



UNITED STATES
NUCLEAR REGULATORY COMMISSION
REGION III
788 ROOSEVELT ROAD
GLEN ELLYN, ILLINOIS 60137

AUG 3 1989

Locket No. 50-461

Illinois Power Company
ATTN: Mr. D. P. Hall
Senior Vice President
Clinton Power Station
Mail Code V-275
P. O. Box 678
Clinton, Illinois 61727

Gentlemen:

The purpose of this letter is to forward the synopsis of the NRC Office of Investigations (OI) Report 3-84-012 which reviewed eight separate allegations.

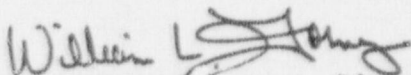
None of the eight allegations were substantiated. In one of the allegations involving the issuance of oral changes to procedures, one isolated instance was identified where an individual provided oral changes. Subordinates failed to act on the oral changes and therefore no violation of procedures was committed.

Our review of OI Report 3-84-012 has been completed and we consider the matters addressed in the OI Report closed.

In accordance with 10 CFR 2.790 of the Commission's regulations, a copy of this letter with enclosure will be placed in the NRC Public Document Room.

We will gladly discuss any questions you may have concerning the OI report.

Sincerely,


Edward G. Greenman, Director
for Division of Reactor Projects

Enclosure: OI Report
3-84-012 Synopsis

See Attached Distribution

8908140052 890803
PDR ADOCK 05000461
P PNU

IES4
11

Illinois Power Company

2

AUG 3 1989

Distribution

cc w/enclosure:

J. S. Perry, Assistant
Vice president

D. L. Holtzscher, Acting Manager,
Licensing and Safety
Department

DCD/DCB (RIDS)

Licensing Fee Management Branch
Resident Inspector, RIII

J. B. Hickman, NRR Project Manager

J. W. McCaffrey, Chief, Public
Utilities Division

David Rosenblatt, Governor's
Office of Consumer Services

Sheldon Zabel, Esquire,
Schiff, Hardin, & Waite

Chairman of DeWitt County
Illinois Department of
Nuclear Safety

SYNOPSIS

On May 11, 1984, NRC Region III (RIII) requested that an investigation be initiated concerning allegations that document reviewers in the Baldwin Associates (BA) Document Review Group (DRG) were accepting "bogus," i.e., falsified, documents or coercing others to accept bogus documents. Furthermore, RIII requested an investigation regarding an allegation of a threat received by a Lead Document Reviewer in the DRG Electrical Group. The Lead Document Reviewer was an employee of BA, a contractor for the licensee, Illinois Power Company (IP), at the Clinton Power Station (Clinton), Clinton, Illinois.

The Lead Reviewer made the allegations during a Sunday morning telephone call to the NRC Duty Officer. Subsequently, the Lead Reviewer refused to meet with the NRC Senior Resident Inspector (SRI) at Clinton to discuss the allegations. The SRI treated the allegations as valid and notified NRC:RIII.

The Lead Reviewer was later terminated from Clinton by BA due to falsification of his resume. The Lead Reviewer filed a multi-million dollar lawsuit against BA and IP and alleged he was unfairly terminated. Based on this allegation, the Lead Reviewer met with NRC:RIII representatives. The Lead Reviewer, however, refused on two occasions to be interviewed by NRC Office of Investigations (OI) investigators. Based on this lack of cooperation, this matter was upgraded to an investigation. (The resume falsification is being investigated under NRC:OI Case No. 3-85-009.)

Shortly after the Lead Reviewer filed his lawsuit, a former Level II Document Reviewer wrote a letter to RIII saying he had been unfairly terminated from his position at Clinton. The Level II Reviewer also alleged that BA management used intimidation/harassment, imposed a quota system on reviewers, and misused a Generic Resolution (GR) program. These allegations were incorporated into this case.

During the course of the investigation, eight separate allegations were raised by various individuals. The first allegation claimed that document reviewers were allowed to cheat on their certification exams. This allegation could not be substantiated. The second allegation involved the alleged threat against the Lead Reviewer. It could not be substantiated that the threat actually occurred. However, even if the threat did occur, all evidence indicates the threat was not related to the Lead Reviewer's job; rather the threat was a result of incidents involving the Lead Reviewer's personal life.

The third allegation claimed that reviewers in DRG were accepting or coercing others to accept bogus documents. Due to the lack of cooperation by the Lead Reviewer, it was assumed that the Lead Reviewer felt the GR program was improper, in that it did not properly address deficiencies, thus any acceptance of documentation citing a GR was, in fact, improper. While other reviewers also felt that the GR program was misused or false in its own right, the allegation of accepting false documents could not be substantiated. Reviewers also alleged that BA:DRG supervisors were reviewing and improperly accepting travelers over the objections of the reviewers. The reviewers felt the supervisors were "buying off" packages on a wholesale basis. This allegation also could not be substantiated.

Some reviewers also cited instances where BA:DRG supervisors "falsified" welding certifications, material certifications, and Certificates of Conformance. The reviewers who made the allegations could not provide any specific information and the allegations could not be substantiated.

It was also alleged during the investigation that BA:DRG management imposed quotas or "bean counts" on the individual reviewers to accelerate production. BA management admitted tracking work production to determine manpower needs and to determine scheduling goals. The allegation of using bean counts to encourage or force accelerated production in lieu of quality could not be substantiated. The allegation that BA:DRG management intimidated and harassed document reviewers could not be substantiated. The intimidation/harassment cited by the Level II Reviewer pertained to a nickname placed on him and his relatives, which he felt was intimidating. This nickname or moniker was used by both management and fellow workers. Other incidents or examples of intimidation/harassment appeared to be perceptions of certain managers' management style as opposed to blatant intimidation/harassment. None of the reviewers who made this allegation admitted that the intimidation/harassment affected the quality of their review work.

The allegation was also made that BA:DRG supervisors improperly made oral changes to written IP procedures. One case of a Level III making improper oral changes to procedures was substantiated. The Level III was counseled not to issue oral changes, and no reviewers were found who actually followed the oral changes.

During the investigation, it was alleged that there was collusion between a DRG Level II Reviewer and IP Quality Assurance to "buy off packages." This allegation could not be substantiated.

A DRG Level III Reviewer and the aforementioned DRG Level II Reviewer alleged that they had been terminated unfairly. In both cases, the allegations could not be substantiated.