

APPENDIX V 

Significant Enforcement Actions Issued, 2019

Significant (escalated) enforcement actions include notices of violation (NOVs) for severity level (SL) I, II, or III violations; NOVs associated with inspection findings that the significance determination process (SDP) categorizes as White, Yellow, or Red; civil penalties (CPs); and enforcement-related orders. The NRC Enforcement Policy also allows related violations to be categorized collectively as a single problem. Escalated enforcement actions are issued to reactor, materials, and individual licensees; nonlicensees; and fuel cycle facility licensees.

Action #	Name	Type	Issue Date	Enforcement Action
IA-18-044	Mr. John Emore	Individual	01/03/2019	SLIII/NOV
EA-18-132	Fleis & VandenBrink Engineering, Inc.	Materials	01/17/2019	(2) SLIII/NOV
EA-18-032	Southern Nuclear Operating Company Inc. (Joseph M Farley Nuclear Power Plant)	Reactor	01/29/2019	Confirmatory Order result of an ADR mediation
EA-18-076	Howard University	Materials	01/31/2019	SLIII/NOV
EA-18-113	Mistras Group, Inc.	Materials	02/13/2019	SLIII/NOV
IA-18-043	Mr. Randy Bethea	Individual	02/13/2019	Order banning for one year
EA-18-133	Providence Alaska Medical Center	Materials	02/21/2019	SLIII/NOV/Problem/CP-\$14,500
IA-18-049	Mr. Timothy Murdock	Individual	03/08/2019	SLIII/NOV
IA-18-050	Mr. David Monzon	Individual	03/08/2019	SLIII/NOV
EA-18-155	Southern California Edison Company (San Onofre Nuclear Generating Station)	Reactor	03/25/2019	SLII NOV/SLIII NOV/CP-\$116,000
EA-18-104	Exelon Generation Company (Clinton Power Station)	Reactor	04/01/2019	White/NOV
EA-18-131	Prime NDT Services, Inc.	Materials	04/01/2019	Confirmatory Order result of an ADR mediation/ CP-\$3500
EA-18-138	Entergy Operations Inc. (Waterford Steam Electric Station)	Reactor	04/05/2019	SLIII/NOV/Problem
EA-18-182	Tennessee Valley Authority (Watts Bar Nuclear Plant)	Reactor	04/15/2019	White/NOV
EA-18-174	Entergy Operations Inc. (River Bend Station)	Reactor	04/19/2019	SLIII/NOV
EA-18-151	Holtec International	Materials	04/24/2019	SLIII/NOV
EA-18-153	Idaho State University	Materials	05/02/2019	Confirmatory Order result of an ADR mediation
EA-18-123	Solis Tek, Inc.	Materials	05/15/2019	SLIII Problem/NOV/CP-\$43,500
EA-19-024	Mirion Technologies Corporation	Materials	05/16/2019	SLIII/NOV
EA-19-003	Froehling & Robertson, Inc.	Materials	05/20/2019	SLIII Problem/NOV; SLIII/NOV; SLIV/NOV
EA-18-170	Source Production & Equipment Co.	Materials	06/03/2019	SLIII/NOV
EA-18-165	Wolf Creek Nuclear Operating Corporation (Wolf Creek)	Reactor	07/18/2019	Confirmatory Order result of an ADR mediation
IA-19-005	Mr. Chad Chaffain	Individual	07/18/2019	SLIII/NOV
EA-17-175	Dead Ringer, LLC	Materials	08/08/2019	SLIII/NOV/CP-\$43,500
EA-18-183				
IA-17-043	Mr. Jesse Erdle	Individual	08/08/2019	SLIII/NOV
IA-19-002	Mr. Kristian Meyer	Individual	08/08/2019	SLIII/NOV
IA-19-028	Mr. Alex Block	Individual	08/12/2019	SLIII/NOV
EA-19-090	APINDE Inc.	Materials	08/22/2019	Order - Suspending License
EA-18-161	Louisiana Energy Services, LLC (LES) (d/b/a URENCO USA)	Fuel Facility	09/05/2019	SLIII/NOV
IA-19-007	Mr. Justin Roberts	Individual	09/05/2019	Order banning for one year
EA-18-119	Framatome Inc.	Non-licensee	09/12/2019	SLIII/NOV
EA-18-066	Florida Power and Light Company (St. Lucie Plant)	Reactor	09/12/2019	SLII/NOV/CP-\$232,000
IA-18-040	Mr. Thomas Summers	Individual	09/12/2019	Order banning for five years
IA-19-031	Mr. Patrick Ryan	Individual	09/12/2019	SLIII/NOV



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Significant Enforcement Actions Issued, 2019 (continued)

Action #	Name	Type	Issue Date	Enforcement Action
EA-19-040	Manufacturing Sciences Corporation	Materials	10/10/2019	SLIII/NOV
IA-19-027	Mr. Thomas Saunders	Individual	10/21/2019	Confirmatory Order result of an ADR mediation
EA-17-175 EA-18-183	Dead Ringer, LLC	Materials	10/22/2019	Order Imposing a Civil Penalty-\$43,500
EA-19-042	Tennessee Valley Authority (Watts Bar Nuclear Plant Unit 2)	Reactor	11/19/2019	SLIII/NOV/CP-\$145,000
EA-18-130 EA-18-171	Southern Nuclear Operating Company Inc. (Vogtle Units 3 and 4)	Reactor	11/20/2019	Confirmatory Order result of an ADR mediation
IA-18-045	Mr. Mark Rauckhorst	Individual	11/20/2019	SLIII/NOV
IA-19-032	Mr. Wesley McGill	Individual	11/22/2019	SLIII/NOV
IA-19-036	Mr. Daniel Comisky	Individual	11/25/2019	SLIV/NOV
EA-19-085	Stillwater Mining	Materials	12/19/2019	SLIII/NOV/CP-\$7,250
EA-18-034	Western Nuclear Inc.	Materials	12/19/2019	SLIII/NOV/CP-\$14,500

