

SAFEGUARDS INFORMATION

JUN 25 1986

Docket Nos. 50-413 and 50-414
License Nos. NPF-35 and NPF-48
EA 86-92

Duke Power Company
ATTN: Mr. H. B. Tucker, Vice President
Nuclear Production Department
422 South Church Street
Charlotte, NC 28242

Gentlemen:

SUBJECT: NOTICE OF VIOLATION
(NRC INSPECTION REPORT NO. 50-413/86-16 AND 50-414/86-17)

This refers to the Nuclear Regulatory Commission (NRC) security inspection conducted on March 25-26, 1986 at your Catawba Nuclear Station, Units 1 and 2, in York, South Carolina. This inspection was in response to your telephone notification to us on March 21, 1986, regarding a security incident that occurred on March 21, 1986. The findings of our inspection were discussed with you during an Enforcement Conference held in the Region II Office on April 24, 1986.

The first violation involves an incident in which the integrity of a vital area barrier was lessened when a steel plate (Emergency Air Penetration Flange) which exceeds ninety-six (96) square inches was removed from the barrier wall, and adequate security measures to prevent unauthorized access to the vital area were not established. A second violation was identified and reported on March 3, 1986, by the NRC Resident Inspector which was also investigated during this inspection and is included as part of this report. This violation involved an open equipment hatch which was not under control of a security officer and through which access to a vital area could be gained.

In accordance with the "General Statement of Policy and Procedure for NRC Enforcement Actions," 10 CFR Part 2, Appendix C (1986), the violations described in the enclosed Notice have been classified as Severity Level III and Severity Level IV violations, respectively. Normally, a civil penalty is considered for a Severity Level III violation. However, after consultation with the Director, Office of Inspection and Enforcement, I have decided that a civil penalty will not be proposed in this case because of your prompt identification and reporting, your timely and comprehensive corrective actions, and your prior good performance in the area of access control to vital areas.

You are required to respond to this letter and should follow the instructions specified in the enclosed Notice when preparing your response. In your response, you should document the specific actions taken and any additional actions you plan to prevent recurrence. After reviewing your response to this Notice,

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PDR ADOCK 05000413
Q PDR

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including your proposed corrective actions, the NRC will determine whether further NRC enforcement action is necessary to ensure compliance with NRC regulatory requirements.

In accordance with 10 CFR 2.790(d) and 10 CFR 73.21, safeguards activities and security measures are exempt from public disclosure; therefore, the enclosures to this letter, with the exception of the report cover page which represents a nonexempt summary, will not be placed in NRC's Public Document Room.

The responses directed by this letter and the enclosed Notice are not subject to the clearance procedures of the Office of Management and Budget as required by the Paperwork Reduction Act of 1980, PL 96-511.

Should you have any questions concerning this letter, please contact us.

Sincerely,

Original Signed by R.D.Walker

J. Nelson Grace
Regional Administrator

Enclosures:

1. Notice of Violation
(Safeguards Information)
2. Inspection Report Nos. 50-413/86-16
and 50-414/86-17
(Safeguards Information)

cc w/encls:

R. L. Dick, Vice President - Construction
J. W. Hampton, Station Manager

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