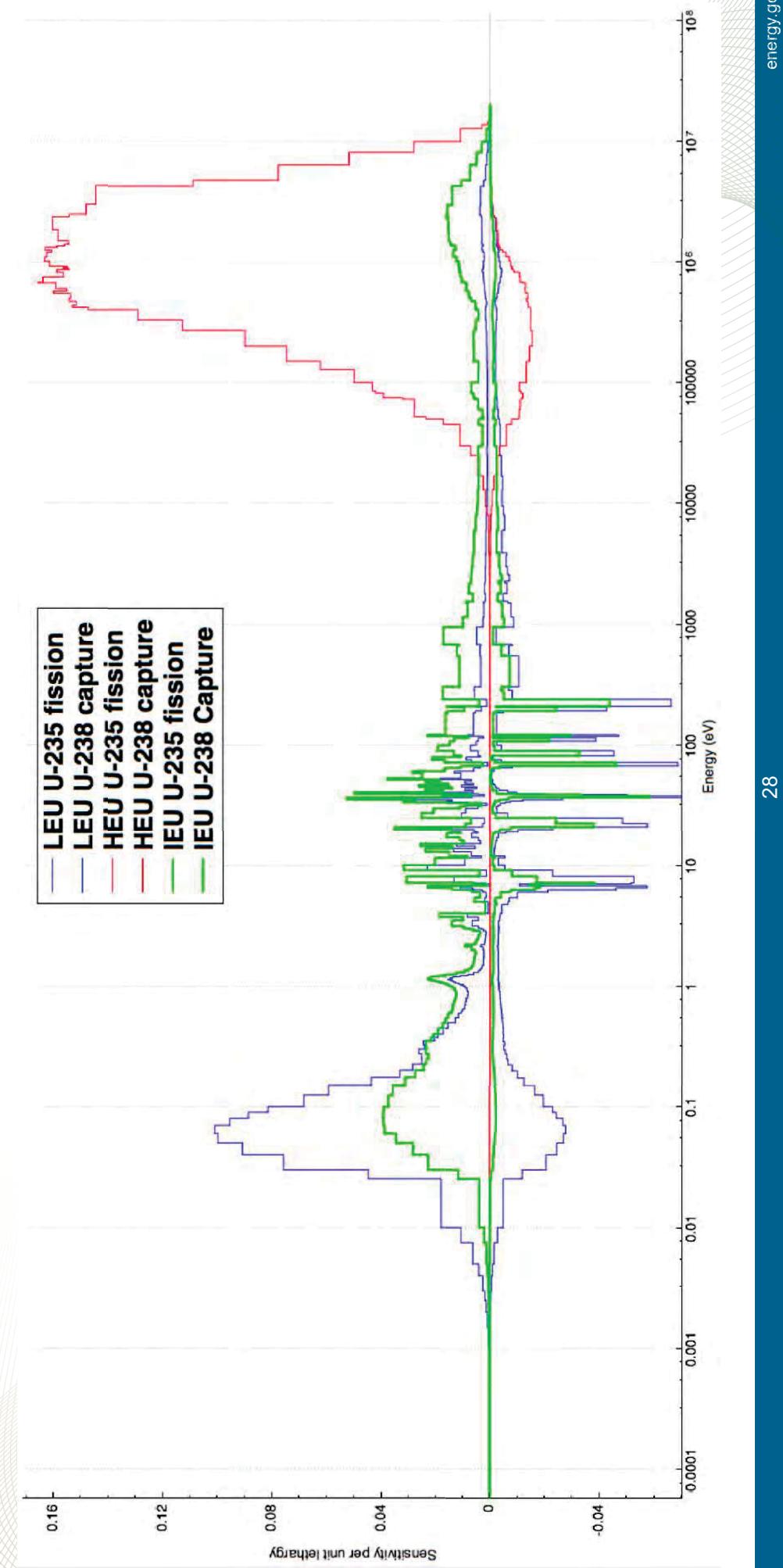
^{235}U σ Changes



^{238}U σ Changes



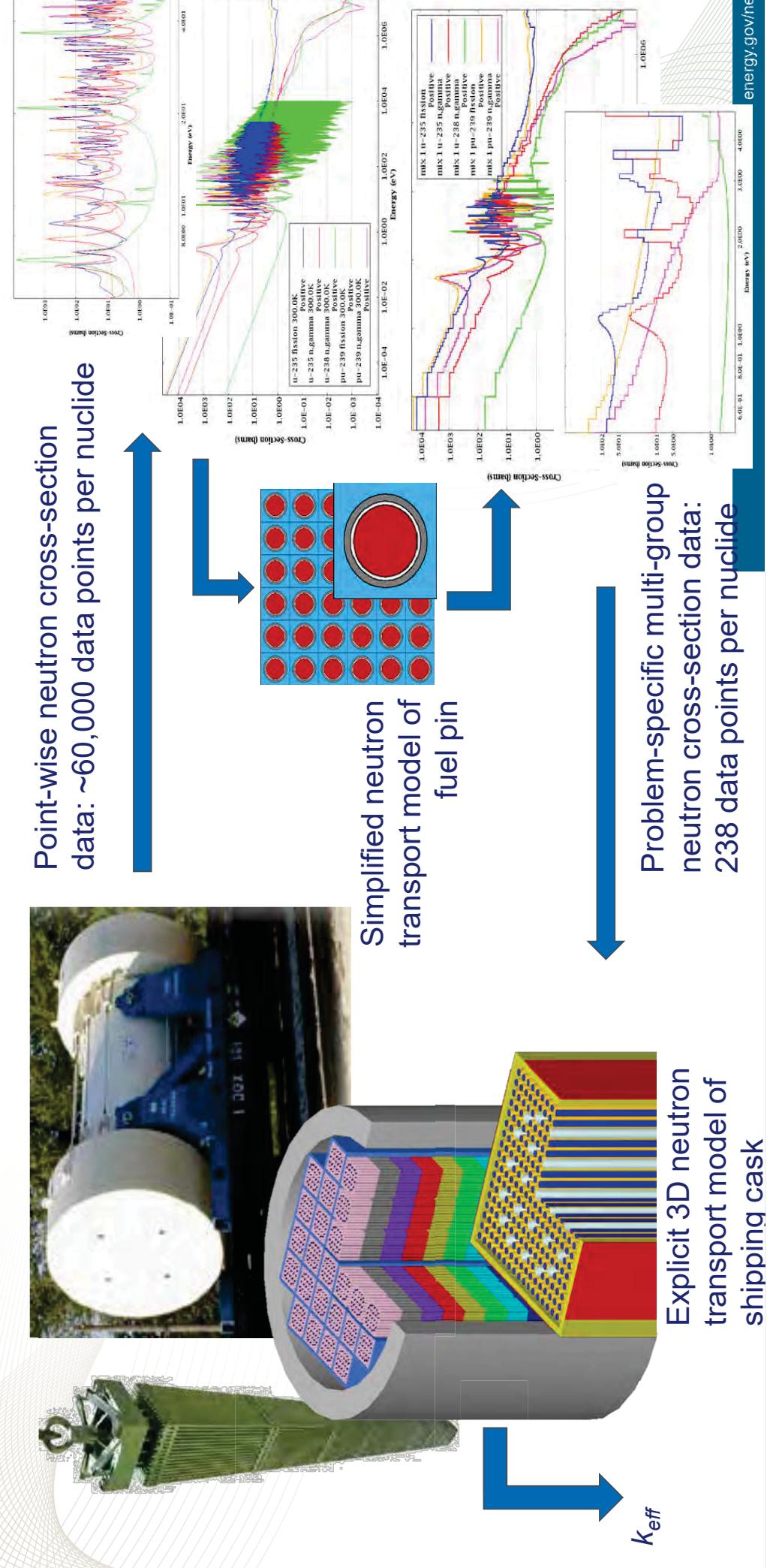
Sensitivity of K_{eff} to nuclear data quantifies how important each cross section is for application of interest



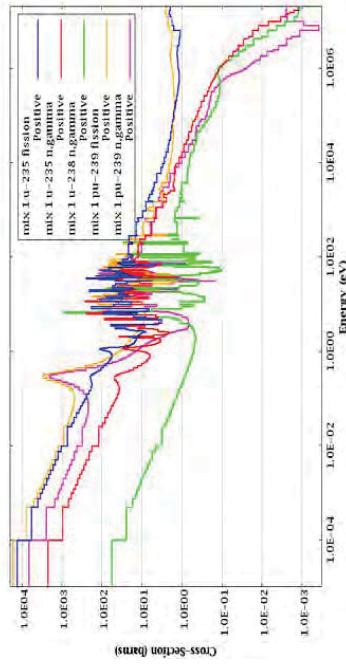
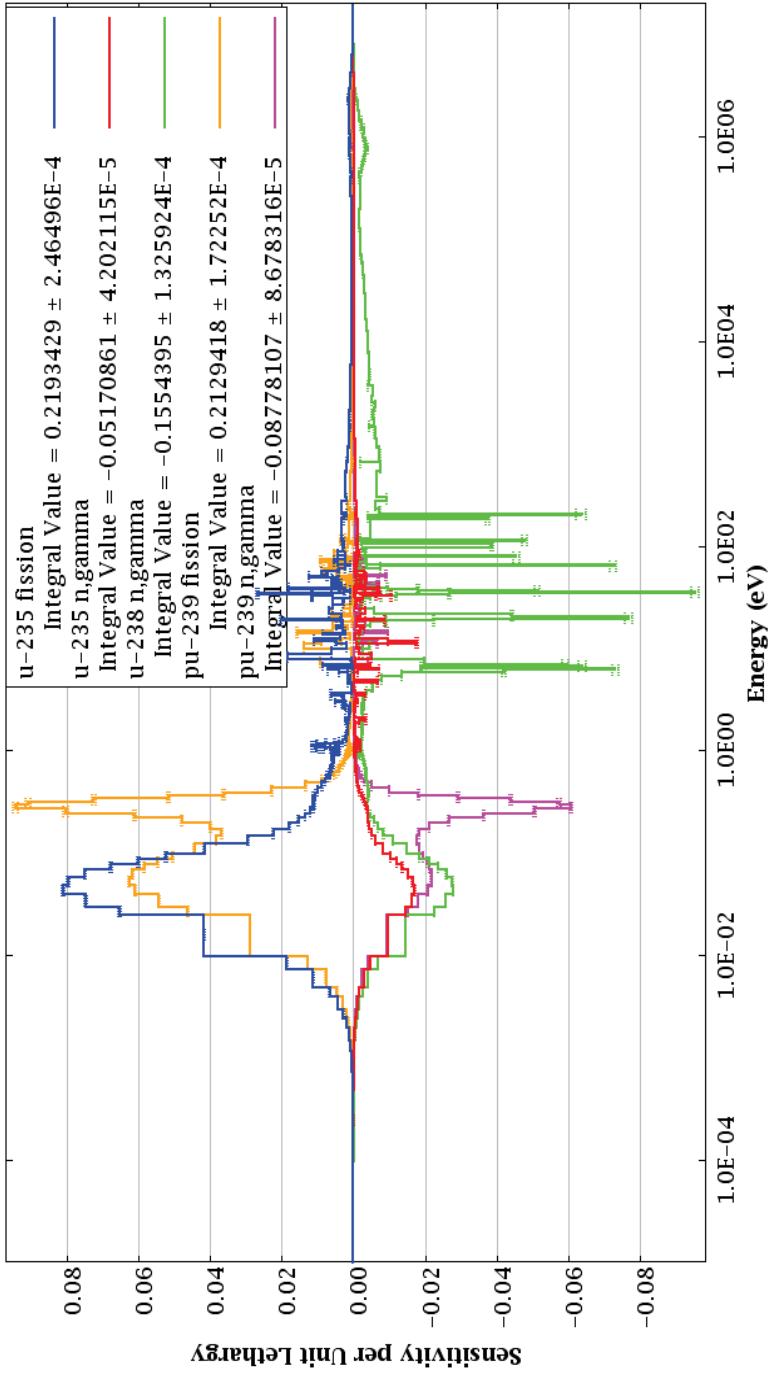
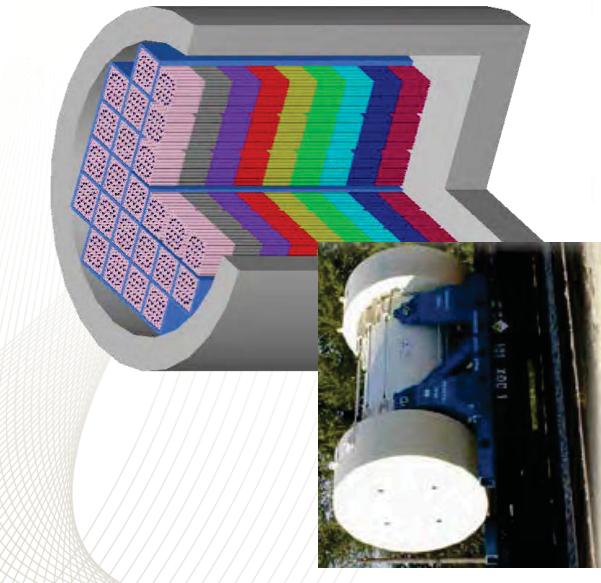
Role of Sensitivity and Uncertainty Analysis in Validation

- Clearly identifies processes that are important to validate
 - Materials, Nuclides, Reactions, Energy
- Assists with challenging areas of applicability where few or no similar experiments are available
- Premise of S/U-based validation
 - Computational biases are primarily caused by errors in the cross-section data
 - Errors are bounded by cross-section uncertainties represented in covariance data

Example application of S/U methods: Safety assessment for transportation of burned nuclear fuel



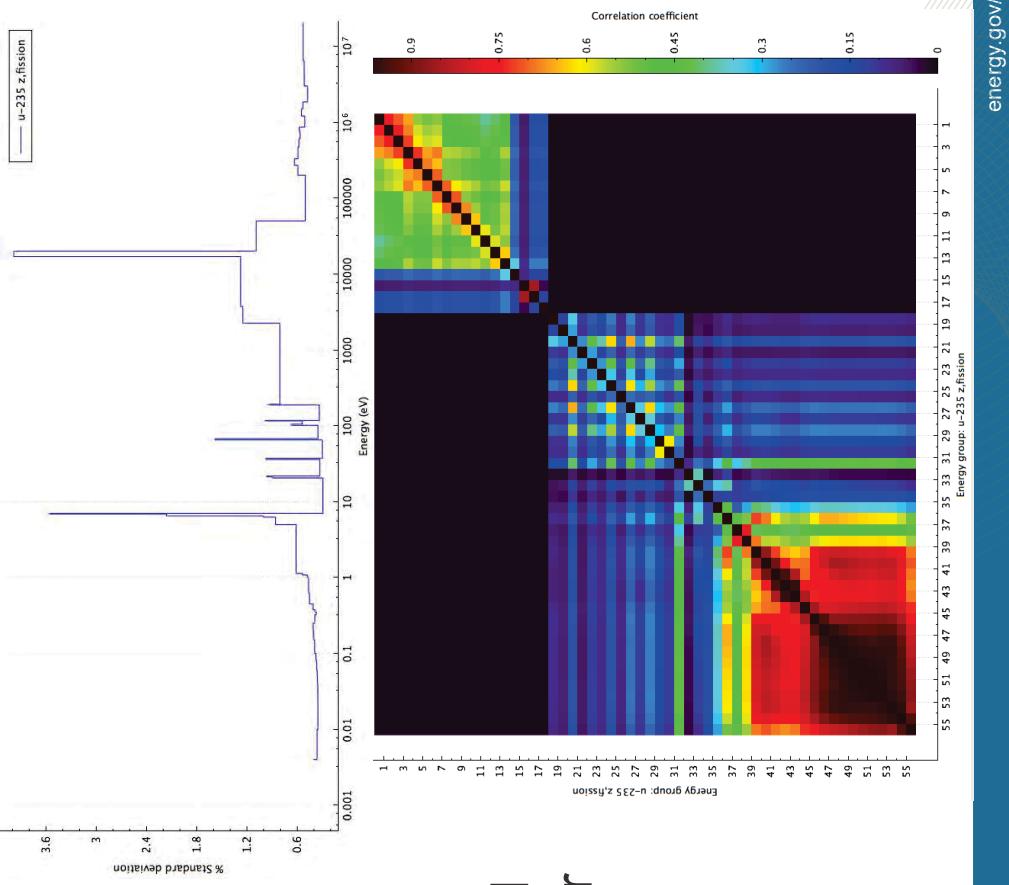
Sensitivities of K_{eff} of a shipping cask to cross section data



Uncertainties in nuclear data

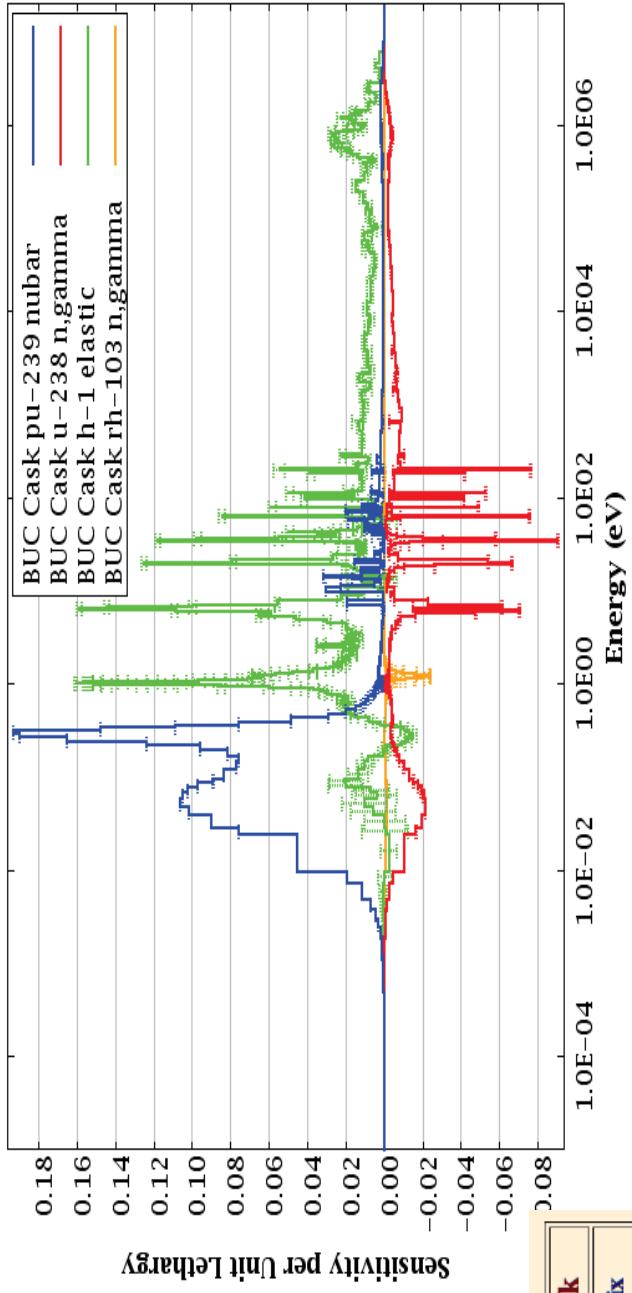
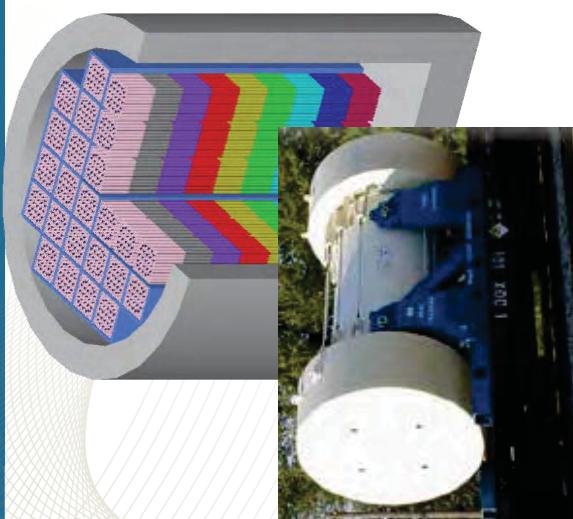
SCALE 6.2 covariance library

- ENDF/B-VII.1 contains data for 187 isotopes.
- SCALE 6.1 data retained for ~215 missing nuclides.
- Modified ENDF/B-VII.1 ^{239}Pu nubar, ^{235}U nubar, H capture, and several fission product uncertainties, with data contributed back to ENDF/A repository.
- Fission spectrum (chi) uncertainties processed from ENDF/B-VII.1 and from JENDL 4.0 (minor actinides).
- No uncertainties available for scattering secondary particle energy/angular distributions



S/U analysis to identify important processes

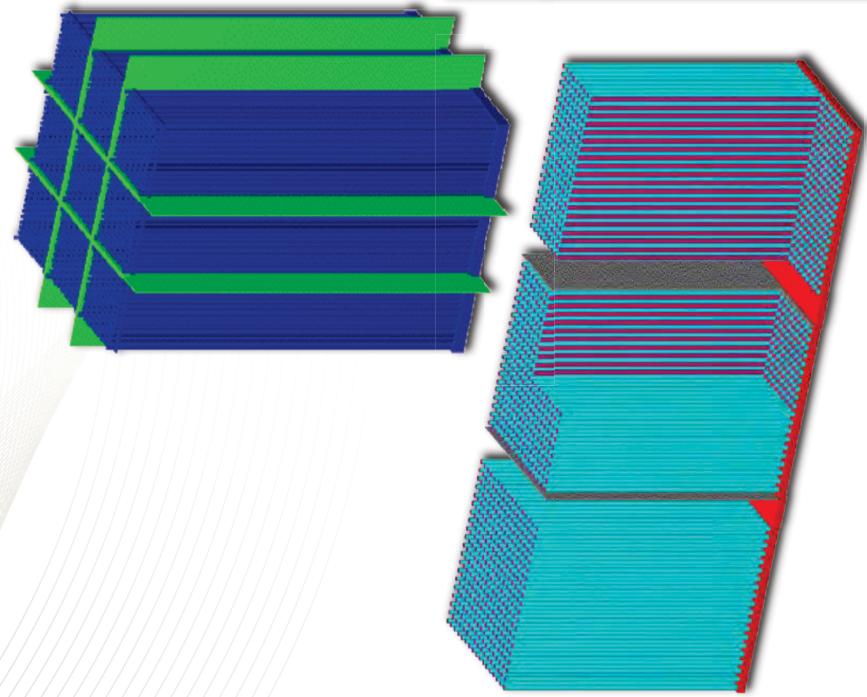
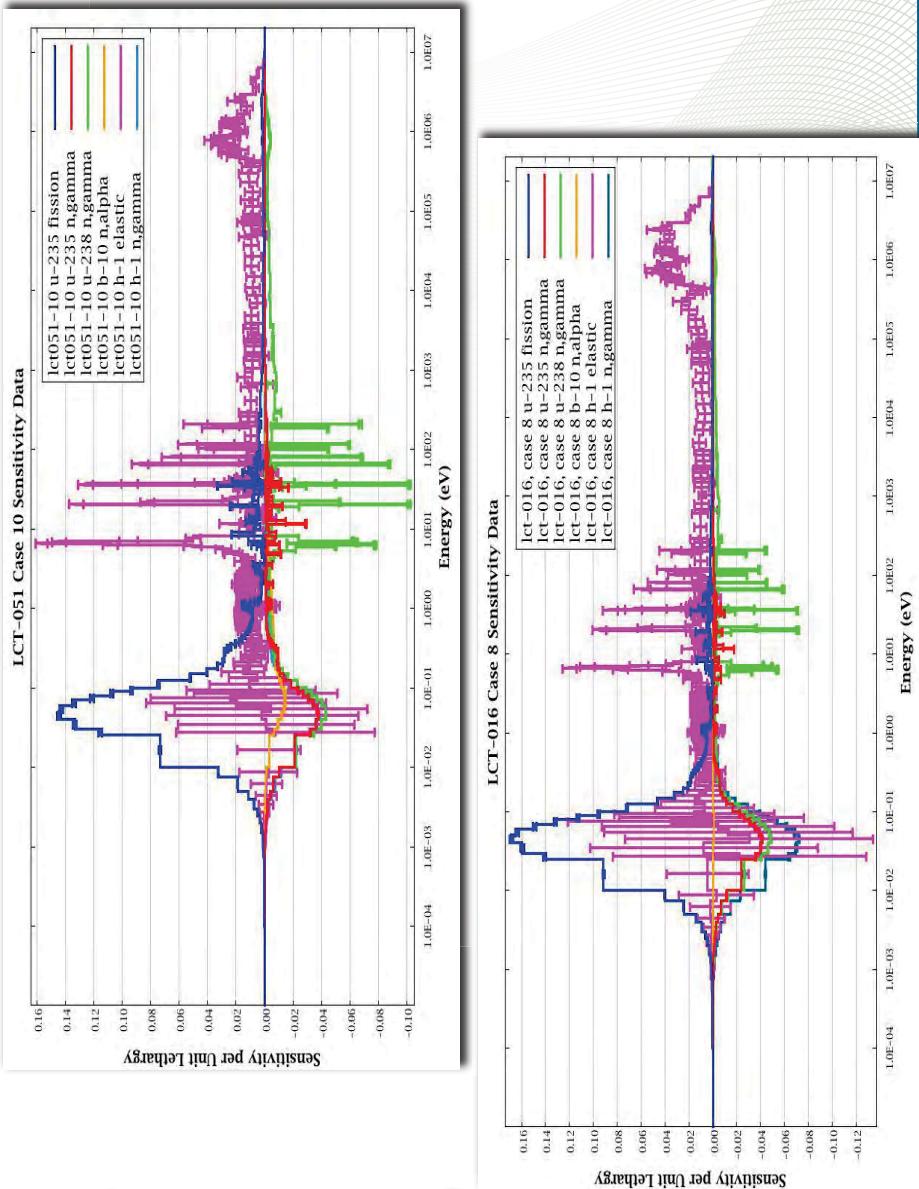
Application specific



Covariance Matrix		Unc. in % $\Delta k/k$
Nuclide-Reaction	Due to this Matrix	
^{239}Pu nubar	$4.0032\text{E-}01 \pm 2.5625\text{E-}06$	
^{238}U n,gamma	$1.9457\text{E-}01 \pm 1.2387\text{E-}05$	
^{239}Pu fission	$1.5501\text{E-}01 \pm 1.0838\text{E-}05$	
^{235}U nubar	$1.3981\text{E-}01 \pm 5.0038\text{E-}07$	
^{239}Pu n,gamma	$1.2261\text{E-}01 \pm 4.3564\text{E-}06$	

- Overall uncertainty: 0.52% $\Delta k/k$

Identify and analyze benchmark experiments to quantify bias in application



Correlation coefficient (C_k) (a.k.a. representativity factor)

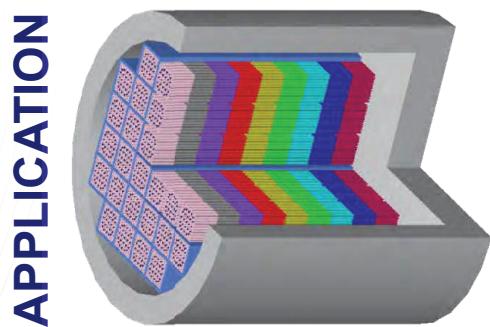
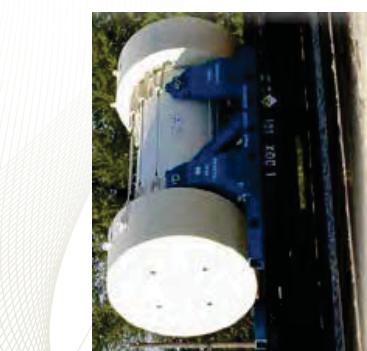
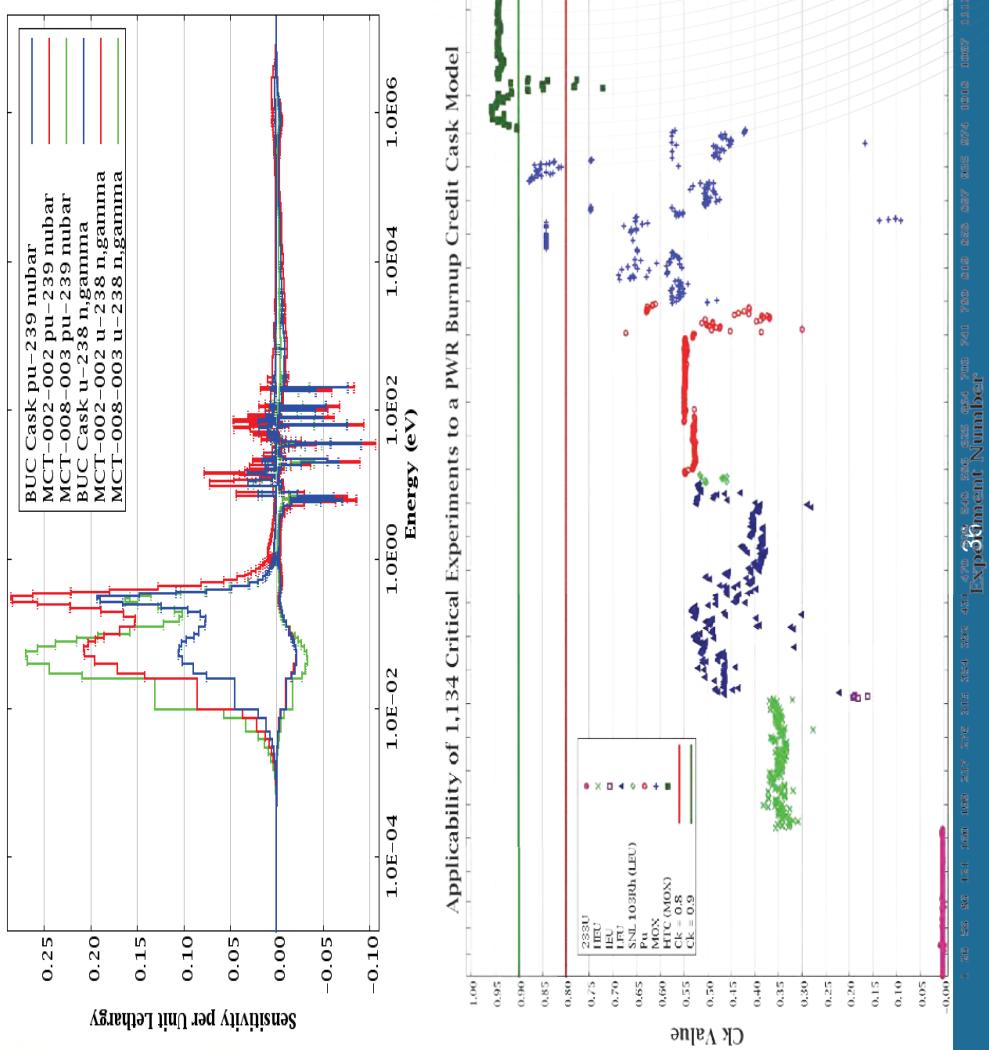
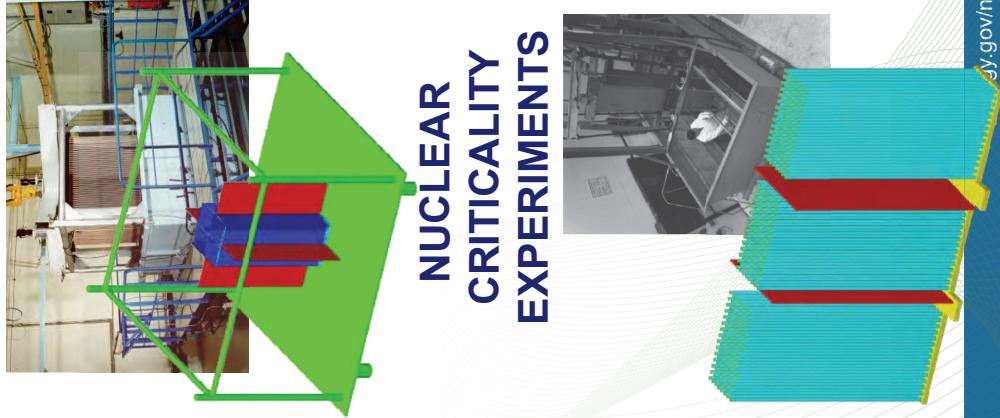
- Quantifies overall similarity potential sources of bias in K_{eff} between design application and benchmark experiment.

$$C_k = \frac{\sigma_{ae}^2}{\sigma_a \sigma_e}$$

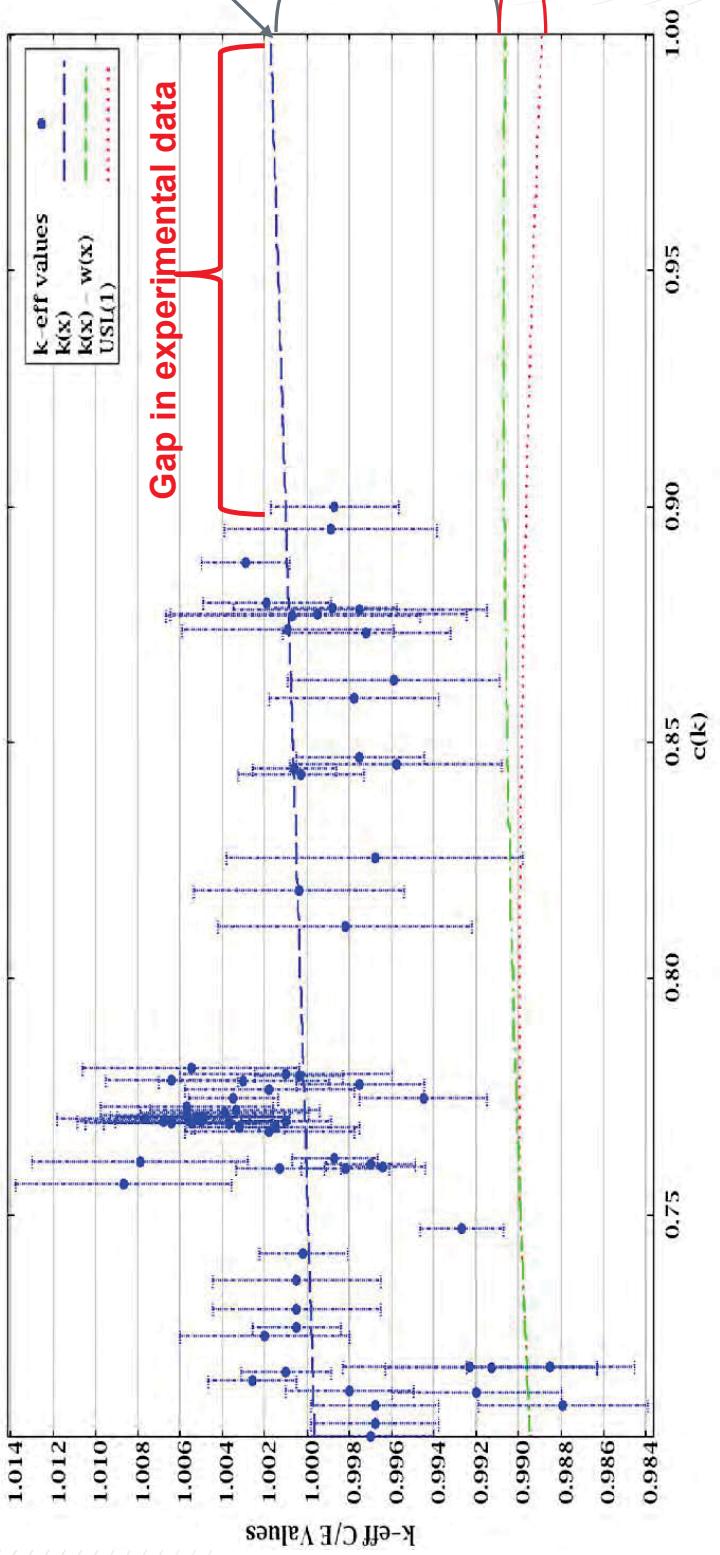
Covariance between
Experiment (e) and Application (a)
due to all nuclides and reactions

Standard deviations for
Application (a) and Experiment (e)
due to all nuclides and reactions

Code Validation: Identification of laboratory experiments that are similar to the targeted application



Similarity as independent parameter for trending analysis

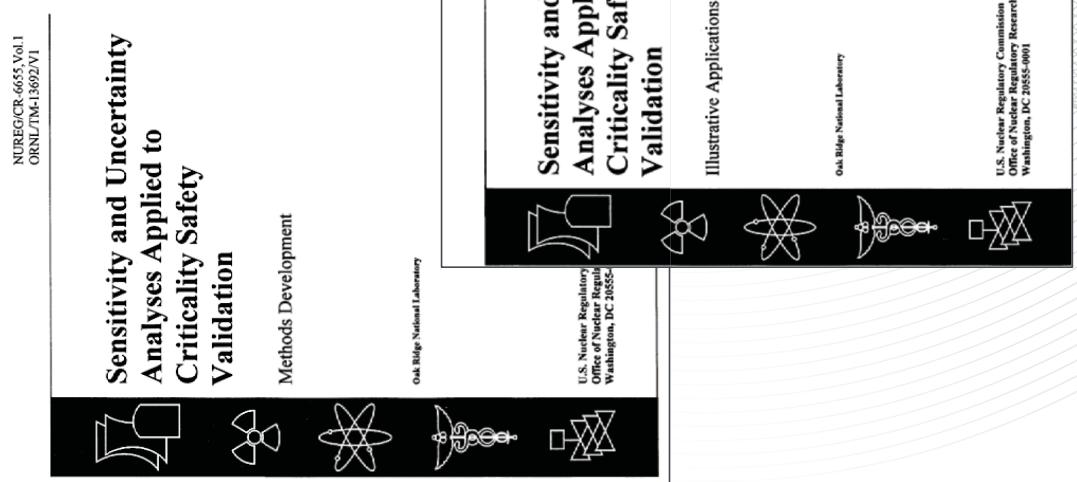
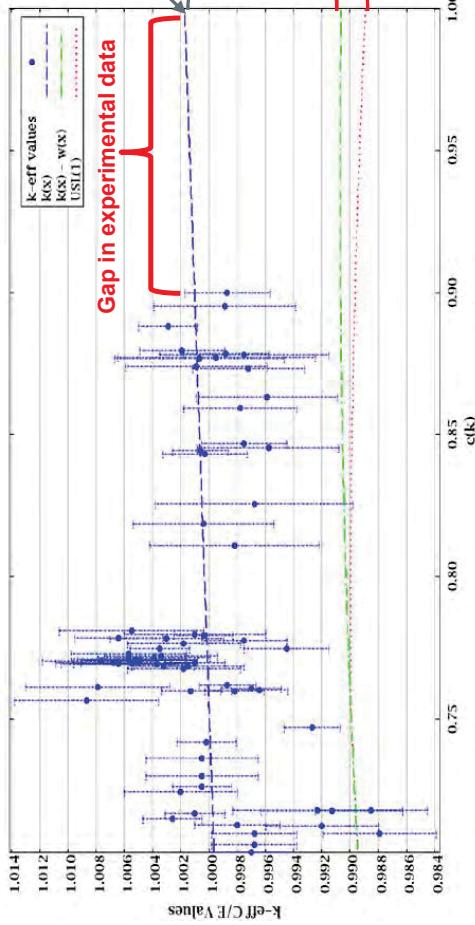


Regulatory basis for validation applicability

FCSS ISG-10, Rev. 0
- 1 -
**ISG-10
C_k ≥ 0.95
recommended**
Justification for Minimum Margin
of Subcriticality for Safety
Prepared by
Division of Fuel Cycle Safety and Safeguards
Office of Nuclear Material Safety and Safeguards

Issue

Technical justification for the selection of the minimum margin of subcriticality for safety for fuel



Regulatory basis for fission product burnup credit



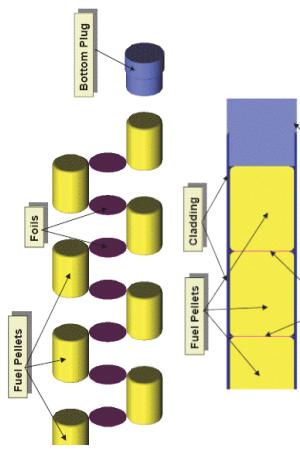
NUREG/CR-71/08
ORNL/TM-2011/59

Division of Spent Fuel Storage and Transportation Interim Staff Guidance - 8 Revision 3

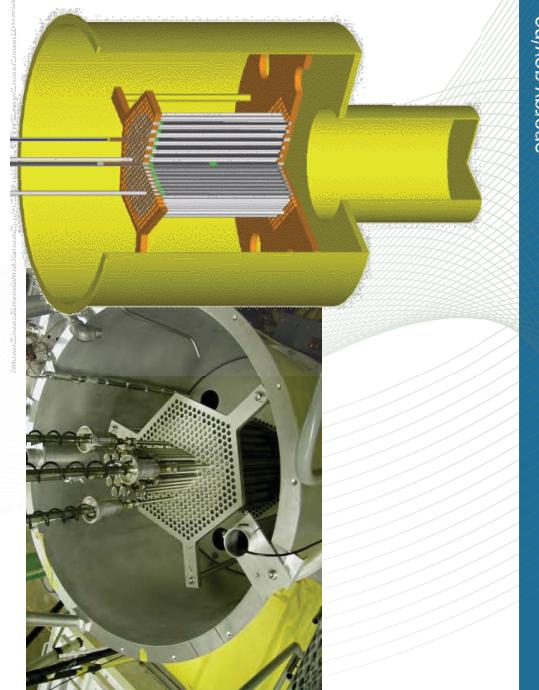
An Approach for Validating Actinide and Fission Product Burnup Credit Criticality Safety Analyses—Isotopic Composition Predictions

Introduction:

Title 10 of the Code of Federal Regulations (10 CFR) Part 71, *Packaging and Transportation of Radioactive Material*,¹ and 10 CFR Part 72, *Licensing Requirements for the Independent Storage of Spent Nuclear Fuel, High-Level Radioactive Waste, and Reactor-Related Greater Than Class C Waste*,² require that spent nuclear fuel (SNF) remain subcritical in transportation and storage, respectively. Unirradiated reactor fuel has a well-specified nuclide composition that provides a straightforward and bounding approach to the criticality safety analysis of transportation and storage systems. As the fuel is irradiated in the reactor, the nuclide composition changes and, ignoring the presence of burnable poisons, this composition change will cause the reactivity of the fuel to decrease. Allowance in the criticality safety analysis for the decrease in fuel reactivity resulting from irradiation is termed burnup credit. Extensive investigations have been performed both within the United States and by other countries in an effort to understand and document the technical issues related to the use of burnup credit.



Rh-103 Critical Experiment Design for Burnup Credit



energy.gov/ne

September 2012

Issue: Burnup Credit in the Criticality Safety Analyses of PWR Spent Fuel in Transportation and Storage Casks

Introduction:

Title 10 of the Code of Federal Regulations (10 CFR) Part 71, *Packaging and Transportation of Radioactive Material*,¹ and 10 CFR Part 72, *Licensing Requirements for the Independent Storage of Spent Nuclear Fuel, High-Level Radioactive Waste, and Reactor-Related Greater Than Class C Waste*,² require that spent nuclear fuel (SNF) remain subcritical in transportation and storage, respectively. Unirradiated reactor fuel has a well-specified nuclide composition that provides a straightforward and bounding approach to the criticality safety analysis of transportation and storage systems. As the fuel is irradiated in the reactor, the nuclide composition changes and, ignoring the presence of burnable poisons, this composition change will cause the reactivity of the fuel to decrease. Allowance in the criticality safety analysis for the decrease in fuel reactivity resulting from irradiation is termed burnup credit. Extensive investigations have been performed both within the United States and by other countries in an effort to understand and document the technical issues related to the use of burnup credit.

An Approach for Validating Actinide and Fission Product Burnup Credit Criticality Safety Analyses—Criticality (K_{eff}) Predictions



NUREG/CR-71/08
ORNL/TM-2011/59



Sensitivity and Uncertainty Analysis of Commercial Reactor Criticals for Burnup Credit

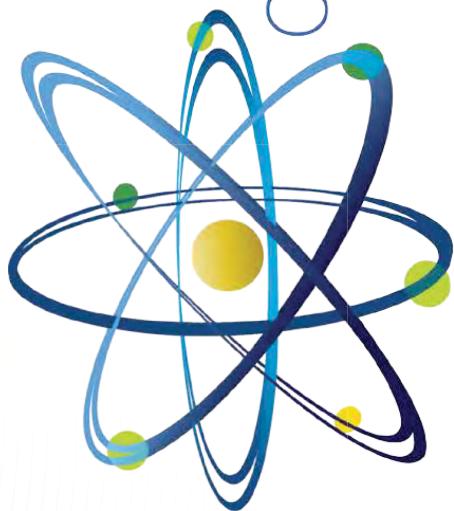


Nuclear Data and Benchmarking Program

Initial Activities

- Nuclear data and validation studies:
 - Gap analysis for nonLWR (ORNL – Sobes/Bostelmann)
 - Investigation of HA-LEU transportation validation basis (ORNL – Rearden/Scaglione/Marshall/Clarity/Holcomb)
- Nuclear data generation:
 - Investigation and generation of application driven covariance data (ORNL – Sobes)
 - Improvements of nuclear data for depletion, activation, and decay (ORNL – Wieselquist)
 - New measurement of ^{238}U (n,n') with associated uncertainties (LBNL – Bernstein)
- International benchmarking activities:
 - Multi-Physics Experimental Data, Benchmark, and Validation (ORNL - Valentine)
 - International Physics Benchmark Programs: ICSBEP and IRPHEP (INL - Bess)
- University projects:
 - Generation of thermal scattering data for graphite (N.C. State, X-energy, ORNL)
 - Generation of thermal scattering sensitivity/uncertainty capabilities (U. Michigan, ORNL)

Clean. Reliable. Nuclear.



INL-NEI Technical Workshop on Transportation of High Assay Low Enriched Uranium

August 30-31, 2018

Melissa Mann
President, URENCO USA, Inc.

HALEU and the HALEU Community

URENCO

- High Assay Low Enriched Uranium (HALEU) refers to enrichments above 5.0% U235 and below 20.0% U235.
- A broad community of users may benefit from HALEU:
 - Research and test reactors: including reactors fueled by DOE in the US/overseas and including those currently relying on HEU that may convert to HALEU
 - Advanced reactors, including non-LWRs
 - Advanced fuel designs
 - Producers of targets for medical isotope production
 - Operators of existing LWRs seeking improvements in fuel reliability and economics through higher burnup* and extended operating cycles
- As the enrichment levels needed by these users will vary, **fuel solutions are needed across the full span of HALEU enrichments** (although some “clumping” may develop in the ranges of 6.0%-8.0% U235 and 13.0-16.0% U235 and at 19.75% U235).

*Higher burnup is deemed to exceed an average burnup of roughly 45 gigawatt-days per metric ton of uranium (Gwd/MTU).



HALEU Fuel Cycle

URENCO

- A complete and sustainable HALEU fuel cycle includes three fundamental capabilities:

- A uranium enrichment facility to produce HALEU enrichments*: the material will be in the form of uranium hexafluoride (UF6)
- A conversion facility to (de)convert HALEU UF6 into metal, oxide and/or salts
- One or more fabrication facilities that can manufacture the specific fuel types required by the various reactor and fuel designs

*It is assumed that the “feed” material for HALEU enrichment is standard low enriched uranium as UF6 at roughly 4.95% U235.

- Packaging and transportation solutions are needed between each of these processing steps and to the ultimate user (for the purposes of today’s discussion, spent fuel packaging is not addressed).

Initial Observations

- Fuel cycle facilities producing and utilizing higher enrichments can be licensed in the US: two NRC-licensed facilities currently fabricate HEU fuel (Category I sites)



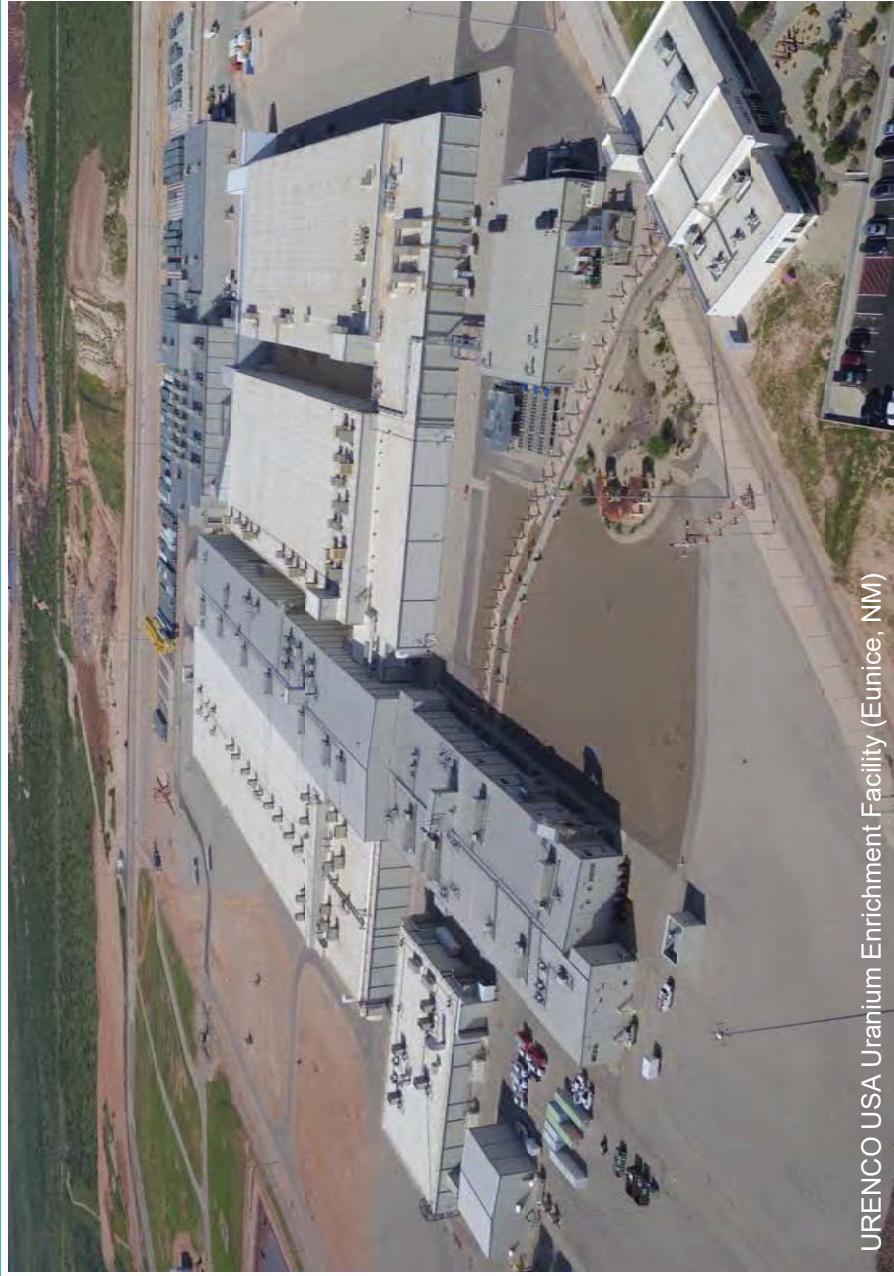
Nuclear Fuel Services (Erwin, TN)



BWXT Nuclear Operations Group (Lynchburg, VA)

- It is imperative that the enrichment, conversion and fabrication facilities - and the concordant packaging solutions - be developed on concurrent schedules.
- The licensing framework needs to support development of a HALEU fuel cycle and regulator resources are needed.
- Companies making investments in HALEU facilities need to be sufficiently assured of an economic return.

Potential HALEU Enrichment at URENCO USA (UUSA)



UUSA advanced gas centrifuges are currently capable of producing at the full span of HALEU enrichments without further development or testing.

We estimate that if detailed design, site permitting, and contractor selection were undertaken during the NRC licensing process, we could construct, commission and start-up a HALEU module within 24 months of NRC licensing.

There are no treaty considerations associated with HALEU production at UUSA

- 1st facility licensed, constructed and operated under a COL
- Application submitted 12/12/2003 and issued on 6/23/2006 (2 years, 6 months)
- Operations started in 2010
- Licensed for 10,000 million SWU/a; currently producing ~4.9 million SWU/a at up to 5.0% U235 as UF6
- Utilizes advanced gas centrifuge technology

Licensing HALEU Enrichment



- UUSA is currently licensed as a Category III facility. The licensing approach for adding a HALEU module may differ by assay bands:
 - For enrichments between 5.0% and 6.0% U235 – analytical approach?
 - For other assays below 10.0% U235 - amended license as a Category III site
 - For assays above 10.0% U235 and below 20.0% U235, Category II license
- Decision point: Initiate a separate license for a Category II HALEU module or license entire site as a Category II facility?
- NRC has clear guidance on MC&A/Fundamental Nuclear Material Control Plans for Category III (NUREG-1065) and Category I (NUREG-1280) facilities, but not for Category II sites.
- Physical protection appropriate to a Category II site and materials is required (as well as for transport).
- Additional criticality benchmark data will be required to support new licensing but questions also exist about how the NRC will approach criticality safety analyses. We would like to see a consistent and coordinated approach to criticality safety for all HALEU fuel cycle facilities.

Packagings for Fissile UF6

§ 71.55 General requirements for fissile material packages (excerpted)

b) Except as provided in paragraph (c) or (g) of this section, a package used for the shipment of fissile material must be so designed and constructed and its contents so limited that it would be subcritical if water were to leak into the containment system, or liquid contents were to leak out of the containment system so that, under the following conditions, maximum reactivity of the fissile material would be attained:

- (1) The most reactive credible configuration consistent with the chemical and physical form of the material;
- (2) Moderation by water to the most reactive credible extent; and
- (3) Close full reflection of the containment system by water on all sides, or such greater reflection of the containment system as may additionally be provided by the surrounding material of the packaging.

(g) Packages containing uranium hexafluoride only are excepted from the requirements of paragraph (b) of this section provided that:

- (1) Following the tests specified in § 71.73 ("Hypothetical accident conditions"), there is no physical contact between the valve body and any other component of the packaging, other than at its original point of attachment, and the valve remains leak tight;
- (2) There is an adequate quality control in the manufacture, maintenance, and repair of packagings;
- (3) Each package is tested to demonstrate closure before each shipment; and
- (4) The uranium is enriched to not more than 5 weight percent uranium-235.

- **Approved packagings are needed for HALEU UF6, HALEU metal/oxide and for HALEU fabricated components**
- **Due to moderator exclusion requirements, packaging HALEU UF6 will likely be more complicated than packaging HALEU metals and oxides**
- **A rule change to 10 CFR Part 71.55 would be a lengthy process and likely unsuccessful**
- **Bespoke designs are likely required for different fabrication needs**

UF6 Packaging Considerations

URENCO

- Are HALEU UF6 shipments limited to use of a small packaging?

Existing UF6 Cylinders for Higher Assays

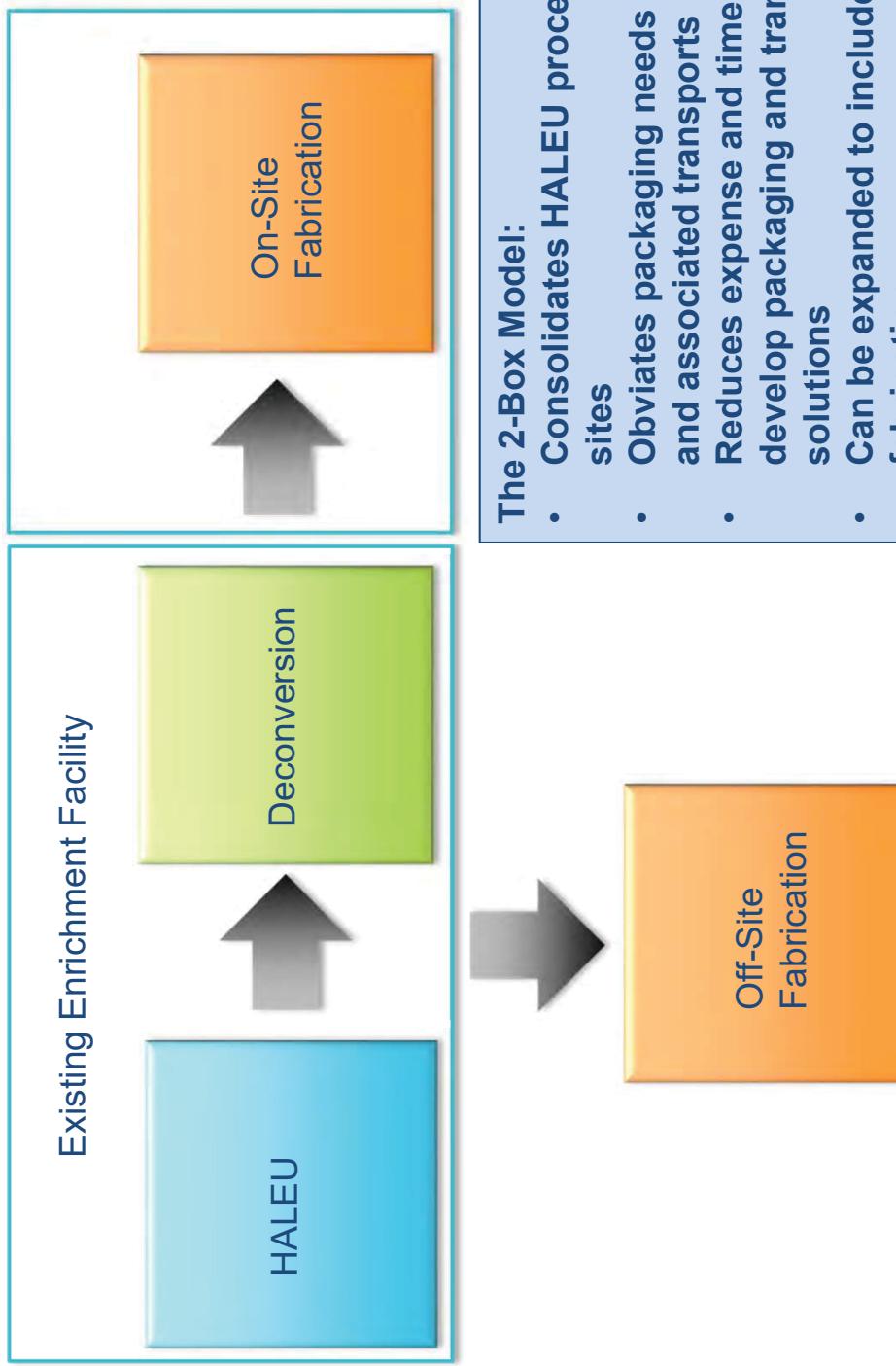
Cylinder Model	Diameter in inches	Maximum Enrichment	Maximum lb UF6*
1S	1.5	100.00%	1.0
2S	3.5	100.00%	4.9
5A	5.0	100.00%	54.9
5B	5.0	100.00%	54.9
8A	8.0	12.5%	255

*Ullage, purity and temperature limits apply.

- Are moderator exclusion requirements met through the cylinder or through an overpack?
- Criticality benchmarking data is needed for HALEU assays.



The 2-Box Model



The 2-Box Model:

- Consolidates HALEU processing at fewer sites
- Obviates packaging needs for HALEU UF6 and associated transports
- Reduces expense and time required to develop packaging and transport solutions
- Can be expanded to include some fabrication
- Leverages existing site characterization data, site infrastructure, and regulator familiarity

Recommendations

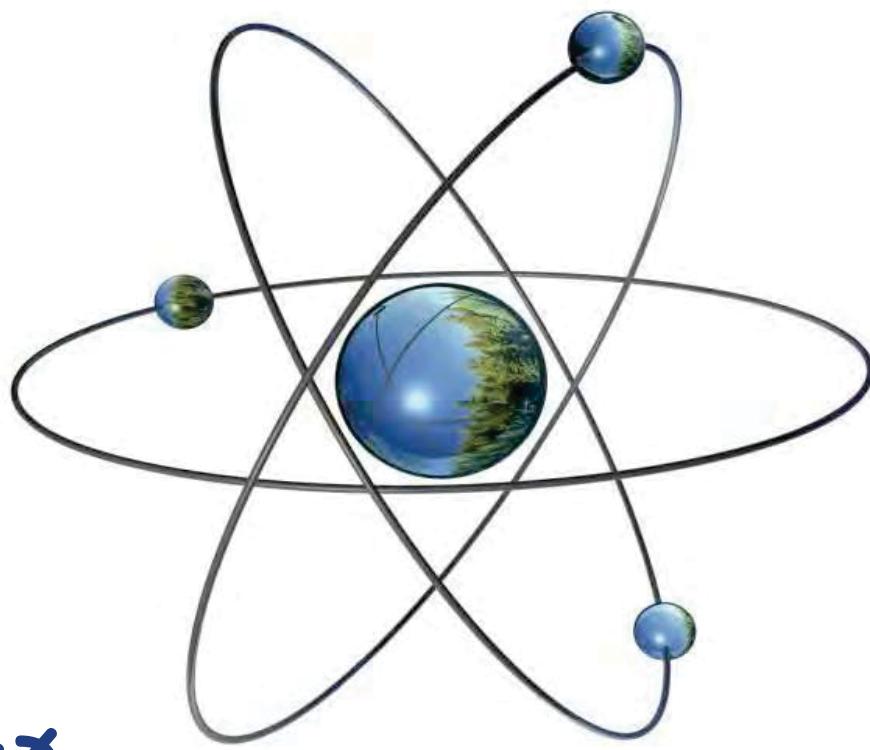
- HALEU users and fuel cycle participants should coordinate on packaging design and development of criticality benchmark data. This drives consistency, reduces duplication of effort, and provides for a consolidated voice with the regulators.
- DOE/National Laboratory involvement in development of new criticality data for HALEU facilities and packagings – and possibly packagings themselves - would support development of new technologies/designs and provide endorsement of underlying benchmarks.
- Industry should engage with DOT/NRC in the near-term on resource requirements, regulatory approach, anticipated time frames, testing requirements, etc.
- Industry should similarly engage with ANSI (and ISO) to ensure that incorporated standards are updated on a concurrent schedule.
- Get started soon - package development, testing and approval takes time!



Global Nuclear Fuel

HALEU Fuel Fabrication & Transport: An Overview

Lon Paulson
Senior Nuclear Engineer
GNFA



*INL-NEI Technical Workshop on
High Assay Low-Enriched Uranium*
August 30-31, 2018
Nuclear Energy Institute
Washington, DC

GNF
Global Nuclear Fuel

HALUE Fuel Fabrication

- Why HALEU?
- Fuel Form(s)
- **Enrichment Facility***
- **Feed Transport - 30B UF6 Cylinder / UX-30***
- Monte Carlo Methods
- Nuclear Criticality Safety Evaluations
- SNM-1097 License Amendment & ISAS
- Factory Implementation: Nodal Basis
- NDA and RP Instrumentation
- Misc. Programs
- Product Transport – Model RAJ-II, NPC
- Example NRC Review Timeline
- Cost Elements
- Summary

* Non-GNFA



Why Higher Assay LEU?

- Existing LWR fuel cycle is currently limited to 5.0 wt% U235 enrichment.
- The value to reactor utility is very high, as overall fuel cycle lengths could be increased in the existing fleet of Boiling Water Reactors (BWRs) or Pressurized Water Reactors (PWRs).
- Higher assay needed to reach +24-month cycle.
- Nearterm: BWRs/PWRs/SMRs utilizing UO₂ Accident Tolerant Fuel (ATF) designs such as IronClad (FeCrAl cladding) or other cladding types would require higher enrichment to permit higher exposure (up to ~80k MWD/MTU). Anticipated peak assay is < 6.5 wt. % U235.
- Longterm: Advanced reactors and novel SMR designs utilizing SFR technology with metallic uranium fuel may require assays up to 19.9 wt. % U235.

Fuel Form(s)

HALEU fuel forms being considered by GNFA in support of SMRs include:

UO₂ fuel matrix → BWRX-300



U Metal fuel matrix → PRISM, ARC, OKLO



U metal feed could be derived from national labs or converted from oxide....

ENR Facility

- The enrichment facility must successfully produce, and deliver to the fabricator, >5% assay in an approved UF6 cylinder.
- Technically feasible to *configure cascade output to >5% enr.*
- Licensing, analysis, ISA Summary perturbations required.
- NOTE: GLE licensing bases evaluated 30-inch and 48-inch UF6 cylinders up to 10.0 wt.% U235.

ENR Facility

1. NRC FORM 374	U.S. NUCLEAR REGULATORY COMMISSION	Page 7 of 8
MATERIALS LICENSE		
<p>Pursuant to the Atomic Energy Act of 1954, as amended, the Energy Reorganization Act of 1974 (Public Law 93-438), and Title 10, Code of Federal Regulations, Chapter I, Parts 30, 31, 32, 33, 34, 35, 36, 40, and 70, and in reliance on statements and representations hereinbefore made by the licensee, a license is hereby issued authorizing the licensee to receive, acquire, possess, and transfer byproduct, source, and special nuclear material designated below to use such material for the purpose(s) and at the place(s) designated below to deliver or transfer such material to persons authorized to receive it in accordance with the regulations of the applicable Part(s). This license shall be deemed to contain the conditions specified in Section 183 of the Atomic Energy Act of 1954, as amended, and is subject to all applicable rules, regulations, and orders of the Nuclear Regulatory Commission now or hereafter in effect and to any conditions specified below.</p> <p>Licensee:</p>		
1. General Electric-Hitachi Global Laser Enrichment LLC	3. License Number: SNM-2019	
2. 3901 Castle Hayne Road P O Box 780 Wilmington, North Carolina 28402	4. Expiration Date: September 25, 2052	
	5. Docket No.: 70-7016	
6. Byproduct, Source and/or Special Nuclear Material	7. Chemicals and/or Physics Form	8. Maximum Amount that Licensee May Possess at Any One Time
A. Uranium (natural and depleted) and daughter products	Physical: Solid, Liquid, and Gas Chemical: UF_6 , UO_2F_2 , oxides and other compounds	140,000,000 kg (308,000,000 lbs)
B. Uranium enriched in isotope ^{235}U up to 8 percent by weight and uranium daughter products	Physical: Solid, Liquid, and Gas Chemical: UF_6 , UO_2F_2 , oxides and other compounds	2,600,000 kg (5,720,000 lbs)
C. Tc-99, transuranic isotopes and other contaminants	Any	Amount that exists as contamination as a consequence of the historical feed of recycled uranium at other facilities



Under License SNM-2019, GLE CF authorized to produce UF6 with material enrichments up to 8.0 wt. % U235



Global Nuclear Fuel

Feed Transport: UF6 Cylinder / UX-30



Model 30B UF6 Cylinder



Model UX-30 Overpacks on Flatrack

Methods



HITACHI

GE Hitachi Nuclear Energy

GNFA Monte Carlo validation report(s)
now support a variety of AOAs:

AOA-1: LEU Homogeneous Systems

AOA-2: HEU Solution Systems

AOA-3: LEU Heterogeneous Compound Systems without Absorbers

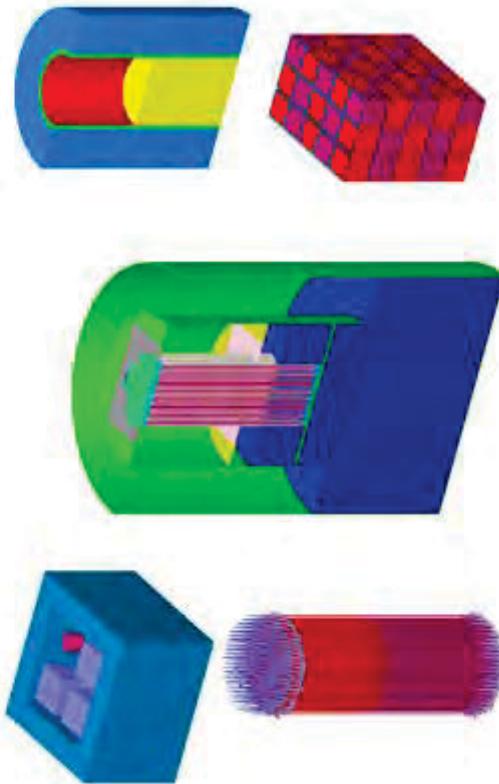
AOA-4: LEU Compound Systems with Cadmium

AOA-5: LEU Heterogeneous Compound Systems with Boron

AOA-6: Uranium Metal Systems

AOA-7: LEU Heterogeneous Compound System with Gadolinium

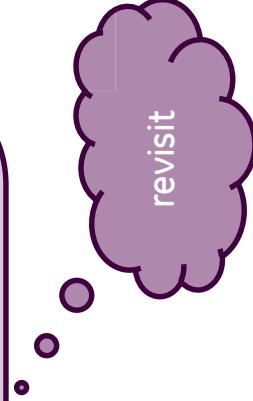
SCALE6.1/KENO-VI Monte Carlo Code Validation Report (Rev. 3)



LEU systems: ≤ 10.0 wt. % U235

HEU soln systems: 89 – 93.2 wt. % U235

U Metal systems: 9 – 97.6 wt. % U235



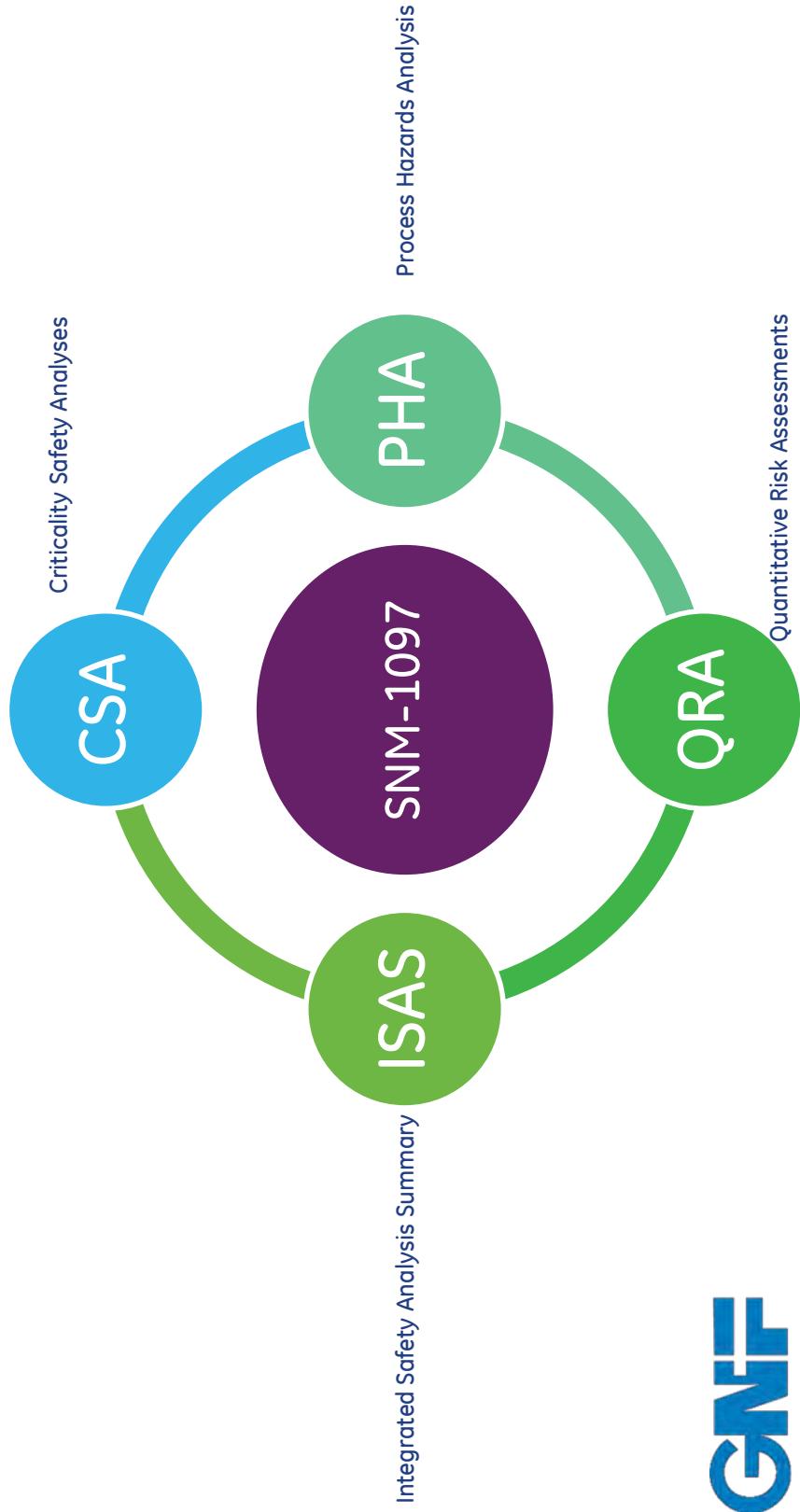
Global Nuclear Fuel

Criticality Safety Analyses

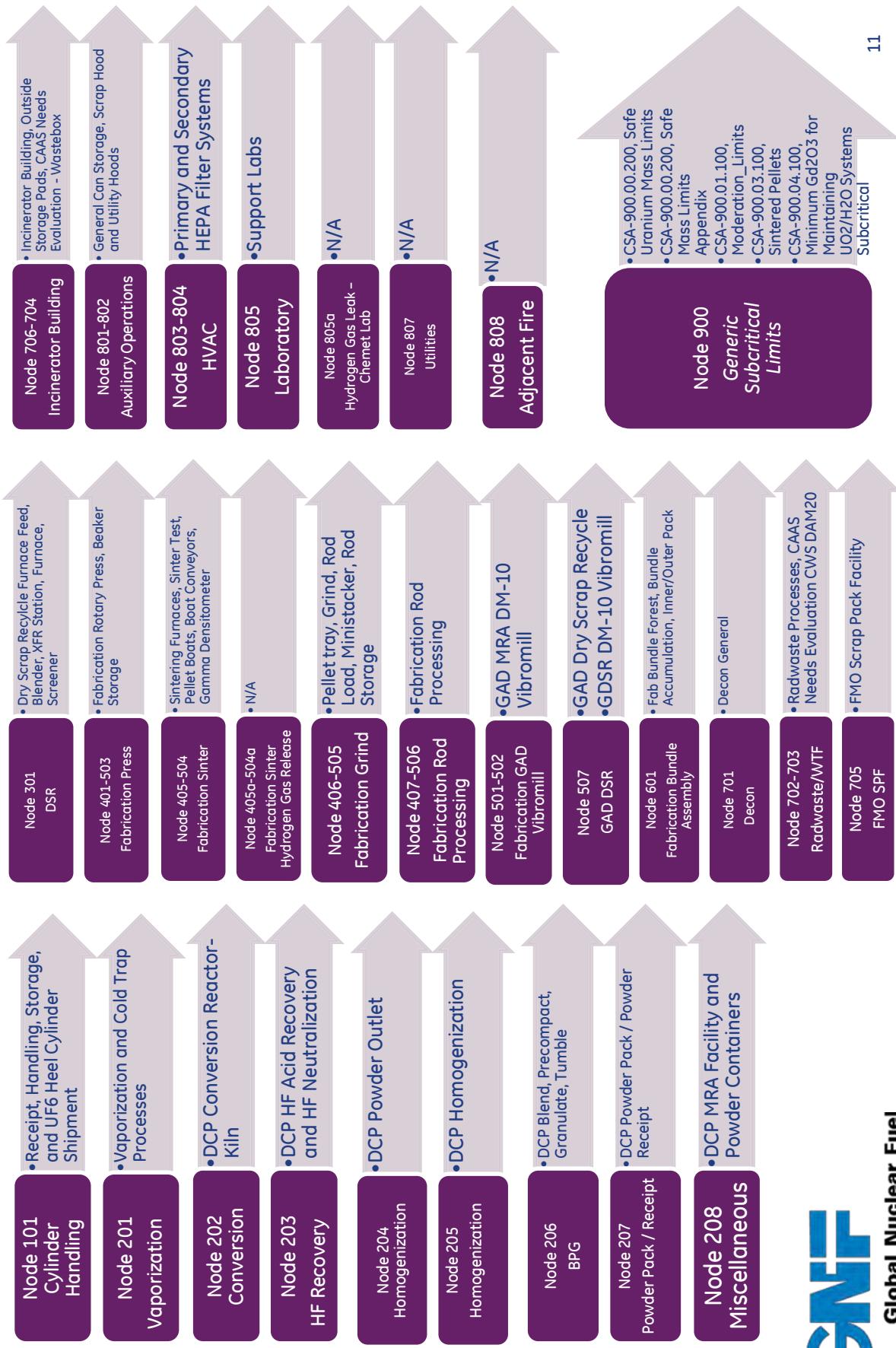
- The fabricator must re-evaluate the nuclear criticality safety bases associated with conversion of UF₆ to UO₂ and related ceramic processes to build LWR fuel bundles with a higher assay.
- Documented nuclear criticality safety evaluations (referred to herein as criticality safety analyses or CSAs) would be required to be *re-baselined* for the fuel manufacturing and support facilities on a node-by-node basis.
- Assay increase would result in low to intermediate impact on dry powder system(s), as well as on ceramics palletization, rod storage and bundle assembly, since the existing safety margins associated with non-uniform moderation safety limits / safe mass limits / safe rod quantity / etc. would be reduced with minor equipment modification required.
- Balance of plant systems are currently physically sized for favorable geometry classification (e.g., pipe tanks, annular vessels, containers, etc.) at 5%; and would in most cases not qualify as such at a higher assay; thus, equipment modifications would be expected for liquid waste systems.

Licensing & ISA

- Commensurate with the SNM-1097 license [amendment] application, an integrated safety analysis summary (ISAS) for the GNFA Wilmington fuel fabrication facility must also be revised to demonstrate that high consequence accident sequences remain highly unlikely pursuant 10CFR70.

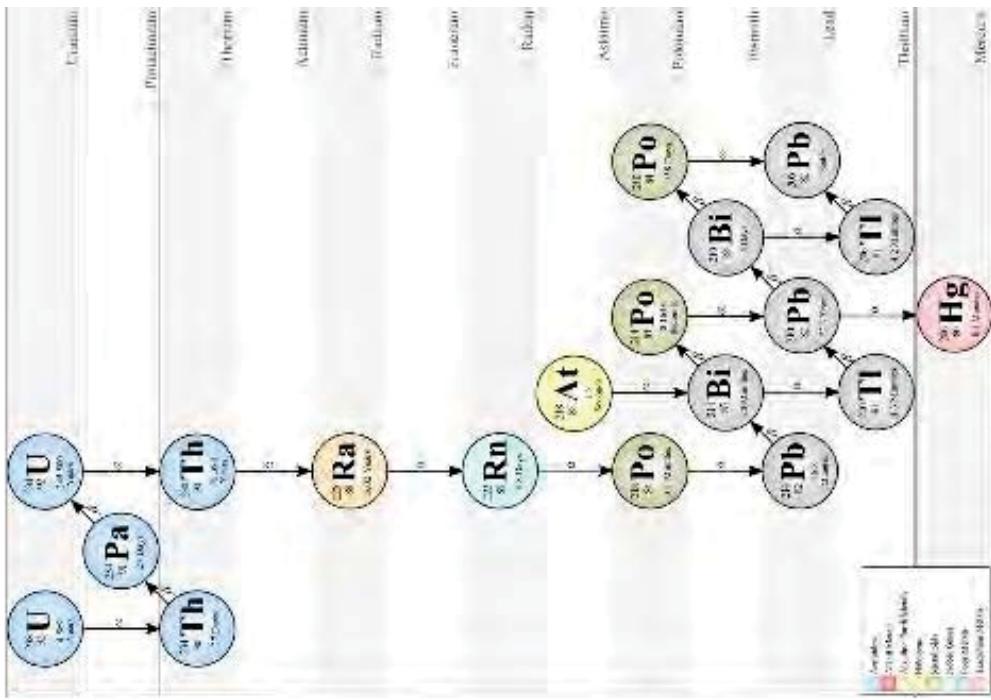


Factory Implementation – Nodal Basis



NDA Systems and RP Instrumentation

- To permit LWR fuel manufacture >5% enrichment, select non-destructive assay (NDA) nuclear measurement systems must be requalified.



- NDA systems based on interrogation of U235 will be directly impacted by an ENR change
 - Fat Albert, MAPS, DCP HF and FMO Radwaste, NaI scintillation detectors (a.k.a., "pipe detectors"), and the UF6 cylinder enrichment verification system
 - Radiation Protection (RP) instrumentation is a special case, the alpha/beta counters (tennelec counters, airborne sample filter counters, and personnel exit survey personnel contamination monitors or PCMs) will require review and assessment.
 - When enrichment is changed, the expected uranium isotopic signature ratios (e.g., U234/U238) also change; and impact interpretation of the uranium content in a sample or deposit.

Miscellaneous Program(s)

- To permit LWR fuel manufacture >5% enrichment the corresponding SNM-1097 license must evaluate potential impacts on the following:
 - Decommissioning Funding Plan (DFP)
 - Radiological Contingency and Emergency Plan (RC&EP).
 - Physical Security Plan (10CFR73)

Note:

- Category III, Special nuclear material of low strategic significance - GNFA fuel fabrication facility may continue under Category III classification for physical security programs.
- Category II, Special nuclear material of moderate strategic significance - GNFA fuel fabrication facility may / may not require Category 2 designation to support U metal fuel fab; depends on scale of pilot.

Category	Qty. Permitted : Assay Range
II	≥ 10 kg U235: ≥10% but < 20%
III	≥ 1 kg but < 10 kg U235: ≥10% but < 20% ≥ 10 kg U235: ≤10%



Product Transport: RAJ-II



**Model RAJ-II Type B Fissile Package
[USA/9309/B(U)F-96]**
8x8, 9x9, 10x10 fuel assemblies
UO₂ rods, UC rods, PWR rods

ECGU or RU per ASTM C996 material forms enriched to no more than 5.0 wt% U235

HALEU requires SAR update, corresponding CSI change

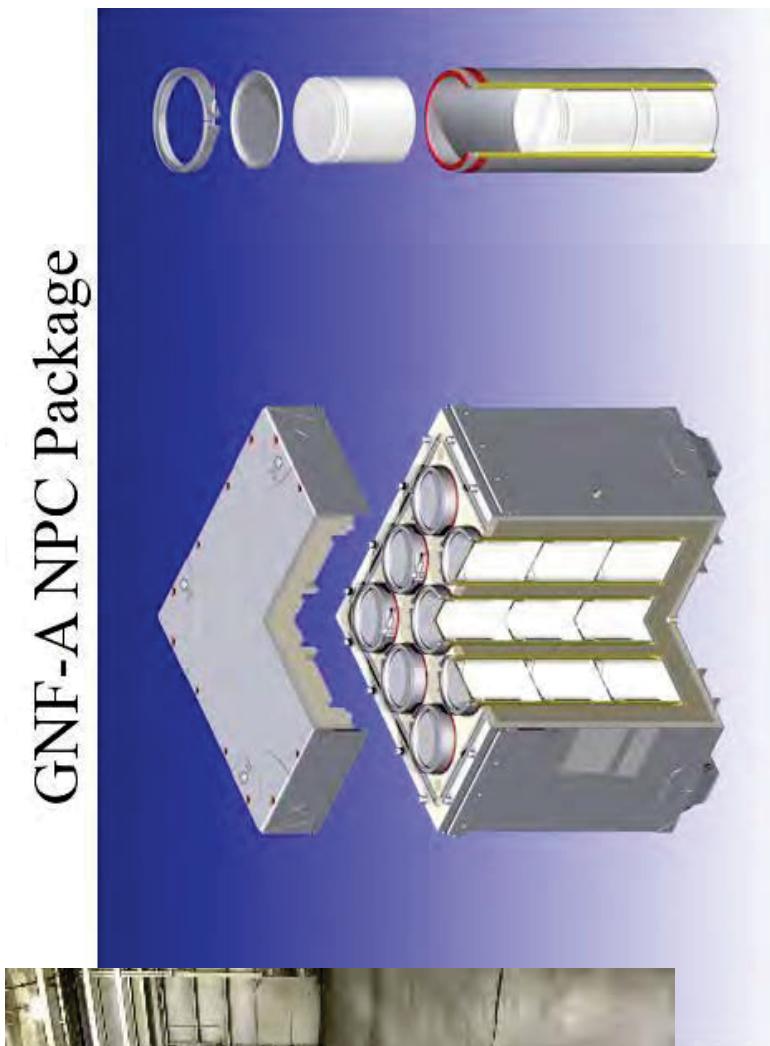


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Product Transport: NPC



Model NPC Type A Fissile Package
[USA/9294/AF-96]



Model NPC Type A Fissile Package
[USA/9294/AF-96]

UO₂ powder, UO₈, UO_x, UNH, U-bearing ash,
calcium containing sludges, etc.
Heterogeneous UO₂ pellets (BWR/PWR),
Heterogeneous UO₂, U₃O₈, UO_x

Material forms enriched to no more than 5.0 wt% U235

HALEU requires SAR update, corresponding
CSI change

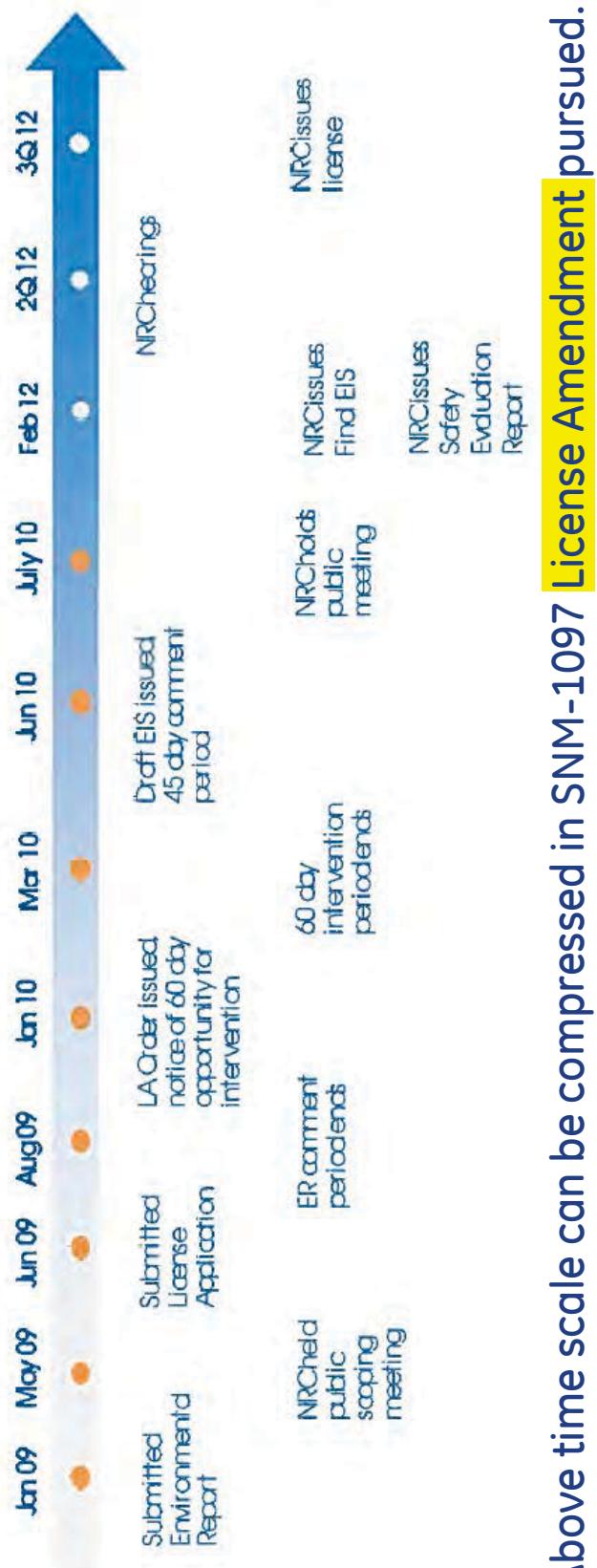


Global Nuclear Fuel

NRC Review Timeline – An Example

Below SNM-2019 License Application provides a recent real-world example timeline for full scope HALEU licensing.

Timeline

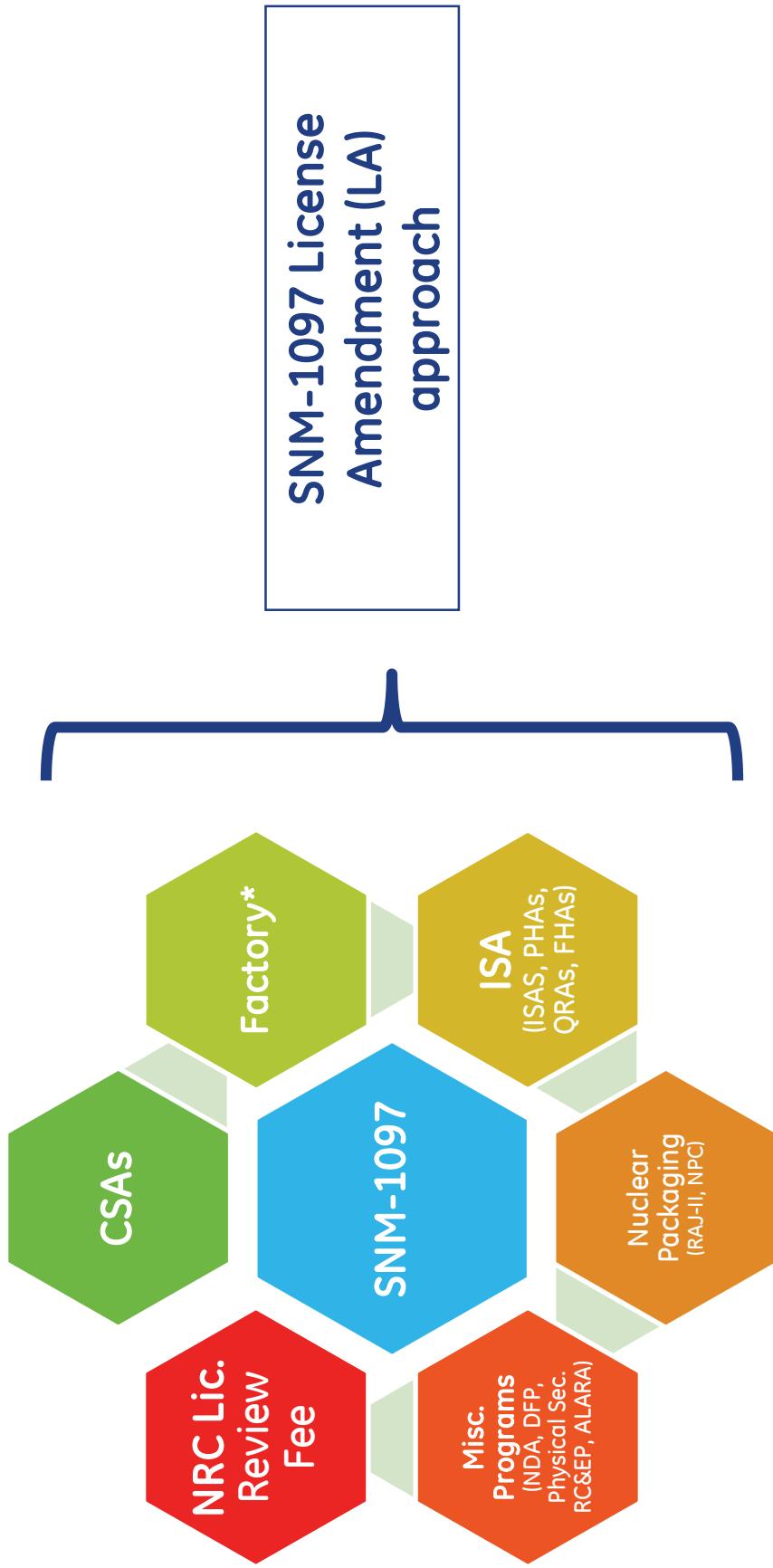


Above time scale can be compressed in SNM-1097 License Amendment pursued.



Global Nuclear Fuel

GNF-A HALEU Cost Elements



* Extent of factory changes depends on fuel form, enrichment limit

Summary

- Enrichment cascades can be licensed to produce higher assay UF6 feed; labs can support feed to u-metal pilot
- UF6 feed transport in 30B greater than 5% is technically feasible, but will create industry challenge to align regulations, standards, and certificate; u-metal transport can be authorized.
- Product transport will require SAR revisions/CSI change
- GNFA has proven experience in higher assay LEU licensing; fuel form selected will ultimately dictate cost.
- For u-metal, demonstration facility can capitalize on (i) existing environmental permits, (ii) NRC licensed facility, (iii) site security and infrastructure, (iv) established NRC/DOT nuclear packaging program, and (v) existing DFP.



Packages for Shipping 20% Enriched Materials

Author:
Andy Langston

INL-NEI Technical Workshop on Transportation of High Assay Low-Enriched
Uranium, August 30-31, 2018

Daher-TLI
8161 Maple Lawn Blvd., Suite 480
Fulton, MD 20759 U.S.A
(301) 421-4324

Currently Available Packages

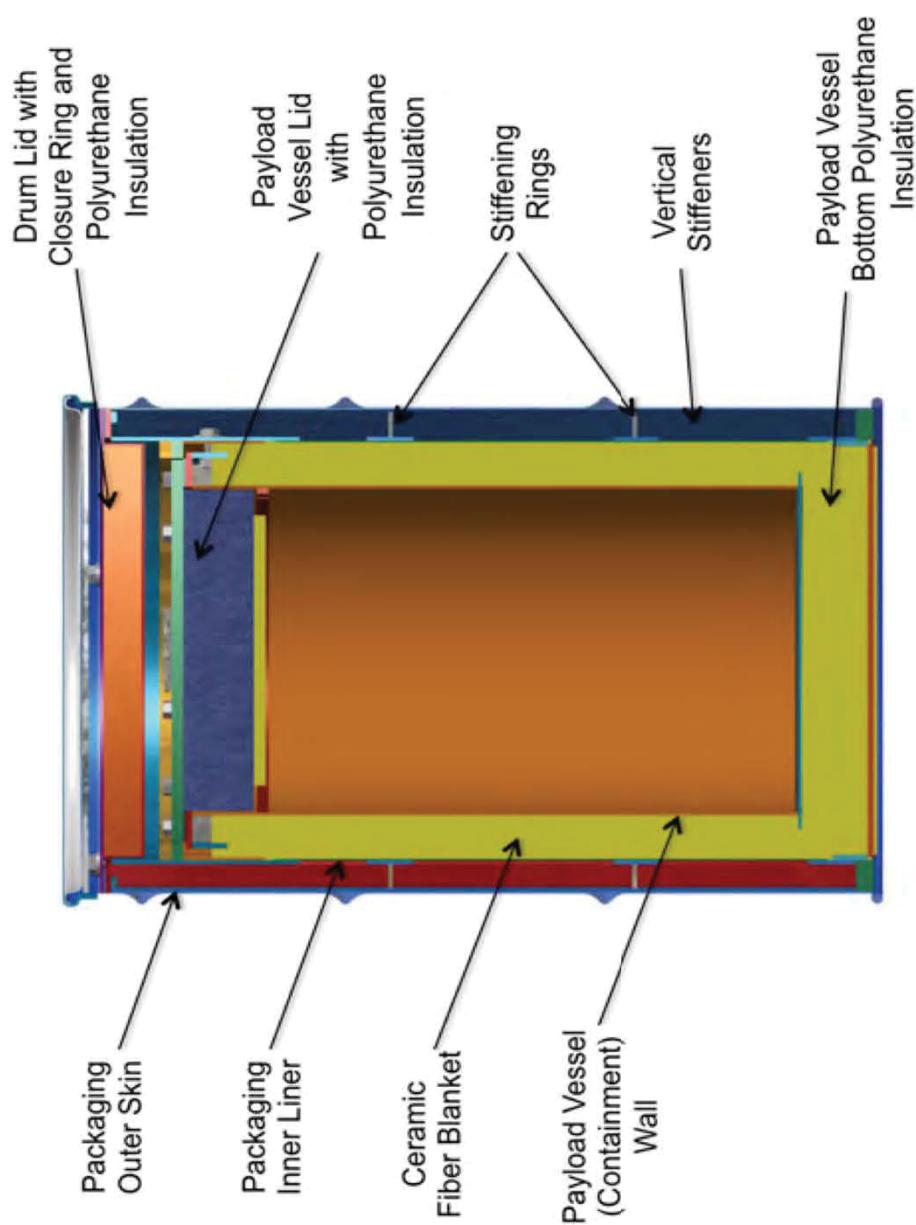
- Majority of 20% shipped in DOE complex using drum type packages
- Example the Versa-Pac
- Currently under NRC Amendment Application for 1S/2S cylinder transport





DAHER-TLI

General Design



Current Contents

- Contents limits based on uranium metal to bound likely contents
 - uranium oxides, uranium metal, uranyl nitrate crystals and other uranium compounds, e.g., uranium carbides, uranyl fluorides and uranyl carbonates, and thorium 232 as TRISO fuel
 - 11.4 kw content limit

Weight percent U-235	U-235 Mass Limit (g)		
	General Limit	5-inch Pipe	
≤ 100	350	695	
≤ 20	410	1,215	
≤ 10	470	1,605	
≤ 5	580	1,065	
≤ 1.25	2,000	--	
Model No.	Packaging OD (in.)	Packaging Height (in.)	Payload Containment Cavity ID (in.)
VP-55	23-1/16	34 3/4	15
VP-110	30-7/16	42 3/4	21
			Pacakging Weight (lbs.)
			390
			702
			Maximum loaded weight (lbs.)
			750
			965

Content Addition – UF₆ 1S and 2S Cylinders

- ANSI N14.1-compliant 1S and 2S cylinders
- Criticality safety HAC evaluation assumes cylinders do not survive, NCT evaluations credit cylinder geometry
- Criticality results limit the quantity and type of UF₆ cylinders
 - 100 wt.% limited by fit of pipes in the cavity
 - Thermal evaluation requires a 2 inch thick foam liner in the cavity

TABLE E. Summary Table of 1S/2S Cylinder Modeling Configuration

Content	Configuration		
	1S	2S	100 wt.%
Quantity of cylinders	7 cylinders	2 cylinders	1 cylinders * in 5-inch pipe 1 cylinder * in 5-inch pipe

*operation limit

Content Addition – Air Transport (criticality)

- Air transport – 1 package - packaging assumed to not survive

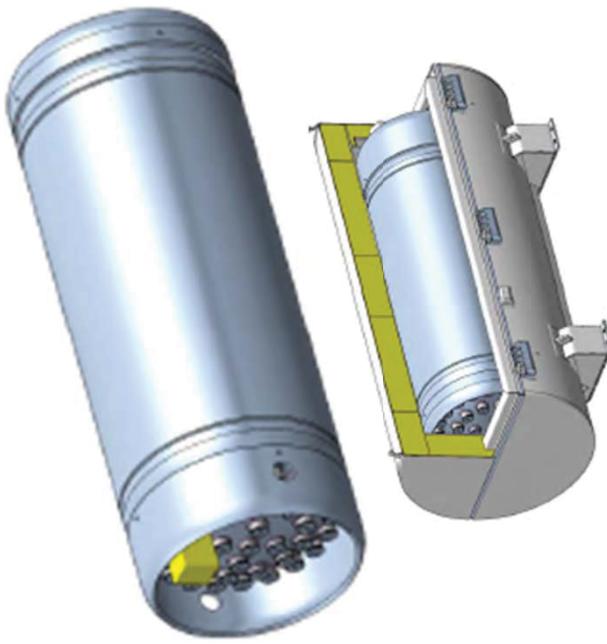
Allowable Payloads by Enrichment, Versa-Pac Configuration

wt.% ^{235}U	Configuration		VP-55 (5-inch Pipe) Mass ^{235}U (g)
	General	Air transport	
≤ 100	350	350	695
≤ 20	410	410	1,215
≤ 10	470	470	1,605
≤ 5	580	580	1,065 *
≤ 1.25	2000	--	--

* This value is volume limited

Under Development – 30B cylinder designs up to 20% enrichment

The 30B-20 cylinder is designed based on the 30B cylinder as per the ISO 7195/ANSI N14.1 standards. Thanks to its state-of-the-art confinement system for criticality control, it can safely accommodate up to 20% enriched 1600 kg of UF₆ which represents a tremendous increase in transport capacities.



The 30B-20 cylinder can be operated and handled the same way as a 30B cylinder and does not require any special retrofitting for already existing plants. This efficiently reduces costs for plant-related adjustments and additional staff training.

The cylinder will have to be licensed as part of a package system.

30B-20 cylinder Technical Data	
Nominal Diameter (mm)	762
Nominal Length (mm)	2060
Max. Net UF ₆ Weight (kg)	1600
Max. Gross Weight (kg)	2710
Enrichment (wt. % 235U)	max. 20

Under Development – Package for 5B/A Cylinders and TRIGA Fuel

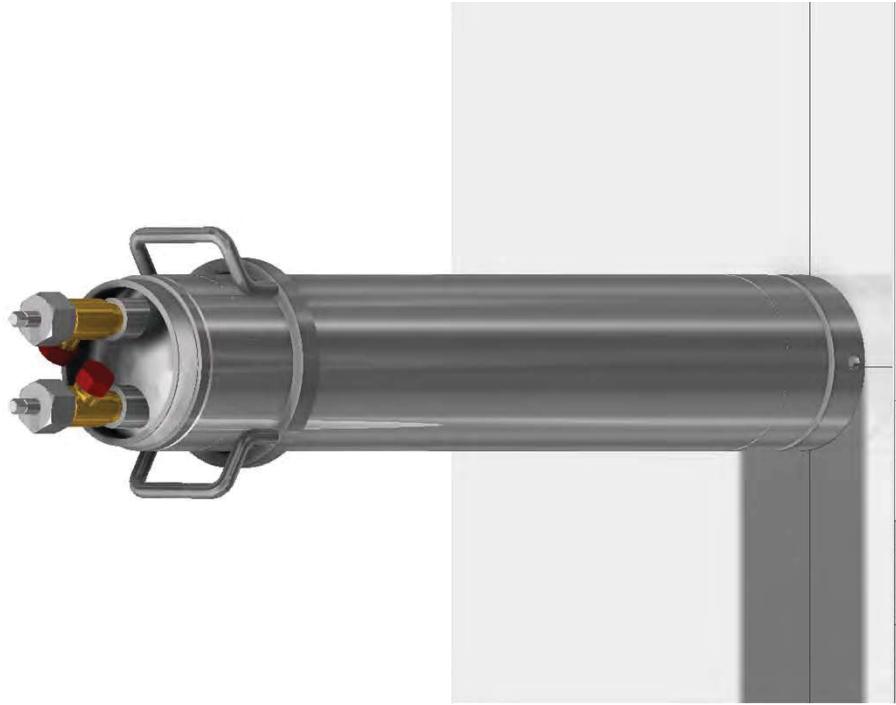
- VP-55XL is an enhanced version of the TLI's NRC approved VP-55 (55-gal Type A package).
- In addition to the increased height the VP-55XL design includes an added thermal insulation.



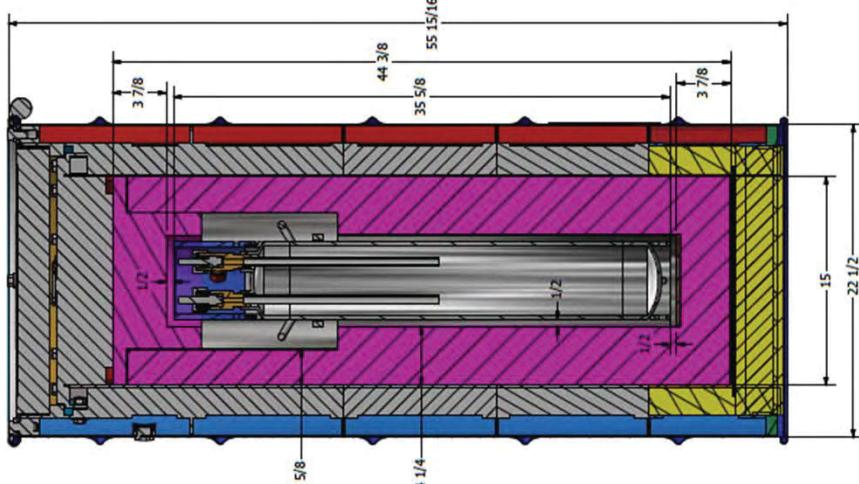
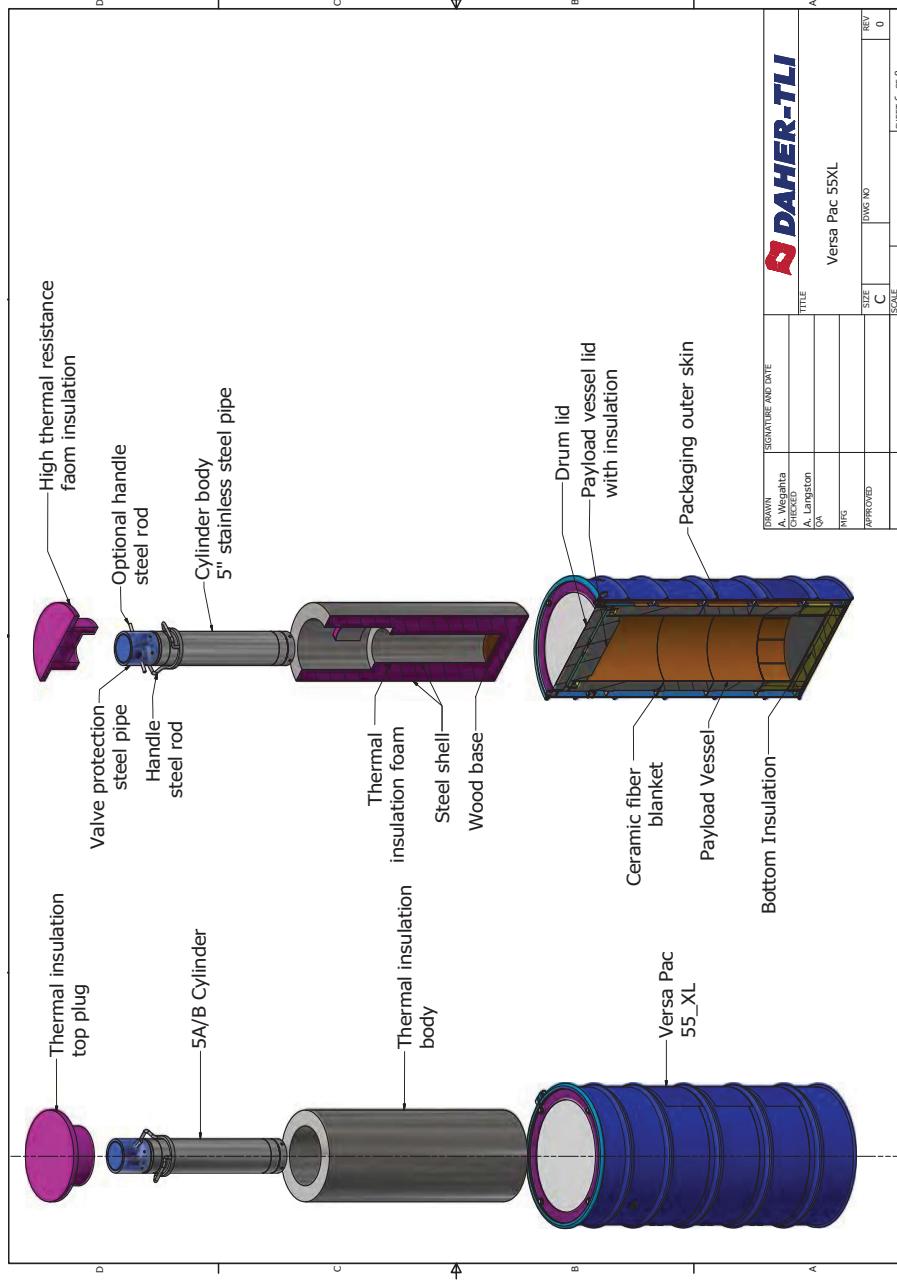
Dimensions	VP-55	VP-55XL
Overall height	34.8 in	55.92 in
Outer diameter	22.5 in	22.5 in
Cavity height	26 in	36.6 in
Cavity diameter	15 in	15 in
Tare weight	390 lb	780 lb
Gross weight	640 lb	1170 lb

VP-55XL Contents

- Contents of the package include, but not limited to, 5B/A cylinders and TRIGA fuel bundles to transport fissile radioactive material.
- 5B/A dimensions are:
 - Gross weight = 110 lb
 - Overall height = 35.625 in
 - Outer diameter = 5.563 in
- Temperature range is -40°F to 250°F.



VP-55XL Components



DRAWN BY		SIGNATURE AND DATE	REV.
A. Wiegant			0
A. Langston			
QA			
MFG			
APPROVED		TITLE	
		Versa Pac 55XL	
SIZE		DRAW NO.	
C			
SCALE		SHEET 6 OF 8	

QUESTIONS?

Criticality Sensitivity Analysis

Presented by:
Bradley T. Rearden, Ph.D.
National Technical Director
Nuclear Data and Benchmarking Program

Presented to:
INL-NEI Technical Workshop on Transportation of High Assay Low-Enriched Uranium
August 30-31, 2018
Nuclear Energy Institute
August 30, 2018

Knowledge Management

“There are known knowns; there are things we know. There are known unknowns; that is to say, there are things that we now know we don’t know. But there are also unknown unknowns – there are things we do not know we don’t know.”

-United States Secretary of Defense, Donald Rumsfeld, 2002

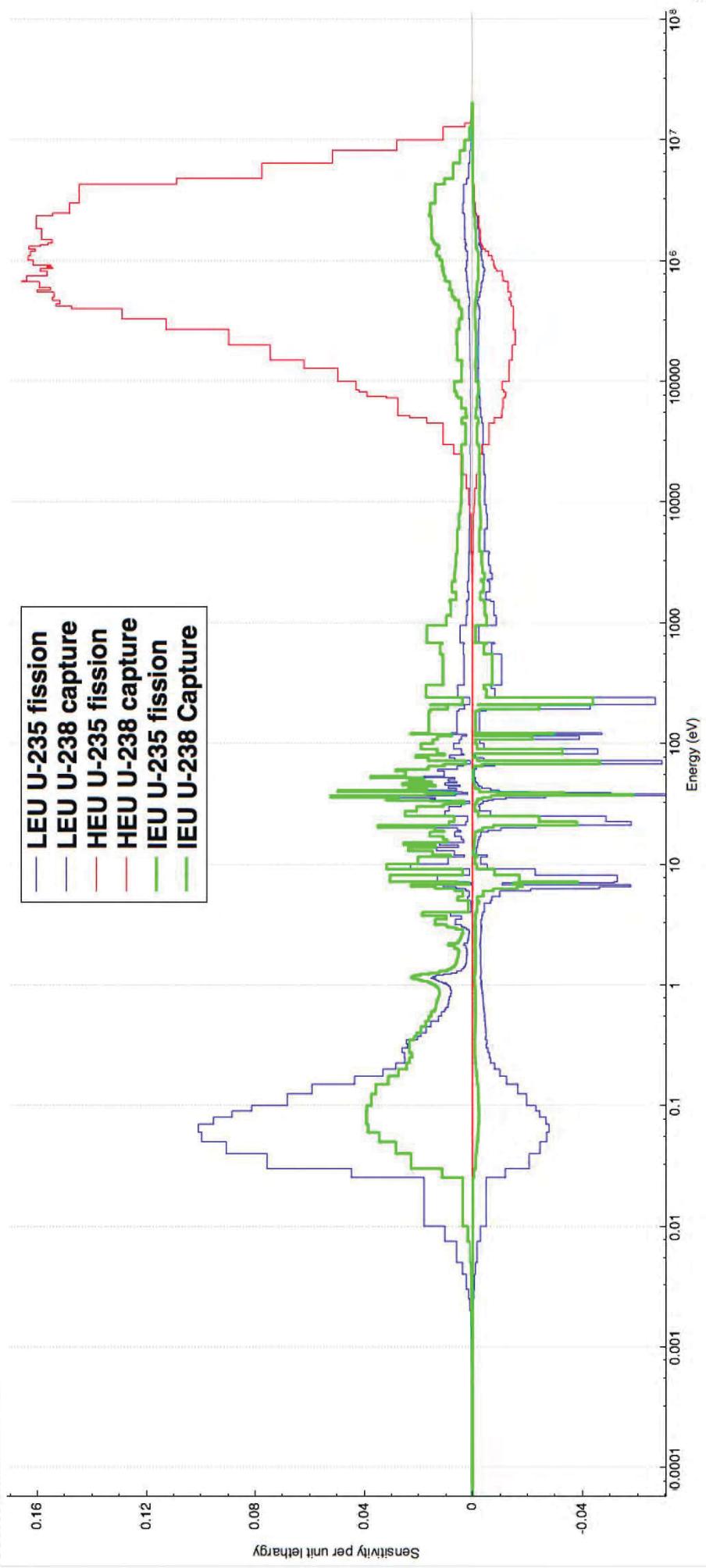


KNOWN KNOWNS	KNOWN UNKNOWNs
Measurements/Observations	Uncertainty Quantification
UNKNOWN KNOWNS	UNKNOWN UNKNOWNs
Communication	Safety Margins

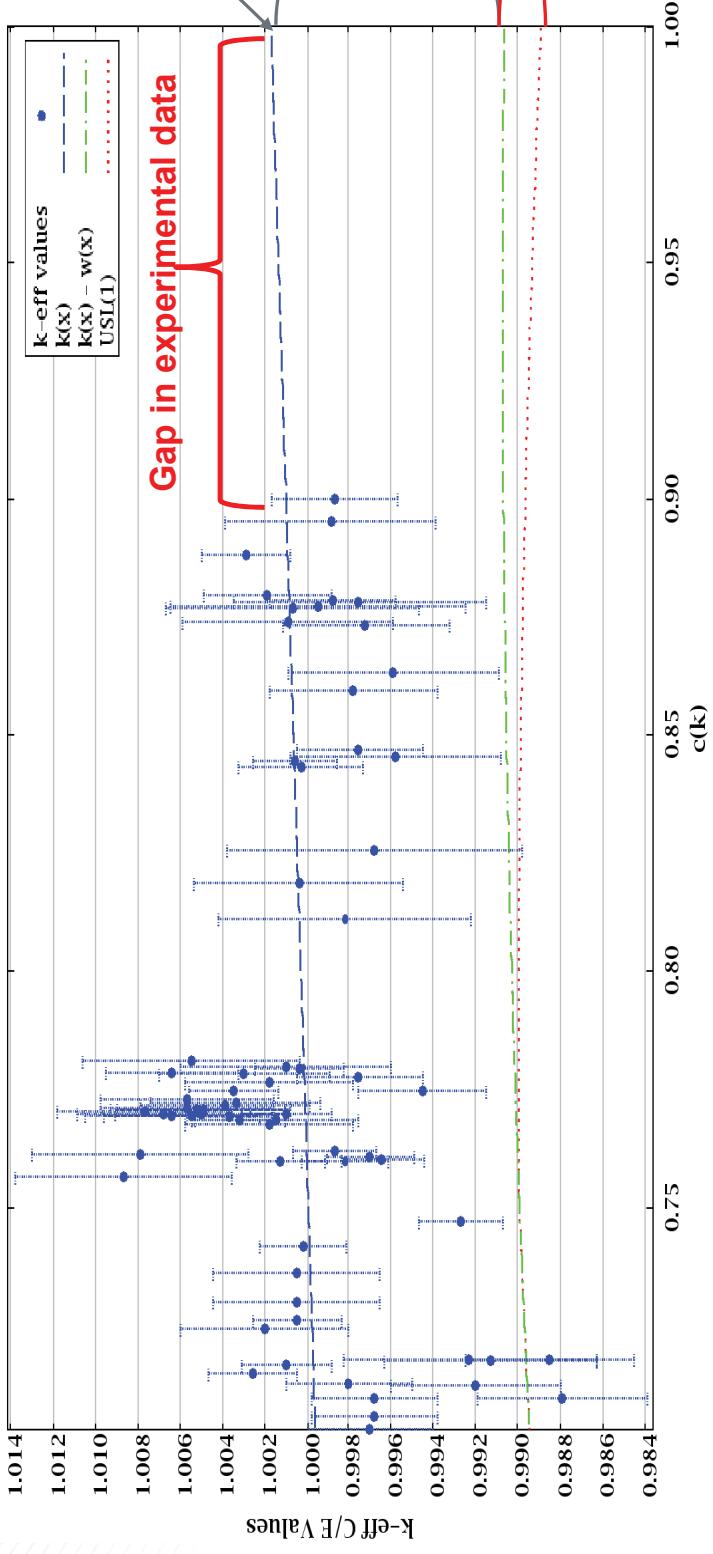
“All models are wrong, some are useful.”

-George E. P. Box – Statistician, Professor, Univ. of Wisconsin

Reminder: Sensitivity of K_{eff} to nuclear data for LEU, HEU and IEU benchmarks



Reminder: Cross section similarity as independent parameter for trending analysis



Previous activities on fuel cycle analysis for high-burnup fuel

- S/U methods applied for investigation and design of experimental benchmarks and for safety margin assessment
- Need to move beyond 5% regulatory limit

Sensitivity and Uncertainty Analyses Applied to Criticality Safety Validation



Illustrative Applications and Initial Guidance

Prepared by
B. L. Broadhead, C. M. Hopper, and C. V. ...
Oak Ridge National Laboratory

USE OF SENSITIVITY AND UNCERTAINTY ANALYSIS IN THE DESIGN OF REACTOR PHYSICS AND CRITICALITY BENCHMARK EXPERIMENTS FOR ADVANCED NUCLEAR FUEL

B. T. RIEDDEN, Oak Ridge National Laboratory, P.O. Box 2008
Oak Ridge, Tennessee 37831-6270
W.J. ANDERSON, Fissionware, KNP, Inc., P.O. Box 16935, 3313 Old Forest Road,
Lynchburg, Virginia 24506-0825
G.A. HARRIS, Sandia National Laboratories, P.O. Box 5800
Albuquerque, New Mexico 87185-1466

Received June 4, 2004

Accepted for Publication September 14, 2004

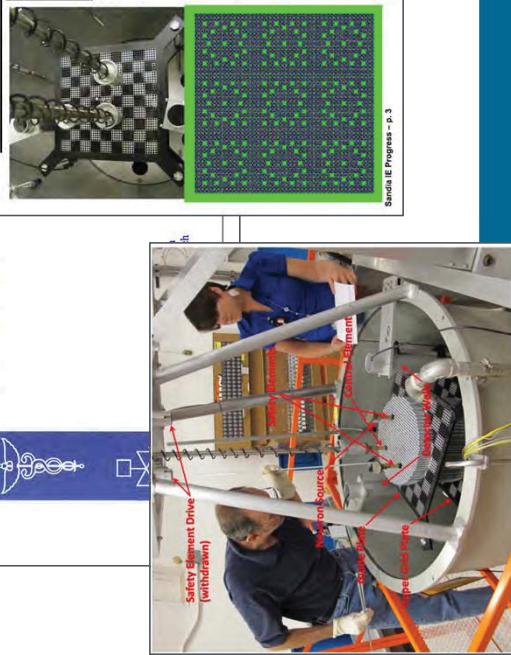
NUREG/CR-6655, Vol. 2
ORNL/TM-14892/v2

PRESS RELEASE
KEYWORDS: sensitivity and uncertainty analysis; experiment design; highly enriched fuel



The 30B cylinder: . . . can contain 2270 kilograms of low-enriched uranium in the form of uranium hexafluoride. IAEA regulations include requirements for packages to meet the following test requirements: withstand a pressure test of at least 1.4 MPa; withstand a free drop test; withstand a thermal test at a temperature of 800 °C for 30 minutes (World Nuclear News).

The Seven Percent Critical Experiment (7uPCX) is a NERI project



- Project Objective: Design, perform, and analyze critical benchmark experiments for validating reactor physics methods and models for fuel enrichments greater than 5-wt% ^{235}U
- We built new 7% enriched experiment fuel
- We built critical assembly hardware to accommodate the new core
- The core is a 45x45 array of rods to simulate 9 commercial fuel elements in a 3x3 array
- The experiment is a reaction physics experiment as well as a critical experiment
- Additional measurements will be made
 - Fission density profiles
 - Poison worth
 - Effect of water holes

Sandia IE Progress - p. 3



USE OF SENSITIVITY AND UNCERTAINTY ANALYSIS IN THE DESIGN OF REACTOR PHYSICS AND CRITICALITY BENCHMARK EXPERIMENTS FOR ADVANCED NUCLEAR FUEL

133

Keywords:
Fissionware, KNP, Inc., P.O. Box 16935, 3313 Old Forest Road, Lynchburg, Virginia 24506-0825

G.A. Harris, Sandia National Laboratories, P.O. Box 5800, Albuquerque, New Mexico 87185-1466

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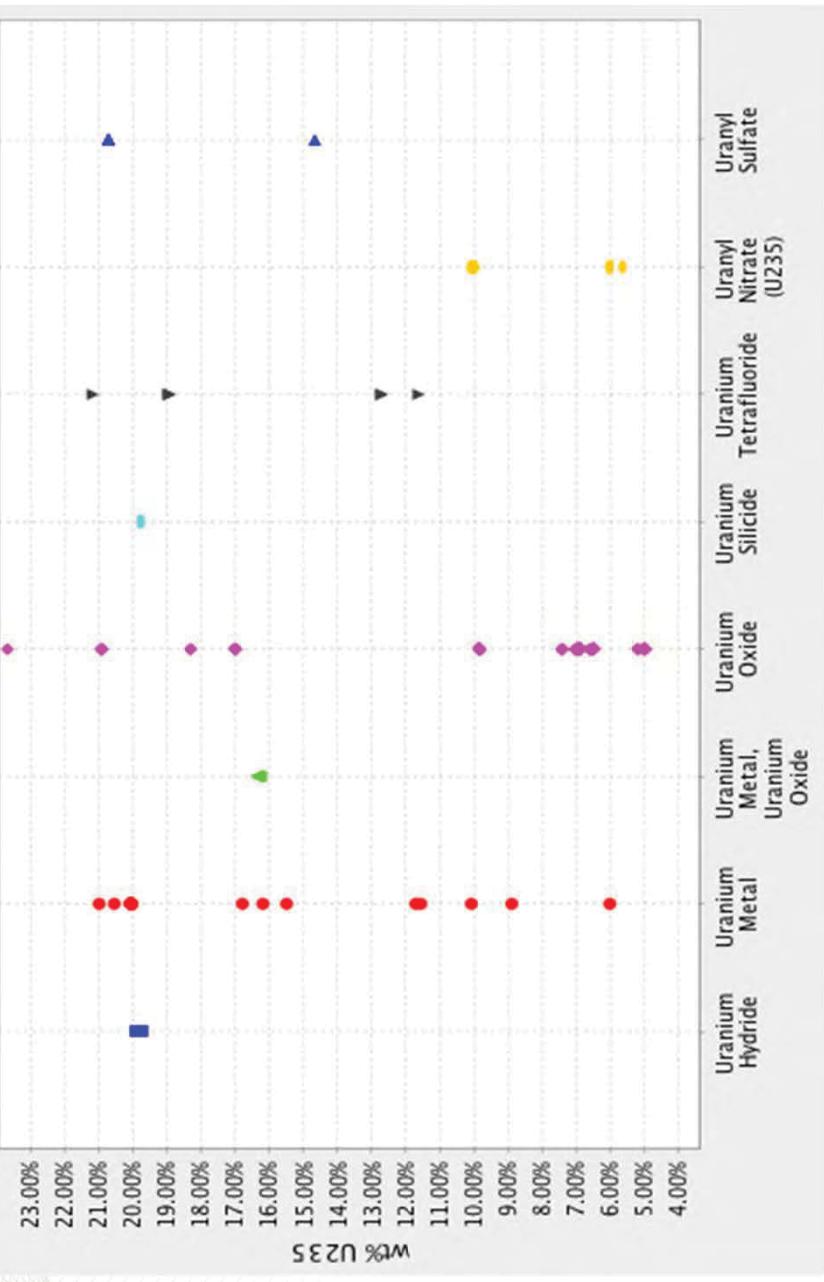
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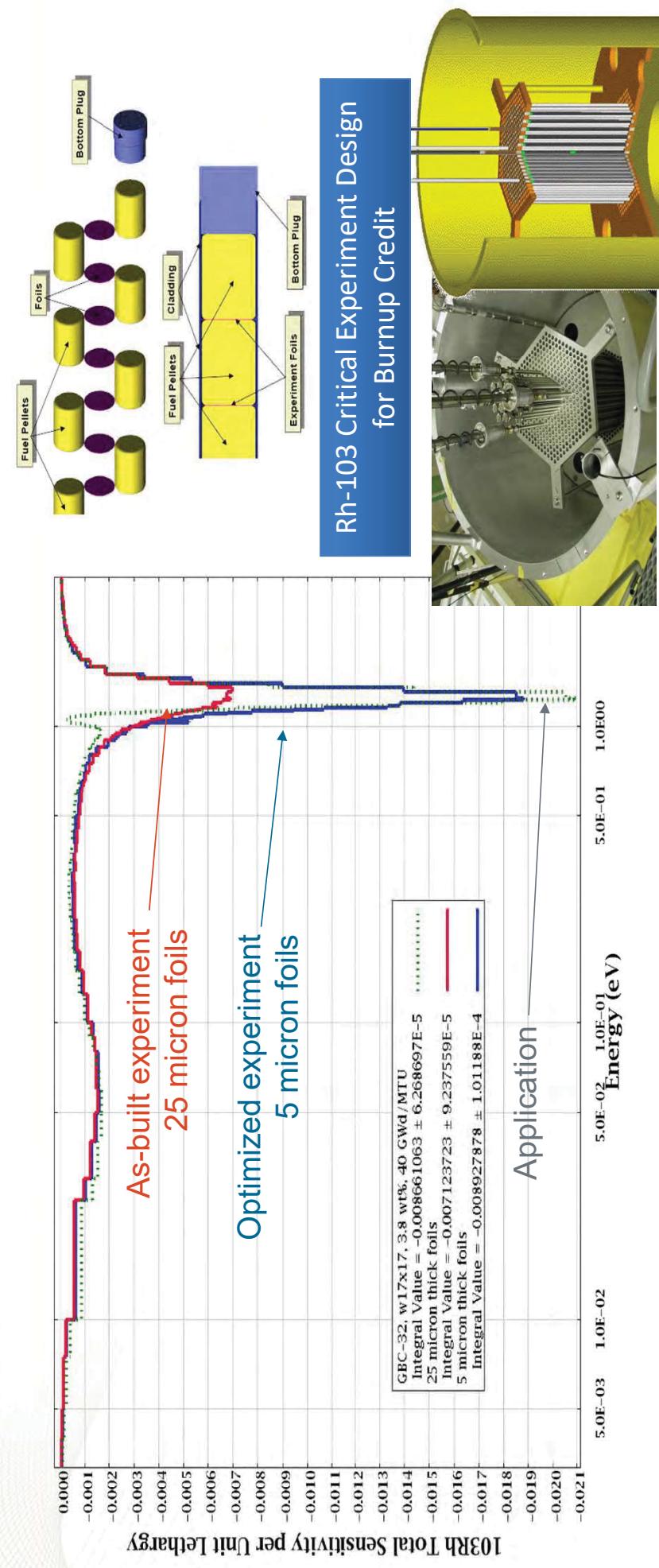
NUREG/CR-6655, Vol. 2
ORNL/TM-14892/v2

376 ICSEBEP experiments with $5\% < \text{wt\% } ^{235}\text{U} < 25\%$



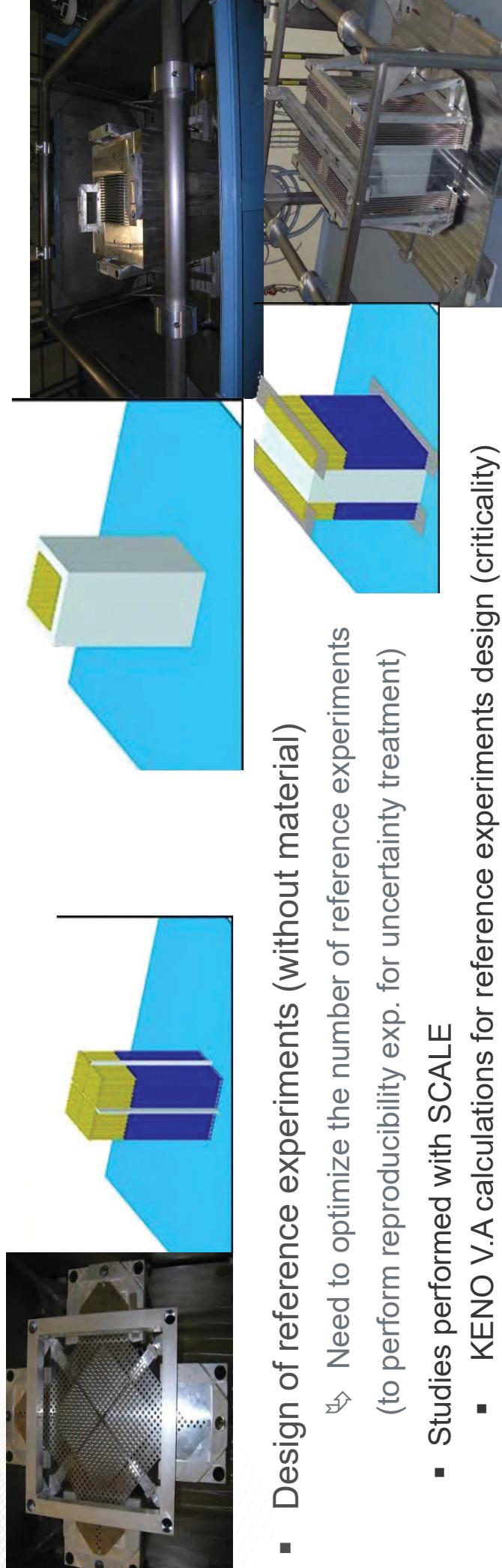
- Many legacy experiments for metallic cores
- IRRhEP has a few experiments for HTGR (HTR-10, HTTR)
- No experiments for molten salt (limited new measurements in Czech republic for non-fueled FLiBe)
- No data for FHR

Design of optimized experiments



- Experiment designs optimized to meet application needs
- Required analysis in DOE Nuclear Criticality Safety Program

Design of MIRTE reference experiments



- Design of reference experiments (without material)
 - ⇒ Need to optimize the number of reference experiments (to perform reproducibility exp. for uncertainty treatment)
- Studies performed with SCALE
 - KENO V.A calculations for reference experiments design (criticality)
 - Keep lattices dimensions and reduce critical water height
 - Keep critical water height and reduce lattices dimensions
- TSUNAMI calculations to obtain sensitivity coefficients
 - Comparison of sensitivity profiles for Uranium cross sections between experiments with and without material

Comments on Use of S/U in Validation

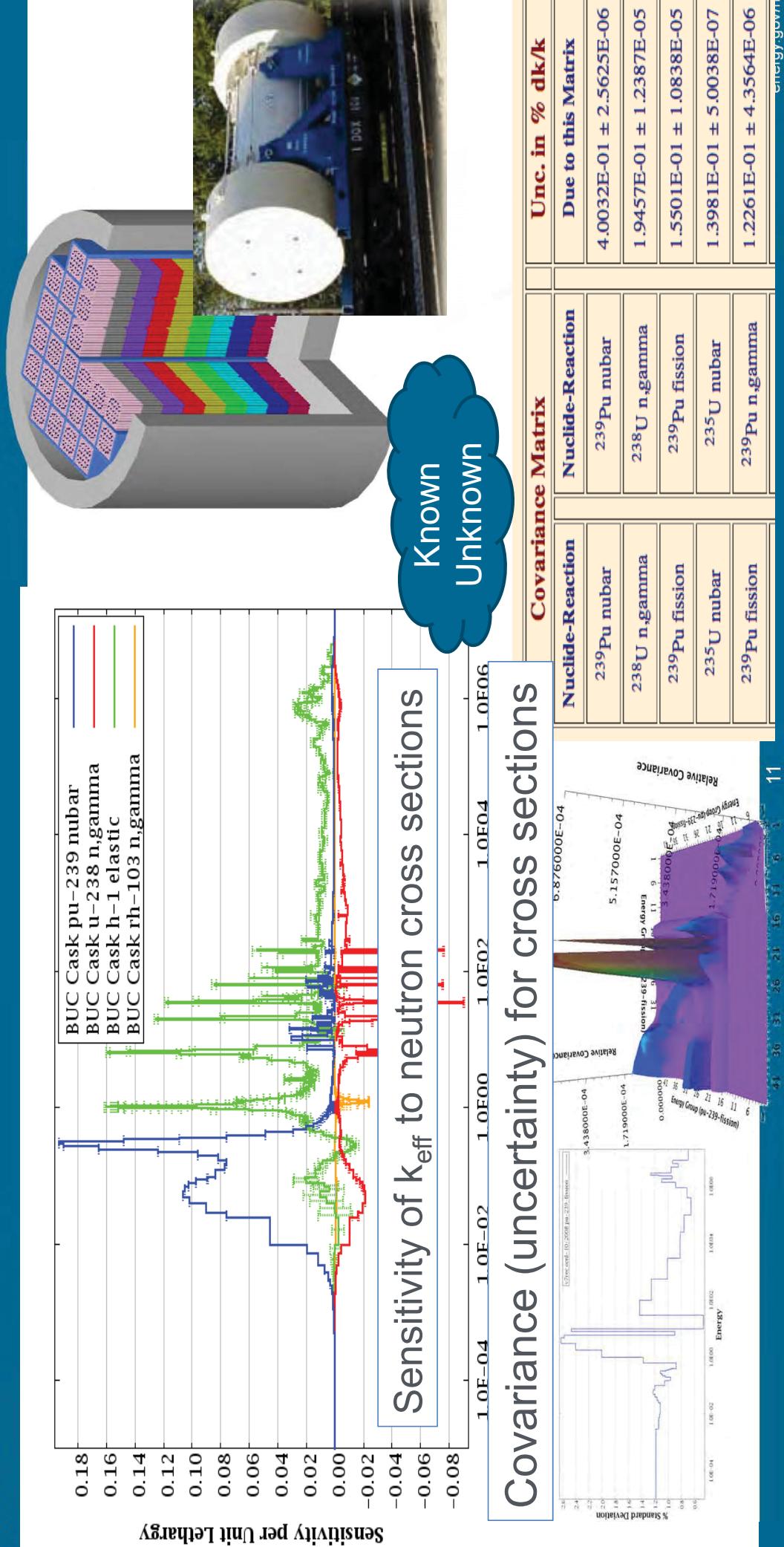
- Provides advanced methods for challenging validation scenarios.
- Allows for combining information from many diverse experiments.
- Extracts and projects bias information from replacement experiments.
- Surrogate for validation to fill gaps where experiments are not available.
- Design of new experiments targeted to meet application needs.
- Data and tools readily available for production use.

Reminder: Knowledge Management



KNOWN KNOWNS	KNOWN UNKNOWNs
Measurements/Observations	Uncertainty Quantification
UNKNOWN KNOWNS	UNKNOWN UNKNOWNs
Communication	Safety Margins

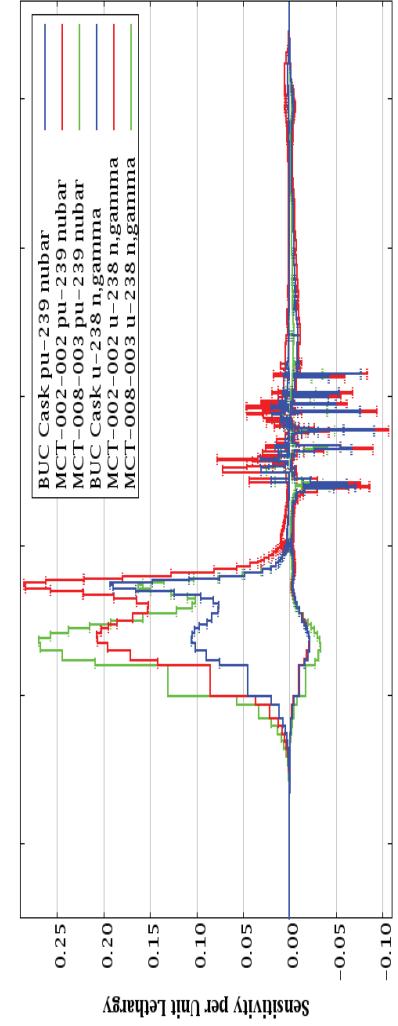
Identifying important processes and uncertainties



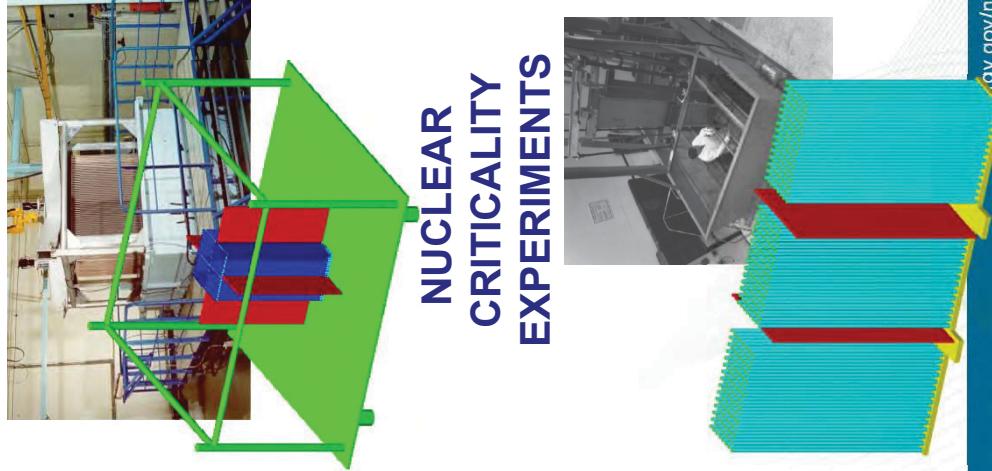
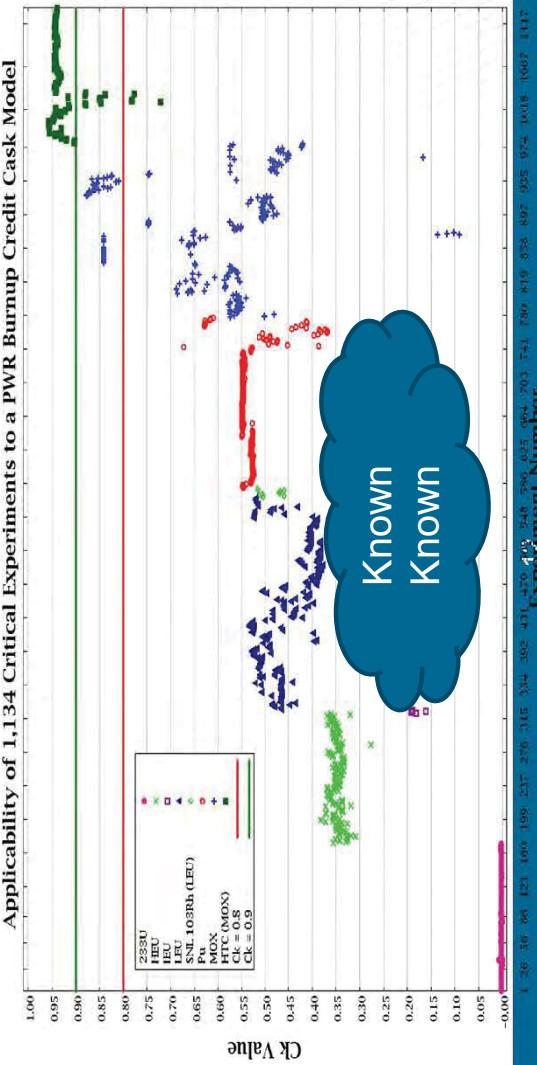
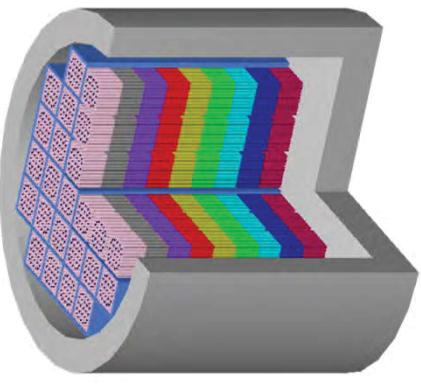
Code Validation: Identification of Laboratory Experiments that are Similar to the Targeted Application



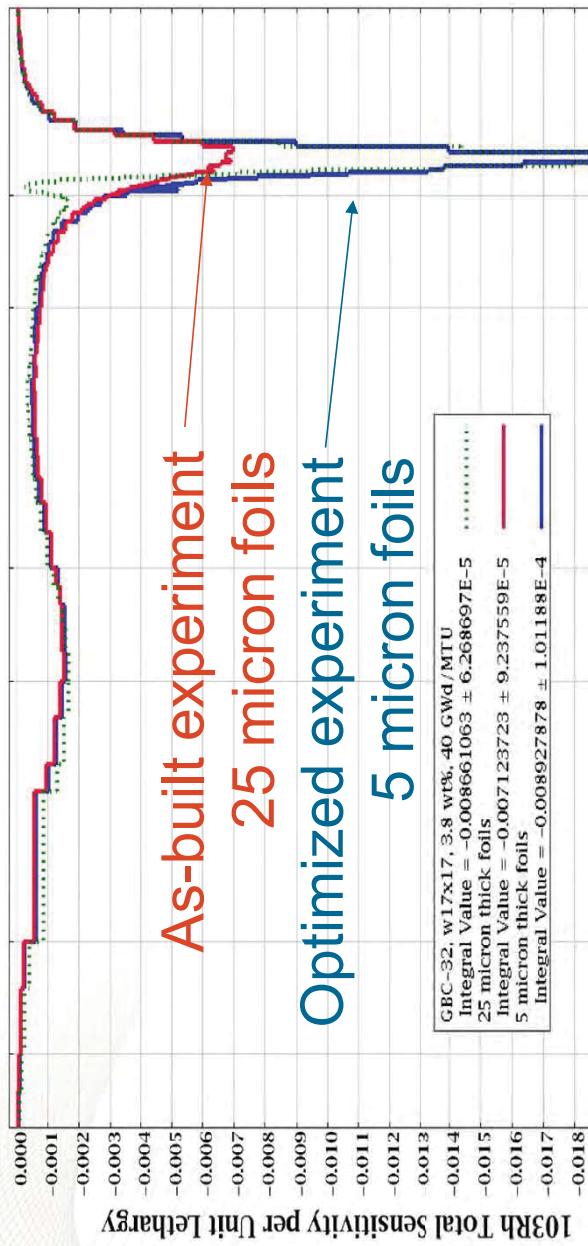
APPLICATION



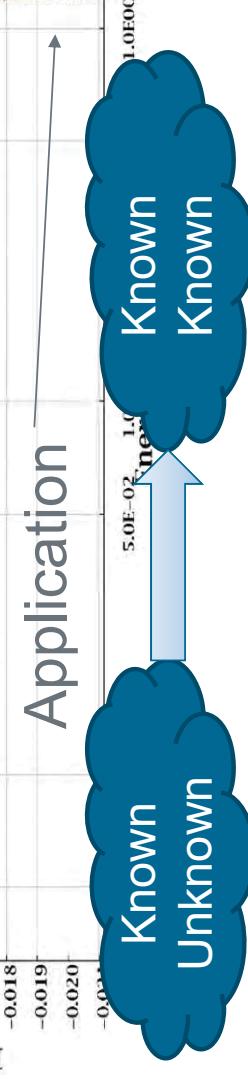
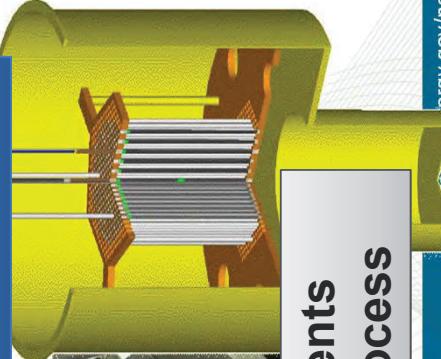
NUCLEAR CRITICALITY EXPERIMENTS



Design of optimized experiments in US and abroad

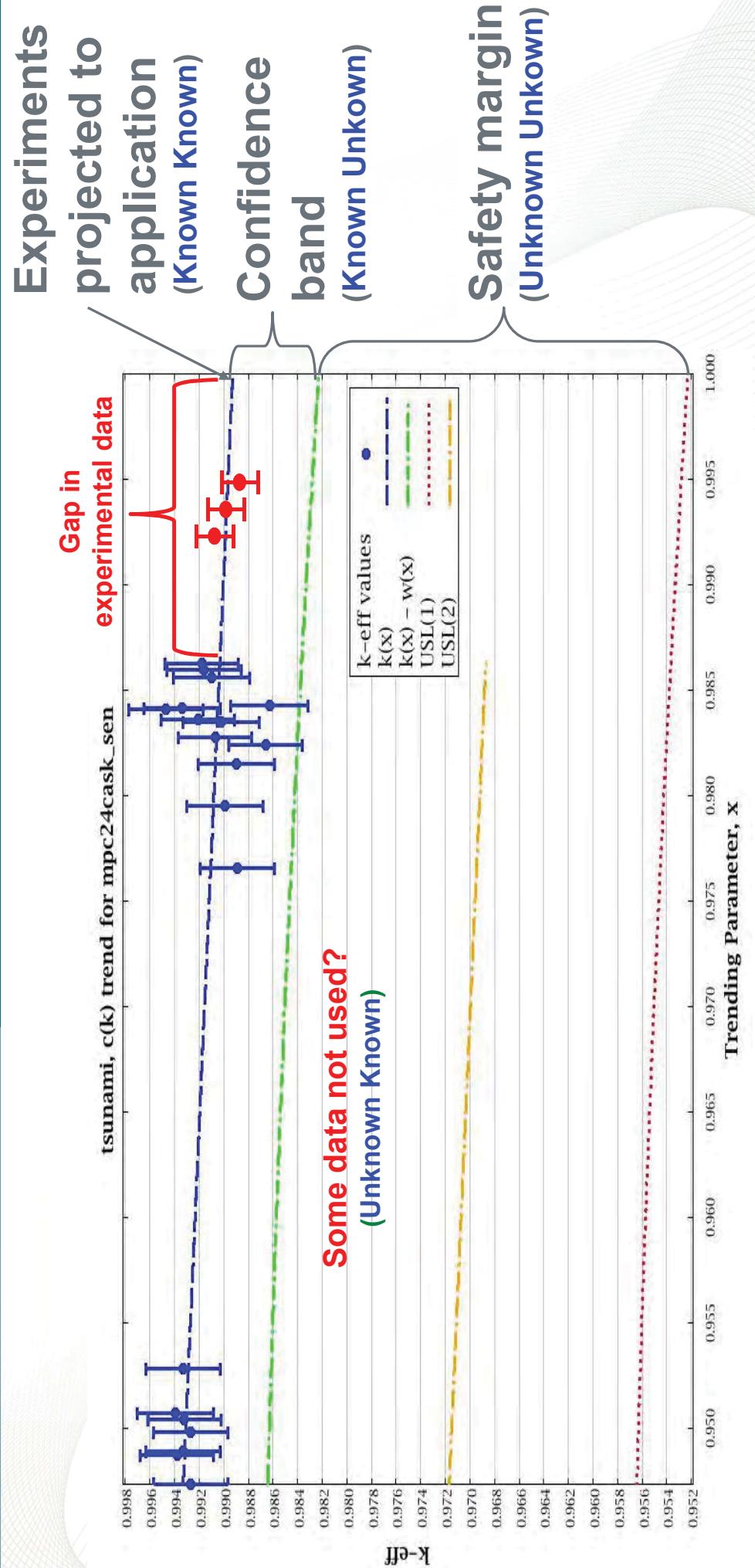


Rh-103 Critical Experiment
Design for Burnup Credit



Experiment designs optimized to fill gaps not met by other experiments
Required analysis in DOE Nuclear Criticality Safety Program C_EdT Process

Setting safety limits



Sensitivity/uncertainty analysis methods in practice

- U.S. Nuclear Regulatory Commission
 - Nuclear Materials Safety and Safeguards, Nuclear Reactor Regulation, Office of New Reactors
- U.S. DOE / Areva / Duke Energy
 - Mixed Oxide Fuel Fabrication Facility
- Candu Energy
 - ACR-1000 Design Validation
- NRC / Atomic Energy of Canada, Ltd.
 - ACR-700 NRC Review/PIRT
- U.S. DOE
 - Yucca Mountain post-closure criticality safety
- Global Nuclear Fuels
 - Transportation package licensing
- Svensk Kärnbränslehantering AB (SKB)
 - Swedish used fuel repository
- Organization for Economic Cooperation and Development,
Nuclear Energy Agency / International Atomic Energy Agency
 - International Expert Groups

The image shows the cover page of a report titled "An Approach for Validating Actinide and Fission Product Burnup Credit Criticality Safety Analyses—Criticality (K_{eff}) Predictions". The report is from the U.S. Nuclear Regulatory Commission (US NRC). The cover includes the US NRC logo and the text "United States Nuclear Regulatory Commission Protection, Peace, and the Environment". At the bottom left, there is a small note: "NUREG-GR-77109 ORNL/TM-2011/04 Nuclear Science NEA/WNC/WINCS, docID:491377 October 2013 www.nrc.gov/reactors/analysis.html". The right side of the cover features a stylized purple and white circular graphic.

Fission nuclear data programs and prioritization

OECD Nuclear Energy Agency high priority request list

NEA Nuclear Data High Priority Request List					
HPRL Main	High Priority Requests (HPR)	General Requests (GR)	Special Purpose Quantities (SPQ)	New Request	SGC/HPRL Documents
Results of your search in the request list:					
Requests are shown from the following list(s):					
ID	View	Target	Reaction	Quantity	Energy range Sec. E./Angle See details
2H		8-O-16	(n,a), (n,abs) (n,f)	SGC prompt g	2 MeV-20 Mev Thermal-Fast Eg=0-10MeV
3H		94-PU-239	(n,f)	SGC prompt g	7.5 Y Fission Eg=0-10MeV
4H		92-U-235	(n,g)	SGC 0-5.5-0 keV	7.5 Y Fission Eg=0-10MeV
5H		72-HF-0	(n,e1)	DA,DB 0.1 MeV-1 MeV	4 Y Fission 0-180 Deg
8H		1-H-2	(n,g)	SGC,RP 100 eV-1 MeV	5 Y Fission 0-180 Deg
12H		92-U-235	(n,g)	SGC Thermal	3 Y Fission See details
15H		95-AM-241	(n,g),(n,tot) (n,inl)	SGC 65 keV-20 MeV 9 keV-6 MeV	Y Fission Emis spec. See details See details
18H		92-U-238	(n,f)	SGC 180 keV-20 MeV	Y Fission See details
19H		94-PU-238	(n,f)	SGC 0.5 keV-6 MeV	Y Fission See details
21H		95-AM-241	(n,f)	SGC 65 keV-6 MeV	Y Fission See details
22H		95-AM-242	(n,f)	SGC 0.5 keV-6 MeV	Y Fission See details
25H		96-CH-244	(n,f)	SGC 0.5 keV-6 MeV	Y Fission See details
27H		96-CM-245	(n,f)	SGC 0.5 MeV-1.3 MeV	Y Fission Emis spec. See details
29H		11-NA-23	(n,inl)	SGC 0.1 eV-1.35 MeV	Y Fission See details
32H		94-PU-239	(n,g)	SGC 0.1 eV-1.35 MeV	Y Fission See details
33H		94-PB-241	(n,g)	SGC 0.5 MeV-20 MeV	Y Fission Emis spec. See details
34H		26-PB-56	(n,inl)	SGC 0.5 eV-1.35 MeV	Y Fission See details
35H		94-PB-241	(n,f)	SGC 20 eV-25 keV	Y Fission See details
36H		92-U-238	(n,g)	SGC 0.5 keV-5 MeV	Y Fission See details
37H		94-PB-240	(n,f)	SGC nubar	Y Fission See details
38H		94-PB-240	(n,f)	SGC 200 keV-20 MeV	Y Fission See details
39H		94-PB-242	(n,f)	SGC 1.4 MeV-6 MeV	Y Fission See details
40H		14-SI-38	(n,inl)	SGC 0.5 MeV-6 MeV	Y Fission See details
41H		82-PB-206	(n,inl)	SGC 0.5 MeV-6 MeV	Y Fission See details
42H		82-PB-207	(n,inl)	SGC 10 MeV-20 MeV	Y Standard 4 pi See details
43H		1-H-1	(n,e1)	SGC,DA 200 keV-20 MeV	1-2 Y Standard Y Fission
44H		93-NP-237	(n,f)	SGC,DB 10 MeV-20 MeV	10 Y Fusion See details
45H		19-K-39	(n,p),(n,np)	SGC	10 Y Fusion See details

Number of requests found: 28 (out of a total of 89 requests).
[Download consolidated output report](#)


**International Evaluation
Co-operation
Volume 26**


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BETTER POLICIES FOR BETTER LIVES

<https://www.oecd-nea.org/dbdata/hprl/>

Nuclear Science
NEA/WPEC-26
www.oecd-nea.org

Nuclear Data Interagency Working Group

March 2018 FOA –
NP, ASCR, NE, NA-22



NUCLEAR DATA INTERAGENCY WORKING GROUP /
RESEARCH PROGRAM

DOE NATIONAL LABORATORY ANNOUNCEMENT NUMBER:

LAB-18-1903

ANNOUNCEMENT TYPE: INITIAL

Announcement Issue Date:	March 26, 2018
Submission Deadline for Letter of Intent:	April 13, 2018 at 5 PM Eastern
Proposed Encouraged Coverage Date:	A letter of intent is required
Submission and Final Application Dates:	May 29, 2018, at 5 PM Eastern
Submission Deadline for Applications:	June 15, 2018 at 5 PM Eastern

Partners	Program Managers	Program Area	NDWG Member	Organization
NNSA/DNN R&D	Donny Hornback	Proliferation Detection	Catherine Romano (Chair) Candido Pereira	ORNL ANL
DOE/SC/Nuclear Physics	Tim Hallman Ted Barnes	Nuclear Physics/Nuclear Data	Lee Bernstein Dave Brown	LLNL BNL
NNSA/DNN R&D	Donna Wilt	Forensics / Post Detonation	Todd Bredeweg Jason Burke	LANL LLNL
DNDO/ Transformational & Applied Research	Namdo Moon	Nuclear Detection		LANL
NNSA/NCSP	Angela Chambers	Criticality Safety	Mike Zerkle	NNL
NNSA/Defense Prog.	Ralph Schneider Staci Brown	Research and Development	Teresa Bailey	LLNL
NNSA/Defense Prog. / RESEARCH PROGRAM	Douglas Wade Adam Boyd	Physics and Engineering Models	Bob Little	LANL
DOE/Nuclear Energy	Dan Funk Dave Henderson	Nuclear Energy	Brad Rearden	ORNL
DNDO /Forensics	William Ulicny Jeff Morrison	Forensics	Richard Essex	NIST
NNSA /Forensics	Tom Black Steve Goldberg	Nuclear Technical Forensics	Bob Rundberg	LANL
DOE/SC/ Isotope Office	Jeanne Gillo Dennis Phillips	Isotope Production	Meiring Nortier	LANL
NNSA/Nuclear Safeguards and Security	Arden Dougan	Safeguards Technology	Sean Stave	ORNL
NNSA/DNN R&D	Chris Ramos	Safeguards	Chris Pickett	ORNL
		Additional Expert Contributors	Mark Chadwick Patrick Talou Alejandro Sonzogni	LANL LANL BNL

Next steps

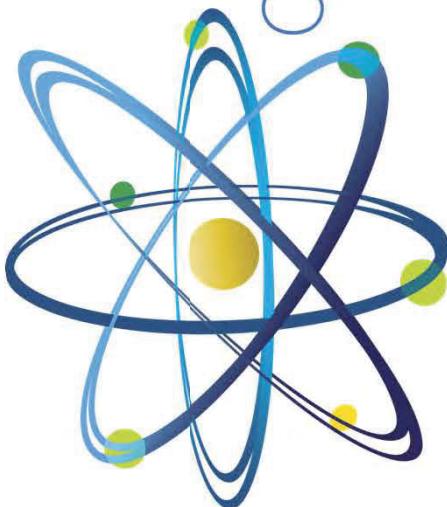
Now

- Identify candidate materials and transportation packages
- Perform nuclear data / benchmarking needs assessment and gap analysis
- Proceed with defensible safety margin (possibly at cost of efficiency)

Ongoing R&D

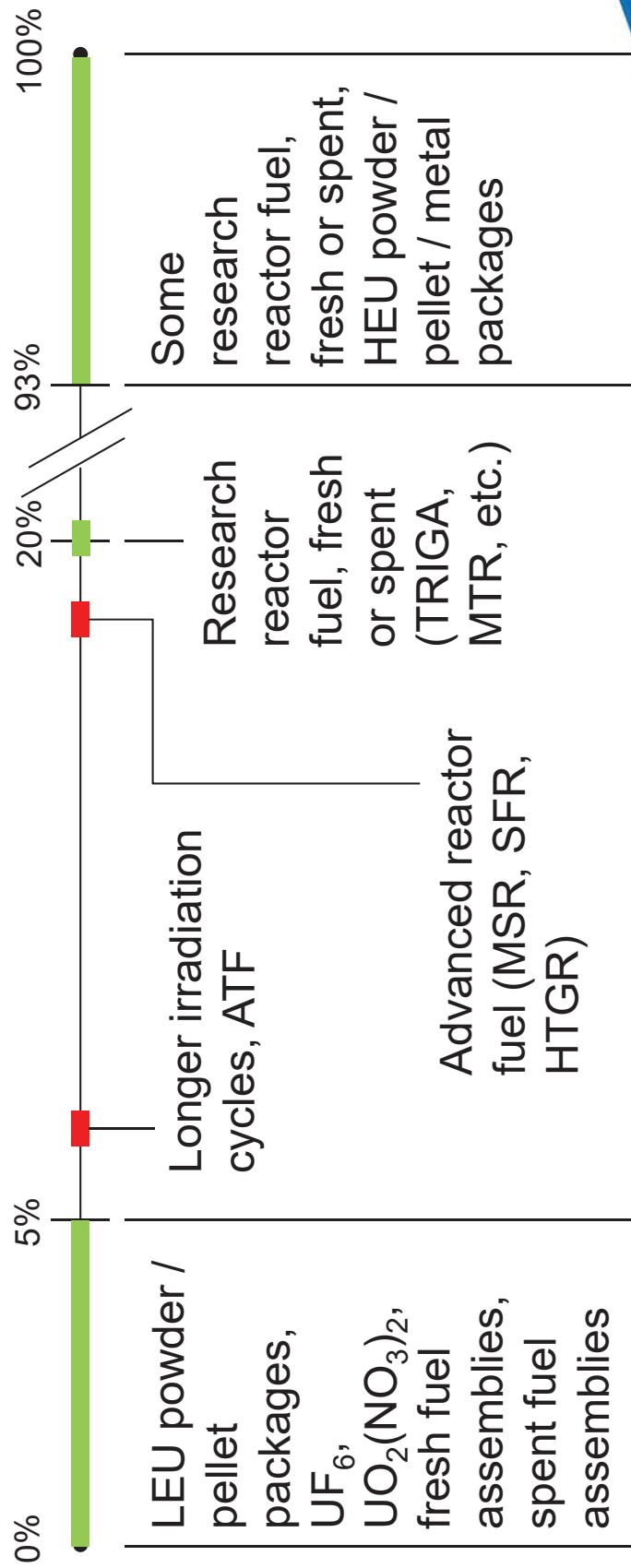
- Extend use of existing data with advanced validation methods and training
- Nuclear data gaps
 - Generate improved evaluations
 - \$1-2M, 3-5 years per nuclide
- Benchmark experiment gaps
 - Mine existing experiments for information and document as archival benchmarks
 - \$500k, 1-2 years per benchmark series
 - Build new critical experiments
 - Generate optimized experiment designs
 - Survey available facilities and materials and supplement as needed
 - \$2-5M++, 2-5 years for measurement
 - \$500k, 1-2 years to generate archival benchmark

Clean. Reliable. Nuclear.



>5.0 Weight Percent

Code Validation:



NMSS
Division of Spent Fuel Management

UF₆

- 10 CFR 71.55(g)(4) - *The uranium is enriched to not more than 5 weight percent uranium-235.*
- 49 CFR 173.417(a)(2) – “Heel” requirements: less than 5 weight percent in a 30-inch cylinder
- IAEA SSR-6 p. 680(a) – relief from water in-leakage requirement for UF₆ packages if enrichment is less than 5 weight percent
- ANSI N14.1 –
 - 30B/C, 12A/B enrichment limit: 5 weight percent
 - 8A: 12.5 weight percent
 - 5A/B: 100 weight percent
- ISO 7195 – similar to ANSI N14.1



Integral Experiments in the United States – Cost and Process

Douglas G. Bowen, Ph.D.

Nuclear Data and Criticality Safety Group Leader
Reactor and Nuclear Systems Division
Oak Ridge National Laboratory

Nuclear Criticality Safety Program Execution Manager

INL-NEI Technical Workshop on Transportation of HALEU
August 31, 2018

ORNL is managed by UT-Battelle, LLC for the US Department of Energy



Background / History

- Defense Nuclear Facilities Safety Board (DNFSB) Recommendations 93-2 and 97-2:
 - 93-2 (3/23/1993): Need for a general-purpose critical experiment capability that will ensure safety in handling and storage of fissionable material.
 - 97-2 (5/19/1997): Need for improved criticality safety practices and programs to alleviate potential adverse impacts on safety and productivity of DOE operations.
- 97-2 encompassed ongoing DOE activities of 93-2 while broadening scope to address important cross-cutting safety activities needed to ensure NCS throughout the Complex.
- **DOE Implementation Plan for Board Recommendation 93-2 and 97-2 resulted in establishment of the US Nuclear Criticality Safety Program (NCSPP)**



Defense Nuclear Facilities Safety Board

NCSP Organization and Overview

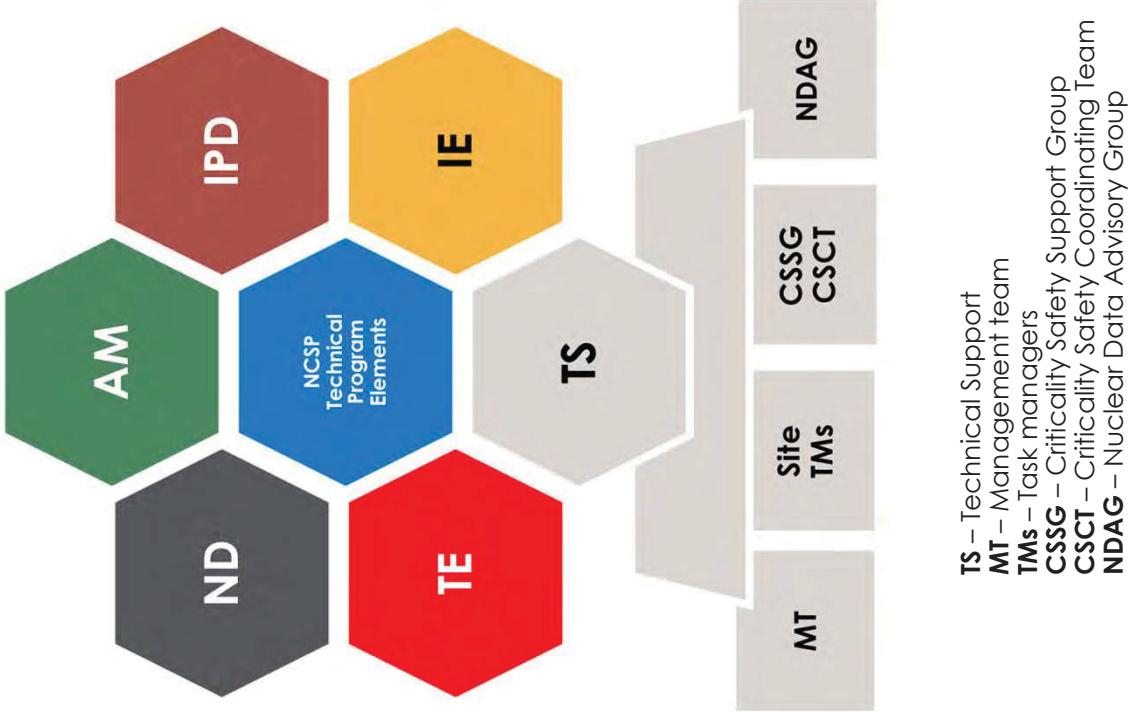
- Mission
 - Provide sustainable expert leadership, direction and the technical infrastructure necessary to develop, maintain and disseminate the essential technical tools, training and data required to support safe, efficient fissionable material operations within the Department of Energy.
- Vision
 - Continually improving, adaptable and transparent program that communicates and collaborates globally to incorporate technology, practices and programs to be responsive to the essential technical needs of those responsible for developing, implementing and maintaining nuclear criticality safety.

10 Year Mission & Vision

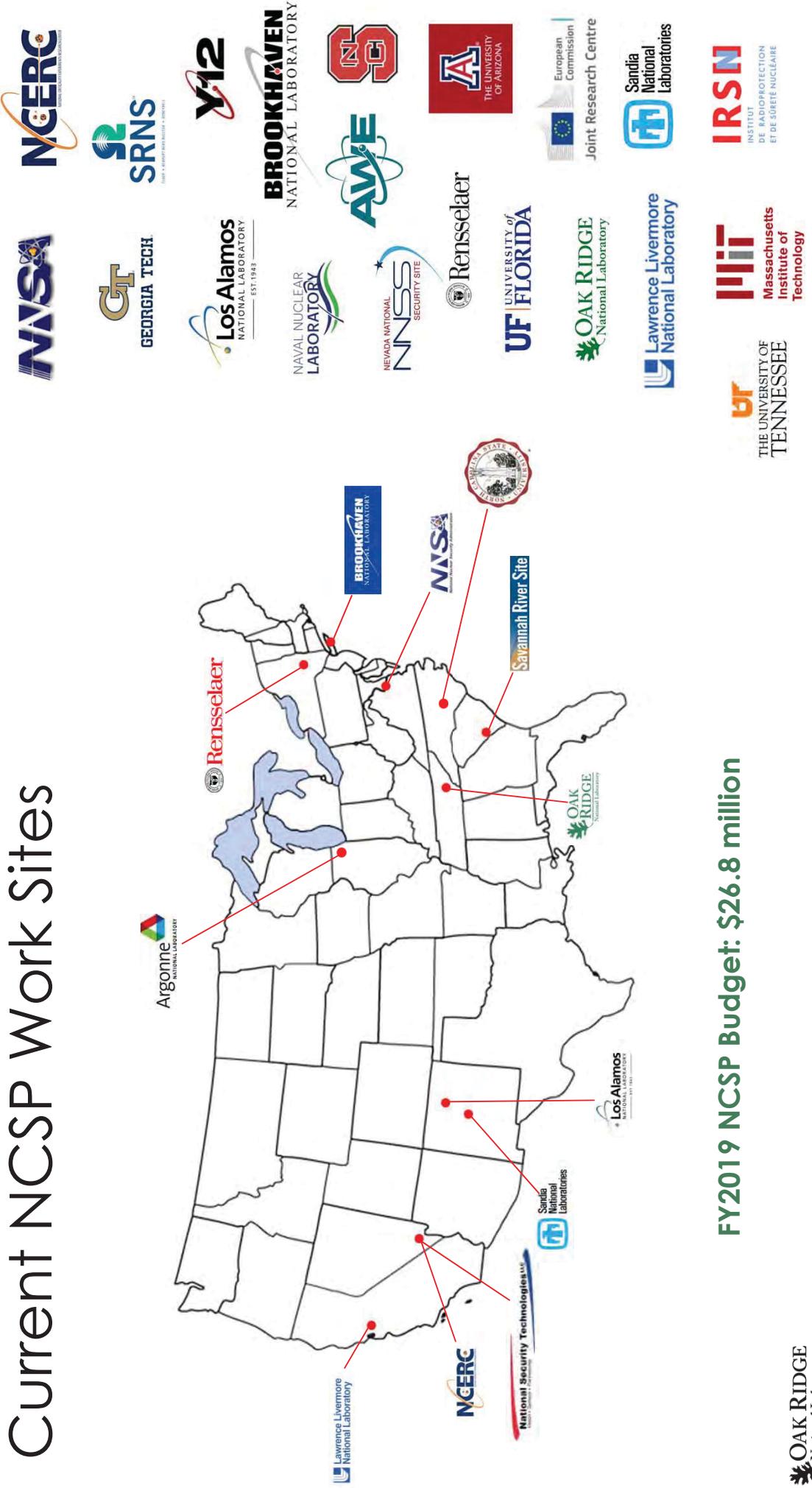


NCSP Technical Program Elements

- **Analytical Methods (AM) – 15% of budget**
 - Maintain and Improve the Production Codes and Methods for Criticality Safety Engineers (MCNP/SCALE, NJOY/AMPX)
- **Nuclear Data (ND) – 13% of budget**
 - Perform Measurements of Basic Nuclear (Neutron) Physics Cross-Sections and Generate New Evaluated Cross-Section Libraries and Covariance Data for Use in Production Criticality Safety Codes
- **Information Preservation and Dissemination (IPD) – 4% of budget**
 - Protects Valuable Analyses and Information Related to Criticality Safety (includes ICSEBEP)
- **Integral Experiments (IE) – 52% of budget**
 - Critical and Subcritical Experiments at the Critical Experiments Facility (CEF) at the Device Assembly Facility (DAF) in Nevada and Sandia National Laboratory Pulse Reactor Facility– provides integral tests of codes and data
- **Training and Education (TE) – 6% of budget**
 - Web-based training modules and 1- & 2-week Hands-On Criticality Safety courses for Criticality Safety Engineers, Line Management, and Oversight Personnel
- **Technical Support (TS) – 10% of budget**
 - Managerial and technical support



Current NCSP Work Sites



FY2019 NCSP Budget: \$26.8 million

US DOE NCSP Contributors

US Contributors

- National Laboratories
 - Argonne (ANL)
 - Brookhaven (BNL)
 - Lawrence Livermore (LLNL)
 - Los Alamos (LANL)
 - Oak Ridge (ORNL)
 - Pacific Northwest (PNNL)
 - Sandia (SNL)
- Sites
 - Nevada National Security Site (NNS) – Savannah River (SRNL)
 - Y-12
- Universities
 - Rensselaer Polytechnic Institute (RPI)
 - Georgia Institute of Technology (Ga Tech)
 - North Carolina State University (NCSU)
 - Massachusetts Institute of Technology (MIT)
 - University of Florida (Gainesville) (UF)
 - University of Tennessee (Knoxville) (UTK)

International Partners

- U.K.: AWE (JOWOG-30)
- France:
 - IRSN (Formal MOU with NCSP)
 - CEA (Nuclear Data)
- Belgium: Institute for Reference Materials and Measurements (IRMM) differential nuclear data measurements
- OECD/NEA
 - ICSBEP
 - WPEC
 - WPNCs

NCSP Integral Experiments

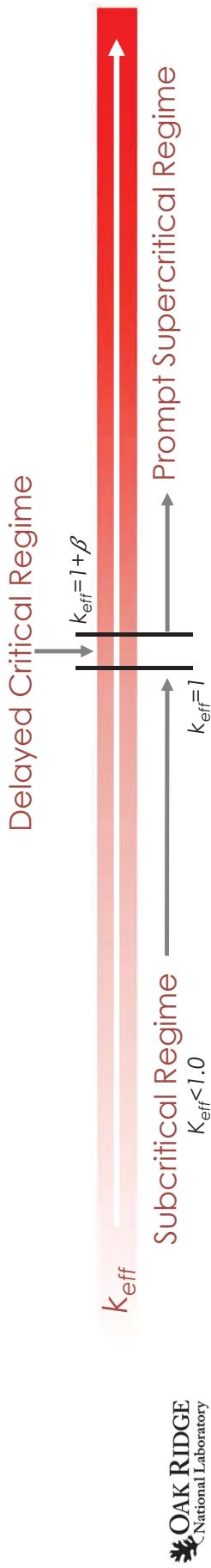
- NCSP integral measurements are performed at
 - Sandia National Laboratories (SNL) and
 - National Criticality Experiments Research Center (NCERC), currently operated by Los Alamos National Laboratory
 - NCERC is located at the Nevada National Security Site (NNSS) inside the Device Assembly Facility (DAF)
- Types of experiments that can be performed
 - Subcritical
 - Rocky Flats shells, BeRP ball, Np-237 sphere, TACS shells, etc.
 - Critical/Delayed Supercritical
 - NCERC: Planet, Comet, Godiva IV, Flattop
 - Sandia: Sandia Pulse Reactor critical assembly (2 fuel types, currently)
 - Prompt Supercritical
 - NCERC: Godiva IV (< 300 deg. C pulse)



DAF/NCERC



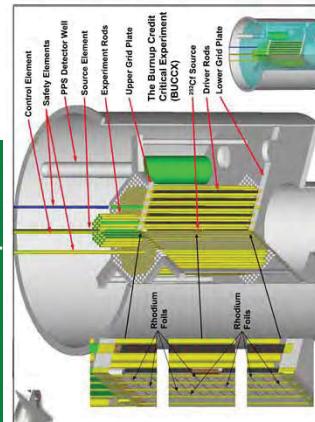
SNL/TA-V/SPR Facility



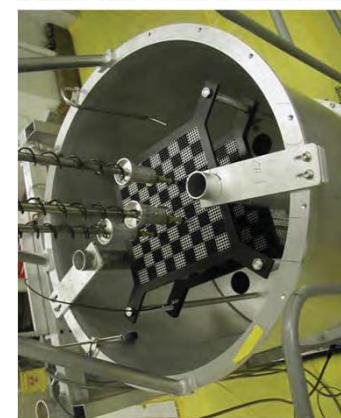
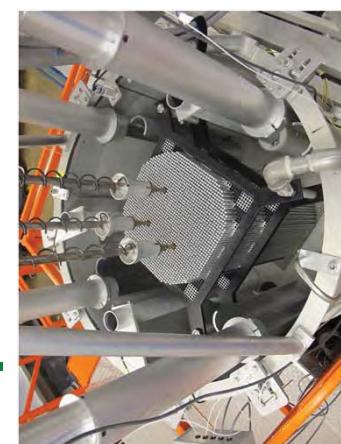
NCSP Critical Assemblies

Sandia National Laboratory

SNL - BUCCX - U(4.31)/Fission Product Experiments



SNL - 7uPCX - U(6.9) UO₂ rods



NCERC / DAF

NCERC - Np-237 Sphere



NCERC - BeRP Ball



NCERC - Godiva IV



NCERC - Planet



NCERC - Comet



Overview of the NCSP C_EdT Process

- Experimental phases
 - CED-0 – experiment proposal is submitted
 - CED-1 – preliminary design of the experiment
 - CED-2 – final design of the experiment
 - CED-3
 - CED-3a – Schedule/cost/procurement/installations/etc.
 - CED-3b – experiment execution
 - CED-4
 - CED-4a – summary of experimental data collected during the experiment to ensure it met requirements
 - CED-4b – publish final laboratory report or formal critical experiment benchmark report
- Each experiment is assigned a team of experts to provide support
- The experiments take years to complete and are dependent upon the regulatory environment, critical experiment assembly availability, availability of trained operators, etc.



Critical & Subcritical Experiment Design

Team (C_EdT) Process Manual of the
United States Department of Energy
Nuclear Criticality Safety Program

This manual provides the Data Requestor and other C_EdT members all information needed to perform the tasks assigned to the team. It is updated by the C_EdT Manager as procedures and/or contracts change.

C_EdT Manual

- Roles & Responsibilities
- Guidance for
 - Completing the experimental phases
 - Obtaining approval from the NCSP Manager
 - Requesting schedule/scope baseline changes
 - Technical conflict resolutions
 - Using the NCSP experiment database
 - Requesting a new experiment

Costs to Design and Perform Critical Experiments

C _E dT Phase Gate	Description	Cost (k\$) (low)	Cost (k\$) (high)	Duration	Comments
CED-1	Preliminary Design	\$ 75	\$ 150	3-12 months	Depends significantly on the complexity of the experiment
CED-2	Final Design	\$ 100	\$ 250	6-12 months	
CED-3a	Costs estimated for procurements and procedure development; resource loaded schedule developed; component fabrication	\$ 50	\$ 300	3-6 months	Material procurements, reactor safety committee approvals, safety basis changes, and procedure reviews can be expensive
CED-3b	Experiment execution	\$ 100	\$ 1,000	3-6 months	Approximate costs per site: SNL – \$45k/week; NCERC – \$75k/week
CED-4a	Process experimental data; Begin to document final report	\$ 50	\$ 250	3-6 months	
CED-4b	Publish final report	\$ 50	\$ 150	6-12 months	Sponsor report or an evaluation for the International Handbook of Evaluated Criticality Safety Benchmark Experiments
Total Estimated Cost		\$ 425	\$ 2,100	24-54 months	

Experimental Cost Discussion

- Sandia Example (6.9% Fuel Benchmark)

- Experiments for ICSBEP handbook
 - Series of 19 configurations
- Experimental duration and costs

Phase	Date/Duration	Cost (x\$1,000)
CED-0	Late 2012	–
CED-1	3/2013	80
CED-2	9/2013	75
CED-3a	1/2014	200
CED-3b	9/2014	195
CED-4a	9/2015	243
CED-4b		
Total Cost	Duration ~3 yr.	793

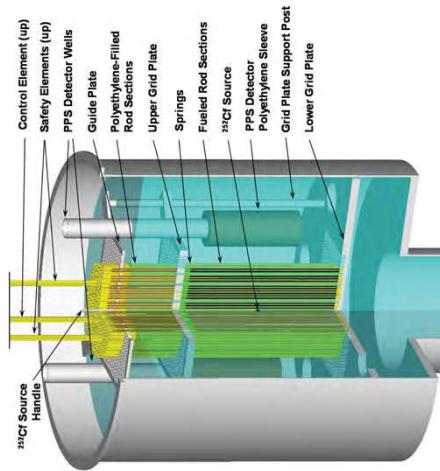
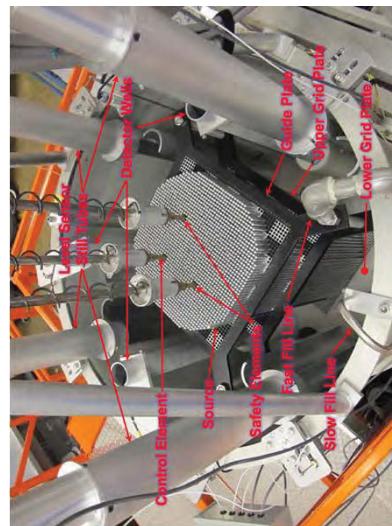
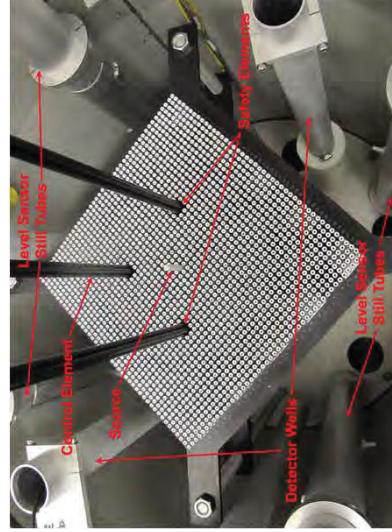


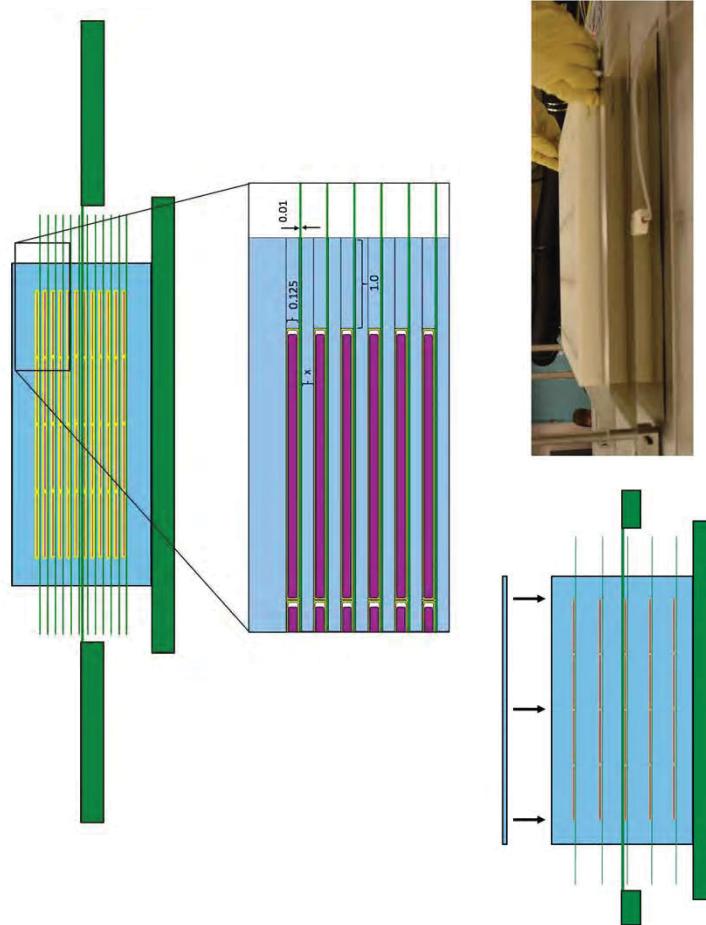
Figure 2. Critical Assembly Concept of the 7uPCX.



Experimental Cost Discussion

- NCERC Example (LLNL Pu TEX Experiments)
 - Experiments for ICSBEP handbook
 - Series of 10 experiments
 - Five baseline thermal, intermediate, and fast experiments
 - Five with a tantalum layer to test cross sections
 - Experimental duration and costs

Phase	Date/Duration	Cost (x\$1,000)
CED-0	5/2011	–
CED-1	9/2012	100
CED-2	11/2014	150
CED-3a	10/2017	200 – 65 (Component Fabrication) – 125 (Procedure Dev.)
CED-3b	In progress (2018)	600 (est.)
CED-4a	TBD	250 (est.)
CED-4b		
Total Cost	7+ years so far	1,300



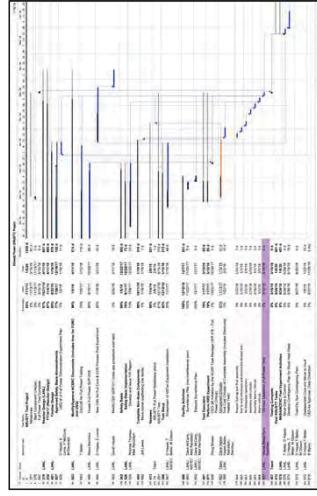
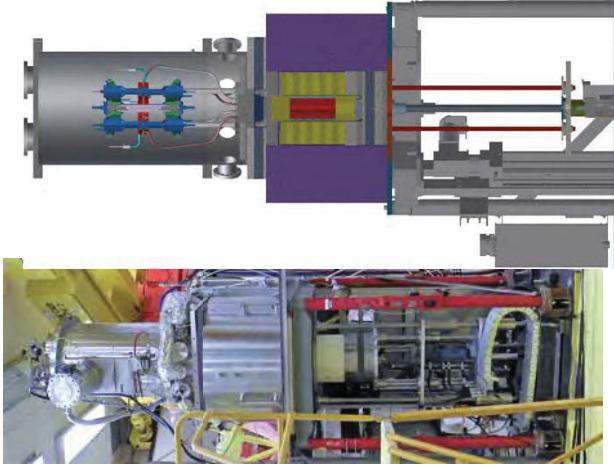
Experimental Cost Discussion

- NCERC Example (Extreme)
 - KRUSTY Critical Experiment
 - NNSA/NASA collaboration
 - CED Team consisted of LANL personnel and the NNSA M&O operator
 - Phase Durations and Costs

• KRUSTY Funding

Phase	Duration	Total Cost
CED-1	1 yr.	
CED-2	1.5 yr.	
CED-3a	7 mo.	
CED-3b	3 mo.	
CED-4a	1.5 yr. expected	
CED-4b		
Total		\$12.3M
Duration ~3 yr.		

*estimate provide by NASA based upon currently available data



Questions



Overview of Criticality Analysis Validation

Presented by:

John M. Scaglione

Reactor and Nuclear Systems Division

Oak Ridge National Laboratory

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Methods using sensitivity and uncertainty (S/U) analysis to assess similarity of models are available in existing computer codes

- The *International Handbook of Evaluated Criticality Safety Benchmark Experiments* (IHECSBE) contains ~5,000 laboratory critical experiments performed at various critical facilities around the world
- Computational tools are available to survey the critical experiments and use a mathematics-based approach to select benchmarks that are applicable to the application model of interest (e.g., transportation package model)
- Techniques are available to fill in gaps using cross section data uncertainty (NUREG/CR-7109)

Performance of criticality calculations requires detailed knowledge of the application system (package and contents) and modes for reconfiguration

- Parameters important for nuclear criticality safety control include materials, mass, geometry, density, enrichment, reflection, moderation, concentration, interaction, neutron absorption, and volume

Traditional	Advanced reactors
UO_3	Triso
UO_2	Metal
UF_6	Oxide

- Fuel forms to focus on
 - Powder
 - Pellets
 - Rods
 - Fuel assemblies
- Configuration development considers both normal conditions of transport and hypothetical accident conditions
 - Demonstrate under all credible transport conditions that the system is subcritical

- Criticality safety analyses are performed to show that a proposed fuel transport configuration meets applicable requirements
 - 10 CFR 71.55 general requirements for fissile material packages:

... a package used for the shipment of fissile material must be so designed and constructed and its contents so limited that it would be subcritical if water were to leak into the containment system, or liquid contents were to leak out of the containment system so that, under the following conditions, maximum reactivity of the fissile material would be attained:

- 1) *The most reactive credible configuration consistent with the chemical and physical form of the material;*
- 2) Moderation by water to the most reactive credible extent; and
- 3) Close full reflection of the containment system by water on all sides, or such greater reflection of the containment system as may additionally be provided by the surrounding material of the packaging.

Calculated results frequently do not exhibit exact agreement with expectations

- The computational method is the combination of the computer code, the data used by the computer code, and the calculational options selected by the user
- Criticality safety evaluations require **validation** of the calculational method with critical experiments that are as similar as possible to the safety analysis models and for which the K_{eff} values are known
- The goal of this validation is to establish a predictable relationship between calculated results and reality
 - A quantitative understanding of the difference or “bias” between calculated and expected results
 - Uncertainty in this difference (bias uncertainty)

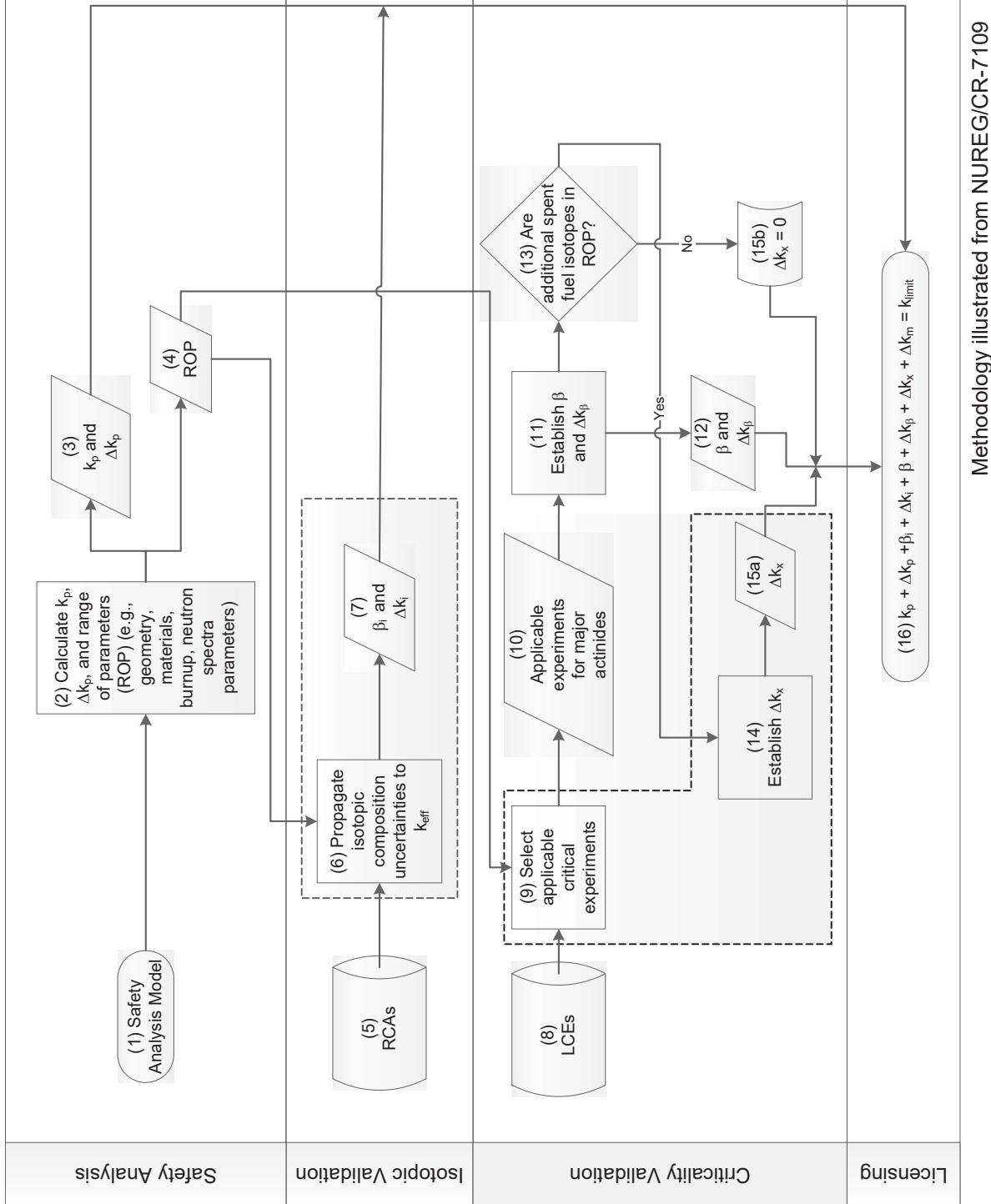
The traditional approach to criticality validation is to compute bias and uncertainty values through comparisons with critical experiments

- Trending analyses are typically used in these comparisons
- The difference between the expected and calculated values of the effective neutron multiplication factor, k_{eff} , of a critical experiment is considered the computational bias for that experiment
- The uncertainty in the bias is established through a statistical analysis of the trend

Criticality analysis process

- Develop application model and identify metrics that define it
- Select appropriate benchmark experiments
- Calculate bias and uncertainty
- Process is agnostic to application model

RCA = Radiochemical assay
 LCE = Laboratory critical experiment



Methodology illustrated from NUREG/CR-7109

Acceptance criterion

$$k_p + \Delta k_p + \beta_i + \Delta k_i + \beta + \Delta k_\beta + \Delta k_x + \Delta k_m \leq k_{\text{limit}}$$

k_p is the calculated multiplication factor of the model for the system being evaluated

Δk_p is an allowance for statistical or convergence uncertainties, or both, in the determination of k_p , material and fabrication tolerances, uncertainties due to geometric or material representation limitations of the models used in the determination of k_p

β is the bias that results from the calculation of the benchmark criticality experiments using a particular calculation method and nuclear cross section data

Δk_β is bias uncertainty that includes

- statistical or convergence uncertainties, or both, in the computation of β ,
- uncertainties in the benchmark criticality experiments,
- uncertainty in the bias resulting from application of the linear least-squares fitting technique to the critical experiment results, and
- a tolerance interval multiplier to yield a single-sided 95% probability and 95% confidence level

Δk_x is a supplement to β and Δk_β that may be included to provide an allowance for the bias and uncertainty from nuclide cross section data that might not be adequately accounted for in the benchmark criticality experiments used for calculating β

Δk_m is a margin for unknown uncertainties and is deemed adequate to ensure subcriticality of the physical system being modeled. This term is typically referred to as an administrative margin
 k_{limit} is the upper limit on the k_{eff} value for which the system is considered acceptable

Selection of critical experiments

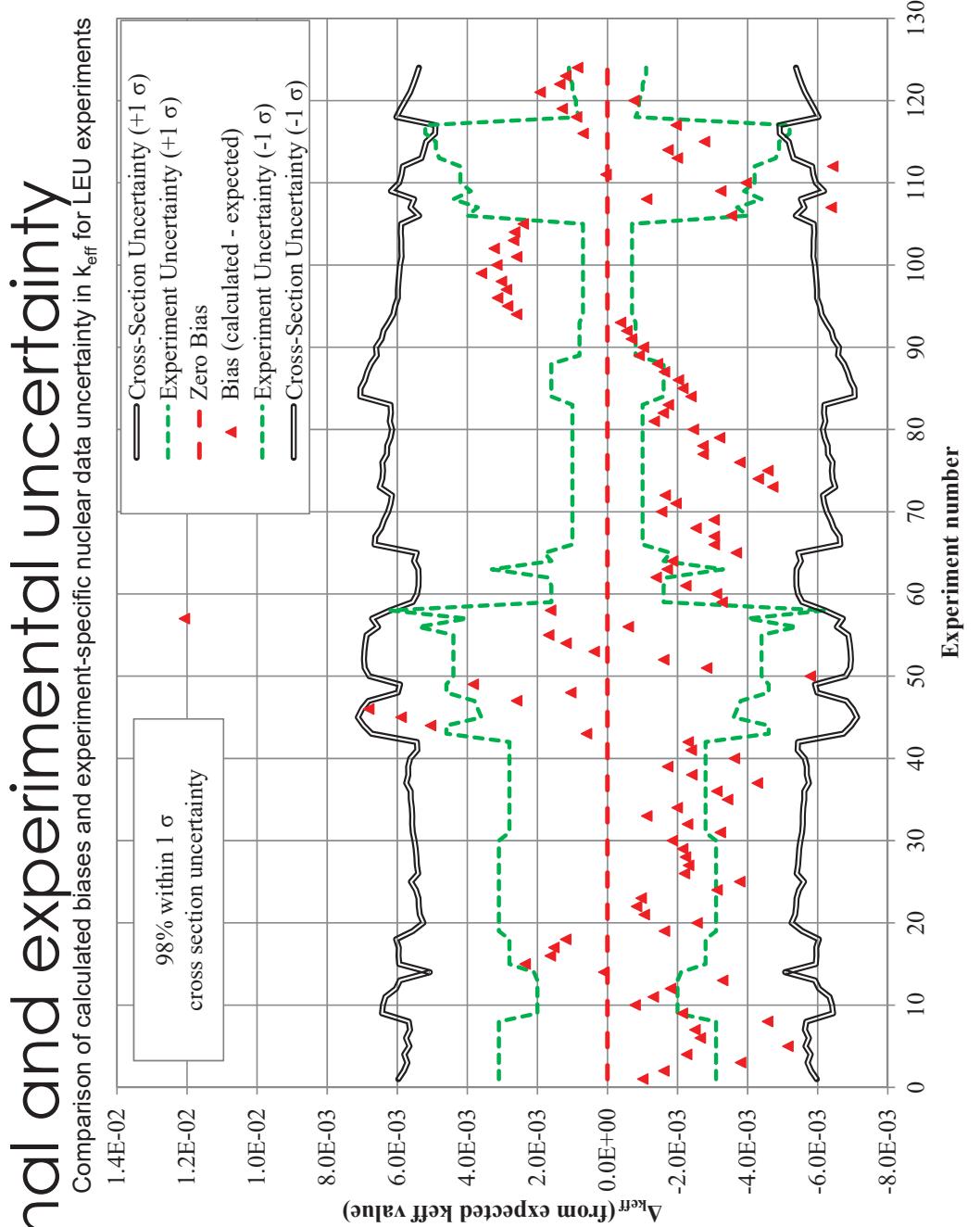
- The critical experiments and the safety basis model need to use the nuclear data in a similar energy-dependent manner; otherwise, an incorrect bias could be generated
- Historically, similarity has been left largely to professional judgment using qualitative and integral quantitative comparisons to select critical experiments
 - Qualitative parameters considered might include
 - fissionable, moderating, and neutron-absorbing materials present;
 - type of geometry (e.g., fuel pin lattices);
 - type of neutron reflection (i.e., bare, water reflected, steel reflected, etc.);
 - qualitative characterization of the energy dependence of the neutron flux as thermal, intermediate, or fast
 - Quantitative parameters include
 - Energy of average lethargy of a neutron causing fission (EALF)
 - ratio of moderating nuclei to fissile nuclei (e.g., H/X)
 - fuel enrichment
 - lattice fuel pitch

Sensitivity/uncertainty (S/U) tools can be used to assess application and critical experiment model similarity with a quantifiable metric

- Uncertainty analysis is performed for the safety analysis (application) model and for each candidate critical experiment model
 - Uncertainty analysis results rely heavily on the cross-section uncertainty data in the covariance data file
 - Sensitivity is the fractional change in k_{eff} due to a fractional change in a nuclear data value or $S \equiv (\Delta k/k)/(\Delta \sigma/\sigma)$
- Energy-dependent k_{eff} uncertainties for each application model and each critical experiment are compared, producing a correlation coefficient (c_k) for each application/experiment model pair
 - A high c_k value of near 1 for an application/critical experiment pair indicates that both models have similar sensitivities to the same nuclear data and consequently should have similar biases
 - Low c_k values indicate that the two systems differ significantly and may have significantly different biases

In many instances there are nuclides in the application model for which there are few or no appropriate critical experiments available

- Historically, when a particular material could not be evaluated in a safety analysis model, the material was either removed or a Δk penalty was used based on engineering judgment
- NUREG/CR-7109 provides a validation approach for nuclides that lack experimental data (e.g., minor actinides and structural materials) for criticality safety evaluations
 - The approach is based on the uncertainty in k_{eff} due to nuclear data uncertainties
 - Model-specific sensitivity data, which are in units of $(\Delta k/k)/(\Delta \sigma/\sigma)$, can be used to translate nuclear data uncertainties, which are in units of $\Delta \sigma/\sigma$, into uncertainty in the model k_{eff} value



- The plot suggests that the nuclear data uncertainties are overestimated
- It also demonstrates the relative merits of analytical techniques that can be used to address validation gaps using nuclear data uncertainties

Example application of process



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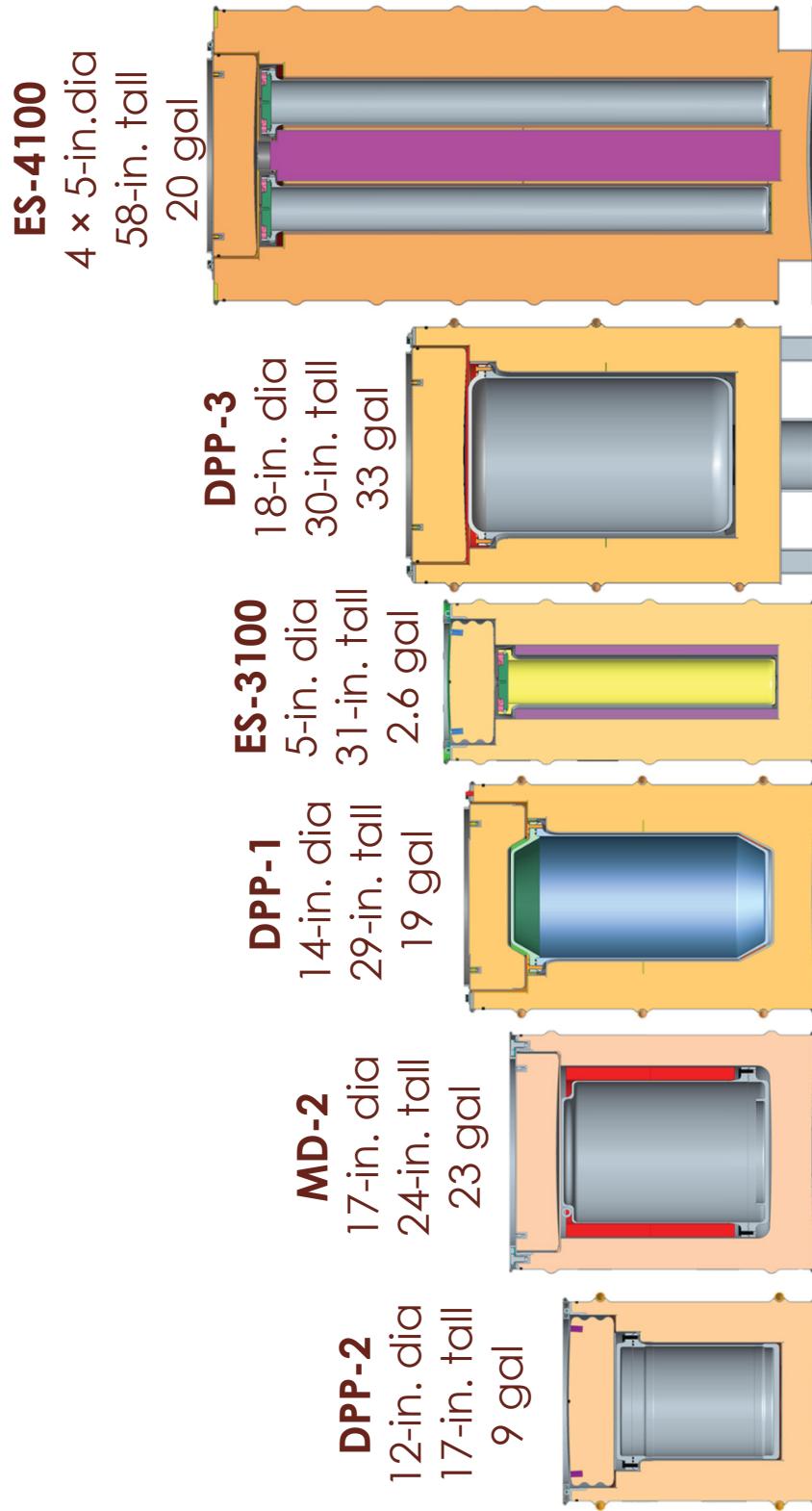


Standard UF₆ cylinder data

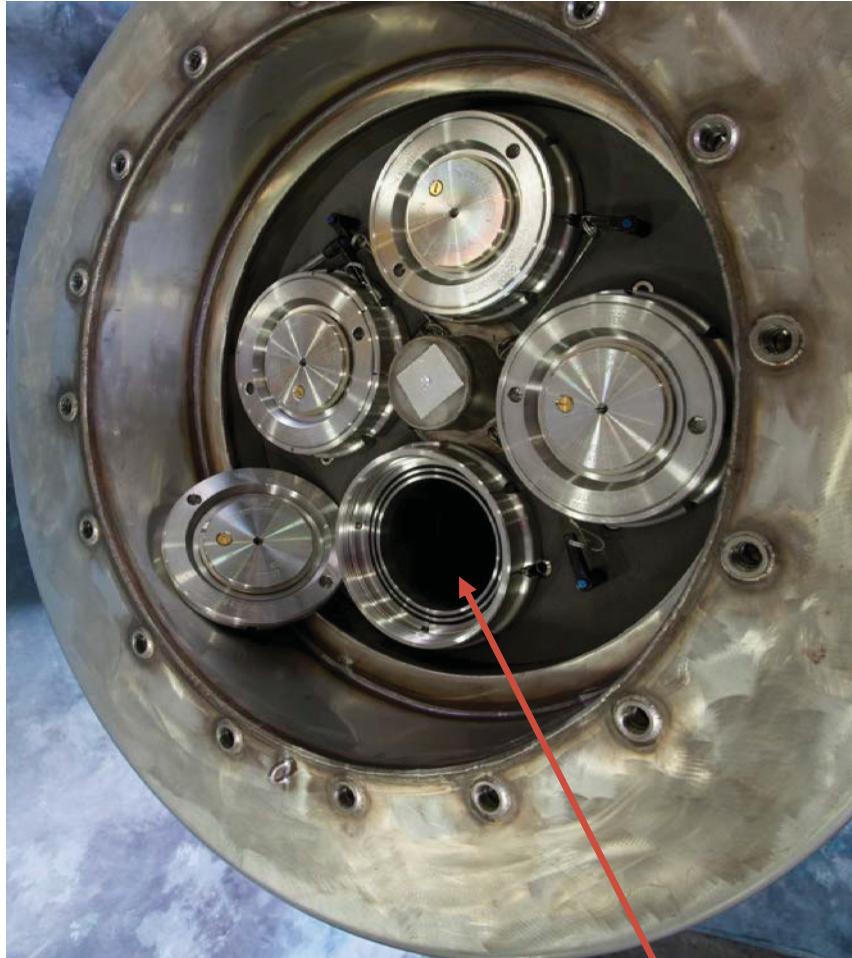
Model #	Nominal diameter (in.)	Maximum enrichment (wt% ²³⁵ U)	Fill limit (lb. UF ₆)	Model #	Nominal diameter (in.)	Maximum enrichment (wt% ²³⁵ U)	Fill limit (lb. UF ₆)
1S	1.5	100.0	1.0	48F	48	48	4.5
2S	3.5	100.0	4.9	48Y	48	48	4.5
5A	5.0	100.0	54.9	48T	48	48	1.0
5B	5.0	100.0	54.9	48O	48	48	1.0
8A	8.0	12.5	255.0	48OM Allied	48	48	1.0
12A	12.0	5.0	460.0	48OM	48	48	1.0
12B	12.0	5.0	460.0	48H, 48HX	48	48	1.0
30B, 30C	30.0	5.0	5,020.0	48G	48	48	1.0
48A, 48X	48.0	4.5	21,030.0				

Source: ANSI N14.1-2012

Kaolite-insulated packages



Example criticality validation process using the ES-4100 package



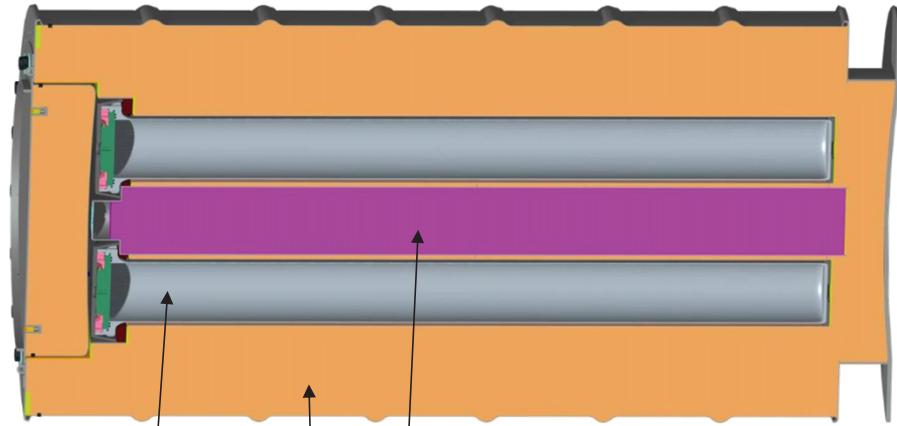
OAK RIDGE
National Laboratory

Photos Courtesy of Jeff Arbital, Y-12 National Security Complex

Containment vessel

ES-4100 design features

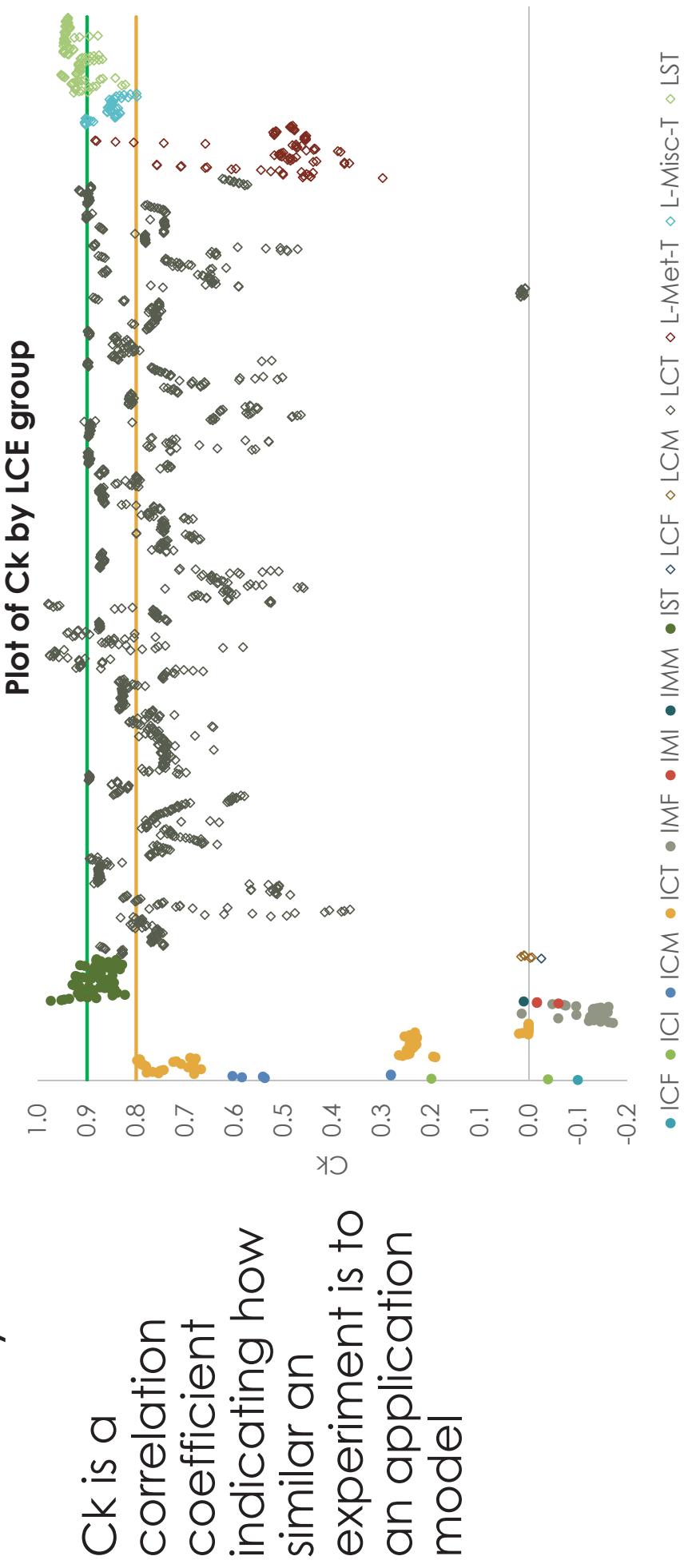
- Multi-pack: 4 containment vessels (CVs) per drum
- CV inner dimensions: 5.0-in. dia x 58 in. tall
- Outer drum size: 34.0-in. dia x 71 in. tall
- Insulation: Kaolite 1600
- Neutron absorber: 277-4 cast ceramic w/ B_4C
- Gross weight: approximately 2,000 lb
 - Less than gross weight of four 6M-110s
- Content weight allowance: 4 x 88 lb
 - Over 350 lb of content weight



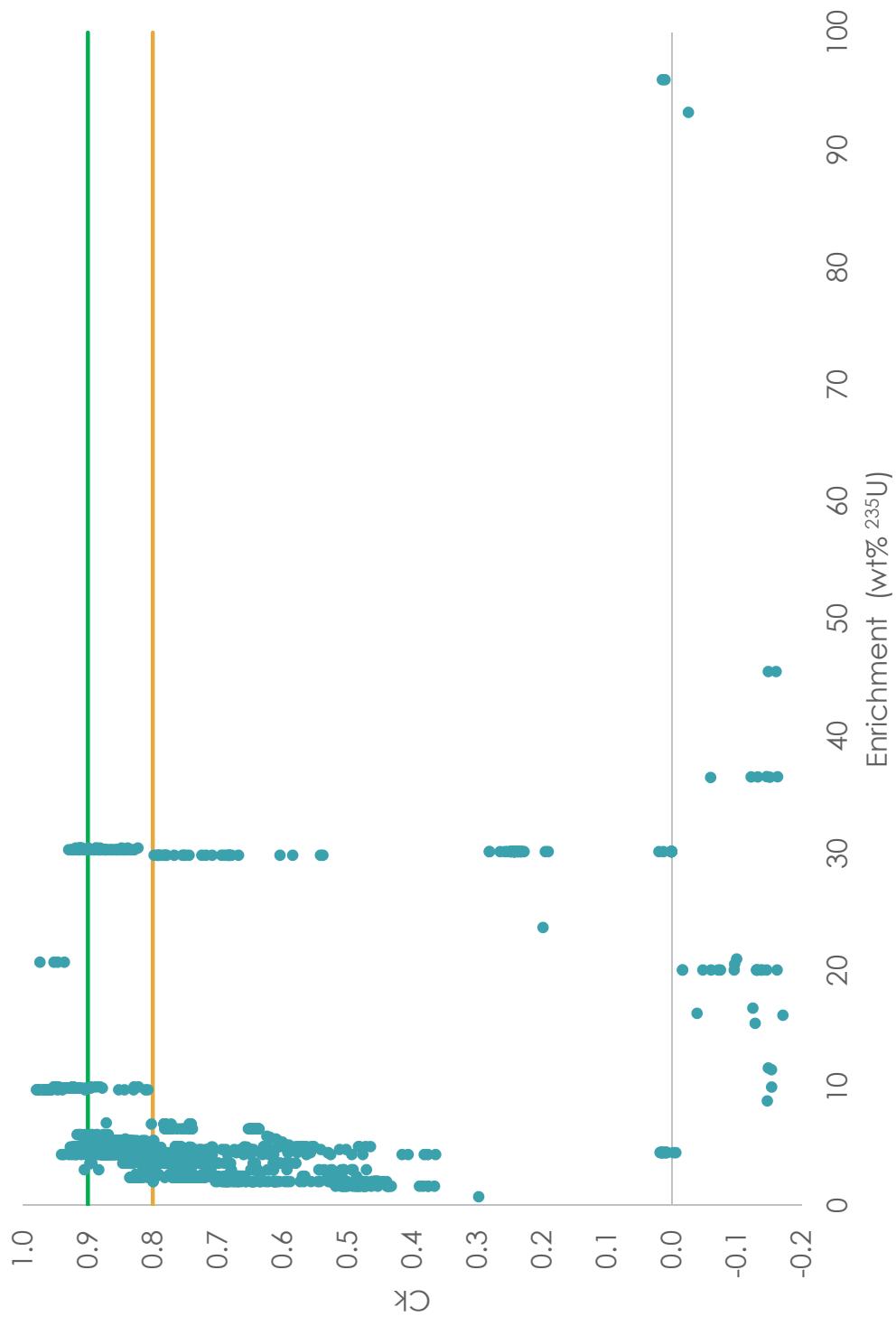
Allowable contents

- University of Missouri Research Reactor (MURR) fuel
- Massachusetts Institute of Technology (MIT) reactor fuel
- Loose Advanced Test Reactor (ATR) fuel rods
- Materials Test Reactor (MTR)-type fuel elements and components
- Foreign Research Reactor (FRR) fuels
- Other fuels
- 1,000 g ^{235}U per CV limit
 - Typical US pressurized water reactor (PWR) fuel assembly has \sim 23,000 g ^{235}U
 - Typical US boiling water reactor (BWR) fuel assembly has \sim 8,700 g ^{235}U

Selection of applicable critical experiments using similarity assessment



Ck trended with enrichment

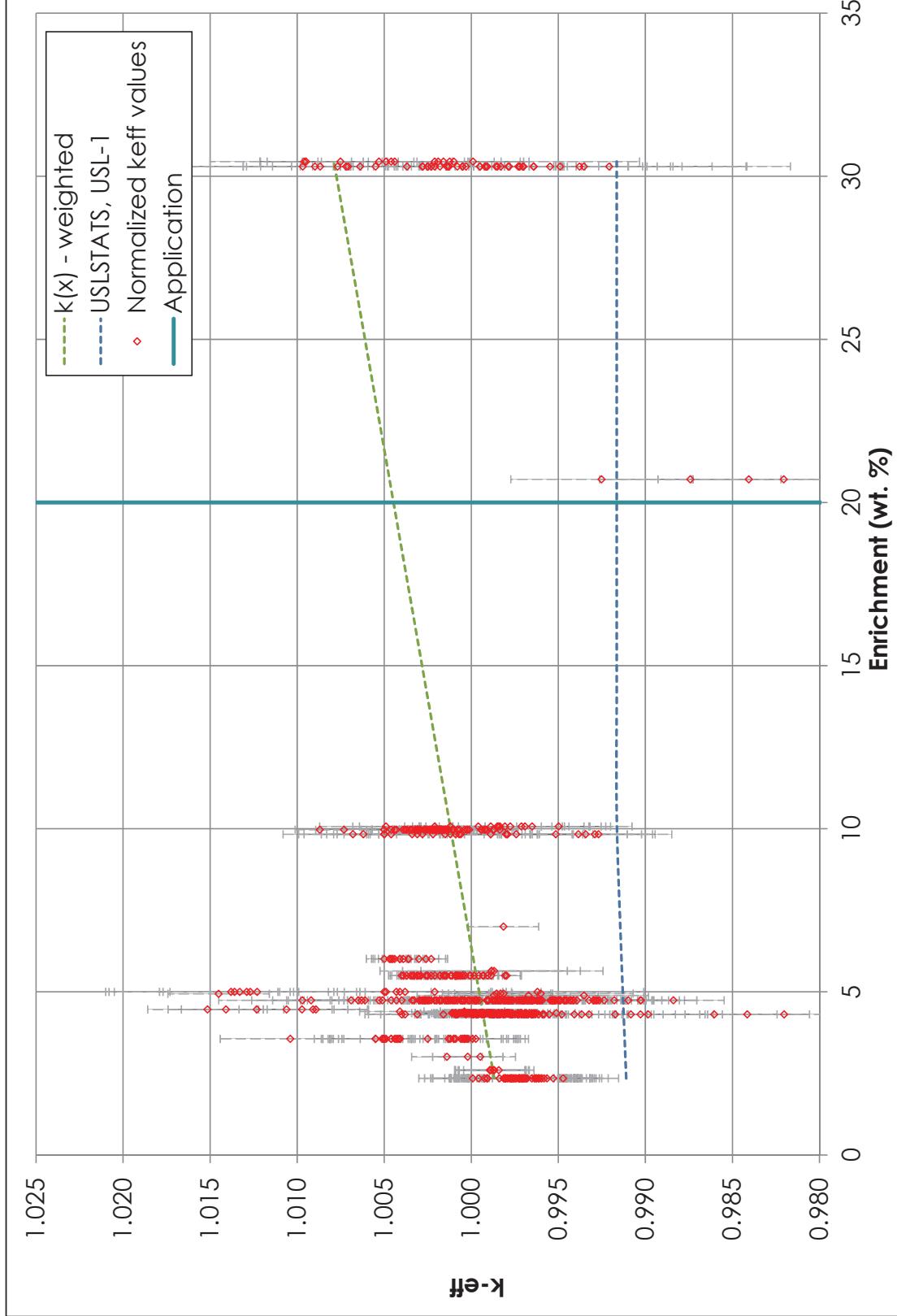


Summary of applicable critical benchmarks

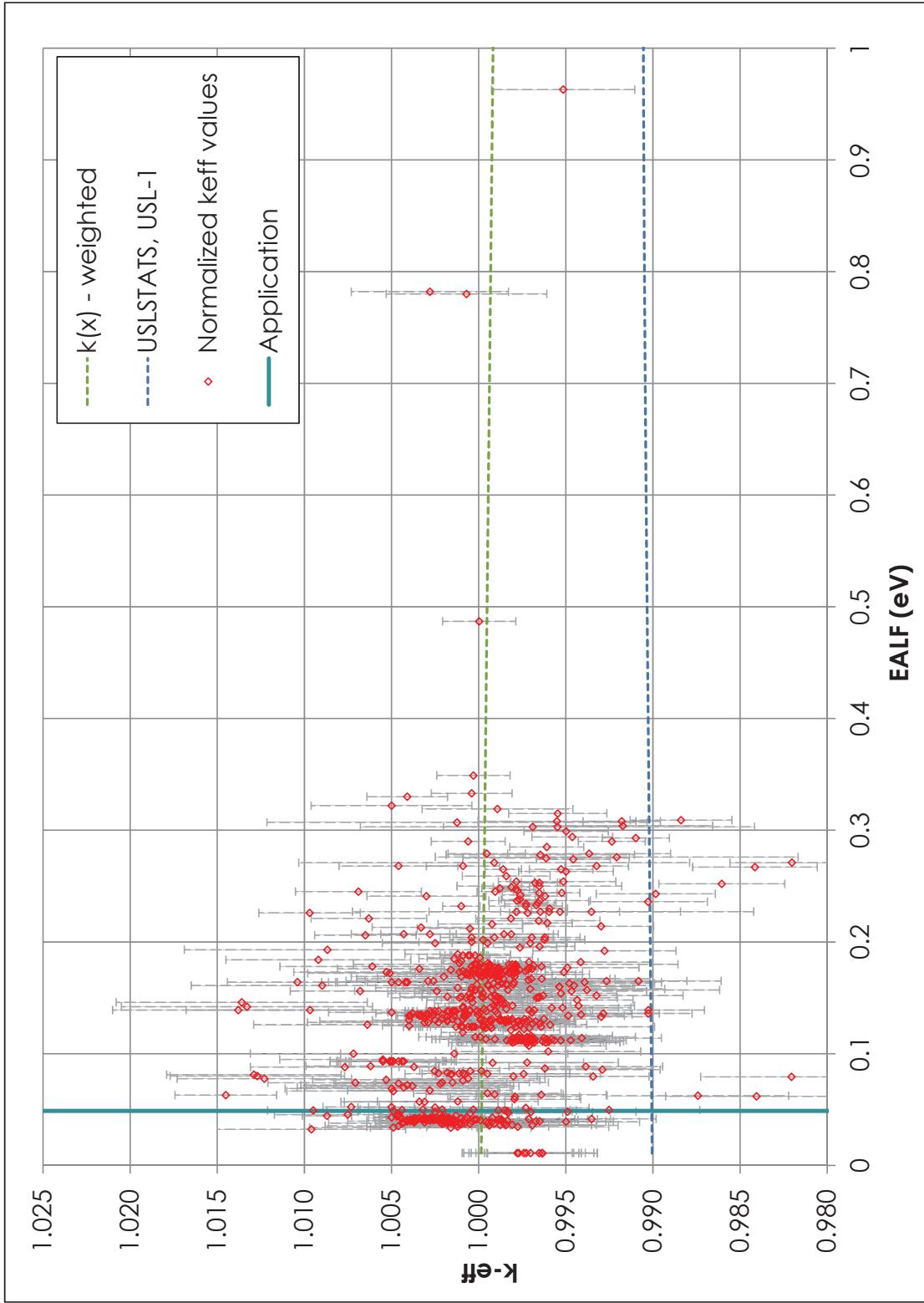
LCEs by group

Application system		Number of applicable critical experiments													
Package	Enrichment / BU	IC	ICF	ICM	IMI	IMM	ISI	LCF	LCM	LCI	L-Met-T	L-MisC-T	LST	Total	
ES4100	Evaluated	1	2	6	76	29	3	1	63	1	5	1,157	79	48	113
	Ck > 0.9	0	0	0	0	0	0	0	19	0	0	52	0	7	95
	Ck > 0.8	0	0	0	0	0	0	0	63	0	0	472	4	46	113
															698

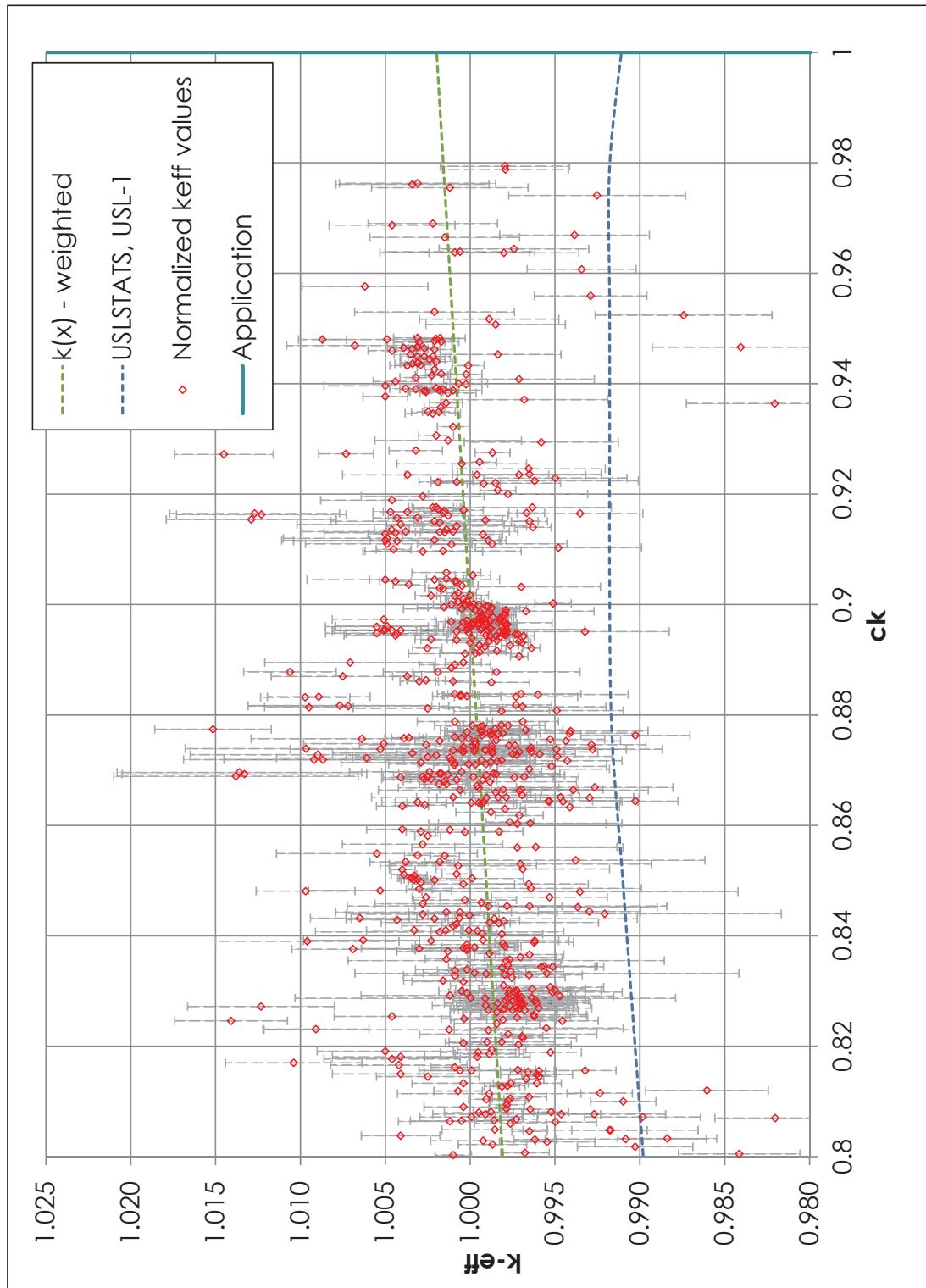
Trend analysis using initial enrichment



Trend analysis using EALF



Trend analysis using ck similarity coefficient



Criticality (K_{eff}) validation summary

- Validate criticality calculational method using available critical experiment data and appropriate statistical analysis techniques
- Uncertainty in K_{eff} due to nuclear data uncertainties can be used to cover validation gaps
- If new critical experiments are needed, a process exists to ensure that the critical experiment is designed to fill the gaps using existing computational tools
 - The fuel form and the package's internal design are important for development of appropriate design basis configurations and selection of applicable benchmarks
- Note that it is also required to demonstrate that the fuel can be stored safely after use in the reactor (10 CFR 50)
 - The same criticality experiments may or may not be applicable
 - Any new experiment design should also consider storage conditions to maximize range of applicability

BACKUP



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All nuclear data used in criticality calculations have some error

- Sources of error include
 - the type of data
 - the experimental apparatus and procedure used to measure the data
 - the quality and amount of measured data
 - nuclear models used to fill in data gaps
 - the evaluation technique used to combine measured and modeled data and resolve conflicting data
 - conversion of the data into formats suitable for use in the computational method