



OFFICE OF THE
INSPECTOR GENERAL

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

January 14, 2021

MEMORANDUM TO: Margaret M. Doane
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATION: AUDIT OF NRC'S
COMPLIANCE UNDER THE DIGITAL ACCOUNTABILITY
AND TRANSPARENCY ACT (DATA ACT) (OIG-20-A-03)

REFERENCE: DEPUTY EXECUTIVE DIRECTOR FOR MATERIALS,
WASTE, RESEARCH, STATE, TRIBAL, COMPLIANCE,
ADMINISTRATION AND HUMAN CAPITAL PROGRAMS
OFFICE OF THE EXECUTIVE DIRECTOR FOR
OPERATIONS, MEMORANDUM DATED DECEMBER 4,
2020

Attached is the Office of the Inspector General's (OIG) analysis and status of the recommendation as discussed in the agency's response dated December 4, 2020. Based on this response, recommendation 1 is closed therefore, all recommendations related to this audit are now closed and no further responses are required.

If you have questions or concerns, please call me at (301) 415-5915, or Terri Cooper, Team Leader, at (301) 415-5965.

Attachment: As stated

cc: C. Haney, OEDO
S. Mroz, OEDO
J. Jolicoeur, OEDO
S. Miotla, OEDO
RidsEdoMailCenter Resource
OIG Liaison Resource
EDO_ACS Distribution

Audit Report

AUDIT OF NRC'S COMPLIANCE UNDER THE DIGITAL ACCOUNTABILITY AND TRANSPARENCY ACT (DATA ACT)

OIG-20-A-03

Status of Recommendation

<u>Recommendation 1:</u>	We recommend that NRC enhance its internal control and detective procedures surrounding DATA Act submissions. Procedures should include reviewing all records in File C and verifying that they have corresponding transactions in Files D1 and D2. Additionally, NRC should consider increasing the size of samples selected for record level testing between Files C, D1, and D2.
Agency Response Dated December 4, 2020:	Completed. The Office of Administration (ADM) has created a tool that automatically compares all records in File C to Files D1 and D2 to ensure that there are corresponding transactions for each record. In addition, this tool allows ADM to perform record level testing between Files C, D1, and D2 for 100% of the transactions.
OIG Analysis:	OIG observed the tool created by ADM and verified that it automatically compares all records in File C to Files D1 and D2 and allows ADM to perform record level testing between Files C, D1, and D2 for 100% of the transactions. The recommendation is now closed.
Status:	Closed