



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

March 23, 2021

Mr. Robert Horton, Reactor Administrator
U.S. Geological Survey
Denver Federal Center
PO Box 25046, MS 911
Denver, CO 80225-0046
rhorton@usgs.gov

SUBJECT: NRC OFFICE OF INVESTIGATIONS REPORT NO. 4-2019-014

Dear Mr. Horton:

This letter refers to an investigation conducted by the U.S. Nuclear Regulatory Commission (NRC), Office of Investigations (OI) to determine if a United States Geological Survey (USGS) Training Research Isotopes General Atomic (TRIGA) Research and Test Reactor supervisor willfully submitted information known to be incomplete or inaccurate to the NRC. Based on the evidence developed during the OI investigation, the NRC could not substantiate that wrongdoing occurred.

Please note that the complete OI investigation report, including supporting documentation, may be obtained by you and the public under the Freedom of Information Act (FOIA), subject to redaction of information pursuant to the FOIA. Requests under the FOIA should be made in accordance with Title 10 of the *Code of Federal Regulations* (10 CFR) 9.23, "Requests for Records." The instructions for making a request of information under the FOIA are accessible at <http://www.nrc.gov/reading-rm/foia/foia-privacy.html>.

In accordance with 10 CFR 2.390 of the NRC's "Agency Rules of Practice and Procedure," a copy of this letter, and your response, if any, will be available electronically for public inspection in the NRC Public Document Room or from the NRC's Agencywide Documents Access and Management System, accessible from the NRC Web site at <http://www.nrc.gov/reading-rm/adams.html> (i.e., the Public Electronic Reading Room).

If you have any questions or comments regarding this matter, please contact Ms. Dori Willis, Senior Allegation Coordinator of NRC's Headquarters Allegation Team in the Office of Enforcement, at (301) 287-9423.

Sincerely,

(/RA by Brian Smith)

Brian Smith, Deputy Director
Division of Advanced Reactors and Non-Power
Production and Utilization Facilities
Office of Nuclear Reactor Regulation

SUBJECT: NRC OFFICE OF INVESTIGATIONS REPORT NO. 4-2019-014

DISTRIBUTION:

OAC File

Public Non-Public Sensitive Non-Sensitive SUNSI Review Complete

ADAMS No. ML21082A282

OFC	UNPO:DANU:NRR	UNPO:DANU:NRR	DANU:NRR	SROAC:HQAT
NAME	CBassett	TTate	BSmith	DWillis
DATE	03/16/2021	03/22/2021	03/23/2021	03/23/2021

OFFICIAL RECORD COPY