

From: [Hauer, Lance M \(GE Corporate\)](#)
To: [Smith, James](#)
Cc: [Wertz, Trent](#); [Miller, Fred](#); [Von Till, Bill](#); [Guo, Lifeng](#); [Achten, Sarah](#)
Subject: [External_Sender] Church Rock Surety SER and License Amendment
Date: Monday, December 07, 2020 2:02:00 PM

Hello Jim,

UNC has reviewed and approves the change to the proposed license amendment attached to your email below. Once the license is modified, how long will UNC have to provide the updated financial assurance? Due to the holidays we would appreciate having 60 days to provide the update.

Best regards,
Lance

From: Smith, James <James.Smith@nrc.gov>
Sent: Friday, December 04, 2020 1:19 PM
To: Hauer, Lance M (GE Corporate) <lance.hauer@ge.com>
Cc: Wertz, Trent <Trent.Wertz@nrc.gov>; Miller, Fred <Fred.Miller@nrc.gov>; Von Till, Bill <Bill.VonTill@nrc.gov>; Guo, Lifeng <Lifeng.Guo@nrc.gov>; Achten, Sarah <Sarah.Achten@nrc.gov>
Subject: EXT: RE: RE: Church Rock Surety SER and License Amendment

Lance

After a bit of a delay, I've finally got approval of the changes that you proposed on 11/05/2020. I am getting the license amendment ready and finalizing the Technical Evaluation Report, in accordance with your recommendations. Can I get an email back from you approving the final change?

Thanks

Jim



From: Hauer, Lance M (GE Corporate) <lance.hauer@ge.com>

Sent: Thursday, November 05, 2020 3:26 PM

To: Smith, James <James.Smith@nrc.gov>

Subject: [External_Sender] RE: Church Rock Surety SER and License Amendment

Good afternoon Jim,

Attached are UNC's comments on the Surety Update and proposed license amendment. As noted, it appears that the amount referenced in the license amendment includes the \$2M financial assurance posted for EPA and the amount should be reduced from \$25.4 to \$23.4M.

Please let me know if you have any questions or would like to discuss.

Best regards,

Lance

From: Smith, James <James.Smith@nrc.gov>

Sent: Thursday, October 08, 2020 1:31 PM

To: Hauer, Lance M (GE Corporate) <lance.hauer@ge.com>

Subject: EXT: Church Rock Surety SER and License Amendment

Hi Lance -

I am working on the License amendment for the 2020 Financial Surety. Attached is a draft of the Technical Evaluation Report (TER) and the License Amendment. Can you check the Technical Evaluation Report and the draft license amendment regarding the information regarding the Financial Instruments and Institutions to make sure they match with your current plans?

Thanks

Jim



From: Wertz, Trent <Trent.Wertz@nrc.gov>

Sent: Thursday, October 08, 2020 1:13 PM

To: Smith, James <James.Smith@nrc.gov>

Cc: Miller, Fred <Fred.Miller@nrc.gov>; Von Till, Bill <Bill.VonTill@nrc.gov>; Guo, Lifeng <Lifeng.Guo@nrc.gov>; Mandeville, Douglas <Douglas.Mandeville@nrc.gov>

Subject: RE: Church Rock SER Input

Jim,

Yes, we should ask the licensee to make sure they are using the same institution as before. If not, we will need the specifics to put in the license condition.

They have 30 days to tell us of the change.

Let me know if you have any other questions.

Trent

From: Smith, James <James.Smith@nrc.gov>

Sent: Thursday, October 08, 2020 11:02 AM

To: Wertz, Trent <Trent.Wertz@nrc.gov>

Cc: Miller, Fred <Fred.Miller@nrc.gov>; Von Till, Bill <Bill.VonTill@nrc.gov>; Guo, Lifeng <Lifeng.Guo@nrc.gov>; Mandeville, Douglas <Douglas.Mandeville@nrc.gov>

Subject: RE: Church Rock SE Input

Trent –

Attached are the documents to complete the UNC license amendment number 55 to incorporate the 2020 Financial Surety for UNC Church Rock. Before I put it into concurrence, I assume that we normally provide a copy of the draft license amendment, so that the licensee can provide us with specifics regarding the actual Financial Institutions and Instruments, the paragraph below from the TER is fairly specific. Are these the correct financial institutions or do we need to contact the licensee for the specifics?

The licensees currently approved surety, a performance bond issued by the Federal Insurance Company of New Jersey, in favor of the NRC, shall be continuously maintained in an amount of no less than **\$25,421,383** for the purpose of complying with 10 CFR 40, Appendix A, Criteria 9 and 10, until a replacement is authorized by the NRC. The licensee shall maintain a standby trust for the benefit of the NRC. The current standby trust is administered by the Bank of New York.

If not, should we provide a draft of the TER and license condition to the licensee, so that they can revise it. Additionally, I spoke with Lance Hauer, the GE Team Leader for UNC, and since he is new to the project he wanted more information about the time period that GE would be given to secure the Bond and the standby trust.

Thanks

Jim



From: Wertz, Trent <Trent.Wertz@nrc.gov>

Sent: Wednesday, July 29, 2020 1:04 PM

To: Smith, James <James.Smith@nrc.gov>

Cc: Miller, Fred <Fred.Miller@nrc.gov>

Subject: Church Rock SE Input

Jim,

Attached is my input for the TER for Church Rock. My BC has reviewed and approved it.

Let me know if you have any questions.

Trent L. Wertz

Senior Financial Analyst

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