



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555-0001

October 29, 2020

EA-20-006  
EA-20-007

Mr. Jim Barstow  
Vice President Nuclear Regulatory Affairs  
& Support Services  
Tennessee Valley Authority  
1101 Market Street, LP 4A-C  
Chattanooga, TN 37402-2801

SUBJECT: ORDER IMPOSING CIVIL PENALTY – \$606,942 - TENNESSEE VALLEY  
AUTHORITY

Dear Mr. Barstow:

This refers to your letter dated September 23, 2020, in response to the Notice of Violation and Proposed Imposition of Civil Penalty (Notice) sent as an enclosure to our letter dated August 24, 2020. Our letter and Notice describe four violations of Title 10 of the *Code of Federal Regulations* (10 C.F.R.) § 50.7, "Employee Protection," identified during two investigations completed on October 3, 2019, and January 21, 2020, by the U.S. Nuclear Regulatory Commission's (NRC) Office of Investigations (OI) relating to activities at the Tennessee Valley Authority (TVA).

To emphasize the importance of 10 C.F.R. § 50.7 and prompt identification and correction of violations, a civil penalty of \$606,942 (2 X \$303,471) was proposed.

In your response, you denied all four violations. You also stated that if the NRC continues to believe that the violations occurred, then at a minimum the NRC should reduce the severity level of the alleged violations and commensurately reduce the civil penalty.

For the reasons given in the Appendix attached to the enclosed Order Imposing Civil Monetary Penalty, we have concluded that four violations of 10 C.F.R. § 50.7 occurred as stated and that the penalty proposed for the violations designated in the Notice should be imposed. Accordingly, we hereby serve the enclosed Order on TVA imposing a civil monetary penalty in the amount of \$606,942. Within 30 days of the date of the enclosed Order, you should either (1) pay the civil penalty in accordance with Section IV of the Order or (2) request a hearing in accordance with Section V of the Order.

You may request Alternate Dispute Resolution (ADR) with the NRC in an attempt to resolve this issue. ADR is a general term encompassing various techniques for resolving conflicts using a neutral third party. The technique that the NRC has decided to employ is mediation. Mediation is a voluntary, informal process in which a trained neutral party (the "mediator") works with parties to help them reach resolution. If the parties agree to use ADR, they select a mutually agreeable neutral mediator who has no stake in the outcome and no power to make decisions.

Mediation gives parties an opportunity to discuss issues, be creative, find areas of agreement, and reach a final resolution of the issues. Additional information concerning the NRC's ADR program can be found at <http://www.nrc.gov/aboutnrc/regulatory/enforcement/adr.html>, as well as NRC brochure NUREG/BR-0317, "Enforcement Alternative Dispute Resolution Program," Revision 2 (ADAMS Accession No. ML18122A101). The Institute on Conflict Resolution (ICR) at Cornell University has agreed to facilitate the NRC's program as a neutral third party. If you are interested in pursuing resolution of this issue through ADR, you must contact ICR at (877) 733-9415 within 10 calendar days of the date of this letter. Additionally, please contact Catherine Thompson at 301-287-9515 or email [catherine.thompson@nrc.gov](mailto:catherine.thompson@nrc.gov), or Ian Gifford at 301-287-9216 or email [ian.gifford@nrc.gov](mailto:ian.gifford@nrc.gov) within 10 calendar days of the date of this letter if you choose to participate in ADR. A request to pursue resolution through ADR will extend the time period to pay the civil penalty or request a hearing.

In accordance with 10 C.F.R. § 2.390 of the NRC's "Rules of Practice," a copy of this letter, its enclosure(s), and your response, if you choose to provide one, will be made available electronically for public inspection in the NRC Public Document Room or from the NRC's document system (ADAMS), accessible from the NRC Web site at <http://www.nrc.gov/reading-rm/adams.html>. To the extent possible, your response should not include any personal privacy, proprietary, or safeguards information so that it can be made available to the public without redaction. The NRC also includes significant enforcement actions on its Web site (<http://www.nrc.gov/reading-rm/doc-collections/enforcement/actions/>).

Sincerely,

George A. Wilson, Director  
Office of Enforcement

Docket Nos.: 05000259, 05000260  
05000296, 05000327  
05000328, 05000390  
05000391

License No.: DPR-33, DPR-52,  
DPR-68, DPR-77,  
DPR-79, NPF-90,  
NPF-96

Enclosures: Order Imposing Civil Monetary Penalty  
NUREG/BR-0254 Payment Methods  
NUREG/BR-0317 Enforcement Alternative  
Dispute Resolution Program

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AUTHORITY Dated: 10/29/2020

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ADAMS Package Accession No.: **ML20297A525**

Cover Letter: **ML20297A534**

Order: **ML20297A544**

Appendix: **ML20297A552**

<b>OFFICE</b>	OE: CRB	OE:CRB	OE: CRB/BC	OGC/NLO	OE: D
<b>NAME</b>	IGifford	CThompson	DSolorio	SKirkwood	GWilson
<b>DATE</b>	10/28/2020	10/28/2020	10/29/2020	10/29/2020	10/29/2020

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