

NRC PROHIBITED SECURITIES LIST

NRC employees who are covered by the Prohibited Securities rule (including the employee's spouse and minor children) may not own securities issued by an entity on this list, in any amount (5 CFR § 5801.102(a)).

Employees are reminded that the definition of "securities" for purposes of this restriction broadly encompasses "all interests in debt or equity instruments," including (but not limited to) all types of common and preferred stock, bonds, debentures, and derivative security interests, such as put and call options (see 5 CFR § 5801.102(c)(2)). Trading symbols, when provided, are derived from recent filings with the Securities and Exchange Commission and publicly-available shareholder documents identifying publicly-registered securities and are provided for convenience only. Trading symbols on this list may not be all-inclusive and are subject to change. **NRC employees are responsible for ensuring that they do not acquire securities from any of these entities regardless of whether the specific trading symbol is included on this list.**

Employees are also reminded that ownership of securities issued by entities *other than* those on this list, while not outright prohibited, can still create a conflict of interest if the employee participates personally and substantially in an NRC matter that will directly and predictably affect his or her financial interests (18 U.S.C. § 208; 5 CFR § 2635.402). Always contact an Ethics official if you have questions regarding financial conflicts of interest.

1. Nuclear Power Reactor Licensees and Applicants (including parent corporations)**

Accelerated Decommissioning Partners, LLC*
ADP CR3, LLC*
Alabama Power Company (ALP/PQ)
Allegheny Electric Cooperative, Inc.
Ameren Corporation (AEE)
American Electric Power Company, Inc. (AEP; AEP/PB)
Arizona Public Service Company
Calvert Cliffs Nuclear Power Plant, LLC
Central Iowa Power Cooperative
City of Austin, TX
City of Dalton, GA
City of Riverside Utilities Department
Comanche Peak Power Company, LLC
Connecticut Yankee Atomic Power
Company Cooperative Energy
Corn Belt Power Cooperative
CPS Energy
Dairyland Power Cooperative
Dominion Energy, Inc. (D; DRUA; DCUD; DCUE)
Dominion Energy Nuclear Connecticut, Inc.
Dominion Energy Kewaunee
Dominion Nuclear North Anna, LLC
DTE Electric Company

DTE Energy Company (DTE; DTQ; DTJ; DTY; DTW)
Duke Energy Carolinas, LLC
Duke Energy Corporation (DUK)
Duke Energy Progress LLC
Edison International (EIX)
El Paso Electric Company (EE)
Emera Inc. (EMA)
Emera Maine
Energy Capital Partners
Energy Harbor Corp.*
Energy Harbor Nuclear Corp.*
Energy Harbor Nuclear Generation, LLC*
Energy Northwest
EnergySolutions, LLC
Entergy Arkansas, LLC (EAB; EAE; EAI)
Entergy Corporation (ETR)
Entergy Louisiana, LLC (ELJ; ELU; ELC)
Entergy Mississippi, LLC (EMP)
Entergy Nuclear Generation Company
Entergy Nuclear Operations, Inc.
Entergy Nuclear Palisades, LLC
Entergy Operations, Inc.
Eversource Energy (ES)
Exelon Corporation (EXC)
Exelon FitzPatrick LLC
Exelon Generation Company, LLC
Florida Municipal Power Agency
Florida Power & Light Company
Georgia Power Company (GPJA)
Great Plains Energy
Green Mountain Power Corporation
Holtec Indian Point 2, LLC*
Holtec Indian Point 3, LLC*
Holtec International
Holtec Pilgrim, LLC
Holtec Decommissioning International, LLC
Hudson Light & Power Department, MA
IIF US Holding 2 LP*
Indiana Michigan Power Company
Kansas City Power & Light Company
Kansas Gas and Electric Company
Kansas Electric Power Cooperative
Long Island Power Authority
Los Angeles Department of Water & Power
Luminant Generation Company, LLC
Maine Yankee Atomic Power Company
Massachusetts Municipal Wholesale Electric Company
MEAG Power SPVM, LLC
MEAG Power SPVJ, LLC
MEAP Power, SPVP, LLC
MidAmerican Energy Company

Municipal Electric Authority of Georgia
Nebraska Public Power District
NextEra Energy Duane Arnold, LLC
NextEra Energy Point Beach, LLC
NextEra Energy Seabrook, LLC
NextEra Energy, Inc. (NEE)
Nine Mile Point Nuclear Station, LLC
North Carolina Electric Membership Corporation
North Carolina Municipal Power Agency
Northern States Power Company (NSP-Minnesota)
NorthStar Group Services, Inc.
NorthStar Vermont Yankee, LLC
NRG Energy, Incorporated (NRG)
NRG South Texas LP
Nuclear Development, LLC
Nuclear Innovation North America, LLC (also known as NINA)
Oglethorpe Power Corporation
Old Dominion Electric Cooperative
Omaha Public Power District
Ohio Edison Company
Orlando Utilities Commission, FL
Pacific Gas & Electric Company
PG&E Corporation (PCG)
Piedmont Municipal Power Agency
Pinnacle West Capital Corporation (PNW)
Progress Energy Carolinas, Inc.
Public Service Company of New Mexico (also known as PNM)
Public Service Enterprise Group, Inc. (also known as PSEG) (PEG)
PSEG Power, LLC
PSEG Nuclear, LLC
R.E. Ginna Nuclear Power Plant, LLC
Riverstone Holdings, LLC
Rockwell Holdco, Incorporated
Salt River Project Agricultural Improvement & Power District
San Diego Gas & Electric Company
SCANA Corporation
Sempra Energy Corporation (SRE; SREPRA; SREPRB; SREA)
South Carolina Electric & Gas Company
South Carolina Public Service Authority (Santee Cooper)
Southern California Edison Company (SCEpB; SCEpC; SCEpD; SCEpE)
Southern California Public Power Authority
Southern Company (SO; SOJA; SOJB; SOJC)
Southern Nuclear Operating Company
STP Nuclear Operating Company
Susquehanna Nuclear, LLC
System Energy Resources, Inc.
Taunton Municipal Lighting Plant, MA
Tennessee Valley Authority
Toledo Edison Company
Union Electric Company (doing business as Ameren Missouri)
Virginia Electric & Power Company
Vistra Energy Corporation (VST)

Vistra Operations Company, LLC
Westar Energy
Wolf Creek Nuclear Operating Corporation
Xcel Energy, Incorporated (XEL)
Yankee Atomic Electric Company
Zion Solutions, LLC

2. Manufacturers/Vendors of Power or Test Reactors

BWXT Nuclear Operations Group
BWXT Technologies (BWXT)
EDF Group
Framatome
General Atomics
General Electric Company (GE)
GE-Hitachi Nuclear Energy
Hitachi Ltd.
IHI Corporation
Korea Electric Power Corporation (KEP)
Korea Hydro & Nuclear Power Company
Mitsubishi Heavy Industries, Ltd.
NuScale Power
Oklo Inc.
Westinghouse Electric Company
WECTEC

3. Architectural Engineering Companies

BWXT Nuclear Energy, Inc.
Bechtel
Black & Veatch
Enercon
Fluor (FLR)
NAC International Inc.
Sargent & Lundy

4. Fuel Cycle-Related Applicants, Certificate of Compliance Holders, and Licensees

American Centrifuge Operating, LLC
American Nuclear Corporation
Azarga Uranium Corporation
Barrick Gold Corporation (GOLD)
Brookfield Asset Management (BAM)
Brookfield WEC Holdings, Inc.
Cameco Corporation (CCJ)
Centrus Energy Corporation (LEU)
Crow Butte Resources, Incorporated
Environmental Properties Management, LLC
Fansteel, Inc.
FMRI
GE-Hitachi Global Laser Enrichment, LLC
Global Nuclear Fuel – Americas, LLC
Homestake Mining Company of California
Honeywell International, Inc. (HON)

Interim Storage Partners LLC
International Isotopes, Incorporated
Laramide Resources Ltd
Lightbridge Corporation (LTBR)
Louisiana Energy Services
Nuclear Fuel Services, Inc.
NuFuels, Inc.
Northwest Medical Isotopes
Orano CIS LLC
Orano USA
Powertech Uranium Corp.
RIO Algom Mining LLC
Silex Systems Limited
Sequoyah Fuels Corporation
United Nuclear Corporation
URENCO USA
Waste Control Specialists, LLC
X-Energy

5. Energy or Utility Sector Investment Funds***

American Century Utilities Fund (BULIX)
Cushing Utility & MLP ETF (XLUY)
Fidelity Advisor Utilities Fund (FUGAX)
Fidelity MSCI Utilities Index ETF (FUTY)
Fidelity Select Utilities Portfolio (FSUTX)
Fidelity Telecom and Utilities Fund (FIUIX)
First Trust EIP Carbon Impact ETF (ECLN)
First Trust Utilities AlphaDEX Fund (FXU)
First Trust Utilities Select Portfolio
Franklin Utilities Fund (FKUQX, FKUTX, FRUAX, FRUSX, FRURX, FUFRX)
Gabelli Utility Fund (GAUAX; GAUCX; GABUX; GAUIX)
Gabelli Utility Trust (GUT)
Global X Uranium ETF (URA)
Guggenheim Utilities Portfolio
Hennessy Gas Utility Fund (GASFX; HGASX)
ICON Utilities Fund (ICTUX; ICTVX)
Invesco DWA Utilities Momentum ETF (PUI)
Invesco S&P 500 Equal Weight Utilities ETF (RYU)
iShares US Utilities ETF (IDU)
iShares Global Utilities ETF (JXI)
John Hancock Multifactor Utilities ETF (JHMU)
MFS Utilities Fund (MMUFX; MMUBX; MMUCX; MMUIX; MMUGX; MURRX; MMUHX;
MMUJX; MMUKX)
PGIM Jennison Utility Fund (PRUAX; PRUTX; PCUFX; PRUQX; PRUZX; JDURX)
ProShares Ultra Utilities (UPW)
Rydex Utilities Fund (RYUTX; RYCUX; RYUIX; RYAUX)
Utilities Select Sector SPDR Fund (XLU)
Utilities UltraSector ProFund (UTPIX; UTPSX)
VanEck Vectors Uranium+Nuclear Energy ETF (NLR)
Vanguard Utilities ETF (VPU)
Vanguard Utilities Index Fund (VUIAX)
Virtus Reaves Utilities ETF (UTES)
Wells Fargo Utility & Telecommunications Fund (EVUAX; EVUCX; EVUYX; EVUDX)

* Indicates that entry was added with this update to prohibited securities list

** Securities issued by any units of state or local governments on this list are only prohibited if the primary purpose of the security (e.g. bonds) is to finance the construction or operation of a nuclear reactor or low-level waste facility (5 CFR 5801.102(b)(2)). Bonds or other financial instruments issued for other purposes are not prohibited.

*** This list is compiled based on a recent Office of the General Counsel review of energy and utility mutual fund reports and prospectuses which show that at least 25% of the assets of each fund are entities in the first four categories of this list (5 CFR 5801.102(b)(8)).

If you believe an entity or fund is missing or has been incorrectly placed on this list please [contact an ethics official](#).