

Leveraging Risk Insights for Aging Management Program Implementation Background and Perspectives

Emma Wong Principal Technical Leader, EPRI

NRC Public Meeting - Virtual October 1, 2020

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Enhance Aging Management Program (AMP) implementation to better meet long-term needs by delivering an integrated, sustainable framework emphasizing high impact and high value activities that reinvest technical knowledge and resources to better manage aging.

Desired Outcomes – Expected Framework Attributes

- Optimize AMP implementation basis, sample scope, and extent of condition
- Leverage existing industry approaches, programs, and risk insights
- Provide technically justifiable confidence in AMP implementation decisions
- Include a continuous improvement process to enhance AMP effectiveness based upon:
 - Operating experience
 - Research results
 - Industry performance
- Better inform guidance on refining sample populations, implementation of AMP activities focused on risk insights, and program effectiveness reviews

Broad Involvement

Leveraging Risk Insights Team

- Emma Wong, EPRI Project Manager
- Barry Thurston, Exelon Utility Chair
- EPRI, Exelon, PSEG, Southern, Ameren, South Texas Project, Dominion Energy, ENERCON Team

Other Organizations Involved

- Selective Leaching Task Force and other Working Groups
- = NEI
- EPRI Cables Users Group
- NEI LRIWG, LREWG, LRMWG

NEI – Nuclear Energy Institute

LRIWG – License Renewal Implementation Working Group LREWG – License Renewal Electrical Working Group LRMWG – License Renewal Mechanical Working Group

Progress on the Framework

- Discussed the concept with NRC 5/27 Public Meeting
- Finalized the components of the framework
- Tabletop exercises:
 - Inaccessible Medium Voltage Cables (XI.E3)
 - Selective Leaching (XI.M33)
- Lessons Learned from the Tabletops
- Fall Pilot Exercises
- 2021 Publish Final Report

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Current Scoping, Screening and Aging Management Review (AMR) Process

PTS - Pressurized Thermal Shock, SBO - Station Blackout

Current AMP Implementation

Leveraging Risk Insights for Aging Management Program Implementation Framework

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Barry Thurston Sr. Staff Engineer / Corporate Aging Management Coordinator, Exelon

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 Image: Second system
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Leveraging Risk Insights Framework Considerations

- Focuses resources on leveraging risk insights to inform decisions
- Emphasizes consequences as the basis for actions
- Accounts for likelihoods of consequential outcomes
- Considers risk management strategies to reduce likelihoods and influence consequences
- Guides inspections to refine likelihood models
- Builds component-level understanding of risk
- Reinvests knowledge to enhance AMP implementation activities

Framework Overview

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ARDM - Age-Related Degradation Mechanisms SSCs – Systems, Structures, and Components

Selecting Candidate AMPs

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Considerations

- Maturity of the AMP
- Availability of Industry Guidance
- AMP Implementation
 Schedule/Period of Extended
 Operation Entry Status
- Number of SSCs included in the AMP
- AMPs with aging effects that are well understood
- AMP operating experience

Information Needed to Inform the Framework

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- Plant Specific SSC Information
- Plant Specific Aging Management Information
- Plant Specific Information on Aging Mechanisms
- Plant Specific Risk Insights Information
- Plant Specific Operating Experience
- Other Information Needed (e.g., research)

Likelihood and Consequence

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- Collect and use operating experience on aging effects to better inform likelihood of occurrence
- Use research results to better inform likelihood of occurrence
- Consequence will be informed by available risk-information and adapted for the purposes of AMPs and aging effects

Risk Matrix

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Management Options:

- Proactive Replacement
- Risk Management Strategies
- Inspections of Surrogates

Note: the numbers are only for discussion purposes and have no other correlation

Evaluate Regulatory Implications (if any)

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- Compare new / modified aging management strategies to existing License Renewal (LR) regulatory requirements
- Capture changes in Corrective Action Process
- Determine if the following regulatory requirements have been affected by the proposed changes:
 - Operating License Conditions
 - Updated Final Safety Analysis Report AMP descriptions and/or commitments table
 - Regulatory Commitments
 - Docketed correspondence from LR application approval / Safety Evaluation Report issuance process
- Implement the appropriate LR requirement/regulatory change processes

Potential Approaches to Leveraging Risk Insights

Note: Dotted blue boxes are the current potential approach

Summary of Framework Development Activities

- Review documentation collected
- Obtain additional information
- SSC identification and grouping
- Likelihood inputs and scaling
- Consequence inputs and scaling
- Populate risk matrix (initial)
- Review risk management strategies
- Revise risk rankings (as-left)
- Recommendations for AMP implementation
- Changes required to LR requirements
- Document key observations and changes to the framework
- Discuss and finalize cost/resource estimates and benefits

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≤	INCREA		CE

Leveraging Risk Insights for Aging Management Program Implementation Risk Insights Aspects

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General Intent of the Framework - Use Existing Processes

- Overall structure similar to existing processes, but adapted to AMP implementation
- Items to address:
 - Leveraging risk insights in a cost-effective, technically justifiable manner
 - Integrating aspects of AMP implementation with quantitative risk results in a consistent approach
 - Defining thresholds for decision-making
 - Establishing a process and guidance that is transparent and scrutable

Background – Role of risk insights and PRA modeling

- Risk assessment may be used as a tool to better understand likelihood,
 - impacts, and consequences

- Role of risk insights for AMPs may be <u>different</u> than for other applications that have become risk-informed
- Several risk-informed applications rely extensively on Probabilistic Risk Assessment (PRA)
- Intent of this effort is <u>not</u> to develop an "Aging Management PRA" – we acknowledge limitations
- Intent is to consider where PRA information <u>can</u> be incorporated in the decision making for AMP implementation
 - How important a specific System, Structure, or Component (SSC) is to overall safety/risk
 - How to consider likelihood of aging mechanisms
 - Impact on AMP implementation strategies

Use of Risk Insights in License Renewal Discussion

A review of key statements from the following documents was performed:

NRC Statements Of Consideration (SOC) for Nuclear Power Plant License Renewal (1991)

NRC SOC for Nuclear Power Plant License Renewal (1995)

Use of PRA Methods in Nuclear Regulatory Activities; Final Policy Statement (1995)

NEI 95-01 Rev. 6 Industry Guideline For Implementing the Requirements of 10 CFR Part 54 – License Renewal Rule

This effort is not intended to challenge Policy Statements or SOCs

Recognizes plant-specific PRA as an effective tool to provide integrated insights

Recognizes supplementing screening methods & aging management approaches using PRA

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Recognizes a "layering" of risk insights over existing deterministic processes to better inform actions, define a proper safety focus (not intended to use PRA to justify AMP ineffectiveness) Provides more specifics of where risk insights can be used while identifying challenges

Intends to use risk insights reduce unnecessary conservatism or support additional requirements (not intended to weaken requirements)

Use of Risk Insights in License Renewal Discussion

- Since 1995, the NRC and industry have significantly expanded use of PRA & risk insights:
 - Maintenance Rule
 - Risk-informed Oversight
 - Risk-Informed In-Service Inspection
 - Risk-Informed Categorization of SSCs (§50.69)
 - Risk-Informed Technical Specifications
 - Risk-Informed Fire Protection

- All operating reactors have invested heavily on site-specific PRA models that added significant quality and level of detail since the 1990s
- While the focus of each risk-informed application may be different, they have direct or indirect links to managing aging effects; to the extent that revisiting their use is critical
 - Direct impacts: e.g., risk-informed licensing basis (e.g., fire protection, §50.69)
 - Indirect impacts: e.g., available framework to assess new information, prioritize actions

Use of Risk Insights – Lessons Learned

- Use of risk insights is general, in line with current implementation and defense-in-depth 8 9 Likelihood of Impact of LIKEI Impact of SSC Failure Aging Effect Aging Effect 5 6 4 on Plant Safety J on SSC on SSC EASIN e.g., if aging e.g., if SSC fails, what is e.g., is SSC 2 3 2 effect is present, the overall impact? more or less what is impact on susceptible? SSC function? **INCREASING CONSEQUENCE**
- Likelihood insights are expected to be more qualitative, semi-quantitative
 - Current direction is to use a scoring approach; identify contributing factors
- Consequence insights are expected to be a mix of quantitative AND qualitative
 - For example, §50.69 already used both quantitative and qualitative risk insights

Use of Risk Insights – Approach to Likelihood Input

- Define a set of major areas/factors that are
 - Factors are aging effect-specific, one SSC at a time
 - Intended to be robust, but not a rigorous estimate of likelihood/probability of aging effect
 - Engineering judgement used, <u>not</u> a probability
 - Each area has a number of factor that increase or decrease of aging effect presence/impact
- Scores were developed and iterated to obtain a scale
- Information is collected
- Each factor/attribute is scored
- Aggregate results are obtained
- General score criteria are defined

e.g.,

Use of Risk Insights – Approach to Consequence Input

- Large amount of risk information that can be leveraged already exists
 - Key issue is: can it be appropriately leveraged for AMPs?
 - Different aging effects may require different types of risk information
 - For example: selective leaching SSCs versus cable aging SSCs
- Several risk-informed processes can be leveraged for use with specific aging effects
 - For example, §50.69 already includes quantitative risk criteria
 - §50.69 includes a qualitative process for passive SSCs
 - §50.69 allows for Risk-Informed Inservice Inspection (RI-ISI) to be used

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Source: NEI 00-04

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MSLB Inside Loss of 1 SR DC bus

LOCAs Other Design Basis

Accidents

DEFENSE-IN-DEPTH MATRIX

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Use of Risk Insights – Potential Detailed Process (To be Piloted)

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Use of Risk Insights – Framework Outcome (In development)

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Use of Risk Insights – Lessons Learned

- Developing a scoring approach that informs likelihood tested for two AMPs
- Using large amounts of risk information can be challenging (a lot of options!)
 - Does the HSS/LSS §50.69 categorization make sense vis-à-vis §54.4(a)(1-3)?
 - Should the same importance measures be used as in **§50.69**? Other measures?
 - Should RI-ISI be used directly for specific passive SSCs?
- Key information to obtain if PRA model is to be leveraged:
 - Is the specific function of SSC under 10 CFR Part 54 modeled in PRA?
 - If not modeled, can it be indirectly modeled under a surrogate impact?
 - If not modeled (very low risk), is there documentation that can be leveraged?
- Pilot activities will use wider information and integrate likelihood/consequence
- Overall, aggregated results for various SSCs will be obtained, studied

Leveraging Risk Insights for Aging Management Program Implementation Selective Leaching Tabletop

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Overview: Optimize Sample Population for Selective Leaching AMP Inspections

- Limited Selective Leaching found in certain components during pre-period of extended operation (PEO) inspections
- AMP requires expansion of scope of pre-PEO inspections and possibly creation of an ongoing AMP during PEO
- Goal: use risk insights to guide selection of components for extent of condition inspections and scope of on-going AMP (if required)

Team Members

- Exelon
 - Exelon Corporate Aging Management Coordinator
 - Exelon Corporate Risk Management personnel
- Industry License Renewal and Risk Management peers
- EPRI
 - Selective Leaching Expert
 - Risk Expert
 - EPRI 10 CFR 50.69 and
 Enhanced Pressure Boundary
 Categorization Expert
 - Aging Management Expert
- Vendor Support (Enercon)

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Information Collected

- Initial Collection
 - Station Selective Leaching Inspection Sample Basis Document
 - Station System Aging Management Review Reports
 - Station System and Structure Scoping Reports
 - Station 10 CFR 54.4(a)(2) Scoping and Screening Basis Document
 - Station Selective Leaching Inspection and Destructive Examination Analysis Reports
 - Station 10 CFR 50.69 System Categorization Reports
 - Station Risk-Informed ISI Procedures
 - Corporate and Plant Nuclear and Enterprise Risk Management Procedures
 - Corporate Corrective Action Process Procedures
 - EPRI's Selective Leaching: State of the Art Technical Update
- Supplemental Information Collected
 - System Engineer input on normal system operating parameters
 - Station Cathodic Protection Survey Report
 - Station PRA results and products

Identification and Grouping of SSCs

- Scope includes two material types located in buried or various water environments susceptible to selective leaching- gray cast iron and copper alloy >15% Zinc.
 - 293+ components with 20 different component types across 11 systems
 - Majority of components scoped into LR under the 10 CFR 54.4(a)(2) criterion and have a LR intended function of leakage boundary to protect nearby safety related SSCs from failure due to spatial interactions
 - None of these components are from systems classified as "high energy"- failure effects such as pipe whip, jet impingement, etc., were not considered further
- Scope and grouping of SSCs in this AMP most explicitly defined in the Selective Leaching Inspection Sample Basis Document
 - Some component types such as piping, valves and hydrants were not broken down any further
 - Additional effort to refine these groupings deferred to the pilot
- SSCs loaded into a spreadsheet that includes system name, SSC type, Unit, SSC Equipment Identification No., Safety Class, Location, Target SSCs, material of construction, environment, and intended function

Defining and Scaling Likelihood of Failure Inputs

- Developed through a review of EPRI Selective Leaching State of the Art Technical Report and discussion with team
- Created a screening table similar to the Buried Pipe risk ranking strategies
- Both qualitative and quantitative environmental parameters included
- Few examples of site-specific operating experience (OE) of Selective Leaching
 - Validated through destructive analysis
 - Examples were all gray cast iron buried fire protection piping and components
- Material type (copper alloy vs. cast iron, etc.) not included due to lack of material susceptibility information. It is considered in combination with environment.
- Buried components may have separate entries for internal and external environment susceptibility

- Each factor assigned a weighting (e.g., 1-3, 1-4, etc.) and sum of the factor scores establish the components' overall score
- Overall score determines components' likelihood of failure- likely, possible or highly unlikely

Preliminary Selective Leaching Likelihood Table

Selective Leaching Likelihood Screening Table						
Component ID:						
Operating	g Experience					
Previous SL Inspection Results	Weighted Score	Pocult				
based on M/E	Weighted Score	<u>Kesun</u>				
Loss of intended function has	5					
occurred due to SL	5	_				
Advanced degradation has	3					
occurred due to SL	J	-				
No available OE	2	-				
Little or no presence of SL	1					
observed	L					
Environment ¹						
<u>Environment</u>	Weighted Score	<u>Result</u>				
Buried/Soil	4	-				
Condensation	3					
Closed Cycle Cooling Water	3					
Raw Water	2					
Treated Water	1					
Condensation/wate	er [int]-specific factor	ſS				
<u>Temperature</u>	Weighted Score	<u>Result</u>				
>100 °F	3					
70-100 °F	2					
<70 °F	1	-				
Flow Rate	Weighted Score	<u>Result</u>				
Stagnant during normal operation	4					
Intermittent flow rate during	2					
normal operation	3					
Consistent flow rate during normal operation	1					

Buried/Soil [ext]-specific factors				
Soil Corrosivity	Weighted Score	<u>Result</u>		
High	3			
Medium	2			
Low	1			
Cathodic Protection	Weighted Score	<u>Result</u>		
Not installed or not operating	3			
Operating but not monitored or maintained	2			
Periodically monitored and maintained	1			
<u>Coatings</u>	Weighted Score	<u>Result</u>		
No coating present	3			
Coating present but not monitored or maintained	2			
Coating periodically monitored and maintained	1			

Input to Risk Matrix

Buried/Soil [ext]		Condensation/water [int]		
Min score:	8	Min score:	4	
Max score:	18	Max score:	18	
Score Range	SL Likelihood	Score Range	SL Likelihood	
≥15	Likely	≥12	Likely	
11 to 14	Possible	7 to 11	Possible	
≤10	Highly Unlikely	≤6 Highly Unlikely		

Defining and Scaling Likelihood of Failure Inputs (cont.)

- Individual susceptibility factor weighting <u>and</u> overall scoring thresholds provide the opportunity to bias the rankings as necessary
- Component scoring heavily biased towards site-specific OE.
 Distinctions made between Selective Leaching being observed and inspected
- Factor weighting and overall ranking thresholds still being finalized. It is expected that they may change based on industry OE and research

Once Finalized, this Table Could be Applied Across the Industry

Defining and Scaling Consequence of Failure Inputs

- Ongoing development through review of the following documents and discussion with team members:
 - 10 CFR 50.69 Categorizations for systems of interest
 - Risk Informed-Inservice Inspection results
 - PRA Basic Event data
 - Internal events, internal fire, and internal flooding PRA data
- Considering how to accurately correlate standard risk analysis outputs to the consequence of loss of intended function of the in-scope structures and components
- Determination of a final consequence value for a structure or component may be an iterative process

Special Considerations for 10 CFR 54.4(a)(2) Components

Consequence of failure

- Non-safety related in-scope component is not the focus
- Safety related target component is important and the focus
- Internal flooding PRA or results of the Enhanced Pressure Boundary Categorization Process
 - May be a direct way of assessing the consequence of failure of the non safety related component <u>AND</u> its safety related target
 - These analyses account for spatial interaction effects of failure on surrounding safety related SSCs
- Detailed walkdown results from internal flooding PRA development may be useful to reduce excess conservatism during initial LR scoping

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Next Steps

- Evaluate likelihood of all in-scope SSCs using Likelihood inputs and scaling developed in the Tabletop
- Complete the development of consequence inputs and scaling based on information available in Station PRAs, 10 CFR 50.69 Risk Categorizations and other risk analyses (as applicable)
- Evaluate consequence of failure of all in-scope SSCs
- Populate the risk matrix
- Review current aging management strategies and develop recommendations for alternative replacement or inspection strategies based on the risk rankings
- Determine the License Renewal requirement changes necessary to implement these recommendations

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Leveraging Risk Insights for Aging Management Program Implementation Cables Tabletop

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Jessica Bock Cable & Transformer Programs Engineer, Ameren

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Overview: Determine Possible Technical Basis for XI.E3 AMP ISG to Allow 10 Year Test Frequency

- Effort is underway to perform risk insight review using EPRI report 3002018403
 - High level tabletop to evaluate the draft guidance (May-present)
 - AMP degradation modes identified, scope identified, likelihoods of failure and rankings completed
 - Consequence of failure and rankings in-progress
 - Pilot to finish by end of 2020
- Is an Interim Staff Guidance (ISG) warranted to extend cable testing beyond required 6 years?
 - NEI and the License Renewal Electrical Working Group decision/action
 - Extending frequency will allow more efficient use of resources if risk of failure is not impacted

Team Members

- Callaway
 - Cable Program Owner
 - PRA Experts
- Industry License Renewal and Risk Management peers
- EPRI
 - Cable Expert
 - Risk Expert
 - Aging Management Expert
- Vendor Support (Enercon)

Initial Information Collected

- Callaway medium voltage cables within the scope of XI.E3
- Cable specifics (age, manufacturer, insulation type, operating voltage, etc.)
- End component(s) that a cable failure would effect
- Cable routing information (i.e. underground, direct buried, embedded conduit, etc.)
- License renewal function
- Previous test results
- Operating experience
- Risk information
 - RAW and Birnbaum (internal events, fire, high winds, seismic)
 - Core damage frequency results and Large Early Release Frequency (LERF)

Identification and Grouping of SSCs

Scope of Callaway XI.E3 AMP

- 111 cable circuits
- Two cable insulation types (brown and pink ethylene propylene rubber)
- Metallic shield type (none, Zinc, Copper)
- Operating voltages from 4 kV to 13.8 kV
- Inspection results for wetting (always dry, previously wet, periodically wet, unknown (embedded conduit))
- Splices (yes, no, how many)
- SSCs loaded into a spreadsheet as defined in previous slide

Defining and Scaling Likelihood of Failure Inputs

- Developed through team members knowledge of industry, DOE, and NRC research, industry OE and Callaway sitespecific OE on the factors that influence the aging effects identified in XI.E3
- Created a screening table that includes the factors that influence aging effects for wetted cables that includes
 - Insulation types used across the nuclear industry (EPRs (black, pink, brown, XLPE, TR-XLPE and PILC)
 - Environmental factors (always dry, history of being wetted, etc.)
 - Shield type
 - Number of splices
 - Historical test data
- Each factor was assigned a weighting between 0-5 (higher weight) or 0-3 (lower weight)
- Overall score determines components' likelihood of failure- likely, possible or highly unlikely

EPR - Ethylene Propylene Rubber PILC - Paper Insulated Lead Covered TR-XLPE - Tree retardant XLPE XLPE - Cross-linked Polyethylene

Likelihood of Failure

Likelihood Index

		Incre	asing Likelihood	1		
Cable Parameter	0	1	2	3	4	5
Insulation	-	Other (ex. pink/brown EPR)				Black, XLPE, Butyl Rubber, Compact (black or pink)
Inaccessibility		Always dry	History of previous wetting, but how kept dry	Occasional wetting		Can't keep dry
Shield type	Non- shielded	Copper, drain wire type, concentric neutral, LCCS	Zinc not previously wetted	Zinc previously wetted		
Splices		No splices	One splice	More than one splice		
Test data	Multiple good tests	One good test	No data – assumed dry	No data – assumed wet*	Further study required	Action required

Ranges:
High = 17-19
Medium-high = 14-16
Medium = 10-13
Medium-low = 7-9
Low = ≤6

* assumed previous long-term submergence cases are considered a 3 until tested otherwise

Next Steps

- Develop of consequence inputs and scaling based on information available in Callaway's PRAs (e.g., Fire), 10 CFR 50.69 Risk Categorizations and other risk analyses (as applicable)
- Evaluate consequence of failure of all inscope SSCs
- Populate the heat map for Risk and Likelihood
- Review current aging management strategies and develop recommendations for alternative replacement or inspection strategies based on the risk rankings
- Determine the License Renewal requirement changes necessary to implement these recommendations

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Leveraging Risk Insights for Aging Management Program Implementation Lessons Learned and Path Forward

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Overall Lessons Learned in the Tabletops

- Obtaining good detailed SSC data is key
- Technical experts can determine initial likelihood factors and scaling independently
- Likelihood factors can largely be universally applied, but the specifics will be site specific
- Consequence factor concepts can be applied universally, but the specifics will be site specific
- Technical and risk experts are needed to determine initial consequence factors and scaling
- Utility peer participation is valuable
- Blending quantitative and qualitative risk information is a challenge
- Focus on nuclear safety consequences as a priority
- Non-PRA based risk factors (e.g., enterprise, financial, operational, regulatory) still need to be considered in management strategy

Leveraging Risk Insights Timeline

- 2019 (Fall) 2020 (January) Leveraging Risk Insights to Optimize Aging Management Program Implementation: 3002017865 (White Paper) – Released
- 2020 (Summer) Tabletop Exercises based on Selective Leaching (XI.M33) and Cables (XI.E3)
- 2020 (Fall) Tech Update: Long Term Operations: Leveraging Risk Insights for Aging Management Program Implementation: 3002018403 - Released
- 2020 (Fall-Winter) Pilots based on the Summer Tabletop Exercises
- 2021 Report: Leveraging Risk Insights for Aging Management Program Implementation
- 2021 and beyond as more EPRI research is completed, additional work will be completed to see the savings when compared to the pilots and presentations and reports will be generated

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