NRC FORM 464 Part I
(04-2018)

U.S. NUCLEAR REGULATORY COMMISSION

RESPONSE TO FREEDOM OF INFORMATION ACT (FOIA) REQUEST

NRC	RESPONSE NUMBER
NRC-2020-000141	2

RESPONSE TYPE ☐ INTERIM ☑ FINAL

REQUESTER:	DATE:
Stephen Veldhuizen	03/03/2021

DESCRIPTION OF REQUESTED RECORDS:

All Freedom of Information Act (FOIA) requests requesting records on the named individuals, Intercontinental Exchange, Inc. (ICE), New York Stock Exchange (NYSE) and Bakkt, LLC; and the FOIA request log from November 1, 2019 to present

PART I. -- INFORMATION RELEASED

☐ The NRC has made some, or all, of the requested records publicly available through one or more of the following means: (1) https://www.nrc.gov; (2) public ADAMS, https://www.nrc.gov/reading-rm/adams.html; (3) microfiche available in the NRC Public Document Room; or FOIA Online, https://foiaonline.regulations.gov/foia/action/public/home.

☐ Agency records subject to the request are enclosed.

☐ Records subject to the request that contain information originated by or of interest to another Federal agency have been referred to that agency (See Part I.D -- Comments) for a disclosure determination and direct response to you.

☐ We are continuing to process your request.

☑ See Part I.D -- Comments.

PART I.A -- FEES

AMOUNT
$0.00

☐ You will be billed by NRC for the amount indicated.

☐ You will receive a refund for the amount indicated.

☐ Fees waived.

☑ Since the minimum fee threshold was not met, you will not be charged fees.

☐ Due to our delayed response, you will not be charged search and/or duplication fees that would otherwise be applicable to your request.

PART I.B -- INFORMATION NOT LOCATED OR WITHHELD FROM DISCLOSURE

☐ We did not locate any agency records responsive to your request. *Note*: Agencies may treat three discrete categories of law enforcement and national security records as not subject to the FOIA ("exclusions"). See 5 U.S.C. 552(c). This is a standard notification given to all requesters; it should not be taken to mean that any excluded records do, or do not, exist.

☐ We have withheld certain information pursuant to the FOIA exemptions described, and for the reasons stated, in Part II.

☐ Because this is an interim response to your request, you may not appeal at this time. We will notify you of your right to appeal any of the responses we have issued in response to your request when we issue our final determination.

☑ You may appeal this final determination within 90 calendar days of the date of this response. If you submit an appeal by mail, address it to the FOIA Officer, at U.S. Nuclear Regulatory Commission, Mail Stop T-2 F43, Washington, D.C. 20555-0001. You may submit an appeal by e-mail to FOIA.resource@nrc.gov. You may fax an appeal to (301) 415-5130. Or you may submit an appeal through FOIA Online, https://foiaonline.regulations.gov/foia/action/public/home. Please be sure to include on your submission that it is a "FOIA Appeal."

PART I.C -- REFERENCES AND POINTS OF CONTACT

You have the right to seek assistance from the NRC's FOIA Public Liaison by submitting your inquiry at https://www.nrc.gov/reading-rm/foia/contact-foia.html, or by calling the FOIA Public Liaison at (301) 415-1276.

If we have denied your request, you have the right to seek dispute resolution services from the NRC's Public Liaison or the Office of Government Information Services (OGIS). To seek dispute resolution services from OGIS, you may e-mail OGIS at ogis@nara.gov, send a fax to (202) 741-5789, or send a letter to: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road, College Park, MD 20740-6001. For additional information about OGIS, please visit the OGIS website at https://www.archives.gov/ogis.

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PART I.D -- COMMENTS

Please note:

The first part of your request: "All Freedom of Information Act (FOIA) requests requesting records on the named individuals, Intercontinental Exchange, Inc. (ICE), New York Stock Exchange (NYSE) and Bakkt, LLC"

The agency finalized 2020-000114, which is related to your request, seeking:
"Any and all correspondence between Kelly Loeffler and the U.S. Nuclear Regulatory Commission (NRC), and between NRC and Intercontinental Exchange or Bakkt"
Please note that the NRC did not find any responsive records for this part of your FOIA request.
Please refer to the attached closeout for 2020-000114.

The second part of your request: "FOIA request log from November 1, 2019 to present", is already publicly available on NRC's public website. We already provided this information in our first interim release to you on 2/7/2020:

Freedom of Information Act and Privacy Act
https://www.nrc.gov/reading-rm/foia/foia-privacy.html
Recent FOIA Requests (listed by year and month)
https://www.nrc.gov/reading-rm/foia/recent-request.html
Index of Closed FOIA/PA Requests by Subject
https://www.nrc.gov/reading-rm/foia/closed2019.html

Signature - Freedom of Information Act Officer or Designee

Stephanie A. Blaney

Digitally signed by Stephanie A. Blaney
Date: 2021.03.03 05:57:49 -05'00'