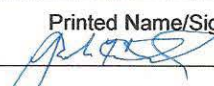
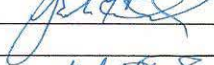
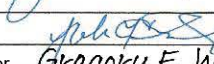

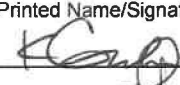


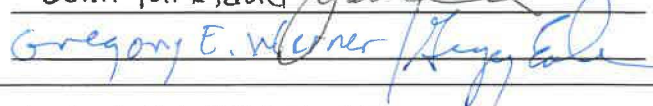


EXAM ASSIGNMENT TICKLER





Chief:	John Kirkland	Facility:	CNS	Date of Written Exam:	9/29/2020
U/I:		Start of Op Test:	9/21/2020	End of Op Test:	9/25/2020
Written Exam Developed By: NRC / Facility			Operating Test Developed By: NRC / Facility		
Due Date	Description	Date Complete	Initials	Notes	
1/20/2020	Examination administration date confirmed	12/18/19	<i>JKL</i>	For NRC prepared exams, arrangements made for the facility to submit reference materials	
2/24/2020	NRC examiners and facility contact assigned	12/18/19	<i>JKL</i>		
2/24/2020	Facility contact briefed on security and other requirements	12/18/19	<i>JKL</i>		
2/24/2020	Reference material due for NRC prepared exams	12/18/19	<i>JKL</i>	ES-201 Att-3	
2/24/2020	Corporate Notification Letter sent	01/13/20	<i>JKL</i>	ES-201 Att-4 produced by CE	
3/9/2020	NRC developed written examination outline sent to facility contact	12/18/19	<i>JKL</i>	ES-401-1/2 and ES-401-3	
4/20/2020	Operating test outline(s) and other checklists due	04/20/20	<i>JKL</i>	ES-201-2, 201-3, 301-1, 301-2, 301-5, D-1	
5/4/2020	Operating test outline(s) reviewed by NRC and feedback provided to facility licensee	05/11/20	<i>JKL</i>	ES-201-2 signed by CE & BC	
7/6/2020	Proposed exams, outlines, supporting documentation, and reference materials due.	07/06/20	<i>JKL</i>	Exams prepared by the NRC are approved by BC and forwarded for facility licensee review	
7/13/2020	Peer review of written exam complete	N/A	N/A	Document review on ES-401-9	
8/3/2020	Written exam and operating test reviews completed	07/17/20	<i>JKL</i>	Document review on ES-401-9	
8/17/2020	Feedback approved by Branch Chief	08/17/20	<i>JKL</i>		
8/17/2020	Examination review results discussed between NRC and facility licensee	08/17/20	<i>JKL</i>		
8/24/2020	Preliminary license applications & waiver/excusal requests due	08/21/20	<i>JKL</i>	NRC Forms 398/396	
8/24/2020	On-site validation & 10% audit of license applications	08/24/20	<i>JKL</i>		
8/31/2020	Preliminary license applications and waivers reviewed	08/21/20	<i>JKL</i>		
9/7/2020	Final license applications & waiver/excusal requests due	09/03/20	<i>JKL</i>	ES-201-4 prepared by LA	
9/14/2020	Written exams and operating tests approved by Branch Chief	09/08/20	<i>JKL</i>		
9/14/2020	Request facility licensee management feedback on the exam	N/A	N/A		
9/14/2020	Final applications reviewed and audited; exam approval and waiver/excusal letters sent	09/10/20	<i>JKL</i>	LA produces / BC signs Exam Approval Letter (ES-201 Att-5)	
9/14/2020	Proctoring/written exam administration guidelines reviewed with facility licensee	09/09/20	<i>JKL</i>		
9/14/2020	Approved scenarios and JPMs distributed to examiners	09/08/20	<i>JKL</i>		
9/21/2020	Administer Operating Test	09/21/20	<i>JKL</i>		
10/2/2020	Examiner's document op test results on ES 303's	10/07/20	<i>JKL</i>		
10/19/2020	Post exam comments and graded exams received	10/13/20	<i>JKL</i>	ES-403-1 to BC	
10/27/2020	Post exam written comments reviewed and incorporated and NRC grading completed	10/15/20	<i>JKL</i>		
10/27/2020	Post exam operating test comments reviewed and ES-303-1 updated	10/15/20	<i>JKL</i>		
11/3/2020	Chief examiner review of operating test and/or written exam grading completed	10/19/20	<i>JKL</i>	Signed ES 303's to BC	
11/10/2020	Branch Chief review of exam results completed	10/19/20	<i>JKL</i>		
11/17/2020	Waivers/deferrals reviewed for impact on licensing decision	10/19/20	<i>JKL</i>		
11/17/2020	License/Proposed Denial letters mailed; Facility notified of results	10/26/20	<i>JKL</i>		
11/17/2020	RPS/IP number of examinees updated	10/26/20	<i>JKL</i>	print Report-21	
12/8/2020	Final Denial Letters mailed (if no request for informal review)	11/18/20	<i>JKL</i>	21 days after proposed denial letters mailed.	
12/15/2020	Examination Report Issued	11/18/20	<i>JKL</i>	produced by CE	
12/22/2020	Ref Mat'l Returned after Final Resolution of Appeals	N/A	N/A		
Replaces NUREG-1021, Revision 11, Forms ES-201-1 and ES-501-1					

Facility: Cooper Nuclear Station		Date of Examination: 9/21/2020		
Item	Task Description	Initials		
		a	b*	c**
WRITTEN	a. Verify that the outline(s) fit(s) the appropriate model in accordance with ES-401 or ES-401N.	JK	NA	JK
	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 or ES-401N and whether all K/A categories are appropriately sampled.	JK	NA	JK
	c. Assess whether the outline overemphasizes any systems, evolutions, or generic topics.	JK	NA	JK
	d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.	JK	NA	JK
SIMULATOR	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, technical specifications, and major transients.	JK	NA	JK
	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity, and ensure that each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s), and that scenarios will not be repeated on subsequent days.	JK	NA	JK
	c. To the extent possible, assess whether the outline(s) conforms with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D and in Section D.5, "Specific Instructions for the 'Simulator Operating Test,'" of ES-301 (including overlap).	JK	NA	JK
WALKTHROUGH	a. Verify that the systems walkthrough outline meets the criteria specified on Form ES-301-2: (1) The outline(s) contains the required number of control room and in-plant tasks distributed among the safety functions as specified on the form. (2) Task repetition from the last two NRC examinations is within the limits specified on the form. (3) No tasks are duplicated from the applicant's audit test(s). (4) The number of new or modified tasks meets or exceeds the minimums specified on the form. (5) The number of alternate-path, low-power, emergency, and radiologically controlled area tasks meets the criteria on the form.	JK	NA	JK
	b. Verify that the administrative outline meets the criteria specified on Form ES-301-1: (1) The tasks are distributed among the topics as specified on the form. (2) At least one task is new or significantly modified. (3) No more than one task is repeated from the last two NRC licensing examinations.	JK	NA	JK
	c. Determine whether there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on subsequent days.	JK	NA	JK
GENERAL	a. Assess whether plant-specific priorities (including probabilistic risk assessment and individual plant examination insights) are covered in the appropriate exam sections.	JK	NA	JK
	b. Assess whether the 10 CFR 55.41, 55.43, and 55.45 sampling is appropriate.	JK	NA	JK
	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.	JK	NA	JK
	d. Check for duplication and overlap among exam sections and the last two NRC exams.	JK	NA	JK
	e. Check the entire exam for balance of coverage.	JK	NA	JK
	f. Assess whether the exam fits the appropriate job level (RO or SRO).	JK	NA	JK
a. Author <u>John Kirkland</u> Printed Name/Signature  Date <u>5/8/20</u> b. Facility Reviewer (*) <u>N/A</u>  <u>N/A</u> c. NRC Chief Examiner (#) <u>John Kirkland</u>  <u>5/8/20</u> d. NRC Supervisor <u>Gregory E. Werner</u>  <u>05/11/2020</u>				
* Not applicable for NRC-prepared examination outlines. # The independent NRC reviewer initials items in column "c"; the chief examiner's concurrence is required.				

Facility: Cooper Nuclear Station		Date of Exam: September 29, 2020		Exam Level: RO <input checked="" type="checkbox"/> SRO <input checked="" type="checkbox"/>		
Item Description	Initial					
	a	b*	c*#			
1. Questions and answers are technically accurate and applicable to the facility.	<i>JK</i>	N/A	<i>JK</i>			
2. a. NRC K/As are referenced for all questions. b. Facility learning objectives are referenced as available. c. Correct answer explanation and distractor analysis provided (ES-401, D.2.g)	<i>JK</i>	N/A	<i>JK</i>			
3. SRO questions are appropriate in accordance with Section D.2.d of ES-401	<i>JK</i>	N/A	<i>JK</i>			
4. The sampling process was random and systematic. (If more than four RO or two SRO questions were repeated from the last two NRC licensing exams, consult the NRR/NRO OL program office).	<i>JK</i>	N/A	<i>JK</i>			
5. Question duplication from the licensee screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate. ___ The audit exam was systematically and randomly developed, or ___ the audit exam was completed before the license exam was started, or <input checked="" type="checkbox"/> the examinations were developed independently, or ___ the licensee certifies that there is no duplication, or ___ other (explain).	<i>JK</i>	N/A	<i>JK</i>			
6. Bank use meets limits (no more than 75% from the bank, at least 10% new, and the rest new or modified); enter the actual RO/SRO-only question distribution(s) at right.	Bank	Modified	New	<i>JK</i>	N/A	<i>JK</i>
	10.7 / 24.0	14.7 / 12.0	74.7 / 64.0			
7. Between 38 and 45 questions of the questions on the RO exam and at least 13 questions of the questions on the SRO-only portion of the exam are written at the comprehension/analysis level (see ES-401, D.2.c); enter the actual RO/SRO-only question distribution(s) at right.	Memory		C/A	<i>JK</i>	N/A	<i>JK</i>
	37 / 6		38 / 19			
8. References/handouts provided do not give away answers or aid in the elimination of distractors.	<i>JK</i>	N/A	<i>JK</i>			
9. Question content conforms to specific K/A statements in the previously approved examination outline and is appropriate for the tier to which they are assigned; deviations are justified.	<i>JK</i>	N/A	<i>JK</i>			
10. Question psychometric quality and format meet the guidelines in Appendix B.	<i>JK</i>	N/A	<i>JK</i>			
11. The exam contains the required number of one-point, multiple-choice items; the total is correct and agrees with the value on the cover sheet.	<i>JK</i>	N/A	<i>JK</i>			
a. Author	John Kirkland / <i>JK</i>			Date 7/20/20		
b. Facility Reviewer (*)	N/A					
c. NRC Chief Examiner (#)	John Kirkland / <i>JK</i>			7/20/20		
d. NRC Supervisor	Gregory Werner / <i>Gregory Werner</i>			28/15/2020		
Note:	* The facility reviewer's initials or signature are not applicable for NRC-developed examinations. # Independent NRC reviewer initials items in Column "c"; chief examiner concurrence is required.					

Facility: Cooper Nuclear Station		Date of Examination: 09/21/20		Operating Test Number: 2020-9	
1. General Criteria		Initials			
		a	b*	c#	
a.	The operating test conforms to the previously approved outline; changes are consistent with sampling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution).	K	JG	JK	
b.	There is no day-to-day repetition between this and other operating tests to be administered during this examination.	K	JG	JK	
c.	The operating test shall not duplicate items from the applicants' audit test(s) (see Section D.1.a.).	K	JG	JK	
d.	Overlap with the written examination and between different parts of the operating test is within acceptable limits.	K	JG	JK	
e.	It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.	K	JG	JK	
2. Walkthrough Criteria		a	b*	c#	
a.	Each JPM includes the following, as applicable: <ul style="list-style-type: none"> initial conditions initiating cues references and tools, including associated procedures reasonable and validated time limits (average time allowed for completion) and specific designation if deemed to be time critical by the facility licensee operationally important specific performance criteria that include— <ul style="list-style-type: none"> detailed expected actions with exact criteria and nomenclature system response and other examiner cues statements describing important observations to be made by the applicant criteria for successful completion of the task identification of critical steps and their associated performance standards restrictions on the sequence of steps, if applicable 	K	JG	JK	
b.	Ensure that any changes from the previously approved systems and administrative walkthrough outlines (Forms ES-301-1 and ES-301-2) have not caused the test to deviate from any of the acceptance criteria (e.g., item distribution, bank use, repetition from the last two NRC examinations) specified on those forms and Form ES-201-2.	K	JG	JK	
3. Simulator Criteria		a	b*	c#	
The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4, and a copy is attached.		K	JG	JK	
		Printed Name/Signature		Date	
a.	Author	Kyle Grillis 		8.25.20	
b.	Facility Reviewer (*)	James B. Florence 		8/25/20	
c.	NRC Chief Examiner (#)	John Kirkland 		8/31/20	
d.	NRC Supervisor	Gregory E. Werner 		09/10/2020	
* The facility licensee signature is not applicable for NRC-developed tests.					
# The independent NRC reviewer initials items in column "c"; the chief examiner concurrence is required.					

Facility: Cooper Nuclear Station		Date of Exam: 9/21/2020		Scenario Numbers: 1 / 2 / 3 / 4		Operating Test No.: 2020-9	
QUALITATIVE ATTRIBUTES			Initials				
			a	b*	c#		
1.	The initial conditions are realistic in that some equipment and/or instrumentation may be out of service, but it does not cue the operators into expected events.	K	JK	JK			
2.	The scenarios consist mostly of related events.	K	JK	JK			
3.	Each event description consists of the following: <ul style="list-style-type: none"> the point in the scenario when it is to be initiated the malfunction(s) or conditions that are entered to initiate the event the symptoms/cues that will be visible to the crew the expected operator actions (by shift position) the event termination point (if applicable) 	K	JK	JK			
4.	The events are valid with regard to physics and thermodynamics.	K	JK	JK			
5.	Sequencing and timing of events is reasonable and allows the examination team to obtain complete evaluation results commensurate with the scenario objectives.	K	JK	JK			
6.	If time compression techniques are used, the scenario summary clearly so indicates. Operators have sufficient time to carry out expected activities without undue time constraints. Cues are given.	N/A	N/A	N/A			
7.	The simulator modeling is not altered.	K	JK	JK			
8.	The scenarios have been validated. Pursuant to 10 CFR 55.46(d), any open simulator performance deficiencies or deviations from the referenced plant have been evaluated to ensure that functional fidelity is maintained while running the planned scenarios.	K	JK	JK			
9.	Scenarios are new or significantly modified in accordance with Section D.5 of ES-301.	K	JK	JK			
10.	All individual operator competencies can be evaluated, as verified using Form ES-301-6 (submit the form along with the simulator scenarios).	K	JK	JK			
11.	The scenario set provides the opportunity for each applicant to be evaluated in each of the applicable rating factors. (Competency rating factors as described on Forms ES-303-1 and ES-303-3.)	K	JK	JK			
12.	Each applicant will be significantly involved in the minimum number of transients and events specified on Form ES-301-5 (submit the form with the simulator scenarios).	K	JK	JK			
13.	Applicants are evaluated on a similar number of preidentified critical tasks across scenarios, when possible.	K	JK	JK			
14.	The level of difficulty is appropriate to support licensing decisions for each crew position.	K	JK	JK			
Target Quantitative Attributes per Scenario (See Section D.5.d)		Actual Attributes		--	--	--	
1.	Malfunctions after EOP entry (1-2)	3 / 3	3 / 4	K	JK	JK	
2.	Abnormal events (2-4)	2 / 2	2 / 2	K	JK	JK	
3.	Major transients (1-2)	2 / 2	1 / 1	K	JK	JK	
4.	EOPs entered/requiring substantive actions (1-2)	3 / 2	2 / 2	K	JK	JK	
5.	Entry into a contingency EOP with substantive actions (≥ 1 per scenario set)	1 / 1	1 / 1	K	JK	JK	
6.	Preidentified critical tasks (≥ 2)	2 / 3	2 / 2	K	JK	JK	
* The facility licensee signature is not applicable for NRC-developed tests. # An independent NRC reviewer initials items in column "c"; chief examiner concurrence is required.							

Facility: Cooper Nuclear Station Date of Exam: 09/29/20 Exam Level: RO <input checked="" type="checkbox"/> SRO <input checked="" type="checkbox"/>			
Item Description	Initials		
	a	b	c
1. Clean answer sheets copied before grading	CAF	JBF	<i>JK</i>
2. Proposed answer key changes and question deletions justified and documented (facility reviewer initials not required (N/R) if NO post-examination comments are submitted)	CAF	JBF	<i>JK</i>
3. Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)	CAF	JBF	<i>JK</i>
4. Grading for all borderline cases (80% ±2% overall and 70% or 80%, as applicable, ±4% on the SRO-only exam) reviewed in detail	CAF	JBF	<i>JK</i>
5. All other failing examinations checked to ensure that grades are justified	CAF	JBF	<i>JK</i>
6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by one-half or more of the applicants	CAF	JBF	<i>JK</i>
Printed Name/Signature		Date	
a. Grader	Clyde A. Edgington / CAF 	10/07/20	
b. Facility Reviewer(*)	James B. Florence / JBF 	10/07/20	
c. NRC Chief Examiner (*)	John Kirkland 	10/13/20	
d. NRC Supervisor (*)	Gregory E. Werner 	11/02/2020	
(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.			

Facility: Cooper Nuclear Station		Date of Exam: 9/21/2020			Operating Test No.: 2020-9												
A P P L I C A N T	E V E N T T Y P E	Scenarios												T O T A L	M I N I M U M (*)		
		2			4			1			3						
		CREW POSITION			CREW POSITION			CREW POSITION			CREW POSITION						
		S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P		R	I	U
<input type="checkbox"/> RO <input type="checkbox"/> SRO-I <input checked="" type="checkbox"/> (11) SRO-U <input type="checkbox"/>	RX	0			0				0				0	1	1	0	
	NOR	1			0				0				1	1	1	1	
	I/C	6			4				4				14	4	4	2	
	MAJ	2			1				1				4	2	2	1	
	TS	2			N/A				N/A				2	0	2	2	
<input type="checkbox"/> RO <input type="checkbox"/> SRO-I <input checked="" type="checkbox"/> (12) SRO-U <input type="checkbox"/>	RX		0			0	1						1	1	1	0	
	NOR		0			0	0						0	1	1	1	
	I/C		4			3	8						15	4	4	2	
	MAJ		2			1	1						4	2	2	1	
	TS		N/A			N/A	2						2	0	2	2	
<input type="checkbox"/> RO <input type="checkbox"/> SRO-I <input checked="" type="checkbox"/> (13) SRO-U <input type="checkbox"/>	RX			0	0			1					1	1	1	0	
	NOR			1	0			0					1	1	1	1	
	I/C			4	7			5					16	4	4	2	
	MAJ			2	1			1					4	2	2	1	
	TS			N/A	2			N/A					2	0	2	2	
<input type="checkbox"/> RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/>	RX													1	1	0	
	NOR													1	1	1	
	I/C													4	4	2	
	MAJ													2	2	1	
	TS													0	2	2	

Instructions:

- Check the applicant level and enter the operating test number and Form ES-D-1 event numbers for each event type; TS are not applicable for RO applicants. ROs must serve in both the at-the-controls (ATC) and balance-of-plant (BOP) positions. Instant SROs (SRO-I) must serve in both the SRO and the ATC positions, including at least two instrument or component (I/C) malfunctions and one major transient, in the ATC position. If an SRO-I *additionally* serves in the BOP position, one I/C malfunction can be credited toward the two I/C malfunctions required for the ATC position.
- Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.5.d) but must be significant per Section C.2.a of Appendix D. (*) Reactivity and normal evolutions may be replaced with additional I/C malfunctions on a one-for-one basis.
- Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirements specified for the applicant's license level in the right-hand columns.
- For new reactor facility licensees that use the ATC operator primarily for monitoring plant parameters, the chief examiner may place SRO-I applicants in either the ATC or BOP position to best evaluate the SRO-I in manipulating plant controls.

Facility: Cooper Nuclear Station			Date of Exam: 9/21/2020			Operating Test No.: 2020-9											
A P P L I C A N T	E V E N T T Y P E	Scenarios												T O T A L	M I N I M U M (*)		
		2			4			1			3						
		CREW POSITION			CREW POSITION			CREW POSITION			CREW POSITION						
		S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P				
<input type="checkbox"/> RO	RX		0	0				1					1	1	1	0	
<input type="checkbox"/> SRO-I	NOR		1	0				0					1	1	1	1	
<input checked="" type="checkbox"/> (14) SRO-U	I/C		4	7				5					16	4	4	2	
<input type="checkbox"/> SRO-U	MAJ		2	1				1					4	2	2	1	
	TS		N/A	2				N/A					2	0	2	2	
<input type="checkbox"/> RO	RX	0			0				0				0	1	1	0	
<input type="checkbox"/> SRO-I	NOR	1			0				0				1	1	1	1	
<input checked="" type="checkbox"/> (15) SRO-U	I/C	6			4				4				14	4	4	2	
<input type="checkbox"/> SRO-U	MAJ	2			1				1				4	2	2	1	
	TS	2			N/A				N/A				2	0	2	2	
<input type="checkbox"/> RO	RX		0			0	1						1	1	1	0	
<input type="checkbox"/> SRO-I	NOR		0			0	0						0	1	1	1	
<input checked="" type="checkbox"/> (16) SRO-U	I/C		4			3	8						15	4	4	2	
<input type="checkbox"/> SRO-U	MAJ		2			1	1						4	2	2	1	
	TS		N/A			N/A	2						2	0	2	2	
<input type="checkbox"/> RO	RX													1	1	0	
<input type="checkbox"/> SRO-I	NOR													1	1	1	
<input type="checkbox"/> SRO-U	I/C													4	4	2	
<input type="checkbox"/> SRO-U	MAJ													2	2	1	
	TS													0	2	2	
Instructions:																	
<ol style="list-style-type: none"> Check the applicant level and enter the operating test number and Form ES-D-1 event numbers for each event type; TS are not applicable for RO applicants. ROs must serve in both the at-the-controls (ATC) and balance-of-plant (BOP) positions. Instant SROs (SRO-I) must serve in both the SRO and the ATC positions, including at least two instrument or component (I/C) malfunctions and one major transient, in the ATC position. If an SRO-I <i>additionally</i> serves in the BOP position, one I/C malfunction can be credited toward the two I/C malfunctions required for the ATC position. Reactivity manipulations may be conducted under normal or <i>controlled</i> abnormal conditions (refer to Section D.5.d) but must be significant per Section C.2.a of Appendix D. (*) Reactivity and normal evolutions may be replaced with additional I/C malfunctions on a one-for-one basis. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirements specified for the applicant's license level in the right-hand columns. For new reactor facility licensees that use the ATC operator primarily for monitoring plant parameters, the chief examiner may place SRO-I applicants in either the ATC or BOP position to best evaluate the SRO-I in manipulating plant controls. 																	

Facility: Cooper Nuclear Station			Date of Exam: 9/21/2020			Operating Test No.: 2020-9											
A P P L I C A N T	E V E N T T Y P E	Scenarios												T O T A L	M I N I M U M (*)		
		4			3			2			1						
		CREW POSITION			CREW POSITION			CREW POSITION			CREW POSITION						
		S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P		R	I	U
RO <input type="checkbox"/>	RX			0	0								0	1	1	0	
SRO-I <input type="checkbox"/>	NOR			1	0								1	1	1	1	
SRO-U <input type="checkbox"/>	I/C			4	7								11	4	4	2	
<input checked="" type="checkbox"/> (U1)	MAJ			2	1								3	2	2	1	
	TS			N/A	2								2	0	2	2	
RO <input checked="" type="checkbox"/> (R1)	RX		0					0					0	1	1	0	
SRO-I <input type="checkbox"/>	NOR		0					0					0	1	1	1	
SRO-U <input type="checkbox"/>	I/C		4					3					7	4	4	2	
	MAJ		2					1					3	2	2	1	
	TS		N/A					N/A					0	0	2	2	
RO <input type="checkbox"/>	RX	0				0							0	1	1	0	
SRO-I <input checked="" type="checkbox"/> (I7)	NOR	1				0							1	1	1	1	
SRO-U <input type="checkbox"/>	I/C	6				4							10	4	4	2	
	MAJ	2				1							3	2	2	1	
	TS	2				N/A							2	0	2	2	
RO <input type="checkbox"/>	RX													1	1	0	
SRO-I <input type="checkbox"/>	NOR													1	1	1	
SRO-U <input type="checkbox"/>	I/C													4	4	2	
	MAJ													2	2	1	
	TS													0	2	2	

Instructions:

- Check the applicant level and enter the operating test number and Form ES-D-1 event numbers for each event type; TS are not applicable for RO applicants. ROs must serve in both the at-the-controls (ATC) and balance-of-plant (BOP) positions. Instant SROs (SRO-I) must serve in both the SRO and the ATC positions, including at least two instrument or component (I/C) malfunctions and one major transient, in the ATC position. If an SRO-I *additionally* serves in the BOP position, one I/C malfunction can be credited toward the two I/C malfunctions required for the ATC position.
- Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.5.d) but must be significant per Section C.2.a of Appendix D. (*) Reactivity and normal evolutions may be replaced with additional I/C malfunctions on a one-for-one basis.
- Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirements specified for the applicant's license level in the right-hand columns.
- For new reactor facility licensees that use the ATC operator primarily for monitoring plant parameters, the chief examiner may place SRO-I applicants in either the ATC or BOP position to best evaluate the SRO-I in manipulating plant controls.

Facility: Cooper Nuclear Station			Date of Exam: 9/21/2020			Operating Test No.: 2020-9											
A P P L I C A N T	E V E N T T Y P E	Scenarios												T O T A L	M I N I M U M (*)		
		2			4			1			3 (spare)						
		CREW POSITION			CREW POSITION			CREW POSITION			CREW POSITION						
		S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P		R	I	U
RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/>	RX										1	1	0	1	1	0	
	NOR										0	0	0	1	1	1	
	I/C										7	4	5	4	4	2	
	MAJ										1	1	1	2	2	1	
	TS										2	N/A	N/A	0	2	2	
RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/>	RX													1	1	0	
	NOR													1	1	1	
	I/C													4	4	2	
	MAJ													2	2	1	
	TS													0	2	2	
RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/>	RX													1	1	0	
	NOR													1	1	1	
	I/C													4	4	2	
	MAJ													2	2	1	
	TS													0	2	2	
RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/>	RX													1	1	0	
	NOR													1	1	1	
	I/C													4	4	2	
	MAJ													2	2	1	
	TS													0	2	2	
Instructions:																	
1. Check the applicant level and enter the operating test number and Form ES-D-1 event numbers for each event type; TS are not applicable for RO applicants. ROs must serve in both the at-the-controls (ATC) and balance-of-plant (BOP) positions. Instant SROs (SRO-I) must serve in both the SRO and the ATC positions, including at least two instrument or component (I/C) malfunctions and one major transient, in the ATC position. If an SRO-I <i>additionally</i> serves in the BOP position, one I/C malfunction can be credited toward the two I/C malfunctions required for the ATC position.																	
2. Reactivity manipulations may be conducted under normal or <i>controlled</i> abnormal conditions (refer to Section D.5.d) but must be significant per Section C.2.a of Appendix D. (*) Reactivity and normal evolutions may be replaced with additional I/C malfunctions on a one-for-one basis.																	
3. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirements specified for the applicant's license level in the right-hand columns.																	
4. For new reactor facility licensees that use the ATC operator primarily for monitoring plant parameters, the chief examiner may place SRO-I applicants in either the ATC or BOP position to best evaluate the SRO-I in manipulating plant controls.																	

Facility: CNS		Date of Examination: 09/21/2020				Operating Test No.: 2020-9										
Competencies	APPLICANTS															
	I2, I6 RO <input type="checkbox"/> SRO-I <input checked="" type="checkbox"/> SRO-U <input type="checkbox"/>				U1, I3, I4 RO <input type="checkbox"/> SRO-I <input checked="" type="checkbox"/> SRO-U <input checked="" type="checkbox"/>				I1, I5, I7 RO <input type="checkbox"/> SRO-I <input checked="" type="checkbox"/> SRO-U <input type="checkbox"/>				R1 RO <input checked="" type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/>			
	SCENARIO				SCENARIO				SCENARIO				SCENARIO			
	ATC				BOP				CRS				ATC			
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Interpret/Diagnose Events and Conditions		2,4,5,6,8,9,10		1,2,3,4,5,6,7,8,10				2,3,4,5,6,7,8,9,10				2,4,5,6,8,9,10				
Comply with and Use Procedures (1)		2,4,5,6,8,9,10		1,2,5,6,7,8,10				1,2,3,4,5,6,7,8,10				2,4,5,6,8,9,10				
Operate Control Boards (2)		4,5,6,8,9,10		1,2,5,6,7,8,10				NA				4,5,6,8,9,10				
Communicate and Interact		2,4,5,6,8,9,10		1,2,3,4,5,6,7,10				ALL				2,4,5,6,8,9,10				
Demonstrate Supervisory Ability (3)		NA		NA				ALL				NA				
Comply with and Use TS (3)		NA		NA				2,3				NA				
Notes: (1) Includes TS compliance for an RO. (2) Optional for an SRO-U. (3) Only applicable to SROs.																

Facility: CNS	Date of Examination: 09/21/2020										Operating Test No.: 2020-9					
Competencies	APPLICANTS															
	I1, I5, I7				R1				U1, I3, I4				I2, I6			
	RO <input type="checkbox"/>				RO <input checked="" type="checkbox"/>				RO <input type="checkbox"/>				RO <input type="checkbox"/>			
	SRO-I <input checked="" type="checkbox"/>				SRO-I <input type="checkbox"/>				SRO-I <input checked="" type="checkbox"/>				SRO-I <input checked="" type="checkbox"/>			
	SRO-U <input type="checkbox"/>				SRO-U <input type="checkbox"/>				SRO-U <input checked="" type="checkbox"/>				SRO-U <input type="checkbox"/>			
SCENARIO				SCENARIO				SCENARIO				SCENARIO				
1				ATC	1			BOP	1			CRS	1			BOP
		2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Interpret/Diagnose Events and Conditions																
				2,4, 5,6, 7				1,2, 3,4, 8				ALL				1,2, 3,4, 8
Comply with and Use Procedures (1)																
				2,4, 5,6, 7				1,2, 3,4, 8				ALL				1,2, 3,4, 8
Operate Control Boards (2)																
				2,4, 5,6, 7				1,3, 4,8				NA				1,3, 4,8
Communicate and Interact																
				2,4, 5,6, 7				1,2, 3,4, 8				ALL				1,2, 3,4, 8
Demonstrate Supervisory Ability (3)																
				NA				NA				ALL				NA
Comply with and Use TS (3)																
				NA				NA				1,3				NA
Notes:																
(1) Includes TS compliance for an RO.																
(2) Optional for an SRO-U.																
(3) Only applicable to SROs.																

Facility: CNS		Date of Examination: 09/21/2020				Operating Test No.: 2020-9										
Competencies	APPLICANTS															
	I3, I4 RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/>				I1, I5 RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/>				I2, I6 RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/>				RO <input type="checkbox"/> SRO-I <input type="checkbox"/> SRO-U <input type="checkbox"/>			
	SCENARIO				SCENARIO				SCENARIO				SCENARIO			
	ATC				BOP				CRS							
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Interpret/Diagnose Events and Conditions		1,2, 4,5, 6,7		3,4, 5,7, 10		2,3, 4,5, 6,7, 10										
Comply with and Use Procedures (1)		1,2, 4,5, 6,7, 8,9		3,4, 5,6, 7,10		1,2, 3,4, 5,6, 7,10										
Operate Control Boards (2)		1,2, 5,6, 7,8, 9		3,4, 5,6, 10		NA										
Communicate and Interact		1,2, 4,5, 6,7, 8,9		ALL		ALL										
Demonstrate Supervisory Ability (3)		NA		NA		1,2, 3,4, 5,6, 7										
Comply with and Use TS (3)		NA		NA		3,4										
Notes: (1) Includes TS compliance for an RO. (2) Optional for an SRO-U. (3) Only applicable to SROs.																

Facility: CNS		Date of Examination: 09/21/2020				Operating Test No.: 2020-9										
Competencies	APPLICANTS															
	RO <input type="checkbox"/>				RO <input type="checkbox"/>				RO <input type="checkbox"/>				RO <input type="checkbox"/>			
	SRO-I <input type="checkbox"/>				SRO-I <input type="checkbox"/>				SRO-I <input type="checkbox"/>				SRO-I <input type="checkbox"/>			
	SRO-U <input type="checkbox"/>				SRO-U <input type="checkbox"/>				SRO-U <input type="checkbox"/>				SRO-U <input type="checkbox"/>			
SCENARIO				SCENARIO				SCENARIO				SCENARIO				
				ATC				BOP				CRS				
1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	
Interpret/Diagnose Events and Conditions				1,2,4,5,6,8				1,2,3,5,6,7,8,9				ALL				
Comply with and Use Procedures (1)				1,2,4,5,6,8				2,3,5,6,7,8,9				ALL				
Operate Control Boards (2)				1,2,4,5,6,8				3,5,7,8,9				NA				
Communicate and Interact				1,2,4,5,6,8				ALL				ALL				
Demonstrate Supervisory Ability (3)				NA				NA				ALL				
Comply with and Use TS (3)				NA				NA				3,5				
Notes: (1) Includes TS compliance for an RO. (2) Optional for an SRO-U. (3) Only applicable to SROs.																

Instructions:

Check the applicants' license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant. (This includes all rating factors for each competency.) (Forms ES 303 1 and ES 303 3 describe the competency rating factors.)

IP 21 Operator Licensing Exam Schedule

From 09/20/2020 to 09/30/2021

Phase Code: 2 - Construction, 5 - Operational

Region: 4

Exam Week	Site / Docket # / Insp Rpt #	# Candidates				Type	Exam Author	Chief Examiner	Examiners Assigned
		RO	LSRO	SROI	SROU				
09/20/2020	Cooper / 05000298 / 2020302 CAC #: 000500	1	0	7	1	FB-OR-INITIAL LICENSE EXAM ADMINISTRATION (EXAD)	NNN	Kirkland, John	Farina,Thomas Kirkland,John Osterholtz,Clyde

Sites: CNS
 Orgs: ALL
 Exam Author: ALL
 Activity Codes: EXAD, NEXAD, NOV, OV

IP 21 Operator Licensing Exam Schedule

From 09/20/2020 to 09/30/2021

Phase Code: 2 - Construction, 5 - Operational

Region: 4

Summary by Date	RO	SROI	SROU	LSRO	Total
09/2020	1	7	1	0	9

Sites: CNS

Orgs: ALL

Exam Author: ALL

Activity Codes: EXAD, NEXAD, NOV, OV

IP 21 Operator Licensing Exam Schedule

From 09/20/2020 to 09/30/2021

Phase Code: 2 - Construction, 5 - Operational

Region: 4

Summary by Site	RO	SROI	SROU	LSRO	Total
Cooper	1	7	1	0	9

Sites: CNS

Orgs: ALL

Exam Author: ALL

Activity Codes: EXAD, NEXAD, NOV, OV

IP 21 Operator Licensing Exam Schedule

From 09/20/2020 to 09/30/2021

Phase Code: 2 - Construction, 5 - Operational

Region: 4

Summary by Region	RO	SROI	SROU	LSRO	Total
Region 4	1	7	1	0	9

Sites: CNS

Orgs: ALL

Exam Author: ALL

Activity Codes: EXAD, NEXAD, NOV, OV

ICT 19-01

Examination Security Agreement

Form ES-201-3

1. Pre-Examination

* weeks of 9/21/20 d 9/25/20

I acknowledge that I have acquired specialized knowledge about the U.S. Nuclear Regulatory Commission (NRC) licensing examinations scheduled for the week(s) of 9/29/2020 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC's chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC's chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 9/29/2020. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

ES-201, Page 31 of 31

PRINTED NAME	JOB TITLE/RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. Clyde Edgington	NRC Exam Specialist		12/19/19			1
2. Andrew Vaughn	NRC Exam Specialist		12/19/19			1
3. Jim Florence	Training Superintendent		12/19/19		9/30/20	
4. Joel Hudson	Sim Maint Specialist		12/19/19		9/30/20	
5. Brian Waddell	Sim Support Specialist		12/19/19		9/30/20	
6. Gary Degraw	Sim Operations Specialist		1/6/20		9/30/20	
7. Kyle Grillis	Contract Project Lead		12-18-19			1
8. Heath Christensen	Systems Analyst		12-19-19			1
9. Alvin Hays	SR System Analyst		12-19-19			1
10. NORRIS	Systems Analyst		1-6-2020			1
11. Jesse Mackin	SR System Analyst		1-6-2020			1
12. LEO PARKS	SR Sys Analyst		1/23/20			1
13. RICK MURRAY	FLEET EXAM SPEC		3/27/20			1
14. HARON WALKER	Ops Representative		5/12/20			1
15. Mike David	Ops Representative		5/12/20			1

NOTES:

① Assertion was made via electronic correspondence, 10/15/20 (see attached)

Pg 1 of 4
 9/21/20

1. Pre-Examination

* Weeks of 9/1/20 & 9/21/20

I acknowledge that I have acquired specialized knowledge about the U.S. Nuclear Regulatory Commission (NRC) licensing examinations scheduled for the week(s) of 9/29/2020 * as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC's chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC's chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 9/29/2020 *. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

ES-201, Page 31 of 31

PRINTED NAME	JOB TITLE/RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. Joseph M. Linn	Exam Team	<i>[Signature]</i>	6/1/20			1
2. Walter Harrington	RO	<i>[Signature]</i>	6/2/20			1
3. Chris Carpenter	SRO	<i>[Signature]</i>	6-2-2020			1
4. Kent Gray	RO	<i>[Signature]</i>	6/2/20			1
5. Barry Packer	SRO	<i>[Signature]</i>	6/2/20			1
6. Jay Tripp	RO	<i>[Signature]</i>	6/11/20			1
7. Wes Baruth	SRO	<i>[Signature]</i>	6/11/20			1
8. David Hicks	RO	<i>[Signature]</i>	6/12/20			1
9. Alex Block	RO	<i>[Signature]</i>	6/12/20			1
10. JAMES DEBIL	SRO	<i>[Signature]</i>	6-12-20			1
11. Philip Martin	SRO	<i>[Signature]</i>	6/12/20			1
12. Randy Kouba	SRO	<i>[Signature]</i>	17 JUN 20			1
13. Chuck Murphy	SRO	<i>[Signature]</i>	6/12/20			1
14. Nate Ogor	SRO	<i>[Signature]</i>	6/12/20			1
15. Maurice Palmer	SRO	<i>[Signature]</i>	8-17-20			1

NOTES:

① Assertion was made via electronic correspondence 8/18/20 (see attached)

② Assertion made via telephone call; individual not on-site (contact backshift hours). 8/10/20

Pg 2 of 4
8/17/20

1. **Pre-Examination**

* Weeks of 9/21/20 & 9/22/20

I acknowledge that I have acquired specialized knowledge about the U.S. Nuclear Regulatory Commission (NRC) licensing examinations scheduled for the week(s) of 9/29/2020 * as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC's chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC's chief examiner any indications or suggestions that examination security may have been compromised.

2. **Post-Examination**

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 9/29/2020 *. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

ES-201, Page 31 of 31

PRINTED NAME	JOB TITLE/RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. Nick Janssen	RO	<i>[Signature]</i>	8/17/2020			1
2. Zach Shotkoski	RO	<i>[Signature]</i>	8/17/2020			1
3. Cory Jones	RO	<i>[Signature]</i>	8/17/2020			1
4. Travis Kahland	SRO	<i>[Signature]</i>	8/17/2020			2
5. ANDREW ASKAM	SRO	<i>[Signature]</i>	8/17/2020			1
6. Josh Enters	SRO	<i>[Signature]</i>	8/25/20			1
7. Brett Klepper	RO	<i>[Signature]</i>	8/26/20			1
8. STEVE HOFF	RO	<i>[Signature]</i>	9-3-20			3
9. Brad Bare	SRO	<i>[Signature]</i>	9-3-2020			1
10. Jason Ostendorf	OPS INSTRUCTOR	<i>[Signature]</i>	9-18-2020			1
11. Bill Dickerson	OPS INSTRUCTOR	<i>[Signature]</i>	9/18/20			1
12. MATT BILLYMUR	OPS INSTRUCTOR	<i>[Signature]</i>	9/18/20			1
13. Tyler Muehler	OPS WSTRUCTOR	<i>[Signature]</i>	9/18/2020			1
14. Lance Kreifels	ILT Supervisor	<i>[Signature]</i>	9-21-2020	<i>[Signature]</i>	9-30-20	
15. CHARLES WARE	OPS INSTRUCTOR	<i>[Signature]</i>	9-21-2020	<i>[Signature]</i>	9/30/20	

NOTES:

- ① Assertion was made via electronic correspondence. (see attached) 8/18/20
- ② Travis Kahland left employment w/ MPPD on 9/18/20. 10/7/20.
- ③ Assertion made via telephone call; individual not on-site due to illness. 8/18/20

Pg 3 of 4 8/10/20

1. Pre-Examination

of weeks of 9/21/20 & 9/29/20

I acknowledge that I have acquired specialized knowledge about the U.S. Nuclear Regulatory Commission (NRC) licensing examinations scheduled for the week(s) of 9/29/2020 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC's chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC's chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 9/29/2020. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

ES-201, Page 31 of 31

	PRINTED NAME	JOB TITLE/RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1.	JAMIE WAISS	OPS INSTRUCTOR	Jamie Weiss	9/21/2020			i
2.	Donic Franklin	ADM-Training	Donic Franklin	9/21/20			v
3.							
4.							
5.							
6.							
7.							
8.							
9.							
10.							
11.							
12.							
13.							
14.							
15.							

NOTES:

① Assertion was made via electronic correspondence (see attached) 8/10/20

Pg 4 of 4

Examination Security Agreement ES-201-3 Addendum

NOTE – Assertion to the “Post-Examination” signature was made via electronic correspondence.

From: Edgington, Clyde A. <caedgin@nppd.com>
Sent: Wednesday, September 30, 2020 9:41 AM
To: NRC 1901 ILT Exam Security Agreement Participants
Subject: NRC 1901 ILT Exam Security Agreement Signing off
Importance: High

Teammate,

Please vote "Agree" or "Disagree" by clicking "Vote" in the "Respond" group above.

Reference: NUREG 1021 - Operator Licensing Examination Standards for Power Reactors

The administration of the Initial License Class 19-01 NRC Examination was completed on Tuesday September 29, 2020.

ATTENTION – Your prompt attention to this matter is greatly appreciated. The NRC Examination team is currently compiling documentation to support our post-examination submittal to the NRC. The examination security agreement associated with ILT Class 19-01 is a required document for post-examination submittal to the NRC.

You entered into an Examination Security Agreement in accordance with the reference, a “pre-examination” acknowledgement. It is time to complete this process by adding your post-examination acknowledgement to NUREG 1021 Form ES-201-3. In lieu of visiting the Nuclear Learning Center NRC Examination Room at Cooper Nuclear Station, you can complete this obligation by responding to this email correspondence.

Please vote "Agree" or "Disagree" by clicking "Vote" in the "Respond" group above.

The “Agree” option confirms your acknowledgement to the following statement:

“To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the weeks of September 21, 2020 and September 29, 2020. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted on the examination security agreement and authorized by the NRC.”

The “Disagree” option implies otherwise to the “Agree” option.

Please provide your response back to me prior to Monday October 5, 2020. I sincerely appreciate your prompt attention to this matter.

You may return your Exam Security badge next time you are in the Nuclear Learning Center!

Thank you!

Clyde A. Edgington

The following assertions were made:

From: Edgington, Clyde A. <caedgin@nppd.com>
Sent: Wednesday, September 30, 2020 9:42 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Vaughn, Andrew L. [Andy] <alvaugh@nppd.com>
Sent: Wednesday, September 30, 2020 9:47 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Grillis, David Kyle - Hyperspring <dkgrill@nppd.com>
Sent: Wednesday, September 30, 2020 10:15 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Christiansen, Heath D. <hdchris@nppd.com>
Sent: Wednesday, September 30, 2020 8:43 PM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Hays, Alvin F. [Al] <afhays@nppd.com>
Sent: Wednesday, September 30, 2020 10:03 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Morton, Nathan J. <njmorto@nppd.com>
Sent: Wednesday, September 30, 2020 11:11 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Manning, Jessica A. <jamanni@nppd.com>
Sent: Wednesday, September 30, 2020 10:47 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Parks, Leo A. <laparks@nppd.com>
Sent: Friday, October 2, 2020 8:06 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Murray,, Richard- Entergy, Fleet Mentor <rmurra3@entergy.com>
Sent: Wednesday, September 30, 2020 9:45 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Parker, Aaron J. <ajparke@nppd.com>
Sent: Sunday, October 4, 2020 9:02 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: David, Steven M. <s1david@nppd.com>
Sent: Thursday, October 1, 2020 9:18 PM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Milligan, Joseph M. - Consultant <j1milli@nppd.com>
Sent: Wednesday, September 30, 2020 10:15 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Harrington, Walter R. <wrharri@nppd.com>
Sent: Wednesday, October 7, 2020 5:58 PM
To: Florence, James B. <jbflore@nppd.com>
Subject: Agree: ASAP PLEASE - SECOND REQUEST: NRC SUBMITTAL THIS WEEK - 1901 ILT Exam Security Agreement Signing off

From: Carpenter, Christopher M. <cmcarpe@nppd.com>
Sent: Wednesday, September 30, 2020 8:56 PM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Gray, William K. <wkgray@nppd.com>
Sent: Wednesday, October 7, 2020 8:21 PM
To: Florence, James B. <jbflore@nppd.com>
Subject: Agree: ASAP PLEASE - SECOND REQUEST: NRC SUBMITTAL THIS WEEK - 1901 ILT Exam Security Agreement Signing off

From: Parker, Barry T. <btparke@nppd.com>
Sent: Thursday, October 1, 2020 6:24 PM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Tripp, James M. [Jay] <jmtripp@nppd.com>
Sent: Thursday, October 1, 2020 5:53 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Hicks, David L. <dlhicks@nppd.com>
Sent: Wednesday, September 30, 2020 10:37 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Block, Alex T. <atblock@nppd.com>
Sent: Friday, October 2, 2020 9:43 PM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Dedic, James R. <jrdedic@nppd.com>
Sent: Wednesday, September 30, 2020 2:06 PM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Martin, Philip J. [CNS] <pjimarti@nppd.com>
Sent: Friday, October 2, 2020 8:05 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Kouba, Randy L. <rlkouba@nppd.com>
Sent: Wednesday, October 7, 2020 6:37 PM
To: Florence, James B. <jbflore@nppd.com>
Subject: Agree: ASAP PLEASE - SECOND REQUEST: NRC SUBMITTAL THIS WEEK - 1901 ILT Exam Security Agreement Signing off

From: Murphy, Charles W. <cwmurph@nppd.com>
Sent: Wednesday, September 30, 2020 9:43 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Beger, Nathan L. <nlbeger@nppd.com>
Sent: Friday, October 2, 2020 9:32 PM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Palmer, Maurice C. <mcpalme@nppd.com>
Sent: Saturday, October 3, 2020 4:26 PM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Janssen, Nick C. <ncjanss@nppd.com>
Sent: Wednesday, September 30, 2020 9:45 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Shotkoski, Zachary P. <zpshotk@nppd.com>
Sent: Wednesday, September 30, 2020 9:51 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Jones, Cory D. <cdjones@nppd.com>
Sent: Sunday, October 4, 2020 10:13 PM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Askins, Andrew J. <ajaskin@nppd.com>
Sent: Wednesday, September 30, 2020 9:47 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Ehlers, Joshua J. <jiehler@nppd.com>
Sent: Thursday, October 1, 2020 5:04 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Klepper, Brett A. <baklepp@nppd.com>
Sent: Wednesday, September 30, 2020 10:18 PM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Bare, Brad D. <bdbare@nppd.com>
Sent: Wednesday, September 30, 2020 7:04 PM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Ostendorf, Jason D. <jdosten@nppd.com>
Sent: Wednesday, September 30, 2020 9:49 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Dickerson, William B. <wbdicke@nppd.com>
Sent: Wednesday, September 30, 2020 11:49 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Bohannon, Matthew J. <mjbohan@nppd.com>
Sent: Wednesday, September 30, 2020 10:42 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Newbanks, Tyler P. <tpnewba@nppd.com>
Sent: Wednesday, September 30, 2020 6:40 PM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Weiss, Jamie R. <jrweiss@nppd.com>
Sent: Wednesday, September 30, 2020 7:32 PM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off

From: Franklund, Deric L. <d1frank@nppd.com>
Sent: Wednesday, September 30, 2020 9:43 AM
To: Edgington, Clyde A. <caedgin@nppd.com>
Subject: Agree: NRC 1901 ILT Exam Security Agreement Signing off