From: Gifford, Ian

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To: JShealA-Hearing Resource

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NRC Enforcement Panel TVA Discrimination

EA-20-006 and EA-20-007

October 5, 2020

Agenda

- Summary of TVA response
- Overview of enforcement options
- Decide on enforcement action
- Align on schedule for path forward

<u>Violation 1, SL II</u>: Filing of a formal complaint triggered an investigation by the TVA OGC and that the action was based, at least in part, on the former employee engaging in protected activity.

- TVA Response: Denies violation
 - No new information provided
 - Complaint was filed for non-prohibited reasons.
 - Manager filing formal complaint was advised to include all information relevant to her allegations.
 - Filing a complaint is not an adverse action.

<u>Violation 2, SL II</u>: Former employee placed on paid leave until resigning and that the action was based, at least in part, on the former employee engaging in protected activity.

- Response: Denies violation
 - No new information provided
 - Former employee placed on leave for non-prohibited reasons following independent investigation.

<u>Violation 3, SL II</u>: Filing of a formal complaint triggered an investigation by the TVA OGC and that the action was based, at least in part, on the former employee engaging in protected activity.

- Response: Denies violation
 - No new information provided
 - Complaint was filed for non-prohibited reasons.
 - Manager filing formal complaint was advised to include all information relevant to her allegations.
 - Former employee terminated for actions after complaint was filed.
 - Filing a complaint is not an adverse action.

<u>Violation 4, SL I</u>: Former employee terminated and that the action was based, at least in part, on the former employee engaging in protected activity.

- Response: Denies violation
 - No new information provided
 - Former employee terminated for non-prohibited reasons (numerous disrespectful, unprofessional, and deliberately false allegations).
 - ERB unanimously approved termination.

Corrective Actions

- Similar to those presented at PEC:
 - Adding paid leave to non-ERB adverse action list
 - Pilot Nuclear Investigation Protocol
 - Communications to employees about raising concerns and positive SCWE

Options

- Impose CP in Order as written
- Reduce CP/Severity Levels
- Withdraw violations

 OE proposes moving forward with the SL I and SL II problems and CP as written.

Schedule

- Draft cover letter, Order imposing CP, and Order appendix responded to TVA's answer.
 - Target issue date
- EN to accompany Order.
 - Target issue date
- No specific guidance for timeliness in Enforcement Manual beyond deciding on path forward within 45 days of TVA response (Section 2.6.4.G)